

**Annual Progress Report
on the
Mathematical Sciences Research Institute
2008-2009 Activities
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Mathematical Sciences Research Institute Annual Report for 2008-2009

| | |
|--|-----|
| 1. Overview of Activities | 3 |
| 1.1 New Developments | 3 |
| 1.2 Summary of Demographic Data | 7 |
| 1.3 Major Programs & Associated Workshops..... | 9 |
| 1.4 Scientific Activities Directed at Underrepresented Groups in Mathematics | 19 |
| 1.5 Summer Graduate Workshops | 20 |
| 1.6 Other Scientific Workshops | 22 |
| 1.7 Educational & Outreach Activities | 24 |
| 1.8 Programs Consultant List | 27 |
| 2. Program and Workshop Participation | 28 |
| 2.1 Program Participant List | 28 |
| 2.2 Program Participant Summary | 35 |
| 2.3 Program Participant Demographic Data | 36 |
| 2.4 Workshop Participant List | 40 |
| 2.5 Workshop Participant Summary | 40 |
| 2.6 Workshop Participant Demographic Data | 41 |
| 2.7 Program Publication List | 45 |
| 3. Postdoctoral Fellows | 55 |
| 3.1 Postdoctoral Fellow Placement List..... | 72 |
| 3.2 Postdoctoral Fellow Placement Summary | 75 |
| 3.3 Postdoctoral Fellow Placement Demographic Data | 76 |
| 3.4 Postdoctoral Research Member List | 79 |
| 3.5 Postdoctoral Research Member Summary | 81 |
| 4. Graduate Students | 81 |
| 4.1 Summer Graduate Workshops | 81 |
| 4.2 Program Associates | 85 |
| 4.3 Summer Graduate Workshops List..... | 87 |
| 4.4 Summer Graduate Workshops Summary..... | 91 |
| 4.5 Summer Graduate Workshops Demographic Data..... | 92 |
| 4.6 Program Associates List | 95 |
| 4.7 Program Associates Summary | 96 |
| 4.8 Program Associates Demographic Data | 97 |
| 4.9 Graduate Student List | 100 |
| 4.10 Graduate Student Summary | 100 |
| 5. Undergraduate Program | 101 |

Program Reports

- ◆ Analysis on Singular Spaces
- ◆ Ergodic Theory and Additive Combinatorics
- ◆ Algebraic Geometry
- ◆ Complementary Program

Workshop Reports

- ◆ No. 447: CMI-MSRI Workshop: Modular Forms and Arithmetic
- ◆ No. 441: Low-Dimensional Topology
- ◆ No. 438: Broader Connections Ergodic Theory and Additive Combinatorics
- ◆ No. 439: Introduction to Ergodic Theory and Additive Combinatorics
- ◆ No. 442: Broader Connections: Analysis on Singular Spaces
- ◆ No. 443: Introductory Workshop Analysis of Singular Spaces
- ◆ No. 469: Topology of Stratified Spaces
- ◆ No. 444: Elliptic and Hyperbolic Equations on Singular Spaces
- ◆ No. 440: Discrete Rigidity Phenomena in Additive Combinatorics
- ◆ No. 499: International Conference on Cluster Algebras and Related Topics
- ◆ No. 484: Algebraic Statistics
- ◆ No. 471: Connections for Women: Algebraic Geometry and Related Fields
- ◆ No. 470: Classical Algebraic Geometry Today
- ◆ No. 472: Modern Moduli
- ◆ No. 473: Combinatorial, Enumerative, and Toric Geometry
- ◆ No. 515: Economic Games and Mechanisms to Address Climate Change

Summer Graduate Workshop Reports

- ◆ Geometry and Representation Theory of Tensors for Computer Science, Statistics, and other areas
- ◆ Climate Change - Summer Graduate Workshop and Summer School
- ◆ IAS/PCMI Summer Program: Analytic and Algebraic Geometry
- ◆ Toric Varieties

2004-2009 Members and Participants data

- ◆ Evaluating MSRI's Postdoctoral Fellowship Program
- ◆ Demographic Data of MSRI 5 Years Study

1. Overview of Activities

This annual report covers MSRI projects and activities that occurred during the fourth year, 2008–09, of the NSF core grant DMS #0441170.

1.1 New Developments

Singular spaces, ergodic theory, additive combinatorics, and algebraic geometry were the main research themes for the year 2009–10. It was a year rich in mathematical discoveries, as the programs' reports demonstrate, and impressive in the high number of participants. All programs had stellar researchers in residence for long periods. One of the many memorable talks was that of Terence Tao, who lectured on Szemerédi's Theorem as "the Rosetta stone between ergodic theory, combinatorics, and Fourier analysis". At the other hand of the spectrum, a graduate student from Stanford University, Dean Baskin, made progress toward a detailed microlocal description of the singular and asymptotic structure of the fundamental solution of the wave kernel on a class of Lorentzian spaces that are perturbations of the classic de Sitter spacetime. A hallmark of the algebraic geometry program was its many collaborations across levels and subdisciplines. As the organizers wrote, "The efflorescence of research was inspiring to behold, involving literally hundreds of projects." Research advancement and discoveries are described in detail in section 1.3 and in the organizers' reports, which can be found in the Appendix. Note that all program and workshop organizers are asked to write a detailed report of their projects and activities. We are pleased to report that our success rate in obtaining those reports was 100%.

2008–09 was a year when MSRI deepened its commitment to Summer Graduate Workshops (SGWs). During the summer of 2009, MSRI fully funded 4 SGWs and partially funded another 2. The Toric Varieties workshop organized by David Cox and Hal Schenck was such a vibrant success that it will be repeated in Italy next summer (2011). The Mathematics of Climate Change workshop was an equally successful 3-week summer school in which graduate students were given some of the tools and training they need to react creatively to this world crisis. Detailed reports from the organizers for each of these activities can be found in the appendix.

The year 2008–09 was also the year during which MSRI wrote its NSF 5-year grant renewal. While such an endeavor can be a daunting task, it was also a unique opportunity for MSRI to reflect on its mission and goals. In particular, judging by the consistent stream of overtly positive comments MSRI receives from mathematicians from around the globe, it has become clear that the research community benefits immensely from MSRI's programs and activities. While this might be evident to researchers who spend some time in residence at MSRI, it also became clear that additional data evaluating the impact of MSRI's programs would be quite useful. For this purpose, MSRI did a pilot survey of its 2004–09 postdoctoral fellows. Seventy percent (70%) of the 145 polled postdoctoral fellows responded to the survey. The detailed report can be found in the appendix. We now give a brief summary of the findings of this study.

Evaluating MSRI's Postdoctoral Fellowship Program 2004–09: The survey addressed the current occupation of the fellows, the impact of the fellowship on fellows' careers, and research outcomes based on the work fellows conducted at MSRI.

In summary, the study confirmed that the level of satisfaction among the postdoctoral fellows was very high and that their record of research productivity, measured using number of publications, number of presentations given, and the amount of funding obtained, was very impressive. For example, on average, the respondents estimated that they published 2 papers that resulted from work done at MSRI, and give an average of 5 talks based on that work. Fifty-one (46%) of the respondents reported having obtained funding after their MSRI postdoctoral experience, and the average number of publications listed on MathSciNet was 5 papers per respondent. (One should remember that some of those respondents had just finished their doctoral degree.) 55% of the respondents found post-Fellowship employment at group I institutions, including 3 of the 8 fellows (38%) whose degree came from a US non-group I institution. To assess the degree to which the postdoctoral experience aided in the development of new research, fellows were asked to what extent their experience at MSRI helped them develop new research directions. 80% of respondents answered either “significantly” or “a great deal.” The most commonly cited strength of the program was the presence of leading experts for extended periods. As one respondent put it, “My experience there [MSRI] was transformative. Being in the room as 5 of the most brilliant senior mathematicians in my field argued about fundamental ideas was worth at least as much as my graduate work. In fact, it provided big picture context of the challenges of the field that would have been impossible to provide in graduate school.”

Aside from the postdoctoral fellows’ survey, we also analyzed and summarized 5 years of data collected on members and workshop participants that came for visits as short as one day or as long as an academic year. We now briefly describe those findings, which can be found in greater detail in the appendix at the end of this report.

5 years of data on MSRI’s members and workshop participants: For the period of 2004–09, MSRI was visited by 8,607 workshop participants, 746 summer graduate workshop attendees, 1,120 research members, and 145 postdoctoral fellows, for a total of 10,473 visits. Some workshop participants and members made multiple visits, so that, of the 8,607 workshop visits, 5,743 were from distinct participants. During those 5 years, MSRI hosted 85 scientific workshops, 21 educational and outreach workshops, and 20 summer graduate workshops. MSRI was pleased to find that our efforts at increasing diversity among our research members and workshop participants bore fruit. 29% of the postdoctoral fellows were women, which, we believe, is quite a success given that the number of female graduate students at group I institutions is around 20% (AMS annual reports). 11% of the postdoctoral fellows were members of underrepresented minorities (we have not found documentation for the percentage of members of URM at group I institutions). MSRI was equally successful with regard to diversity among graduate students attending its SGWs, as 30% of the participants were female. The percentage of female research members participating in MSRI’s programs was 18%, while 6% of the members were from minorities. We believe that both of these percentages can be improved with a more pro-active recruiting strategy (see the discussion of networking trees below) that we are in the process of implementing.

The average length of time that research members spent at MSRI is 2.4 months, more than half the length of a one-semester program (4 months). Some of the active research members received their Ph.D. more than 50 years ago, (Tony Springer (1951), Steve Male (1957), and Adriano Garsia (1957)), others were still graduate students. Among members with a Ph.D., the average

number of years since the time of degree was 12.5 years. There were a total of 166 program associates (graduate students) and members having just completed a Ph.D. degree, which represents 15% of the research members in residence for one month or more.

Comparable data was obtained for the 8,607 workshop participants: Among those who indicated their gender and ethnicity, 30% were female and 10% were minorities. Of the 5,285 participants coming from US institutions, 22% were from the Northeast, 17% from the South, 41% from the West, and 20% from the Midwest.

Lastly, the MSRI website averages over 30 Gigabytes per day of downloads and receives over 1,000,000 visits per month.

MSRI-UP program: The MSRI-UP is an undergraduate research program targeted towards underrepresented minorities with the goal of increasing the number of students from underrepresented groups in mathematics graduate programs. MSRI-UP includes summer research opportunities, mentoring, workshops on the graduate school application process, and follow-up support. MSRI has hosted three such summer programs. In 2009, the lead director was Dr. Herbert Medina, and the primary instructor was Professor John B. Little from College of the Holy Cross.

(See http://www.msri.org/calendar/workshops/WorkshopInfo/489/show_workshop).

Given the aim of the program, a natural way to evaluate it is to track the number of MSRI-UP students that get into graduate school. So far, it is only reasonable to check this number for 2007, as students from the 2008 and 2009 programs are still undergraduate students. Nine of the 12 students from the 2007 program are currently enrolled in graduate school in mathematics-related fields. See Chapter 5 for a full report, with more details and pictures.

Networking Experiment: Recruitment of underrepresented minorities is a perennial challenge for all the Math Institutes, and MSRI, in particular, is constantly searching for new approaches that may bring additional success. During 2007–08, MSRI started a networking tree in an effort to compile a list of names and contact information for under-represented minorities, women, or well-connected people who were researchers in fields centered on our upcoming programs. Via this tree, we have collected contact info relevant to three programs in 2008–09 and for three programs plus an extra “other” category for 2009–10.

For 2008–09, we collected 126 names of people who might be interested in the Algebraic Geometry program (122 of which were women), 42 names of people who might be interested in the Ergodic Theory and Additive Combinatorics program (25 of which were women), 33 names of people who might be interested in the Analysis on Singular Spaces program (15 of which were women).

Basically, the network tree is an iterative recruitment tool. We ask the organizers of each program to give us the names of either women or underrepresented minorities who may be interested in the program or people who might know such. Then we send the same letter to the people they supply. After about five generations, the list seems to stabilize, and we pass it back to the organizers. We now realize that the tree should be fed as soon as a program is accepted, which means 2 to 3 years ahead of time.

Public Understanding of Mathematics: MSRI is an acknowledged leader of activities for the public understanding of mathematics. While these activities are not funded by NSF funds, we briefly describe some of them here in order to give a glimpse of how MSRI reaches out to the public. These popular events include interviews with playwrights (Tom Stoppard, Arcadia; Michael Frayn, Copenhagen; David Auburn, Proof), musicians (St. Lawrence String Quartet, Merce Cunningham Dance Company, Christopher Taylor) and public personalities (Funny Numbers with Steve Martin and Robin Williams, M*A*T*H with Alan Alda). These events are distributed nationally in a unique series of videos. Among the many hosted this year, three (3) stand out.

The John Cage Legacy: Chance in Music and Mathematics. This fascinating 'happening' presented composers/musicians from the Merce Cunningham Dance Company in a concert, followed by a discussion between the musicians and the mathematician, statistician, magician, randomness expert Persi Diaconis of Stanford University. Bob Osserman, Special Projects Director at MSRI, moderated the discussion with a finesse that kept the audience captivated long after the music had stopped.

Meaning in Beethoven's 'Grosse Fuge' was a memorable evening featuring the renowned St. Lawrence String Quartet and NPR's Rob Kapilow. This 90 minute program consisted of a discussion and performance of Beethoven's Grosse Fuge, Op. 133, a masterpiece from the composer's late period, described by Stravinsky as 'an absolutely contemporary piece of music that will be contemporary forever'. The St. Lawrence String Quartet, famed for the beauty and immediacy of its performances of both classical and modern quartet repertoire, is in residence at Stanford University. Rob Kapilow is well known for his popular and exciting "What Makes It Great?" series of commentaries on NPR. The Quartet and Kapilow joined forces in exploring the structure of this extraordinary piece of classical music, though it was dismissed in Beethoven's own time as 'an indecipherable, uncorrected horror'. The Simons auditorium was filled with mathematicians and music lovers who left with a sense of awe at Beethoven's genius for music.

The Math behind the Cath: The Inside Story of Stents, Pacemakers, and Other Medical Miracles. This extremely popular event was the first presentation in MSRI's new series on Mathematics + Medicine. Speakers Joseph Berglund and Robert J. Lang were joined by mathematicians David Eisenbud of UC Berkeley, and Bob Osserman. Joseph Berglund is the Principal R&D Engineer at Medtronic CardioVascular in Santa Rosa, where his current projects vary from development of next generation drug eluting stents, to designing bioabsorbable devices to identify and evaluate new therapy options for various unmet clinical needs. In his talk he gave an overview of cardiovascular medical devices and the principles behind them, as well as indications of how mathematics plays a role in their making. Robert J. Lang is recognized as one of the foremost origami artists in the world as well as a pioneer in computational origami and the development of formal design algorithms for folding. He has, during the course of work at NASA/Jet Propulsion Laboratory, Spectra Diode Laboratories, and JDS Uniphase, authored or co-authored over 80 papers and 45 patents in lasers and optoelectronics. He is a full-time artist and consultant on origami and its applications to engineering problems, but moonlights as the Editor-in-Chief of the IEEE Journal of Quantum Electronics. He is equally famous for the beauty of his origami figures, his applications of mathematics to paper folding, and of paper folding to practical

devices such as space probes and medical equipment. The event took place at the Berkeley Rep's Roda Theatre that can accommodate more than the 180 seats of MSRI's Simons auditorium. The theater was filled nearly to capacity, and the public had to be gently reminded that the speakers could not be expected to answer questions for the entire night!

1.2 Summary of Demographic Data for 2008-09

During the academic year 2008-09, MSRI hosted 34 one-semester NSF Postdoctoral Fellows, 293 program members (members that came for period of at least one month), and 1913 workshop participants.

The Postdoctoral program was particularly successful and is described in detail in Chapter 2 and 3. Of the Fellows, 15% were female, 44% were US Citizens or Permanent Residents, and 68% listed a US university as their home institution. Of those institutions, 39% are located in the Northeast, 30% in the West, 22% in the Midwest, and the remaining 9% are in the South. Detailed demographic tables can be found in Chapter 3. Of the 34 postdocs 19 (56%) were from group I institutions, 11 (32%) were from foreign universities, and the rest were from US institutions belonging to group II, and M.

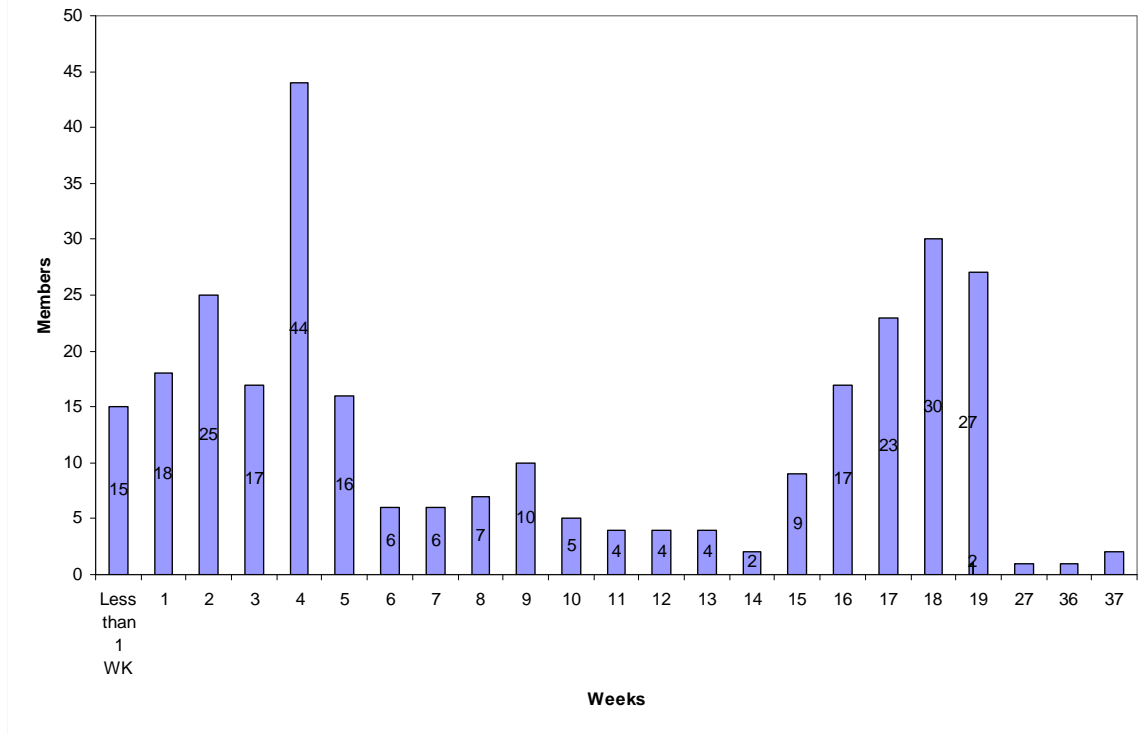
MSRI had a total of 293 (long-term) members. An 'average' member spent 66 days at MSRI, (half the length of a program) and the average number of members present everyday was roughly 75 (83% of our capacity), with peak attendance in October and March. Of the members, 63 (22%) were female, and 12 (8.6%) belonged to the Hispanic/Latino community. Of the members, 139 (47%) reported being US Citizen or Permanent Resident and 155 (53%) listed a US university as their home institution. Of those institutions, 23% are located in the Midwest, 32% in the West, 27% in the Northeast, and 17% in the South. Of the members, 13% were graduate students, 32% had received their Ph.D degree after 2000, 27% received theirs between 1990 and 2000, and the remaining 28% had received their Ph.D. prior to 1990. Detailed demographic data can be found in Chapter 2.

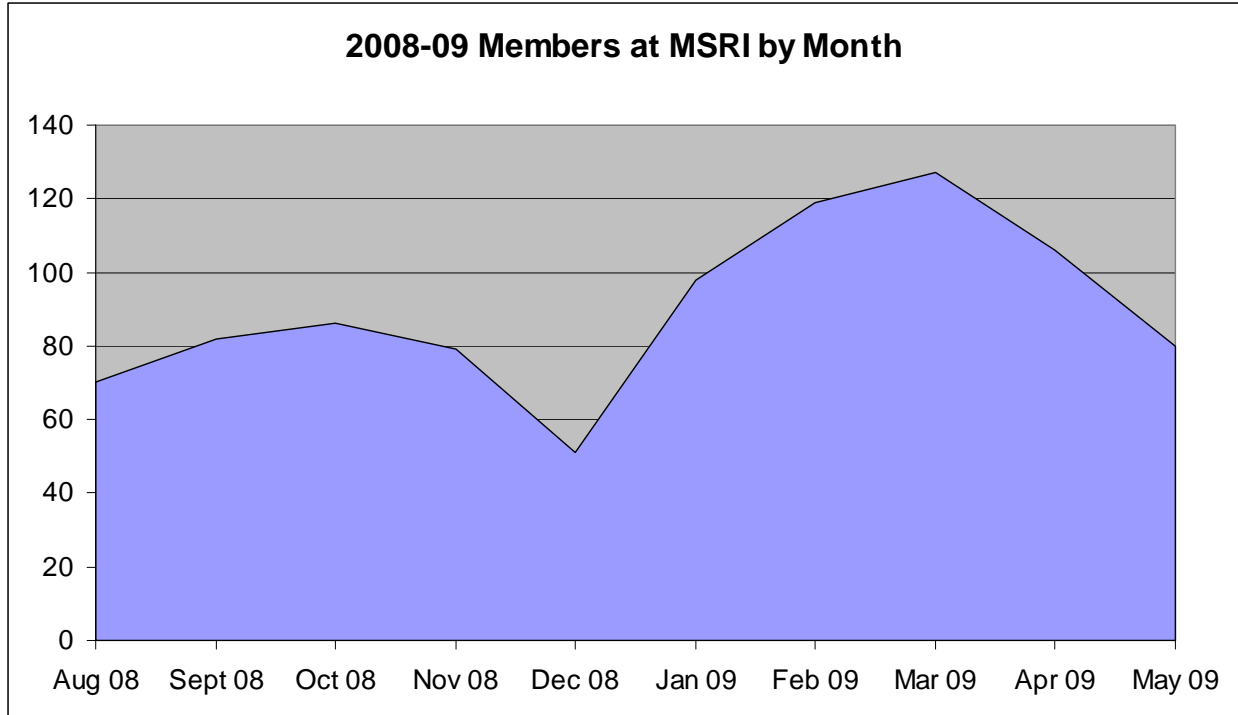
In its 2008-09 workshops, MSRI hosted 1913 separate visits (some visitors attended multiple events). We have gender data from 1885 (98.5%) participants. Of these, 617 (33%) were female. There were 134 (7%) Self-Reported under-represented minorities. Of the participants, 49% were US Citizen or Permanent Residents, and 72% of all workshop participants have their home institution in the US. The 'year of Ph.D.' distribution is somewhat different from the one for long-term members. In particular, 27% of the workshop participants were graduate students and 28% received their Ph.D. after 2000. The US regional distribution was also somewhat different: 20 % were from the Midwest, 42% from the West, 21% from the Northeast, and the remaining 16% were from the South. Data on workshop participant demographics can be found in Chapters 2 and 4.

MSRI Length of Stay Summary

| | |
|---------------------------------------|-------|
| Total Member Days | 19212 |
| Total Distinct Members | 293 |
| Average # of Days per Program | 128 |
| Average # of Days per Member | 65.57 |
| Average # of Months per Member | 2.2 |
| Average # of Members per Day | 75.34 |

2008-09 Members' Visit Length





1.3 Major Programs and their Associated Workshops

There were 3 major programs for the MSRI fiscal year 2008-09, and 13 workshops associated with them.

Note: In the lists of organizers of each activity, an asterisk (*) denotes lead organizer(s).

Program 1: Analysis on Singular Spaces

August 18, 2008 to December 19, 2008

Organized By: *Gilles Carron, Eugenie Hunsicker, Richard Melrose, Michael Taylor, and Jared Wunsch**

A central problem in modern mathematics is that of extending analytic constructions which are well understood in the setting of smooth compact manifolds to a broader class of spaces which are allowed to be singular. Such objects arise naturally in many geometric contexts: Singular varieties in algebraic geometry not only occur naturally as fundamental objects themselves, but even the moduli spaces of smooth varieties are naturally singular. Seemingly smooth, noncompact objects often become singular spaces upon compactification: Euclidean space can be radially compactified to a manifold with boundary, the simplest possible “singular space,” while the configuration space for k -particle dynamics on \mathbb{R}^n naturally has a compactification as an n -dimensional manifold with corners. Smooth symmetric spaces often have natural compactifications, such as the Borel-Serre compactification, that are manifolds with corners.

And objects with irregular boundaries occur frequently in mathematical physics: classical problems like the scattering of waves by a slit already involve singular geometries. Singular structures are moreover thought to play an important role in the scattering of seismic waves through the interior of the earth; the associated inverse problem is of manifest practical importance.

The study of elliptic equations on singular spaces has had fruitful interaction with topology; for instance the work of Goresky-MacPherson on intersection cohomology has pointed the way toward extending de Rham and Hodge theory to broader geometric settings. Turning to hyperbolic equations, wave propagation on incomplete spaces is complicated by diffractive effects and the subtleties of glancing rays, while on complete spaces with nice compactifications, such as Schwarzschild space, energy decay near various boundary faces is the subject of intensive current study. The subject of spectral and scattering theory on singular spaces has vast reach, spanning both number theory (modular forms) and physics (many body scattering, relativity).

All of these areas of analysis on singular space have in common the use, whether explicit or implicit, of asymptotic expansions of solutions to partial differential equations near singular strata. Calculi of pseudodifferential operators are essential tools in many problems, and a bewildering menagerie of these calculi are now known. As a result there is substantial duplication of effort, heightened by language barriers, between experts in these different subjects, and the hope that a program uniting researchers in these diverse fields will have payoffs in the transfer of mathematical “technology” from one field to another, as well as unifying disparate pseudodifferential approaches. A systematic and general theory of PDEs on stratified spaces, using iterative techniques to peel away successive strata, was a long-term goal of the program.

Workshops associated with the Analysis on Singular Spaces program:

Broader Connections: Analysis on Singular Spaces

August 28, 2008 to August 29, 2008

Organized By: *Gilles Carron, Eugenie Hunsicker*, Richard Melrose, Michael Taylor, Andras Vasy, and Jared Wunsch*

This two-day program consisted of a "crash course" in topics in PDE relevant to the Analysis on Singular Spaces main program, and in particular attempted to get graduate students, postdocs, and even advanced undergraduates ready for the Introductory Workshop the following week. The focus was topics in analysis on smooth manifolds whose generalizations to singular spaces were the focus of the main program.

Introductory Workshop on Analysis on Singular Spaces

September 2, 2008 to September 5, 2008

Organized By: *Gilles Carron, Eugenie Hunsicker, Richard Melrose*, Michael Taylor, Andras Vasy and Jared Wunsch*

This four-day program was an introduction to the main themes of the Analysis on Singular Spaces program, geared toward graduate students and postdocs. It consisted of several

minicourses, covering topics in spectral and scattering theory, index theory, and L^2 -cohomology, as well as developing the technical tools needed as background.

Topology of Stratified Spaces

September 8, 2008 to September 12, 2008

Organized By: *Greg Friedman, Eugénie Hunsicker, Anatoly Libgober, and Laurentiu Maxim*

Stratified spaces occur naturally in high- and low-dimensional topology, various fields of pure mathematics, including algebraic geometry and number theory, and also in more applied fields, such as the study of configuration spaces for robot motion planning. In recent years, there has been extensive interest and success in expanding to stratified spaces the triumphs of algebraic topology in manifold theory, including the vast progress in the mid-20th century on signatures, characteristic classes, surgery theories, and the special homological properties of nonsingular analytic and algebraic varieties, such as the Kähler package. Yet such extensions from manifold theory to stratified space theory are rarely straightforward; they tend to involve the discovery and study of subtle interactions between local and global behavior. Furthermore, research in these areas turns out to have a rich interaction with the question, being addressed by the Analysis on Singular Spaces program running simultaneously at MSRI, of how to do analysis on spaces that are not locally Euclidean.

The workshop was organized into days dedicated to each of the four main themes: topology of complex varieties, signature theory on singular spaces, L^2 and intersection cohomology, and mixed Hodge theory and singularities. Each day, except Wednesday, included one introductory talk aimed at audience members from both topology and analysis of singular spaces, and three research talks. There were also room for some shorter talks by PhD students or recent graduates and for a few talks on Wednesday morning on related subjects that do not fit one of these categories.

Elliptic and Hyperbolic Equations on Singular Spaces

October 27, 2008 to October 31, 2008

Organized By: *Gilles Carron, Eugenie Hunsicker, Richard Melrose, Michael Taylor, Andras Vasy and Jared Wunsch*

This workshop focused on the study of PDEs on singular spaces and their connections with the spaces' underlying geometry. Topics were cohomology theory, index theory, and spectral geometry on the elliptic side; and wave propagation and associated inverse problems on the hyperbolic. A unifying theme was asymptotic expansions of solutions in various regimes, as for instance high frequency eigenfunction expansions, which draws techniques from hyperbolic equations into the elliptic theory.

Program Highlights

Notwithstanding the diverse interests of the participants (and indeed diverse notions of what should constitute a “singular space”), the program was well-focused and impressively coherent. Of the 6 organizers, 4 (R. Melrose, M. Taylor, A.Vasy and J. Wunsch) were present for the duration of the program, with E. Hunsicker also spending 10 weeks in residence. Richard Melrose was the UCB Chancellor’s professor for the year and gave a course in the mathematics

department (UCB) on *Smooth Operator Algebras and K-theory*. The course was very well received and attended, and seems to have sparked considerable interaction among participants at all levels of seniority.

A typical week during the semester included two regular seminars and an additional postdoc seminar which had excellent attendance from the general program. Participants enjoyed the interaction fostered by the seminar arrangements and also reported finding the simple experience of having lunch together on a regular basis to be a valuable aspect of life at MSRI. On most days, a large crowd assembled for lunch in the second-floor common room and this was a valuable venue for informal networking as well as discussion of mathematics. Participants of all levels of seniority mingled in this setting, and it may in the end have been one of the most effective mentoring tool for postdocs.

The organizers listed 12 fundamental developments that occurred during the program. Of those, a new result on inverse-scattering for obstacles in waveguides, proved by Tanya Christiansen and Michael Taylor, stands out. This work allows one to determine the shape of the obstacle, subject to some technical hypotheses, by using waves of a small range of wavelengths. Previous results of Christiansen had been confined to the two-dimensional case. Another surprising result was the extension, by Hassell and Hillairet, of Hassell's breakthroughs on the failure of quantum unique ergodicity for the Bunimovich stadium. These results show that while the motion of a billiard ball in the "stadium" (a rectangular region with semicircular ends) is rather chaotic, nonetheless there can be quantum states at high energy that are narrowly concentrated along those billiard trajectories that bounce back and forth within the rectangular part. The existence of these quantum states had been a major open problem in the burgeoning field of quantum chaos.

Five postdoctoral fellows were in residence for the duration of the programs. Each postdoctoral fellow was closely mentored and all report having greatly benefited from their experience at MSRI (see chapter 3 for a detailed description of the postdoctoral experience). Additionally, a large number of graduate students were in residence for the entire semester. Overall, the opportunity for advanced students to see the state of the field laid out for them, both in the introductory workshop and in the subsequent intense atmosphere of twice-weekly seminars, was one of the most strikingly transformative aspects of the semester.

Program 2: Ergodic Theory and Additive Combinatorics

August 18, 2008 to December 19, 2008

Organized By: *Ben Green, Bryna Kra*, Emmanuel Lesigne, Anthony Quas, Mate Wierdl*

Much recent work in ergodic theory has been motivated by interactions with combinatorics and with number theory. A particular example is Szemerédi's Theorem, which states that a set of integers with positive upper density contains arbitrarily long arithmetic progressions. The original argument was an intricate use of combinatorics; a second proof was given by Furstenberg using ergodic theory and more recently, Gowers gave a third proof based on Fourier analysis. In the last few years, methods of combinatorics, number theory, harmonic analysis, and ergodic theory have been combined to attack old problems on patterns, such as arithmetic progressions, in the prime numbers.

Furstenberg's proof uncovered the connection between combinatorial results and ergodic theory, and his ergodic theoretic proofs of combinatorial statements had unforeseen consequences within ergodic theory itself. Furstenberg and others introduced certain classes of dynamical systems and ergodic theoretic structures, and their study has become of independent interest. Moreover, the better understanding of the underlying ergodic theory has provided new combinatorial results, some of which have yet to be proven by any other method.

The recent result of Green and Tao on arbitrarily long arithmetic progressions in the set of primes immediately attracted the attention of ergodic theorists. The Green-Tao proof, similar to Furstenberg's proofs, is based on a philosophy used in ergodic theory since Riesz's proof of the Mean Ergodic Theorem: prove a structure theorem, showing that a given object can be decomposed into "structured" and "negligible" parts. However, the interest runs deeper. Finer analysis of structures in the primes has relied on "non-commutative" methods; objects similar to those in the structure theorem for multiple ergodic averages have now arisen in a combinatorial setting. These non-commutative objects have also arisen in harmonic analysis, in the context of Bourgain's result on subsequence ergodic theorems.

One aim of the proposed program was to understand the meaning of these recent results for ergodic theory. As the history of Szemerédi's Theorem shows, such an understanding benefits both ergodic theory and other fields, such as probability, combinatorics, number theory and harmonic analysis.

A difficulty facing researchers in this area is the need to be fluent in several fields of mathematics: number theory, ergodic theory, combinatorics, and harmonic analysis. A few of the leading researchers are already able to use tools from the various fields and pass from one field to another with ease. A systematic survey of the fields benefits a wider audience. This program brought together researchers in ergodic theory, harmonic analysis, number theory, and combinatorics who were interested in similar problems from very different perspectives. While the main theme of the program was ergodic theory, we highlighted the unity between ergodic theory and these other related areas.

Workshops Associated with the Ergodic Theory and Additive Combinatorics program:

Broader Connections: Ergodic Theory and Additive Combinatorics

August 21, 2008 to August 22, 2008

Organized By: *Ben Green, Bryna Kra*, Emmanuel Lesigne, Anthony Quas, Mate Wierdl*

This two day workshop featured expository talks on ergodic theory and additive combinatorics, aimed at advanced graduate students and new postdocs in these fields. An effort was made to highlight the recent interactions between the fields.

Introduction to Ergodic Theory and Additive Combinatorics

August 25, 2008 to August 29, 2008

Organized By: *Ben Green, Bryna Kra, Emmanuel Lesigne, Anthony Quas, and Mate Wierdl**

The theme of this workshop was the interplay between recurrence in ergodic theory and additive combinatorics. In addition to the now classical results on the existence of arithmetic progressions in large sets, we focused on the parallels among the ergodic theoretical, harmonic analytical and combinatorial methods. During the workshop, we planned several minicourses, including the following topics: recurrence in ergodic theory, correspondence principle, nilsystems in ergodic theory, and existence of arithmetic progressions in primes.

Discrete Rigidity Phenomena in Additive Combinatorics

November 3, 2008 to November 7, 2008

Organized By: *Ben Green, Bryna Kra, Emmanuel Lesigne, Anthony Quas**, *Mate Wierdl*

We explored environments in which rigid structural information can be deduced from rather soft combinatorial hypotheses. There was a particular focus on finite and quantitative questions, although an important aspect of the workshop was to explore connections with corresponding infinite and qualitative questions in ergodic theory, where as a general rule more is known.

Topics included (but were not limited to) the following.

1. Freiman's theorem concerning the structure of sets with small doubling;
2. The Gowers Inverse Conjecture, concerning the structure of sets containing many parallelepipeds;
3. Finite versions of Ratner's theorem, concerning the structure of finite unipotent orbits in dynamical systems.

Program Highlights

As the organizers mentioned in their report (see appendix) overall the general atmosphere at MSRI was extremely fruitful. There were numerous informal and lively discussions, varied and interesting questions circulated (both in formal problem sessions and informal exchanges), and new collaborations begun. Each week there were 3 seminars, 2 “regular” ones and a postdoctoral seminar. Six (6) research professors mentored eleven (11) postdoctoral fellows, with several of them publishing or submitting papers to various journals. The several students in residence regularly attended a Berkeley student seminar on harmonic analysis and ergodic theory (directed by University of Berkeley Professor Michael Christ). In addition to the regular seminars, five (5) informal meetings were held where members presented their favorite unsolved problems. Problems ranged from classical problems that had been open for many years to new problems that had arisen while at MSRI. These late afternoon discussions were often lively and spurred numerous discussions over lunch and tea.

A notable feature of the program was the large number of questions in circulation. Amongst those posing questions, Michael Boshernitzan stands out for having a steady supply of innocent-sounding questions exploring the limits of the theory. While one or two of these were answered during the program (e.g. the paper of Boshernitzan and Glasner), the majority were taken home by participants where they no doubt continue to plague them. One question formulated in a particularly elementary way sounded so innocent that, on the day after Thanksgiving, there was a veritable maelstrom of activity with several members unsuccessfully proposing methods of

attack. The original question, alas, escaped to torment members another day (although it seems now that there is a solution to this problem).

The central idea in the recent developments (in the last 10 years) of the subject is that of Gowers norms, or equivalently on the ergodic side, the Host-Kra seminorms. At a heuristic level, this leads to a decomposition of sets and functions into structured and “random” parts. An emerging idea in recent years has been the so-called inverse conjecture for the Gowers norms, where one is seeking to express in a quantitative way in terms of correlations what it means to have large Gowers norm. During the program a major project of Bergelson, Tao and Ziegler was completed establishing the inverse Gowers conjecture in the case of F_p^d . Their result may be informally stated as follows: If f has biased k th derivative then f correlates with a polynomial phase of degree $k - 1$. This leaves open the major question of the inverse conjecture of Green and Tao for Z/NZ .

Program 3: Algebraic Geometry

January 12, 2009 to May 22, 2009

Organized By: *William Fulton, Joe Harris, Brendan Hassett, János Kollár, Sándor Kovács, Robert Lazarsfeld, and Ravi Vakil**

Algebraic geometry has long been a central subject, with deep and substantial connections to almost every aspect of modern mathematics. There are numerous different approaches to the field, utilizing widely varying technical tools: Commutative algebra, complex analysis, sheaf theory, cohomological methods, and combinatorial techniques all playing important roles.

This multiplicity of techniques and interconnections creates a conundrum for the student of algebraic geometry: How can I learn all the specific tools of the trade, while at the same time developing an overall sense for what guides the subject? Even established researchers can feel powerful centrifugal forces pulling at the field, as it spawns new specialties with astonishing regularity.

This program on algebraic geometry, emphasized cross-fertilization between different areas, including classical and complex algebraic geometry, linear series techniques, moduli spaces, enumerative geometry, varieties with group actions, birational geometry, rational curves on algebraic varieties, and classification theory. The full resources of MSRI were devoted to a comprehensive discussion of these topics. The organizers hoped they conveyed the essential unity of the subject, especially to young researchers and established mathematicians in other fields who use algebraic geometry in their research.

Workshops Associated with the Algebraic Geometry program:

Connections for Women: Algebraic Geometry and Related Fields

January 22, 2009 to January 24, 2009

Organized By: *Angela Gibney, Brendan Hassett, Sándor Kovács, Diane Maclagan*, Jessica Sidman, and Ravi Vakil*

Twenty-first century algebraic geometry is a broad subject, with mathematicians on different frontiers sharing little background. This workshop consisted of colloquium-style talks introducing some of its subfields to people, particularly postdocs, working in other areas.

Classical Algebraic Geometry Today

January 26, 2009 to January 30, 2009

Organized By: *Lucia Caporaso, Brendan Hassett**, *James McKernan, Mircea Mustata, Mihnea Popa*

Algebraic Geometry is one of the most diverse areas of mathematics. Due to the breadth of the subject it is often a challenge for graduate students and people from other fields to get a global view of current developments in the field. Algebraic Geometry has grown dramatically over the past century, with new subfields constantly branching off. The core of the field is now universally called Classical Algebraic Geometry, an exciting area itself full of fundamental unsolved problems and at the same time providing a theoretical foundation for the areas that have developed in recent years.

The main theme of the workshop was to explore modern approaches to problems originating in Classical Algebraic Geometry, and at the same time offered an introduction to various subfields to the younger participants in the semester-long program. Topics included:

1. Birational geometry: minimal model program, singularities of pairs, linear series, classification of surfaces of general type.
2. Moduli spaces of curves: intersection theory, cones of ample and effective divisors, limit linear series.
3. Moduli spaces of vector bundles: intersection theory on Quot schemes, Strange Duality, generalized theta divisors.
4. Abelian varieties: Schottky problem, analytic methods, Fourier-Mukai transform.
5. Rational curves on algebraic varieties: rational connectedness, behavior in families, rationality.

Macaulay II day

February 3, 2009 to February 3, 2009

Organized By: *Ravi Vakil, Gregory G. Smith, Mike Stillma**

The goal of the workshop was to help the participants use the Macaulay 2 software in their research. The first presentation focused on installation, set-up, and basic functions. Participants were encouraged to bring their laptops to this session to get assistance with the software installation. The other independent talks focused on different problems in algebraic geometry; likely topics included computing sheaf cohomology, intersection theory, and enumerative geometry. Each of these talks also demonstrated the use of Macaulay 2.

Modern Moduli Theory

February 23, 2009 to February 27, 2009

Organized By: *I. Coskun, S. Katz, A. Marian, R. Pandharipande, R. Thomas, H.H. Tseng, R. Vakil**

The last few years have witnessed major developments in algebraic geometry, especially in the minimal model program and derived categories. The purpose of this workshop was to explore the implications of these developments to moduli theory. The workshop convened experts specializing on the minimal model program, derived categories and moduli spaces in an informal environment to facilitate the cross-fertilization of ideas across these different fields of algebraic geometry. The four main themes were:

1. The interactions and connections between Gromov-Witten theory, Donaldson-Thomas theory and stable-pair theory.
2. Moduli of objects in derived categories and their relations to counting invariants.
3. The moduli of higher dimensional varieties.
4. New developments in Brill-Noether Theory and the minimal model program for the moduli space of curves.

Sage Days: Algebraic Geometry

March 9, 2009 to March 12, 2009

Organized By: *David Eisenbud, Daniel Erman, Dan Grayson, Mike Hansen, William Stein*, Mike Stillman*

This workshop featured numerous hands on introductory tutorials about Sage, and the interface between Sage and Macaulay2. There were discussions and talks about doing algebraic geometry with both Sage and Macaulay2, and the unique advantages of both systems. There were also talks about working with lattice polytopes and doing Lie theory in Sage. In addition to the talks and tutorials, we had numerous coding sprints.

Combinatorial, Enumerative and Toric Geometry

March 23, 2009 to March 27, 2009

Organized By: *Michel Brion, Anders Buch, Linda Chen, William Fulton, Sándor Kovács, Frank Sottile*, Harry Tamvakis, and Burt Totaro*

Algebraic geometry has long been a central subject within mathematics, enjoying deep and substantial connections to other parts of mathematics. In particular, the interplay between algebraic geometry and algebraic combinatorics has led to many important developments in these and other mathematical fields. The last fifteen years have seen an explosion of interest in algebraic varieties with rich combinatorial structures: Schubert geometry of flag varieties and their subvarieties, degeneracy loci, toric varieties, moduli spaces and Gromov-Witten theory, and varieties with group actions. Powerful tools from algebraic topology, combinatorics, commutative and computational algebra, complex and symplectic geometry, and representation theory have been developed to study such objects. In this workshop, we presented the state of the art in combinatorial, enumerative, and toric algebraic geometry. We highlighted this part of modern algebraic geometry within the context of the broader parent program at MSRI, and conveyed its scope to young researchers.

Program Highlights

By all accounts the semester was a success. Younger researchers branched out from their thesis work and most formed new collaborations, often with people outside of their area of particular expertise. This is clear in the section on postdoctoral participants. Established researchers often came to get some quiet work time, but discovered the opportunity to become acquainted with new developments in different parts of the subject. The long-term impact will of course only become clear in the long term, but the hope is that in two decades people will still talk about this program as a key formative experience in their careers. This program was a jumbo program, occupying the entire facility, and thus was not paired with another program. The organizers had planned their program remarkably well. It was inspiring to see such top researchers being so generous with their time and efforts - before, throughout, and after the program.

A hallmark of the program was its many collaborations across levels and subdisciplines. The efflorescence of research was inspiring to behold, involving literally hundreds of projects. In the program's report (see appendix) one can find a list of roughly 100 preprints produced by official members. Singling one or two out as "breakthroughs" would simply not be representative of the very high quality of these manuscripts. Rather, all are available on the arXiv. A surprising number of papers transcend narrow subdisciplines within algebraic geometry. Of course most of the benefits of the program will be realized with time as new working relationships bear fruit.

The semester started with Kickoff Presentations by the postdocs present to introduce them to the community. This consisted of 22 five-minute presentations by postdocs and a handful of advanced graduate students. Each speaker was allowed only two slides sketching the key points of his or her research program; these were distributed through the program website. The main objective was to introduce the postdocs and their work to the other members in residence. This is now a feature for every program held at MSRI. The three official workshops all had more registrants than could be accommodated in the Simons Auditorium. Overflow audiences watched lectures on screens in the Baker Boardroom. These are some of the most popular workshops ever held at MSRI. Aside from the weekly seminars, an open problem session, moderated by David Eisenbud, was held. Lists of problems posed were distributed through the program website. Eighteen postdocs were mentored by eighteen mentors, attesting to the exceptional number of research professors that were in residence for the duration of the program.

About ten graduate students were officially program associates, accompanying their advisors who were members. In addition, another 20 students participated unofficially, including students from UC Berkeley. As well as participating in regular events, they ran their own weekly seminar and regularly participated en masse in the postdoctoral seminar. The social events (including with the postdocs) were a great way for them to meet others in the community, from fellow graduate students to distinguished senior colleagues. The local graduate students from UC Berkeley were excellent hosts.

Program 4: Complementary Program 2008-09

August 18, 2008 to May 22, 2009

MSRI had a small Complementary Program comprised of two postdoctoral fellows, Chris Hillar (funded by the NSA and MSRI), and Feng Xu, 2 research members, Irwin Kra and Matilde Marcolli, and 1 graduate student, Christopher Severs.

Christopher Hillar had just completed a postdoctoral fellowship at Texas A&M University and was contemplating leaving academia. Bernd Sturmfels alerted us to the fact that Hillar was awarded a Young Investigator grant from the NSA and that given his exceptional talent it would be a loss to the mathematical community if he were to leave mathematics. We took him as a research member in the Complementary program while we administered his grant. It turned out to be one of MSRI's success stories. In Hillar's own words "...The chance to work at MSRI was life-changing and especially important given my research aspirations in mathematical neuroscience. It is challenging to work at the intersection of these two fields, and I attribute much of my ongoing success to the opportunities, encouragement, and support that MSRI has offered me." Hillar went on to obtain a joint postdoctoral fellowship with MSRI and the Redwood Center for Theoretical Neuroscience at UCB. His mentor, Dr. Bruno Olshausen, is the Redwood Center's director. The Center's goal is to develop mathematical and computational models of the underlying neurobiological mechanisms involved in perception, cognition, learning, and motor function. The scientists collaborate with experimental neuroscience labs in the design of experiments and in the analysis of neural data. It has been a rewarding experience for MSRI to see one of its members branched out so successfully.

Feng Xu was at MSRI for the academic year 2008-09 working with Director Robert Bryant, his mentor. Xu is a differential geometer whose stay at MSRI appears to have been quite prolific as he lists 3 completed papers, and was making serious progress on a fourth. One of his papers, *Pseudo-holomorphic curves in nearly Kahler CP^3* , appeared in the "Differential Geometry and Its Applications" journal. More details on his works while at MSRI can be found in the Postdoctoral Chapter of this annual report.

1.4 Scientific Activities Directed at Underrepresented Groups in Mathematics

Math Institutes Modern Mathematics Workshop

October 08, 2008 to October 09, 2008

Organized By: Ive Rubio, Herbert Medina, Kathy O'Hara, and Robert Megginson

This was the first workshop sponsored by all the US-based Math Institutes and seventh in a series sponsored by the Mathematical Sciences Research Institute on contemporary research in mathematics. Each institute will focus on their upcoming programs for the academic year 2009 – 2010.

All presentations were expository, intended for mathematical scientists and students not necessarily working in these areas, but interested in learning about new developments and the

possibility of spending some time at any of the participating math institutes. We anticipated an audience composed of graduate students, post docs, and mid career faculty.

Discussions about the institute programs included lectures which targeted a broad audience of mathematical scientists including graduate students, post docs, and mid career faculty, as well as advice about the different ways one can be involved in the upcoming programs. A panel composed of a representative from each US-based Math Institute occurred on Thursday, October 9, 2008.

Promoting Diversity at the Graduate Level in Mathematics: a National Forum

October 14, 2008 to October 17, 2008

Organized By: Sylvia Bozeman* (Spelman College), Rhonda Hughes (Bryn Mawr College), Abbe Herzig (SUNY, University at Albany), Duane Cooper (Morehouse College), Ellen Kirkman (Wake Forest University), Ivelisse Rubio (University of Puerto Rico), and Olivia Scriven (Spelman College). Honorary organizers include: Dusa McDuff (SUNY Stonybrook and Barnard College), Fern Hunt (NIST), and Karen Uhlenbeck (U of Texas at Austin).

Cultivating diversity and broadening participation of historically underrepresented groups in the mathematical sciences are national goals that are identified by the National Science Foundation as "essential components of the innovation engine that drives the Nation's economy." The goal of this three-day conference was to stimulate, identify, and disseminate successful models that improve retention of underrepresented groups in graduate programs in mathematics.

MSRI-UP 2009: Coding Theory

June 15, 2009 to July 24, 2009

Organized By: Ivelisse Rubio (University of Puerto Rico, Río Piedras), Duane Cooper* (Morehouse College), Ricardo Cortez (Tulane University), Herbert Medina (Loyola Marymount University), and Suzanne Weekes (Worcester Polytechnic Institute).

The MSRI-UP was a comprehensive program for undergraduates that aimed at increasing the number of students from underrepresented groups in mathematics graduate programs. MSRI-UP included summer research opportunities, mentoring, workshops on the graduate school application process, and follow-up support.

1.5 Summer Graduate Workshops

1.5.1 2008 Summer Graduate Workshops

IAS/PCMI Summer Program: Analytic and Algebraic Geometry: Common Problems - Different Methods

July 06, 2008 to July 26, 2008

Location: IAS/Park City Mathematics Institute, Salt Lake City, UT

Organized By: Mircea Mustață (University of Michigan), Jeff McNeal (Ohio State University)

The Graduate Summer School bridged the gap between a general graduate education in mathematics and the specific preparation necessary to do research on problems of current

interest. In general, these students would have completed their first year, and in some cases, may already be working on a thesis. While a majority of the participants would be graduate students, some postdoctoral scholars and researchers were also interested in attending.

The main activity of the Graduate Summer School was a set of intensive short lectures offered by leaders in the field, designed to introduce students to exciting, current research in mathematics. These lectures did not duplicate standard courses available elsewhere. Each course consisted of lectures with problem sessions. Course assistants were available for each lecture series. The participants of the Graduate Summer School met three times each day for lectures, with one or two problem sessions scheduled each day as well.

Geometry and Representation Theory of Tensors for Computer Science, Statistics, and other areas

July 07, 2008 to July 18, 2008

Location: Baker Board Room

Organized By: J.M. Landsberg* (Texas A&M), Lek-Heng Lim (UC Berkeley) and Jason Morton (UC Berkeley)

Recently the common geometry of tensors arising in questions in computational complexity, statistical learning theory, signal processing, scientific data analysis have been looked at from a unified perspective. The underlying geometry and representation theory was covered in this workshop with an eye towards problems such as the complexity of matrix multiplication, Valiant's approach to P=NP, measures of entanglement in quantum information theory, graphical models in statistical learning theory, independent component analysis and other multilinear data analytic techniques.

Climate Change - Summer Graduate Workshop

July 14, 2008 to August 01, 2008

Organized By: Christopher Jones* (UNC Chapel Hill and U Warwick, UK), Inez Fung (U.C. Berkeley), Eric Kostelich (Arizona State University), K.K. Tung (U. Washington), and Mary Lou Zeeman* (Bowdoin College), Charles D. Camp (Cal Poly, San Luis Obispo), Rachel Kuske (Univ British Columbia)

The goal of the workshop was to discern ways in which mathematics can contribute and to expose new researchers to some of the key areas that we believe will form the basis of serious mathematical considerations of climate change issues. It was part of a larger 3 week program to bring both graduate students and researchers together to jointly study ways to engage in meaningful collaborations.

Climate Change Summer School

July 14, 2008 to August 01, 2008

Organized By: Chris Jones* (UNC Chapel Hill and U Warwick, UK), Inez Fung (U.C. Berkeley), Eric Kostelich (Arizona State University), K.K. Tung (U. Washington), and Mary Lou Zeeman* (Bowdoin College), Charles D. Camp (Cal Poly, San Luis Obispo), Rachel Kuske (Univ British Columbia)

Supported by the Sea Change Foundation, this three-week summer school incorporated a workshop for graduate students as well as an advanced research workshop. The mini-program was designed to introduce students and postdocs to a set of mathematical ideas and techniques that are highly relevant to climate change research.

1.5.2 2009 Summer Graduate Workshops

Toric Varieties

June 15, 2009 to June 26, 2009

Organized By: David Cox (Amherst College) and Hal Schenck (University of Illinois)

Toric varieties are algebraic varieties defined by combinatorial data, and there is a wonderful interplay between algebra, combinatorics and geometry involved in their study. Many of the key concepts of abstract algebraic geometry (for example, constructing a variety by gluing affine pieces) have very concrete interpretations in the toric case, making toric varieties an ideal tool for introducing students to abstruse concepts.

IAS/PCMI Summer Workshop: The Arithmetic of L-functions

June 28, 2009 to July 18, 2009

Location: IAS/Park City Mathematics Institute, Salt Lake City, UT

Organized By: Cristian Popescu (UCSD), Karl Rubin (UC Irvine), Alice Silverberg (UC Irvine).
An off-site workshop partially funded by MSRI.

1.6 Other Scientific Workshops

Low Dimensional Topology

August 11, 2008 to August 15, 2008

Organized By: Elisenda Grigsby, Rob Schneiderman, Peter Teichner* and Kevin Walker

In recent years, there has been lots of exciting progress in many branches of low-dimensional topology, including Heegard Floer and Khovanov Homology, small 4-Manifolds, TQFT, knot concordance and Lefschetz fibrations. These were the main themes of this workshop whose format was three one-hour lectures every day, two in the morning and one survey lecture in the afternoon (except for Friday). This survey lecture was followed by a panel for experts, led by the afternoon speaker and some other leaders of the field. The panel discussed current developments and open problems and it extended into the late afternoon if so desired by the panelists.

Statistical and Computational Challenges in Next-Generation Sequencing

October 10, 2008

Organized By: Sandrine Dudoit*, Terry Speed, Margaret Taub

For the past decade, microarrays have been the assays of choice for high-throughput studies of gene expression. Recent improvements in the efficiency, quality, and cost of genome-wide sequencing are prompting biologists to rapidly abandon microarrays in favor of so-called next-generation sequencers, e.g., Applied Biosystems' SOLiD, Helicos BioSciences' HeliScope, Illumina's Solexa, and Roche's 454 Life Sciences sequencing systems. These high-throughput

sequencing technologies have already been applied for studying genome-wide transcription levels (mRNA-Seq), transcription factor binding sites (ChIP-Seq), chromatin structure, and DNA methylation status. While sequencing-based gene expression studies have been touted as overcoming longstanding limitations of microarray-based studies, these new biotechnologies raise similar as well as novel statistical and computational challenges. This workshop website is at: <http://www.stat.berkeley.edu/~seqmtg/>

Bay Area Differential Geometry Seminar

December 06, 2008

The Bay Area Differential Geometry Seminar met around 3 times each year and was a 1-day seminar on recent developments in differential geometry and global analysis, broadly interpreted. Typically, it ran from mid-morning until late afternoon, with 3-4 speakers. Box lunches were available for purchase and the final talk was followed by dinner.

International Conference on Cluster Algebras and Related Topics

December 08, 2008 to December 20, 2008

Organized By: Christof Geiss* (UNAM Ciudad Universitaria), Bernhard Keller (Université Paris Diderot - Paris 7), Idun Reiten (Nettstedskart Tilgjengelighet Norges Teknisk-Naturvitenskapelige Universite), Andrei Zelevinsky (Nostheastern University).

Location: Morelia/Mexico City

This was a combination of a conference and workshop on cluster algebras and their relations to geometry, representation theory and combinatorics. The workshop took place in Morelia (a colonial town about 250km west of Mexico-City), December 8-13, 2008 followed by the conference in Mexico-City, December 15-20. The Research in this area developed with amazing speed after the introduction of cluster algebras around 2001 by Sergey Fomin and Andrei Zelevinsky and had attracted a variety of first rate mathematicians throughout the world, for instance Alexander Goncharov, Bernhard Keller, Maxim Kontsevich, Bernard Leclerc, Idun Reiten and Claus Michael Ringel, most of them being ICM speakers. A good way to get an overview of the intense activities related to cluster algebras is Sergey Fomin's cluster algebras portal: <http://www.math.lsa.umich.edu/~fomin/cluster.html>

Algebraic Statistics

December 15, 2008 to December 18, 2008

Organized By: Serkan Hosten (SFSU), Lior Pachter (UCB), Bernd Sturmfels* (UCB)

Algebraic statistics is a maturing discipline focused on the applications of algebraic geometry and its computational tools in the study of statistical models. Initial results in the area were related to specific problems in categorical data analysis and experimental design, however a flurry of activity during the past several years has greatly increased the scope of the subject. Areas of interest now include graphical models, maximum likelihood estimation and Bayesian methods. Moreover, a strong connection has developed to applications in the physical and biological sciences. The field draws its tools not only from computational algebraic geometry but also from tropical, convex, and information geometry. Moreover, research in algebraic statistics has led to new directions in those fields. The workshop was a meeting point for students and leaders in the field. It presented a focused activity parallel to the 2008-2009 program on Algebraic Methods in

Systems Biology and Statistics hosted by the Statistical and Applied Mathematical Sciences Institute.

Economic Games and Mechanisms to Address Climate Change

May 04, 2009 to May 08, 2009

Organized By: Prajit Dutta (Columbia), Roy Radner (NYU), Rene Carmona (Princeton), and David Zetland (UC Berkeley).

Themes: Carbon cap-and-trade and economic consequences; Game theory and self-enforcing treaties; Economic mechanisms and incentive for greenhouse gas emission reductions.

Modern Perspectives in Applied Mathematics

May 18, 2009 to May 22, 2009

Organized By: Andrea L. Bertozzi* (University of California Los Angeles), Panagiotis Souganidis (The University of Chicago), and Eric Vanden-Eijnden (New York University)

Courant Institute of Mathematical Sciences, New York University, New York

Stochastic and multi-scale modeling is becoming a main driving force in many scientific and engineering disciplines, and is among the most exciting areas of scientific research. Indeed, many problems in sciences involve quantifying the behavior of complex systems with a very large number of degrees of freedom. The systems interact on a large span of scales and require to incorporate stochastic effects to account for model errors and/or disturbances from under-resolved scales.

1.7 Educational & Outreach Activities

Mathematics Professional Development Institute (Wu Summer Institute)

July 21, 2008 to August 08, 2008

Organized By: Dr. Hung-Hsi Wu* (UC Berkeley), Kay Kirman and Hana Huang (Miraloma Elementary School, SF), and Dr. Sunil Koswatta (Harper College)

This three-week course in elementary mathematics directly addressed the mathematics needed for teaching Number Sense in K-7 classrooms. It developed whole numbers and fractions from the beginning, with an excursion into some elementary number theory about divisibility properties of whole numbers and the algorithm that yields the HCF of two numbers. Each day began with mathematics lectures by a Berkeley mathematician and concluded with small group sessions guided by MPDI graduates.

Bay Area Circle for Teachers

August 04, 2008 to August 08, 2008

Organized By: Tatiana Shubin* (San Jose State University) and Joshua Zucker (Castilleja School)

The aim of the Circle for Teachers is to equip educators with an effective problem-solving approach to teaching mathematics. This style of learning is based on the math circle environment that has proven to be successful for students around the world. The workshop immersed a group of interested middle and high school math teachers in engaging mathematics and exposed them

to a dynamic style of classroom presentation. Participants come away with a variety of resources, lesson modules, and a renewed sense of appreciation for the fascinating world of mathematics. Teachers were also eligible for continuing education credit, professional development units, or college course credits.

A major theme throughout the workshop was creatively answering the question of how to incorporate a problem-solving approach to math education into the existing curriculum. To this end, leaders supplied participants with handouts or short modules based on the material covered during their sessions. They also worked with teachers to share ideas for enlivening any math class and to develop lesson plans. Focused discussions were held regularly to determine what obstacles exist to incorporating this style of teaching into the present curriculum, what resources would be most helpful to teachers, and other related topics.

For more information about the BACT series, see the Bay Area Circle for Teachers website at <http://bact.mathcircles.org>.

Using Partnerships to Strengthen Elementary Mathematics Teacher Education

December 11, 2008 to December 12, 2008

Organized By: Deborah Ball* (University of Michigan), James Lewis (University of Nebraska), and William McCallum* (University of Arizona)

A core problem – perhaps the central problem – for improving elementary school mathematics is the mathematical education of elementary teachers. The historic isolation of elementary teachers' study of mathematics from their pedagogical preparation is increasingly seen to be both unnatural and ineffective. Indeed, the mathematical education of elementary teachers is inherently interdisciplinary as future teachers seek to gain the mathematical knowledge, the pedagogical knowledge and the knowledge of young students that is needed to become a successful mathematics teacher. Thus, it seems reasonable that an integrative learning approach to mathematical education of elementary teachers could yield substantial benefits.

The Mathematical Association of America Sectional Meeting

February 28, 2009

Organized By: Organized by: Dean Gooch (Santa Rosa Junior College), Tatiana Shubin* (San Jose State University), Robert L. Bryant (MSRI), Steve Chiappari and Frank Farris (Santa Clara University) and Ed Keppelmann (University of Nevada Reno)

As one of the MAAs most entertaining sections this meeting was no exception. All the presentations had plenty of rich mathematics accessible to students but equally engaging for seasoned veterans. The featured speakers were Robert Bryant (The idea of Holonomy), David Bressoud - MAA President Elect (The Story of the Alternating Sign Matrix Conjecture), Frank Farris - Editor Mathematics Magazine (A window to the 5th dimension), Kevin McCurley - Google Research (Information Modeling with Graphs), and Helene Barcelo - MSRI (Subspace Arrangements from a Combinatorial point of view). There also was a student poster session, a luncheon, and plenty of time for catching up with old friends and colleagues.

Great Circles 2009

April 16, 2009 to April 17, 2009

Organized By: Matthias Beck* (San Francisco State University), Amanda Sereney (Executive Director of the Riverbed Community Math Center), Sam Vandervelde (St. Lawrence University), and Kathy O'Hara (MSRI)

This conference brought together experienced math circle directors and professional mathematicians along with secondary school teachers and students, with the three-fold goal of inspiring and equipping individuals to begin math circles in their communities, passing along successful math circle presentations and best practices in math circle administration, and renewing and strengthening ties among members of the existing math circle network.

Critical Issues in Mathematics Education: Teaching Undergraduates Mathematics

Funded by NSF Supplemental Grant DMS-0937701

May 11, 2009 to May 13, 2009

Organized By: William McCallum* (The University of Arizona), Deborah Loewenberg Ball* (University of Michigan), Rikki Blair (Lakeland Community College, Ohio), David Bressoud (Macalester College), Amy Cohen-Corwin (Rutgers University), Don Goldberg (El Camino College), Jim Lewis (University of Nebraska), Robert Megginson (University of Michigan), Bob Moses (The Algebra Project), James Donaldson (Howard University)

The sixth Critical Issues in Math Education workshop took place at MSRI May 11-13, 2009. Its title was *Teaching Undergraduates Mathematics*. Mathematicians in all collegiate institutions, from community college faculty to those who work in research-intensive doctoral institutions, share the common mission of teaching mathematics to undergraduate students, and the common problem that the transitions from high school to college and from a 2-year to a 4-year college are extremely difficult for many students. How successfully they accomplish this part of their mission has a major impact on how they are viewed by their administration and how well mathematics is supported at their institutions.

The audience for the workshop included mathematicians, mathematics educators, classroom teachers, and education researchers who are concerned with improving the teaching and learning of mathematics in our undergraduate classrooms. The workshop showcased courses, programs, and materials whose goal is to increase students' knowledge of mathematics, with an emphasis on those that show promise of being broadly replicable. This workshop addressed the major challenges of undergraduate mathematics education. Among the issues discussed at the workshop are: What research is available on how undergraduates learn; the transition from high school to college mathematics; curriculum design and assessment; and the role of research opportunities in building excitement about math. The workshop showcased examples of ideas that people implemented and documented extensively, such as Inquiry-Based Learning for Differential Equations and the Mathematical Knowledge for Teaching (Natasha Speer, Joe Wagner), Interactive Engagement in mathematics classrooms (Wade Ellis), Applied Mathematics Project and the Transition Math Project (Patrick Averbek).

1.8 Programs Consultant List

| Consultant Name(s) | Consultant Disciplinary Specialty | Consultant Employer | Activity Title |
|--|-----------------------------------|---|--|
| Deborah Ball | Education | University of Michigan | Educational workshops |
| David Bao | Differential geometry | San Francisco State University | Differential geometry seminar |
| Mathias Beck | Discrete geometry | San Francisco State University | Bay Area Circle for Teachers |
| Edward Frenkel | Langlands program | University of California, Berkeley | Future program |
| Inez Fung | Climate change | University of California, Berkeley | Climate Change: Summer School & Economic Games and Mechanisms to Address Climate Change |
| Jacob Furie | Algebraic topology | Harvard University | Future program |
| Philip Griffith | Algebraic geometry | Institute for Advanced Study | Future program |
| Joel Haas | Differential geometry | University of California, Davis | Differential geometry seminar |
| Chris Jones | Climate change | University of North Carolina at Chapel Hill | Climate change: Summer School |
| Moris Kalka | Differential geometry | Tulane University | Summer Graduate Workshops |
| Rob Kirby | Topology | University of California, Berkeley | Open Access Journals |
| William Macallum | Education | University of Arizona | Educational workshops |
| Rafe Mazzeo | Differential geometry | Stanford University | Differential geometry seminar |
| Donald McClure | Image processing | Brown University | AMS Open Access |
| Robert Megginson | Analysis on math | University of Michigan | MSRI - UP |
| Juan Meza | Computational mathematics | Lawrence Berkeley National Laboratory | MSRI - UP |
| Richard Montgomery | Differential geometry | University of California, Santa Cruz | Differential geometry seminar |
| Assaf Naor | Probability | New York University | Quantative Geometry |
| Douglas Nychka | Climate change | National Center for Atmospheric Research | Climate Change: Summer School & Economic Games and Mechanisms to Address Climate Change |
| Jim Pitman | Statistics | University of California, Berkeley | Vmath |
| Bjorn Poonen | Model theory | Technology | Future program |
| Peter Sarnak | Number theory | University of Princeton | Future program |
| Mark Saul | Education | Education Development Center | Great Circles 2009 |
| Tatiana Shubin | Number theory | San Jose State University | Bay Area Circle for Teachers |
| Michael Singer | Differential algebra | North Carolina State University | Summer Graduate Workshops |
| Ted Slaman | Logic | University of California, Berkeley | Future program |
| Zvesda Stankova | Algebraic geometry | Mill College | Bay Area Circle for Teachers |
| Bernd Sturmfels | Algebraic statistics | University of California, Berkeley | Algebraic Statistics |
| Sam Vandervelde | Number theory | St. Lawrence University | Great Circles 2009 |
| Cedric Villani | Optimal transport | École Normale Supérieure de Lyon | Mass Transport |
| Mary Lou Zeeman | Climate change | Bowdoin College | Toric Varieties |
| David Zetland | Climate change | University of California, Berkeley | Climate Change: Summer School |
| Educational Advisory Committee (EAC) | | | Teaching Undergraduates Mathematics Mathematics Teacher Education |
| Human Resources Advisory Committee (HRAC) | | | Math Institutes Modern Mathematics Workshop Mathematics: a National Forum MSRI - UP |
| Scientific Advisory Committee (SAC) & HRAC | | | Analysis on Singular Spaces Algebraic Geometry Ergodic Theory and Additive Combinatorics |

2. Programs and Workshops Participation

2.1 Program Participant List

(More detailed information can be found in the email attachment)

| Family Name | First Name | Home Institution Name | Position | Program |
|------------------|------------|---|---------------------------|---------|
| Aastrup | Johannes | Universitat Munster | Research Member | AOSS |
| Abramovich | Dan | Brown University | Research Professor | AG |
| Albin | Pierre | Massachusetts Institute of Technology | Research Member | AOSS |
| Aldana Dominguez | Clara | Universität Bonn | Research Member | AOSS |
| Alexandrova | Ivana | East Carolina University | Research Member | AOSS |
| Alexeev | Valery | University of Georgia | Research Professor | AG |
| Allahbakhshi | Mahnaz | University of Victoria | Program Associate | ET&AC |
| Alper | Jarod | Columbia University | Postdoc | AG |
| Altmann | Klaus | Freie Universität Berlin | Research Member | AG |
| Aluffi | Paolo | Florida State University | Research Member | AG |
| Anderson | David | University of Michigan | Program Associate | AG |
| Andreatta | Marco | Università di Trento | Research Member | AG |
| Araujo | Carolina | Institute of Pure and Applied Mathematics (IMPA) | Postdoc | AG |
| Asok | Aravind | University of California | Postdoc | AG |
| Austin | Tim | University of California | Program Associate | ET&AC |
| Bahuaud | Eric | Universite Montpellier 2 (France) | Postdoc | AOSS |
| Baskin | Dean | Stanford University | Program Associate | AOSS |
| Bauer-Catanese | Ingrid | Universitaet Bayreuth | Research Member | AG |
| Bayer | Arend | University of Utah | Postdoc | AG |
| Beauville | Arnaud | Université de Nice Sophia Antipolis | Research Professor | AG |
| Beheshti Zavareh | Roya | Washington University | Postdoc | AG |
| Behrend | Kai | University of British Columbia | Research Member | AG |
| Beiglböck | Mathias | Vienna University of Technology | Research Member | ET&AC |
| Bergelson | Vitaly | Ohio State University | Simons Visiting Professor | ET&AC |
| Bertram | Aaron | University of Utah | Research Member | AG |
| Borrelli | Giuseppe | Universidade Federal de Pernambuco | Research Member | AG |
| Borthwick | David | Emory University | Research Member | AOSS |
| Boshernitzan | Michael | Rice University | Research Professor | ET&AC |
| Bouclet | Jean-Marc | Université de Lille I (Sciences et Techniques de Lille Flandres Artois) | Research Member | AOSS |
| Brannetti | Silvia | Terza Università di Roma | Program Associate | AG |
| Breuillard | Emmanue | École Polytechnique | Research Member | ET&AC |
| Bridgeland | Tom | University of Sheffield | Research Professor | AG |

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|------------------|-----------|--|--------------------|-------|
| Bruning | Jochen | Universität Humboldt | Research Member | AOSS |
| Bryan | Jim | University of British Columbia | Research Member | AG |
| Buch | Anders | Rutgers University | Research Member | AG |
| Campana | Frederic | Université de Nancy I (Henri Poincaré) | Research Professor | AG |
| Campbell | James | University of Memphis | Research Member | ET&AC |
| Caporaso | Lucia | Universita Roma TRE | Research Professor | AG |
| Carron | Gilles | University of Nantes | Organizer | AOSS |
| Casagrande | Cinzia | Università di Pavia | Guest | AG |
| Casalaina-Martin | Sebastian | University of Colorado | Postdoc | AG |
| Castravet | Ana-Maria | University of Arizona | Research Member | AG |
| Catanese | Fabrizio | Universität Bayreuth | Research Professor | AG |
| Cautis | Sabin | Rice University | Postdoc | AG |
| Cavalieri | Renzo | Colorado State University | Research Member | AG |
| Chen | Qile | Brown University | Program Associate | AG |
| Chen | Dawei | University of Illinois | Postdoc | AG |
| Chen | Linda | Swarthmore College | Research Member | AG |
| Christiansen | Tanya | University of Missouri, Columbia | Research Professor | AOSS |
| Christianson | Hans | Massachusetts Institute of Technology | Postdoc | AOSS |
| Chu | Qing | Universite Paris-Est Marne-La-Vallee | Program Associate | ET&AC |
| Coronel | Alvaro | Universidad de Chile | Program Associate | ET&AC |
| Corti | Alessio | Imperial College, London | Research Professor | AG |
| Coskun | Izzet | University of Illinois | Research Member | AG |
| Craw | Alastair | University of Glasgow | Research Member | AG |
| de Fernex | Tommaso | University of Utah | Research Member | AG |
| Debarre | Olivier | École Normale Supérieure | Research Professor | AG |
| Degeratu | Anda | Max Planck Institute for Gravitational Physics | Research Member | AOSS |
| Dencker | Nils | University of Lund | Research Member | AOSS |
| Derenthal | Ulrich | University of Zurich | Research Member | AG |
| Derriennic | Yves | Universite de Bretagne Occidentale | Research Member | ET&AC |
| Di Rocco | Sandra | K.T.H. | Research Member | AG |
| Doran | Brent | University of Oxford | Research Member | AG |
| Dryden | Emily | Bucknell University | Postdoc | AOSS |
| Dundon | Ariana | University of Washington | Program Associate | AG |
| Easton | Robert | University of Utah | Postdoc | AG |
| Edidin | Dan | University of Missouri, Columbia | Research Member | AG |
| Ein | Lawrence | University of Illinois | Research Professor | AG |
| Einsiedler | Manfred | Ohio State University | Research Member | ET&AC |
| Eisenbud | David | University of California | Research Professor | AG |
| Ellenberg | Jordan | University of Wisconsin | Research Member | AG |
| Esnault | Helene | Universität Duisburg-Essen | Research Professor | AG |
| Faber | Carel | Royal Institute of Technology (KTH) | Research Member | AG |

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|----------------|-------------|--|--------------------|-------|
| Fantechi | Barbara | International School for Advanced Studies (SISSA/ISAS) | Research Professor | AG |
| Farkas | Gavril | Humboldt-Universität | Research Member | AG |
| Farsi | Carla | University of Colorado, Boulder | Research Member | AOSS |
| Ferenczi | Sebastien | Centre National de la Recherche Scientifique (CNRS) | Research Member | ET&AC |
| Finkel | Dan | University of Washington | Program Associate | AG |
| Fish | Alexander | Ohio State University | Postdoc | ET&AC |
| Foreman | Matthew | UC Irvine | Research Professor | ET&AC |
| Francsics | Gabor | Michigan State University | Research Member | AOSS |
| Frantzikinakis | Nikos | University of Memphis | Research Member | ET&AC |
| Freiman | Gregory | Tel Aviv University | Research Member | ET&AC |
| Fujino | Osamu | Kyoto University | Research Member | AG |
| Fulton | William | University of Michigan | Organizer | AG |
| Furstenberg | Hillel | Hebrew University | Research Professor | ET&AC |
| Gaffney | Terence | Northeastern University | Research Member | AOSS |
| Gamburd | Alexander | Northwestern University | Research Member | ET&AC |
| Gell-Redman | Jesse | Stanford University | Program Associate | AOSS |
| Giansiracusa | Noah | Brown University | Program Associate | AG |
| Gibney | Angela | University of Georgia | Research Member | AG |
| Gill | Nick | University of Bristol | Research Member | ET&AC |
| Gimperlein | Heiko | Leibniz Universitaet Hannover | Research Member | AOSS |
| Glasner | Moshe (Eli) | Tel Aviv University | Research Professor | ET&AC |
| Goettsche | Lothar | Abdus Salam International Centre for Theoretical Physics | Research Professor | AG |
| Gowers | Timothy | Cambridge University | Research Professor | ET&AC |
| Graham | William | University of Georgia | Research Member | AG |
| Grandjean | Vincent | University of Bath | Research Member | AOSS |
| Grassi | Antonella | University of Pennsylvania | Research Member | AG |
| Greb | Daniel | Albert-Ludwigs-Universitaet Mathematisches Institut | Postdoc | AG |
| Green | Ben | University of Cambridge | Organizer | ET&AC |
| Grieser | Daniel | Carl von Ossietzky Universitaet Oldenburg | Research Professor | AOSS |
| Griffiths | Phillip | Institute for Advanced Study | Research Professor | AG |
| Grubb | Gerd | Copenhagen University | Research Professor | AOSS |
| Grushevsky | Samuel | Princeton University | Research Member | AG |
| Guillarmou | Colin | Centre National de la Recherche Scient | Research Member | AOSS |
| Gyarmati | Kati | Eötvös Loránd University (ELTE) | Research Member | ET&AC |
| Hacking | Paul | University of Washington | Research Member | AG |
| Hacon | Christopher | University of Utah | Research Professor | AG |
| Hain | Richard | Duke University | Research Member | AG |
| Hall | Jack | Stanford University | Program Associate | AG |
| Harbater | David | University of Pennsylvania | Research Member | AG |

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|------------|-------------|--|---------------------------|-------|
| Harris | Joseph | Harvard University | Organizer | AG |
| Haskins | Mark | Imperial College, London | Research Member | AOSS |
| Hassett | Brendan | Rice University | Organizer | AG |
| Heier | Gordon | University of California | Research Member | AG |
| Helfgott | Harald | University of Bristol | Postdoc/ RM | ET&AC |
| Hering | Milena | University of Utah | Research Member | AG |
| Hillairet | Luc | Université de Nantes | Research Member | AOSS |
| Hillar | Christopher | Texas A & M University | Postdoc/ RM | CP |
| Hoang | Le Thai | University of California | Program Associate | ET&AC |
| Hochman | Michael | Princeton University | Postdoc | ET&AC |
| Hogadi | Amit | Tata Institute of Fundamental Research | Postdoc | AG |
| Holm | Tara | Cornell University | Research Member | AG |
| Host | Bernard | Université de Marne-la-Vallée | Simons Visiting Professor | ET&AC |
| Hulek | Klaus | Leibniz Universität Hannover | Research Member | AG |
| Hunsicker | Eugenie | Lawrence University | Organizer | AOSS |
| Ishii | Shihoko | Tokyo Institute of Technology | Research Member | AG |
| Izadi | Elham | University of Georgia | Research Member | AG |
| Jabbusch | Kelly | Albert-Ludwigs-Universität Freiburg | Research Member | AG |
| Jeffres | Thalia | Wichita State University | Research Member | AOSS |
| Johnsen | Trygve | University of Tromso | Research Member | AG |
| Johnson | Jennifer | Princeton University | Research Member | AG |
| Johnson | Michael | Swarthmore College | Research Member | ET&AC |
| Kaloghiros | Anne-Sophie | University of Cambridge | Postdoc | AG |
| Katz | Sheldon | University of Illinois, Urbana-Champaign | Research Member | AG |
| Katzarkov | Ludmil | University of Miami | Research Member | AG |
| Katznelson | Yitzhak | Stanford University | Research Member | ET&AC |
| Kaufmann | Ralph | Purdue University | Research Member | AG |
| Kawamata | Yujiro | University of Tokyo | Research Professor | AG |
| Kebekus | Stefan | Albert-Ludwigs-Universität Freiburg | Research Member | AG |
| Kim | Bumsig | Korea Advanced Institute of Science and Technology (KAIST) | Research Member | AG |
| Kirson | Antonio | University of Washington | Program Associate | AG |
| Kollár | János | Princeton University | Organizer | AG |
| Kopp | Travis | University of Washington | Program Associate | AG |
| Kottke | Christopher | Massachusetts Institute of Technology | Program Associate | AOSS |
| Kovács | Sándor | University of Washington | Organizer | AG |
| Kra | Bryna | Northwestern University | Organizer | ET&AC |
| Kra | Irwin | Math for America | Research Professor | CP |
| Krainer | Thomas | Penn State Altoona | Research Member | AOSS |
| Krupchyk | Katsiaryna | University of Helsinki | Research Member | AOSS |
| Kurlberg | Par | Royal Institute of Technology (KTH) | Research Member | ET&AC |
| Langer | Adrian | University of Warsaw | Research Member | AG |

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|--------------|--------------|---|---------------------------|-------|
| Laza | Radu | University of Michigan | Postdoc | AG |
| Lazarsfeld | Robert | University of Michigan | Organizer | AG |
| Lebeau | Giles | Universite de Nice Sophia-Antipolis | Research Member | AOSS |
| Lee | Yongnam | Sogang University | Research Member | AG |
| Lee | Yuan-Pin | University of Utah | Research Member | AG |
| Lemanczyk | Mariusz | Nicholas Copernicus University of Torun | Simons Visiting Professor | ET&AC |
| Lesigne | Emmanuel | Université François Rabelais | Organizer | ET&AC |
| Li | Hui | University of Luxembourg | Research Member | AOSS |
| Lieblich | Max | Princeton University | Research Member | AG |
| Lind | Douglas | University of Washington | Research Member | ET&AC |
| Lipman | Joseph | Purdue University | Research Professor | AG |
| Loya | Paul | SUNY Binghamton | Research Professor | AOSS |
| Maass | Alejandro | University of Chile | Research Professor | ET&AC |
| Maclagan | Diane | University of Warwick | Research Member | AG |
| Magyar | Akos | University of Georgia | Research Member | ET&AC |
| Marcolli | Matilde | California Institute of Technology | Research Professor | CP |
| Marcus | Steffen | Brown University | Program Associate | AG |
| Marian | Alina | University of Illinois | Research Member | AG |
| Matthiesen | Lilian | University of Cambridge | Program Associate | ET&AC |
| Matusevich | Laura | Texas A&M University | Research Member | AG |
| Mauduit | Christian | Université d'Aix-Marseille II (Université de la Méditerranée) | Research Member | ET&AC |
| Maulik | Davesh | Massachusetts Institute of Technology | Research Member | AG |
| Mazzeo | Rafe | Stanford University | Research Member | AOSS |
| Mazzucato | Anna | Pennsylvania State University | Research Member | AOSS |
| McClendon | David | Northwestern University | Postdoc/ RM | ET&AC |
| McCutcheon | Randall | University of Memphis | Research Member | ET&AC |
| McDonald | Patrick | New College of the University of South Florida | Research Member | AOSS |
| McKernan | James | Massachusetts Institute of Technology | Eisenbud Professor | AG |
| McKinnie | Kelly | Rice University | Research Member | AG |
| Mella | Massimiliano | Università di Ferrara | Research Member | AG |
| Melo | Margarida | Terza Università di Roma | Program Associate | AG |
| Melrose | Richard | MIT - Massachusetts Institute of Technology | Organizer | AOSS |
| Mendes Lopes | Margarida | Instituto Superior Técnico | Research Member | AG |
| Mezzetti | Emilia | University of Trieste | Guest | AG |
| Miró-Roig | Rosa M. | University of Barcelona | Research Member | AG |
| Mori | Shigefumi | Kyoto University | Research Professor | AG |
| Morrison | David | University of California | Research Member | AG |
| Morrison | Ian | Fordham University | Research Member | AG |
| Mukai | Shigeru | Kyoto University | Research Professor | AG |
| Muller | Jorn | University of Bonn | Postdoc | AOSS |

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|---------------|------------|--|--------------------|-------|
| Müller | Werner | Universität Bonn | Research Professor | AOSS |
| Mustata | Mircea | University of Michigan | Research Member | AG |
| Neale | Vicky | University of Cambridge | Program Associate | ET&AC |
| Olsson | Martin | University of California | Research Professor | AG |
| Oprea | Dragos | University of California, San Diego | Postdoc | AG |
| Osserman | Brian | University of California | Research Member | AG |
| Ottaviani | Giorgio | Università di Firenze | Research Member | AG |
| Pandharipande | Rahul | Princeton University | Research Professor | AG |
| Pardini | Rita | Università di Pisa | Research Member | AG |
| Pareschi | Giuseppe | Università di Roma, Tor Vergata | Research Member | AG |
| Patakfalvi | Zsolt | University of Washington | Program Associate | AG |
| Payne | Sam | Stanford University / Clay Mathematics Institute | Research Member | AG |
| Perry | Peter | University of Kentucky | Research Member | AOSS |
| Pete | Gabor | University of Toronto | Postdoc | ET&AC |
| Peternell | Thomas | Universität Bayreuth | Research Member | AG |
| Piazza | Paolo | Università di Roma "La Sapienza" | Research Member | AOSS |
| Piene | Ragni | University of Oslo | Research Member | AG |
| Planchon | Fabrice | Université Paris 13 | Research Member | AOSS |
| Ponge | Raphael | University of Toronto | Research Member | AOSS |
| Popa | Mihnea | University of Illinois | Research Member | AG |
| Potts | Amanda | Northwestern University | Program Associate | ET&AC |
| Proudfoot | Nicholas | University of Oregon | Research Member | AG |
| Qian | Randy | Northwestern University | Program Associate | AOSS |
| Quas | Anthony | University of Victoria | Organizer | ET&AC |
| Reid | Miles | University of Warwick | Research Professor | AG |
| Rochon | Frederic | University of Toronto | Postdoc | AOSS |
| Rojas | J. Maurice | Texas A&M University | Research Member | AG |
| Rosenblatt | Joseph | University of Illinois, Urbana-Champaign | Research Member | ET&AC |
| Ross | Julius | University of Cambridge | Research Member | AG |
| Ross | Kiana | University of Washington | Program Associate | AG |
| Rowlett | Julie | University of California | Research Member | AOSS |
| Roy | Emmanuel | Institut Galilée | Postdoc/ RM | ET&AC |
| Rydh | David | University of California | Research Member | AG |
| Sá Barreto | Antônio | Purdue University | Research Member | AOSS |
| Saez Trumper | Mariel | Pontificia Universidad Católica de Chile | Research Member | AOSS |
| Sanders | Tom | Cambridge University | Postdoc | ET&AC |
| Sandoval | Mary | Trinity College | Research Member | AOSS |
| Santoro | Bianca | Duke University | Research Member | AOSS |
| Sawon | Justin | Colorado State University | Research Member | AG |
| Schmeling | Joerg | University of Lund, Sweden | Research Member | ET&AC |
| Schmidt | Klaus | University of Vienna | Research Professor | ET&AC |
| Schraudner | Michael | Universidad de Chile | Research Member | ET&AC |
| Schrohe | Elmar | Leibniz Universität Hannover | Research Member | AOSS |
| Schumacher | Georg | Philipps-Universität Marburg | Research Member | AG |

| | | | | |
|-------------|------------------|--|--------------------|-------|
| Schwede | Karl | University of Michigan | Research Member | AG |
| Severs | Christopher | Arizona State University | Program Associate | CP |
| Shkredov | Ilya Dmitrievich | Moscow State University | Postdoc | ET&AC |
| Shmerkin | Pablo | University of Jyväskylä | Postdoc/ RM | ET&AC |
| Singer | Michael | University of Edinburgh | Research Member | AOSS |
| Sisask | Olof | University of Cambridge | Program Associate | ET&AC |
| Skjelnes | Roy | Royal Institute of Technology (KTH) | Research Member | AG |
| Smith | Gregory | Queen's University | Research Member | AG |
| Smorodinsky | Meir | Tel-Aviv University | Research Member | ET&AC |
| Smyth | David | Harvard University | Postdoc | AG |
| Spencer | Craig | Institute for Advanced Study | Postdoc | ET&AC |
| Srinivas | Vasudevan | Tata Institute of Fundamental Research | Research Member | AG |
| Stanhope | Elizabeth | Lewis and Clark College | Research Member | AOSS |
| Stefanov | Plamen | Purdue University | Research Member | AOSS |
| Stillman | Mike | Cornell University | Research Member | AG |
| Strohmaier | Alexander | Loughborough University | Research Member | AOSS |
| Szemerédi | Endre | Rutgers University, New Brunswick | Eisenbud Professor | ET&AC |
| Szendroi | Balazs | University of Oxford | Research Member | AG |
| Takagi | Shunsuke | Kyushu University | Research Member | AG |
| Talpo | Mattia | Scuola Normale Superiore | Program Associate | AG |
| Tamvakis | Harry | University of Maryland | Research Member | AG |
| Tao | Terence | University of California | Research Professor | ET&AC |
| Tataru | Daniel | University of California | Research Professor | AOSS |
| Taylor | Michael | University of North Carolina, Chapel Hill | Organizer | AOSS |
| Teicher | Mina | Bar-Ilan University | Research Member | AG |
| Tevelev | Jenia | University of Massachusetts | Research Member | AG |
| Thomas | Richard | Imperial College London | Research Professor | AG |
| Thouvenot | Jean-Paul | Université de Paris VI (Pierre et Marie Curie) | Research Member | ET&AC |
| Todorov | Gueorgui | Princeton University | Postdoc | AG |
| Tommasi | Orsola | Universität Hannover | Research Member | AG |
| Totaro | Burt | University of Cambridge | Research Professor | AG |
| Towsner | Henry | University of California | Postdoc | ET&AC |
| Trotman | David | University of Provence | Research Member | AOSS |
| Tseng | Hsian-Hua | University of Wisconsin-Madison | Postdoc | AG |
| Tucker | Kevin | University of Michigan | Program Associate | AG |
| Tziolas | Nikolaos | University of Cyprus | Research Member | AG |
| Ulfarsson | Henning | Brown University | Program Associate | AG |
| Usnich | Alexandr | Universität Zürich | Postdoc | AG |
| Vakil | Ravi | Stanford University | Organizer | AG |
| Varghese | Mathai | University of Adelaide | Research Member | AOSS |
| Vasy | András | Stanford University | Organizer | AOSS |
| Viehweg | Eckart | Universität Duisburg-Essen | Research Professor | AG |
| Vistoli | Angelo | Scuola Normale Superiore | Research Professor | AG |

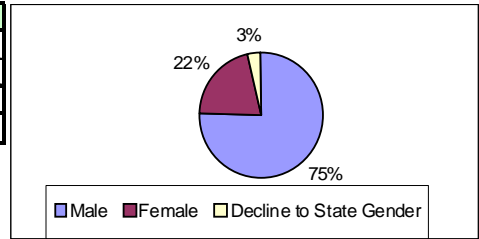
| | | | | |
|------------|----------|---|---------------------------|-------|
| Voisin | Claire | Centre National de la Recherche Scientifique (CNRS) | Research Professor | AG |
| Wahl | Jonathan | University of North Carolina | Research Member | AG |
| Wang | Fang | Massachusetts Institute of Technology | Program Associate | AOSS |
| Weiss | Benjamin | Hebrew University | Simons Visiting Professor | ET&AC |
| Wickelgren | Kirsten | Stanford University | Program Associate | AG |
| Wierdl | Mate | University of Memphis | Organizer | ET&AC |
| Wisniewski | Jaroslav | Uniwersytet Warszawski | Research Member | AG |
| Wolf | Julia | Rutgers University | Postdoc | ET&AC |
| Wunsch | Jared | Northwestern University | Organizer | AOSS |
| Xu | Chenyang | Massachusetts Institute of Technology | Postdoc | AG |
| Xu | Feng | Duke University | Postdoc | CP |
| Ziegler | Tamar | Israel Institute of Technology | Research Member | ET&AC |
| Zworski | Maciej | UC Berkeley | Organizer | AOSS |

2.2 Program Participant Summary

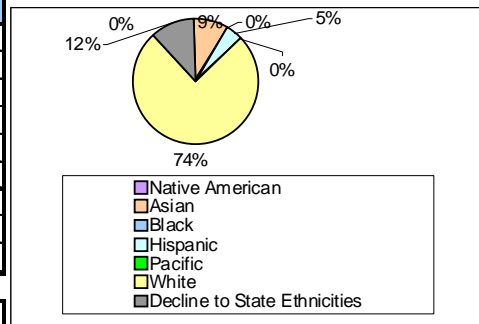
| Program | # of Participants | # of Citizens & Per Res | % Citizen & Per Res | # of Female | % Female | Decline to state Gender | # of Minorities | Decline |
|--|-------------------|-------------------------|---------------------|-------------|----------|-------------------------|-----------------|---------|
| Algebraic Geometry | 161 | 78 | 48% | 36 | 22% | 8 | 3 | 15 |
| Analysis on Singular Spaces | 64 | 33 | 52% | 17 | 27% | 2 | 4 | 7 |
| Complementary Program 08/09 | 5 | 4 | 80% | 1 | 20% | 0 | 1 | 1 |
| Ergodic Theory and Additive Combinatorics | 63 | 24 | 38% | 9 | 14% | 0 | 0 | 7 |

2.3 Program Participant Demographic Data

| Gender | No. | % (No Decl.)* | % |
|------------------------------|-----|---------------|--------|
| No. of Distinct Participants | 293 | | 100.0% |
| Male | 220 | 77.74% | 75.1% |
| Female | 63 | 22.26% | 21.5% |
| Decline to State Gender | 10 | | 3.4% |

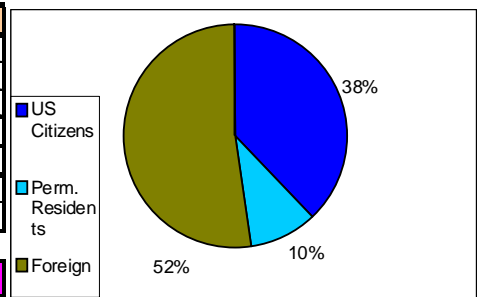


| Ethnicities | No. | % (No Decl.)* | % |
|------------------------------------|-----|---------------|--------|
| Native American | 0 | 0.00% | 0.0% |
| Asian | 22 | 9.65% | 7.5% |
| Black | 0 | 0.00% | 0.0% |
| Hispanic | 12 | 5.26% | 4.1% |
| Pacific | 0 | 0.00% | 0.0% |
| White | 194 | 85.09% | 66.2% |
| Decline to State Ethnicities | 30 | | 10.2% |
| Unavailable Information | 35 | | 11.9% |
| Total no. of Distinct Participants | 293 | | 100.0% |



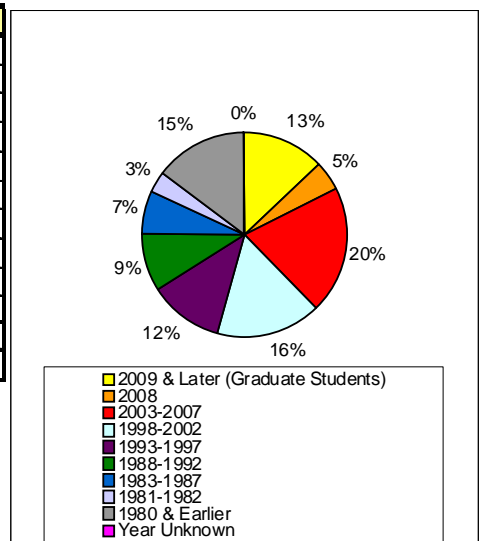
| | | | |
|------------|----|-------|------|
| Minorities | 12 | 5.26% | 4.1% |
|------------|----|-------|------|

| Citizenships | No. | % |
|------------------------------------|-----|--------|
| US Citizens & Perm. Residents | 139 | 47.4% |
| Foreign | 154 | 52.6% |
| Unavailable Information | 0 | 0.0% |
| Total no. of Distinct Participants | 293 | 100.0% |
| US Citizens | 111 | 37.9% |
| Perm. Residents | 28 | 9.6% |



| | | |
|------------------|-----|--------|
| Home Inst. in US | 155 | 52.90% |
|------------------|-----|--------|

| Year of Ph.D | No. | % |
|------------------------------------|-----|--------|
| 2009 & Later (Graduate Students) | 38 | 13.0% |
| 2008 | 14 | 4.8% |
| 2003-2007 | 59 | 20.1% |
| 1998-2002 | 48 | 16.4% |
| 1993-1997 | 34 | 11.6% |
| 1988-1992 | 27 | 9.2% |
| 1983-1987 | 20 | 6.8% |
| 1981-1982 | 10 | 3.4% |
| 1980 & Earlier | 43 | 14.7% |
| Year Unknown | 0 | 0.0% |
| Unavailable Information | 0 | 0.0% |
| Total no. of Distinct Participants | 293 | 100.0% |



*Statistic Calculation based on all participants that did not decline.

4 Programs for 2008-09

Analysis On Singular Spaces

Algebraic Geometry

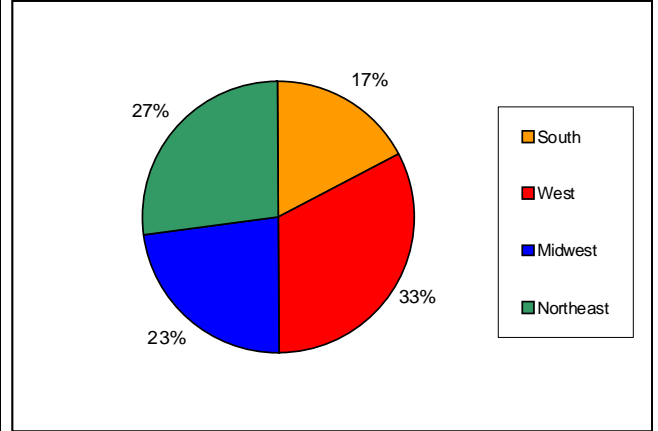
Ergodic Theory and Additive Combinatorics

Complementary Program

Home Institution Classified by States

**Regions based on US Census classification*

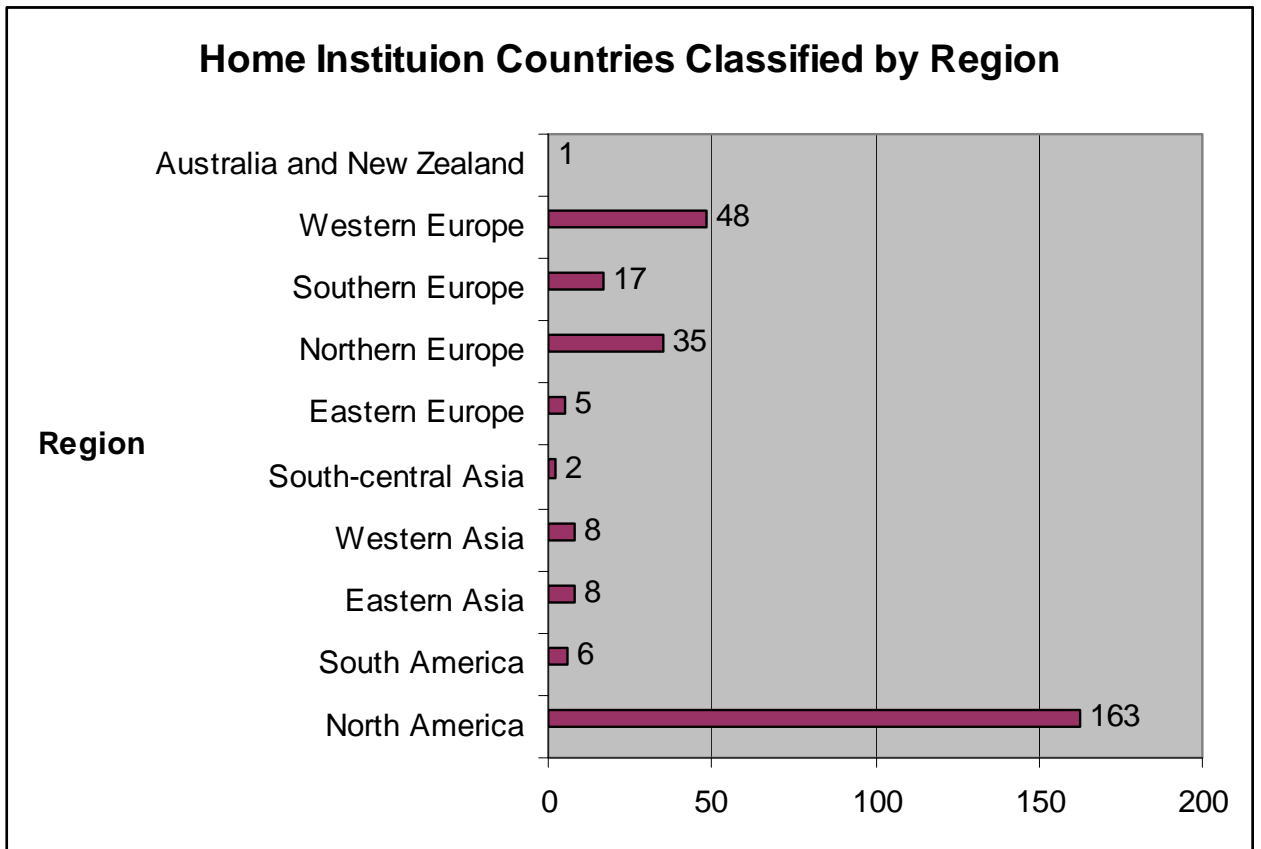
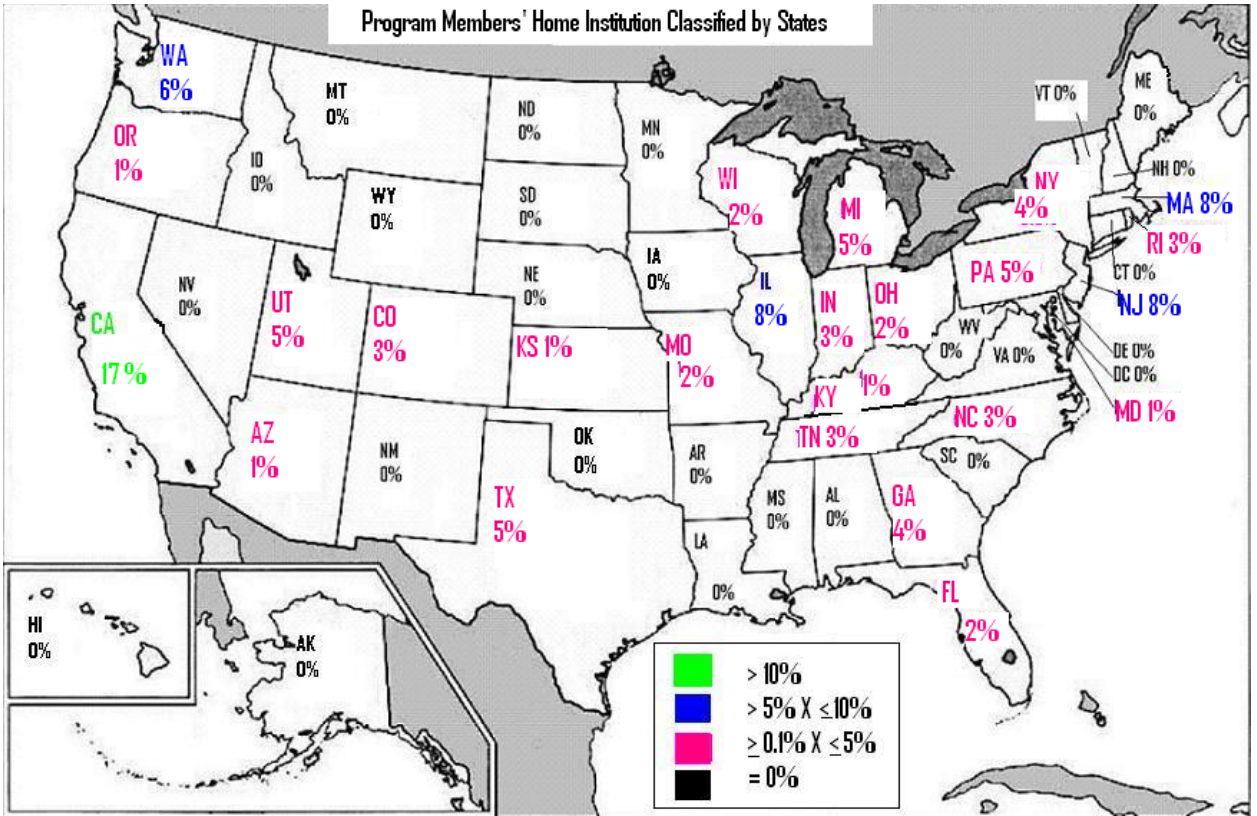
| State | # | % | US Pop. (2007 Census) |
|------------------|------------|---------------|-----------------------|
| South | 27 | 17.4% | 36.6% |
| AL | - | 0.0% | 1.5% |
| AR | - | 0.0% | 0.9% |
| DE | - | 0.0% | 0.3% |
| DC | - | 0.0% | 0.2% |
| FL | 3 | 1.9% | 6.1% |
| GA | 6 | 3.9% | 3.2% |
| KY | 1 | 0.6% | 1.4% |
| LA | - | 0.0% | 1.4% |
| MD | 1 | 0.6% | 1.9% |
| MS | - | 0.0% | 1.0% |
| NC | 5 | 3.2% | 3.0% |
| OK | - | 0.0% | 1.2% |
| SC | - | 0.0% | 1.5% |
| TN | 4 | 2.6% | 2.0% |
| TX | 7 | 4.5% | 7.9% |
| VA | - | 0.0% | 2.6% |
| WV | - | 0.0% | 0.6% |
| West | 50 | 32.3% | 23.2% |
| AK | - | 0.0% | 0.2% |
| AZ | 2 | 1.3% | 2.1% |
| HI | - | 0.0% | 0.4% |
| ID | - | 0.0% | 0.5% |
| MT | - | 0.0% | 0.3% |
| CA | 26 | 16.8% | 12.1% |
| CO | 4 | 2.6% | 1.6% |
| NV | - | 0.0% | 0.9% |
| NM | - | 0.0% | 0.7% |
| OR | 2 | 1.3% | 1.2% |
| UT | 7 | 4.5% | 0.9% |
| WA | 9 | 5.8% | 2.1% |
| WY | - | 0.0% | 0.2% |
| Midwest | 36 | 23.2% | 22.0% |
| IL | 13 | 8.4% | 4.3% |
| IN | 5 | 3.2% | 2.1% |
| IA | - | 0.0% | 1.0% |
| KS | 1 | 0.6% | 0.9% |
| MI | 8 | 5.2% | 3.3% |
| MN | - | 0.0% | 1.7% |
| MO | 3 | 1.9% | 1.9% |
| ND | - | 0.0% | 0.2% |
| NE | - | 0.0% | 0.6% |
| OH | 3 | 1.9% | 3.8% |
| SD | - | 0.0% | 0.3% |
| WI | 3 | 1.9% | 1.9% |
| Northeast | 42 | 27.1% | 18.1% |
| CT | - | 0.0% | 1.2% |
| ME | - | 0.0% | 0.4% |
| MA | 12 | 7.7% | 2.1% |
| NH | - | 0.0% | 0.4% |
| NJ | 12 | 7.7% | 2.9% |
| NY | 6 | 3.9% | 6.4% |
| PA | 7 | 4.5% | 4.1% |
| RI | 5 | 3.2% | 0.4% |
| VT | - | 0.0% | 0.2% |
| Other | - | 0.0% | 0% |
| PR | - | 0.0% | 0% |
| Other | - | 0.0% | 0% |
| Total | 155 | 100.0% | 100.0% |



Home Institution Classified by Countries

*Regions based on United Nations' classification

| Region | Area | Country | Count of PID |
|--------------------|---------------------------|--------------------|--------------|
| Americas | | | 169 |
| | North America | | 163 |
| | | Canada | 8 |
| | | United States | 155 |
| | South America | | 6 |
| | | Brazil | 2 |
| | | Chile | 4 |
| Asia | | | 18 |
| | Eastern Asia | | 8 |
| | | Japan | 6 |
| | | KOREA, REPUBLIC OF | 2 |
| | Western Asia | | 8 |
| | | Cyprus | 1 |
| | | Israel | 7 |
| | South-central Asia | | 2 |
| | | India | 2 |
| Europe | | | 105 |
| | Eastern Europe | | 5 |
| | | Hungary | 1 |
| | | Poland | 3 |
| | | Russian Federation | 1 |
| | Northern Europe | | 35 |
| | | Denmark | 1 |
| | | England | 23 |
| | | Finland | 2 |
| | | Ireland | 1 |
| | | Norway | 2 |
| | | Sweden | 6 |
| | Southern Europe | | 17 |
| | | Italy | 15 |
| | | Portugal | 1 |
| | | Spain | 1 |
| | Western Europe | | 48 |
| | | Austria | 2 |
| | | France | 21 |
| | | Germany | 22 |
| | | Luxembourg | 1 |
| | | Switzerland | 2 |
| Oceania | | | 1 |
| | Australia and New Zealand | | 1 |
| | | Australia | 1 |
| Grand Total | | | 293 |



2.4 Workshop Participant List

(See e-mail attached file)

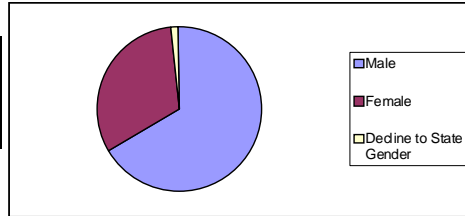
2.5 Workshop Participant Summary

| Name of Activity | No. of Participants | No. of Citizens & Permanent Residents | % of Citizens & Permanent Residents | No. of Female | No. of Decline to state Gender | % of Female (includes decline) | No. of Minorities | No. of Decline to state Ethnicity | % of Minorities (includes decline) | US Home Institution | % of US Home Institution |
|--|---------------------|---------------------------------------|-------------------------------------|---------------|--------------------------------|--------------------------------|-------------------|-----------------------------------|------------------------------------|---------------------|--------------------------|
| 21 Scientific Workshops | | | | | | | | | | | |
| Algebraic Statistics | 64 | 25 | 39% | 15 | 1 | 23.4% | 5 | 3 | 7.8% | 42 | 66% |
| Broader Connections: Analysis on Singular Spaces | 36 | 15 | 42% | 12 | | 33.3% | 1 | 2 | 2.8% | 26 | 72% |
| Broader Connections: Ergodic Theory and Additive Combinatorics | 53 | 19 | 36% | 17 | | 32.1% | 0 | 3 | 0.0% | 27 | 51% |
| Classical Algebraic Geometry Today | 166 | 67 | 40% | 45 | 5 | 27.1% | 7 | 13 | 4.2% | 103 | 62% |
| CMIM/SRI Workshop: Modular Forms and Arithmetic | 74 | 26 | 35% | 12 | 1 | 16.2% | 3 | 7 | 4.1% | 56 | 76% |
| Combinatorial, Enumerative and Toric Geometry | 175 | 74 | 42% | 40 | 3 | 22.9% | 11 | 14 | 6.3% | 124 | 71% |
| Connections for Women: Algebraic Geometry and Related Fields | 82 | 52 | 63% | 56 | 1 | 68.3% | 6 | 2 | 7.3% | 65 | 79% |
| Discrete Rigidity Phenomena in Additive Combinatorics | 64 | 17 | 27% | 13 | | 20.3% | 4 | 5 | 6.3% | 31 | 48% |
| Economic Games and Mechanisms to Address Climate Change | 56 | 31 | 55% | 9 | 1 | 16.1% | 0 | 9 | 0.0% | 49 | 88% |
| Elliptic and Hyperbolic Equations on Singular Spaces | 72 | 34 | 47% | 16 | 2 | 22.2% | 4 | 7 | 5.6% | 46 | 64% |
| International Conference on Cluster Algebras and Related Topics | 15 | 4 | 27% | 4 | | 26.7% | 1 | 2 | 6.7% | 14 | 93% |
| Introduction to Ergodic Theory and Additive Combinatorics | 60 | 24 | 40% | 16 | | 26.7% | 0 | 8 | 0.0% | 33 | 55% |
| Introductory Workshop on Analysis on Singular Spaces | 52 | 20 | 38% | 12 | | 23.1% | 1 | 4 | 1.9% | 38 | 73% |
| Low Dimensional Topology | 155 | 97 | 63% | 30 | 3 | 19.4% | 7 | 10 | 4.5% | 112 | 72% |
| Macaulay 2 day | 12 | 4 | 33% | 3 | | 25.0% | 0 | 1 | 0.0% | 6 | 50% |
| Mathematical Genomics | 63 | 21 | 33% | 19 | 2 | 30.2% | 1 | 4 | 1.6% | 58 | 92% |
| Modern Moduli Theory | 156 | 55 | 35% | 27 | 8 | 17.3% | 2 | 17 | 1.3% | 92 | 59% |
| Modern Perspectives in Applied Mathematics | 16 | 4 | 25% | 4 | | 25.0% | 0 | 3 | 0.0% | 13 | 81% |
| Sage Days: Algebraic Geometry | 33 | 16 | 48% | 9 | | 27.3% | 4 | | 12.1% | 16 | 48% |
| Topology of Stratified Spaces | 45 | 20 | 44% | 3 | | 6.7% | 1 | 7 | 2.2% | 29 | 64% |
| Western Algebraic Geometry Seminar | 19 | 7 | 37% | 3 | | 15.8% | 0 | 1 | 0.0% | 13 | 68% |
| 21 Scientific Workshops Total | 1468 | 632 | 43% | 365 | 27 | 25% | 58 | 122 | 4% | 993 | 68% |
| 6 Outreach & Diversity Workshops | | | | | | | | | | | |
| Bay Area Circle for Teachers | 29 | 16 | 55% | 13 | | 44.8% | 0 | | 0.0% | 11 | 38% |
| Great Circles 2009 | 97 | 75 | 77% | 51 | 1 | 52.6% | 5 | 4 | 5.2% | 91 | 94% |
| Math Institutes Modern Mathematics Workshop | 49 | 22 | 45% | 24 | | 49.0% | 11 | 1 | 22.4% | 31 | 63% |
| Promoting Diversity at the Graduate Level in Mathematics: a National Forum | 111 | 79 | 71% | 68 | | 61.3% | 37 | 3 | 33.3% | 108 | 97% |
| Teaching Undergraduates Mathematics | 98 | 75 | 77% | 52 | | 53.1% | 13 | 5 | 13.3% | 89 | 91% |
| Using Partnerships to Strengthen Elementary Mathematics Teacher Education | 61 | 37 | 61% | 44 | | 72.1% | 10 | 2 | 16.4% | 60 | 98% |
| 6 Outreach & Diversity Workshops Total | 445 | 304 | 68% | 252 | 1 | 57% | 76 | 15 | 17% | 390 | 88% |
| All 27 Workshops Total | 1913 | 936 | 49% | 617 | 28 | 32% | 134 | 137 | 7% | 1383 | 72% |

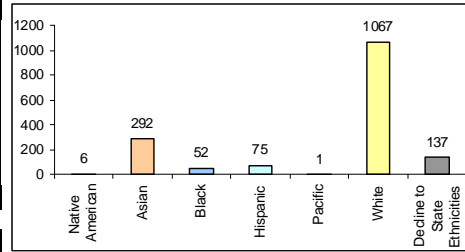
2.6 Workshop Participant Demographic Data

All Workshops Demographic Summary 2008-2009

| Gender | No. | % (No Decl.)* | % |
|--------------------------------|------|---------------|--------|
| No. of Participants | 1913 | 100.00% | 100.0% |
| Male | 1268 | 67.27% | 66.3% |
| Female | 617 | 32.73% | 32.3% |
| Decline to State Gender | 28 | | 1.5% |

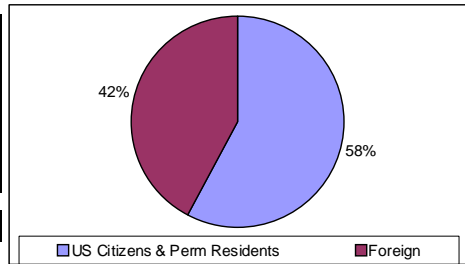


| Ethnicities | No. | % (No Decl.)* | % |
|-------------------------------------|------|---------------|--------|
| Native American | 6 | 0.40% | 0.3% |
| Asian | 292 | 19.56% | 15.3% |
| Black | 52 | 3.48% | 2.7% |
| Hispanic | 75 | 5.02% | 3.9% |
| Pacific | 1 | 0.07% | 0.1% |
| White | 1067 | 71.47% | 55.8% |
| Decline to State Ethnicities | 137 | | 7.2% |
| Unavailable Information | 283 | | 14.8% |
| Total no. of Participants | 1913 | 100.00% | 100.0% |



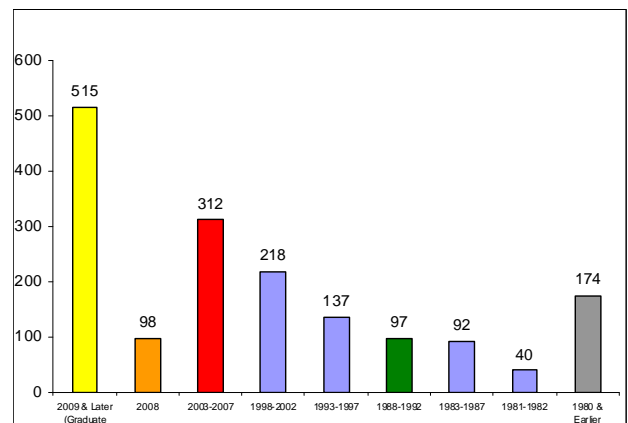
| | | | |
|-------------------|-----|-------|------|
| Minorities | 134 | 8.98% | 7.0% |
|-------------------|-----|-------|------|

| Citizenships | No. | % No Unavail) | % |
|---|------|---------------|--------|
| US Citizens & Perm Residents | 936 | 57.74% | 48.9% |
| Foreign | 685 | 42.26% | 35.8% |
| Unavailable Information | 292 | | 15.3% |
| Total no. of Participants | 1913 | 100.00% | 100.0% |
| US Citizens | 845 | | 44.2% |
| Perm. Residents | 91 | | 4.8% |



| | | | |
|-------------------------|-------------|--|---------------|
| Home Inst. in US | 1383 | | 72.29% |
|-------------------------|-------------|--|---------------|

| Year of Ph.D | No. | % (No Unknown) | % |
|---|------|----------------|--------|
| 2009 & Later (Graduate Students) | 515 | 30.60% | 26.9% |
| 2008 | 98 | 5.82% | 5.1% |
| 2003-2007 | 312 | 18.54% | 16.3% |
| 1998-2002 | 218 | 12.95% | 11.4% |
| 1993-1997 | 137 | 8.14% | 7.2% |
| 1988-1992 | 97 | 5.76% | 5.1% |
| 1983-1987 | 92 | 5.47% | 4.8% |
| 1981-1982 | 40 | 2.38% | 2.1% |
| 1980 & Earlier | 174 | 10.34% | 9.1% |
| Year Unknown | 230 | | 12.0% |
| Unavailable Info. | 0 | | 0.0% |
| Total | 1913 | 100.00% | 100.0% |

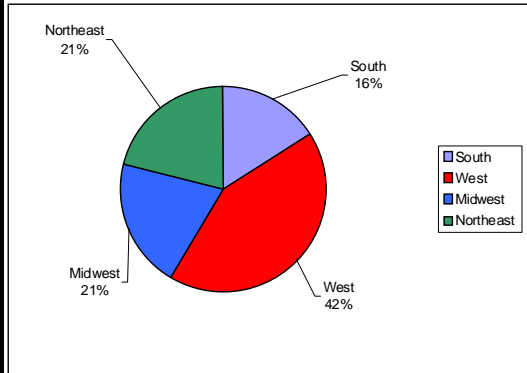


27 Workshops for 2008 - 2009

- Algebraic Statistics
- Bay Area Circle for Teachers
- Broader Connections: Analysis on Singular Spaces
- Broader Connections: Ergodic Theory and Additive Combinatorics
- Classical Algebraic Geometry Today
- CMI/MSRI Workshop: Modular Forms and Arithmetic
- Combinatorial, Enumerative and Toric Geometry
- Connections for Women: Algebraic Geometry and Related Fields
- Discrete Rigidity Phenomena in Additive Combinatorics
- Economic Games and Mechanisms to Address Climate Change
- Elliptic and Hyperbolic Equations on Singular Spaces
- Great Circles 2009
- International Conference on Cluster Algebras and Related Topics
- Introduction to Ergodic Theory and Additive Combinatorics
- Introductory Workshop on Analysis on Singular Spaces
- Low Dimensional Topology
- Macaulay 2 day
- Math Institutes Modern Mathematics Workshop
- Mathematical Genomics
- Modern Moduli Theory
- Modern Perspectives in Applied Mathematics
- Promoting Diversity at the Graduate Level in Mathematics: a National Forum
- Sage Days: Algebraic Geometry
- Teaching Undergraduates Mathematics
- Topology of Stratified Spaces
- Using Partnerships to Strengthen Elementary Mathematics Teacher Education
- Western Algebraic Geometry Seminar

Home Institution Classified by States
 *Regions based on US Census classification

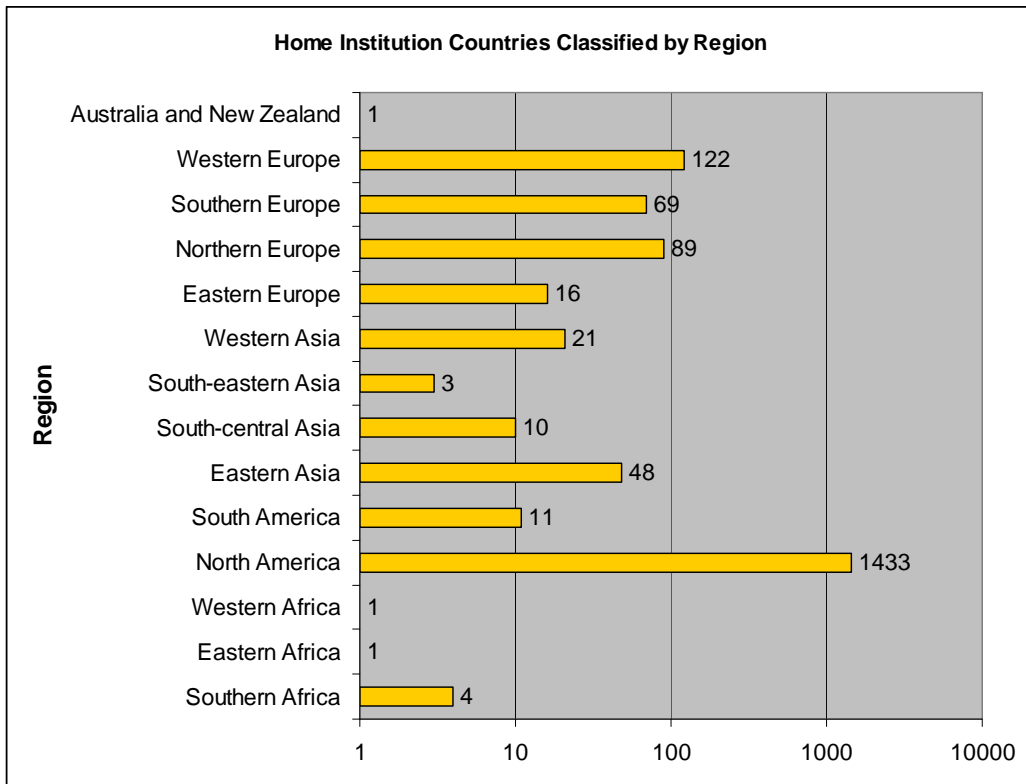
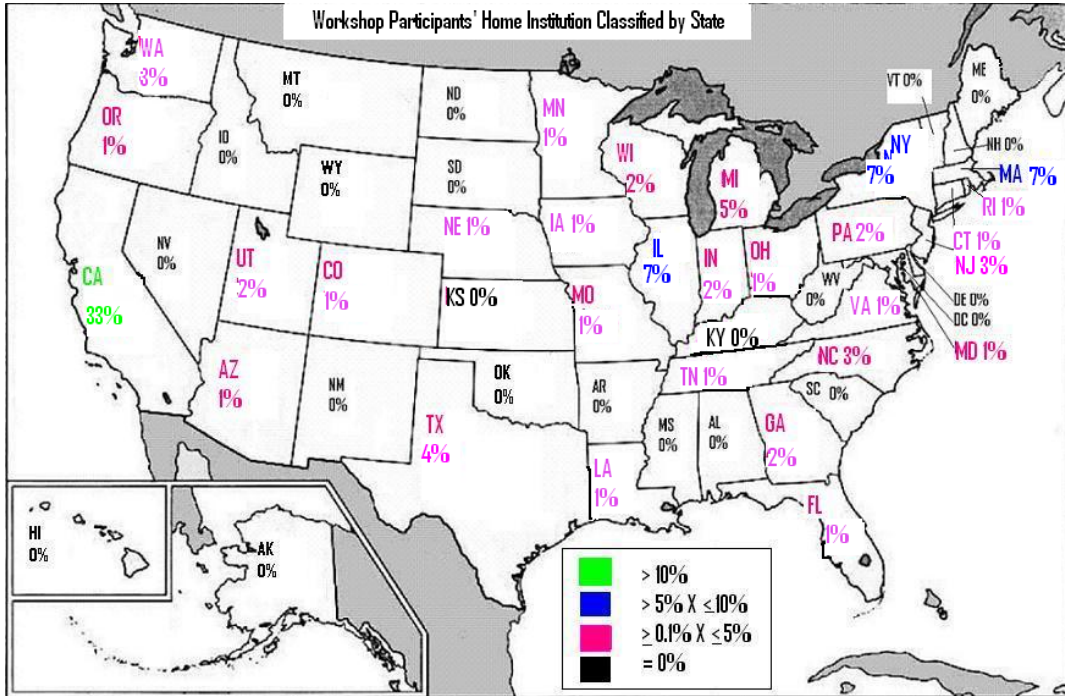
| State | # | % | US Pop. (2007 Census) |
|------------------|--------------|---------------|-----------------------|
| South | 223 | 16.1% | 36.6% |
| AL | - | 0.0% | 1.5% |
| AR | - | 0.0% | 0.9% |
| DE | - | 0.0% | 0.3% |
| DC | 6 | 0.4% | 0.2% |
| FL | 20 | 1.4% | 6.1% |
| GA | 31 | 2.2% | 3.2% |
| KY | - | 0.0% | 1.4% |
| LA | 19 | 1.4% | 1.4% |
| MD | 14 | 1.0% | 1.9% |
| MS | 3 | 0.2% | 1.0% |
| NC | 40 | 2.9% | 3.0% |
| OK | 1 | 0.1% | 1.2% |
| SC | 2 | 0.1% | 1.5% |
| TN | 11 | 0.8% | 2.0% |
| TX | 57 | 4.1% | 7.9% |
| VA | 18 | 1.3% | 2.6% |
| WV | 1 | 0.1% | 0.6% |
| West | 584 | 42.2% | 23.2% |
| AK | - | 0.0% | 0.2% |
| AZ | 20 | 1.4% | 2.1% |
| CA | 454 | 32.8% | 0.4% |
| CO | 18 | 1.3% | 0.5% |
| HI | 1 | 0.1% | 0.3% |
| ID | 1 | 0.1% | 12.1% |
| MT | - | 0.0% | 1.6% |
| NV | 4 | 0.3% | 0.9% |
| NM | 5 | 0.4% | 0.7% |
| OR | 9 | 0.7% | 1.2% |
| UT | 27 | 2.0% | 0.9% |
| WA | 45 | 3.3% | 2.1% |
| WY | - | 0.0% | 0.2% |
| Midwest | 285 | 20.6% | 22.0% |
| IL | 97 | 7.0% | 4.3% |
| IN | 22 | 1.6% | 2.1% |
| IA | 11 | 0.8% | 1.0% |
| KS | 6 | 0.4% | 0.9% |
| MI | 70 | 5.1% | 3.3% |
| MN | 15 | 1.1% | 1.7% |
| MO | 17 | 1.2% | 1.9% |
| ND | 2 | 0.1% | 0.2% |
| NE | 9 | 0.7% | 0.6% |
| OH | 15 | 1.1% | 3.8% |
| SD | - | 0.0% | 0.3% |
| WI | 21 | 1.5% | 1.9% |
| Northeast | 291 | 21.0% | 18.1% |
| CT | 15 | 1.1% | 1.2% |
| ME | 4 | 0.3% | 0.4% |
| MA | 100 | 7.2% | 2.1% |
| NH | 1 | 0.1% | 0.4% |
| NJ | 47 | 3.4% | 2.9% |
| NY | 90 | 6.5% | 6.4% |
| PA | 24 | 1.7% | 4.1% |
| Ri | 8 | 0.6% | 0.4% |
| VT | 2 | 0.1% | 0.2% |
| Other | - | 0.0% | 0% |
| PR | - | 0.0% | 0% |
| Other | - | 0.0% | 0% |
| Total | 1,383 | 100.0% | 100.0% |



Home Institution Classified by Countries

*Regions based on United Nations' classification

| Region | Area | Country | # of Attendees |
|-------------------------|---------------------------|---------------------------|----------------|
| Africa | | | 6 |
| | Southern Africa | South Africa | 4 |
| | Eastern Africa | Uganda | 1 |
| | Western Africa | Nigeria | 1 |
| Americas | | | 1444 |
| | North America | Canada | 50 |
| | | United States | 1383 |
| | South America | Argentina | 2 |
| | | Brazil | 5 |
| | | Chile | 4 |
| Asia | | | 82 |
| | Eastern Asia | China | 3 |
| | | Japan | 30 |
| | | Korea, Republic Of | 14 |
| | | Taiwan | 1 |
| | South-central Asia | India | 8 |
| | | Iran, Islamic Republic Of | 2 |
| | South-eastern Asia | Philippines | 3 |
| | Western Asia | Israel | 14 |
| | | Turkey | 7 |
| Europe | | | 296 |
| | Eastern Europe | Bulgaria | 1 |
| | | Belarus | 1 |
| | | Czech Republic | 3 |
| | | Hungary | 4 |
| | | Poland | 3 |
| | | Romania | 4 |
| | Northern Europe | Finland | 2 |
| | | United Kingdom | 74 |
| | | Norway | 6 |
| | | Sweden | 7 |
| | Southern Europe | Albania | 4 |
| | | Spain | 16 |
| | | Italy | 46 |
| | | Portugal | 3 |
| | Western Europe | Austria | 2 |
| | | Switzerland | 5 |
| | | Germany | 67 |
| | | France | 46 |
| | | Luxembourg | 1 |
| | | Netherlands | 1 |
| Oceania | | | 1 |
| | Australia and New Zealand | Australia | 1 |
| Unavailable Information | | | 84 |
| Grand Total | | | 1913 |



2.7 Program Publication List

| Last Name | First Name | Publication Title | Co-Authors | Status |
|------------|------------|---|---------------------------------|----------|
| Albin | Pierre | Pseudodifferential operators on spaces with iterated conic singularities and Hodge theory | Rafe Mazzeo, Richard Melrose | appeared |
| Asok | Aravind | A^1 -homotopy groups, excision, and solvable quotients | Brent Doran | appeared |
| Bayer | Arend | Polynomial Bridgeland stability conditions and the large-volume limit | | appeared |
| Bayer | Arend | Polynomial Bridgeland stability conditions and the large-volume limit | | appeared |
| Chen | Dawei | Towards Mori's program for the moduli space of stable maps | Izzet Coskun, Charley Crissman | appeared |
| Derenthal | Ulrich | Manin's conjecture for a cubic surface with D_5 singularity | T. D. Browning | appeared |
| Derenthal | Ulrich | Counting integral points on universal torsors | | appeared |
| Doran | Brent | A^1 -homotopy groups, excision, and solvable quotients | Aravind Asok | appeared |
| Einsiedler | Manfred | A joinings classification in positive characteristic | Amir Mohammadi | appeared |
| Farsi | Carla | Orbifold fundamental group and the spectrum of the Laplacian | | appeared |
| Kovács | Sándor | The structure of surfaces and threefolds mapping to the moduli stack | Kebekus | appeared |
| Kovács | Sándor | Recent advances in classification theory | Christopher Hacon | appeared |
| Kovács | Sándor | Moduli of canonically polarized varieties | Kollar | appeared |
| Melrose | Richard | Microlocal propagation near radial points and scattering for symbolic potentials of order zero | Andrew Hassell and Andr'as Vasy | appeared |
| Ponge | Raphael | Logarithmic singularities of Schwartz kernels and local invariants of conformal and CR structures | | appeared |
| Ponge | Raphael | Logarithmic singularities of Schwartz kernels and local invariants of conformal and CR structures | | appeared |
| Ponge | Raphael | Traces on pseudodifferential operators and sums of commutators | | appeared |
| Ponge | Raphael | Traces on pseudodifferential operators and sums of commutators | | appeared |
| Ponge | Raphael | A microlocal approach to Fefferman's program in conformal and CR geometry | | appeared |
| Ponge | Raphael | A microlocal approach to Fefferman's program in conformal and CR geometry | | appeared |
| Qian | Randy | Propagation of Singularities of the Wave Equation with Inverse Square Potential | | appeared |
| Qian | Randy | Propagation of Singularities on Cusp Manifolds | | appeared |

| | | | | |
|----------------|------------|---|---|---------------|
| Rojas | J. Maurice | Sums of Squares, Randomization, and Sparse Polynomials | Osbert Bastani, Chris Hillar, and Dimitar Popov | appeared |
| Rojas | J. Maurice | Sums of Squares, Randomization, and Sparse Polynomials | Osbert Bastani, Chris Hillar, and Dimitar Popov | appeared |
| Rojas | J. Maurice | Faster Real Feasibility via Circuit Discriminants | Frederic Bihan and Casey Stella | appeared |
| Rojas | J. Maurice | Faster Real Feasibility via Circuit Discriminants | Frederic Bihan and Casey Stella | appeared |
| Sandoval | Mary | Wave Trace Invariants for G-Invariant Spectrum | | appeared |
| Trotman | David | Equisingularity of sections, (t^r) condition, and the integral closure of modules | Terence Gaffney and Leslie Wilson | appeared |
| Abramovich | Dan | Stable varieties with a twist | Brendan Hassett | distributable |
| Abramovich | Dan | Orbifold techniques in degeneration formulas | Barbara Fantechi | distributable |
| Abramovich | Dan | Relative and orbifold Gromov-Witten invariants | Charles Cadman and Jonathan Wise | distributable |
| Alexeev | Valery | Compactifications of moduli of Campedelli and Burniat surfaces | Rita Pardini | distributable |
| Alper | Jarod | On the local quotient structure of Artin stacks | | distributable |
| Alper | Jarod | Local properties of good moduli spaces | | distributable |
| Alper | Jarod | Stack-theoretic proof of Luna's étale slice theorem | | distributable |
| Araujo | Carolina | Polarized minimal families of rational curves and higher Fano manifolds | Ana-Maria Castravet | distributable |
| Araujo | Carolina | Flat deformations of P^n | | distributable |
| Asok | Aravind | Smooth varieties up to A^1 -homotopy and algebraic h-cobordisms | Fabien Morel | distributable |
| Asok | Aravind | Almost vector bundles | | distributable |
| Asok | Aravind | Birational invariants and A^1 -connectedness | Fabien Morel | distributable |
| Bahuaud | Eric | Conformal compactification of Asymptotically locally hyperbolic metrics | Romain Gicquaud (Montpellier, France) | distributable |
| Baskin | Dean | A parametrix for the Klein-Gordon equation on asymptotically de Sitter spaces | | distributable |
| Bauer-Catanese | Ingrid | Quotients of a product of curve by a finite group and their fundamental groups | F. Catanese, F. Grunewald, R. Pignatelli | distributable |
| Bruening | Jochen | On the spectral theory of armchair nanotubes | A. Badanin, E. Korotyaev | distributable |
| Bruening | Jochen | Scattering theory for the Helmholtz resonator | B. Pavlov | distributable |
| Bruening | Jochen | On the gluing formula for the analytic torsion | X. Ma | distributable |
| Bryan | Jim | BPS states of polyhedral resolutions | Gholampour | distributable |
| Buch | Anders | A Giambelli formula for isotropic Grassmannians | Andrew Kresch and Harry Tamvakis | distributable |
| Caporaso | Lucia | Torelli theorem for stable curves | Filippo Viviani | distributable |

| | | | | |
|------------|-----------|--|--|---------------|
| Castravet | Ana-Maria | Polarized minimal families of rational curves and higher Fano manifolds | Carolina Araujo | distributable |
| Catanese | Fabrizio | Quotients of products of curves, new surfaces with $p_g = 0$ and their fundamental groups | Ingird Bauer, Fritz Grunewald, Roberto Pignatelli | distributable |
| Cavalieri | Renzo | An example of CRC in two steps | Gueorgui Todorov | distributable |
| Coskun | Izzet | Towards Mori's program for Kontsevich moduli spaces | Dawei Chen | distributable |
| de Fernex | Tommaso | Shokurov's ACC conjecture for log canonical thresholds on smooth varieties | Lawrence Ein and Mircea Mustata | distributable |
| Degeratu | Anda | McKay Correspondence in higher dimension | | distributable |
| Di Rocco | Sandra | Algebraic C^* -actions and the inverse kinematic problem of a general 6R manipulator. | D. Eklund, A.J. Sommese, C. Wampler | distributable |
| Einsiedler | Manfred | Rigidity of measures invariant under semisimple groups in positive characteristic | Anish Ghosh | distributable |
| Fantechi | Barbara | Gromov Witten degenerate and relative invariants for orbifolds | Dan Abramovich | distributable |
| Farkas | Gavril | The geometry of the odd spin moduli space | Katharina Ludwig, Alessandro Verra | distributable |
| Francsics | Gabor | An explicit fundamental domain for a Picard modular group in two complex dimensions | Peter Lax | distributable |
| Francsics | Gabor | An explicit fundamental domain for a Picard modular group in two complex dimensions | Peter Lax | distributable |
| Francsics | Gabor | An explicit fundamental domain for a Picard modular group in two complex dimensions | Peter Lax | distributable |
| Francsics | Gabor | Embedded eigenvalues for a class of complex hyperbolic spaces | Peter Lax | distributable |
| Francsics | Gabor | Embedded eigenvalues for a class of complex hyperbolic spaces | Peter Lax | distributable |
| Francsics | Gabor | An explicit fundamental domain for a Picard modular group in two complex dimensions | Peter Lax | distributable |
| Francsics | Gabor | An explicit fundamental domain for a Picard modular group in two complex dimensions | Peter Lax | distributable |
| Francsics | Gabor | Embedded eigenvalues for a class of complex hyperbolic spaces | Peter Lax | distributable |
| Francsics | Gabor | Embedded eigenvalues for a class of complex hyperbolic spaces | Peter Lax | distributable |
| Francsics | Gabor | Embedded eigenvalues for a class of complex hyperbolic spaces | Peter Lax | distributable |
| Freiman | Gregory | Inverse Additive Number Theory XII | | distributable |
| Gamburd | Alexander | Expanders and random wlls in $SL_d(\mathbb{Z}/p^n\mathbb{Z})$: II | Jean Bourgain | distributable |
| Gimperlein | Heiko | Adaptive FE-BE Coupling for Strongly Nonlinear Transmission Problems with Coulomb Friction | Matthias Maischak, Elmar Schrohe, Ernst P. Stephan | distributable |
| Gimperlein | Heiko | Adaptive FE-BE Coupling for Strongly Nonlinear Transmission Problems with Coulomb Friction | Matthias Maischak, Elmar Schrohe, Ernst P. Stephan | distributable |

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|------------|-------------|--|---|---------------|
| Glaser | Moshe (Eli) | A topological lens for a measure-preserving system | Lemanczyk and Benjamin Weiss | distributable |
| Greb | Daniel | Compact Kaehler quotients of algebraic varieties and Geometric Invariant Theory | | distributable |
| Greb | Daniel | Rational singularities and quotients by holomorphic group actions | | distributable |
| Grubb | Gerd | Krein resolvent formulas for elliptic boundary problems in nonsmooth domains | 0 | distributable |
| Guillarmou | Colin | Millson formula for convex co-compact manifolds | J. Park, S. Moroianu | distributable |
| Gyarmati | Kati | On family complexity | | distributable |
| Hacon | Christopher | The Sarkisov Program | J. McKernan | distributable |
| Hassett | Brendan | Stable varieties with a twist | Dan Abramovich | distributable |
| Helfgott | Harald | On the behaviour of root numbers in families of elliptic curves | | distributable |
| Holm | Tara | The Full Orbifold K -theory of Abelian Symplectic Quotients. | Rebecca Goldin, Megumi Harada, Takashi Kimura | distributable |
| Host | Bernard | Nilsequences and a topological structure theorem | Bryna Kra, Alejandro Maass | distributable |
| Kaloghiros | Anne-Sophie | A classification of terminal quartic 3-folds. | | distributable |
| Kra | Bryna | Nilsequences and a topological structure theorem | Bernard Host, Alejandro Maass | distributable |
| Krainer | Thomas | Trace Expansions for Elliptic Cone Operators I | J. Gil and G. Mendoza | distributable |
| Lemanczyk | Mariusz | A topological lens for a measure-preserving system | E. Glasner, B. Weiss | distributable |
| Lemanczyk | Mariusz | Approximate transitivity property and Lebesgue spectrum | H. Abdalaoui | distributable |
| Lesigne | Emmanuel | Averages on annuli of Euclidean Space | Francois Havard | distributable |
| Li | Hui | tentative title: cohomology $\mathbb{C}P^2$ and $\tilde{G}_2(\mathbb{R}^{2n+1})$ | Sue Tolman | distributable |
| Marcolli | Matilde | Modular index invariants of Mumford curves | Alan Carey and Adam Rennie (Australian National University) | distributable |
| Mazzeo | Rafe | A heat invariant anomaly on polygons | Julie Rowlett | distributable |
| Mazzucato | Anna | Vanishing viscosity limits for a class of circular pipe flows and realted singular perturbation problems | Michael Taylor | distributable |
| McDonald | Patrick | Heat content, moment problems and isospectral conditions | | distributable |
| McKernan | James | The Sarkisov Program | Christopher Hacon | distributable |
| Melrose | Richard | Diffraction of singularities for the wave equation on manifolds with corners | Andr'as Vasy and Jared Wunsch | distributable |
| Melrose | Richard | Adiabatic limit of the Chern character and Bott periodicity | Fr'ed'eric Rochon | distributable |

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|------------|------------|---|--------------------------------------|---------------|
| Melrose | Richard | Asymptotics of solutions of the wave equation on de Sitter-Schwarzschild space | Antônio Sá Barreto and András Vasy | distributable |
| Melrose | Richard | Analytic continuation and semiclassical resolvent estimates on asymptotically hyperbolic spaces | Antônio Sá Barreto and András Vasy | distributable |
| Melrose | Richard | Scattering configuration spaces | Michael Singer | distributable |
| Morrison | Ian | New Grobner Techniques for Hilbert Stability | David Swinarski | distributable |
| Müller | Werner | Scattering theory and cohomology | Alexander Strohmaier | distributable |
| Müller | Jörn | Hodge cohomology of manifolds with fibred cusps | | distributable |
| Olsson | Martin | Nagata compactification for algebraic spaces | Max Lieblich and Brian Conrad | distributable |
| Olsson | Martin | Compactifying moduli spaces for G-torsors | | distributable |
| Ottaviani | Giorgio | On the hypersurface of Luroth quartics | E. Sernesi | distributable |
| Pete | Gabor | The scaling limits of dynamical and near-critical percolation and the minimal spanning tree | Christophe Garban, Oded Schramm | distributable |
| Planchon | Fabrice | a poor man's square function on domains | Oana Ivanovici | distributable |
| Reid | Miles | Anyone know these guys? | Gavin Brown | distributable |
| Rochon | Frederic | Some index formulae on the moduli space of stable parabolic bundles | Pierre Albin | distributable |
| Rochon | Frederic | The adiabatic limit of the Chern Character and Bott periodicity | Richard Melrose | distributable |
| Rojas | J. Maurice | Optimization and NP_R-Completeness of Certain Fewnomials | Philippe Pebay and David C. Thompson | distributable |
| Rojas | J. Maurice | Optimization and NP_R-Completeness of Certain Fewnomials | Philippe Pebay and David C. Thompson | distributable |
| Ross | Julius | Stability of Orbifolds | Richard Thomas | distributable |
| Ross | Julius | Bergman Kernels for Orbifolds | Richard Thomas | distributable |
| Roy | Emmanuel | Joining primeness and disjointness from infinitely divisible systems | Mariusz Lemanczyk, Francois Parreau | distributable |
| Roy | Emmanuel | ID-disjointness, factorization and filtering of stationary ID processes | | distributable |
| Rydh | David | Noetherian approximation of algebraic spaces and stacks | | distributable |
| Sá Barreto | Antônio | Asymptotics of Solutions of the wave equation on de Sitter-Schwarzschild Space | R. Melrose and A. Vasy | distributable |
| Sanders | Tom | Non-abelian Freiman theorems | | distributable |
| Schumacher | Georg | Positivity of the relativ canonical bundle | | distributable |
| Schwede | Karl | Divisors on globally F-regular varieties (subject to change) | Karen Smith | distributable |
| Singer | Michael | Scattering configuration spaces | Richard Melrose | distributable |
| Skjelnes | Roy | Algebraic spaces and quotients by equivalence relations of schemes | | distributable |

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|----------------|-----------|--|--------------------------------------|---------------|
| Tamvakis | Harry | A Giambelli formula for isotropic Grassmannians | Anders Buch, Andrew Kresch | distributable |
| Tataru | Daniel | Local energy decay for Kerr waves | M. Tohaneanu | distributable |
| Taylor | Michael | Pseudodifferential Operators: Four Lectures at MSRI | | distributable |
| Tevelev | Jenia | Hypergraph Curves and Divisors on $M_{0,n}$ | Ana-Maria Castravet | distributable |
| Thomas | Richard | Weighted projective embeddings and orbifold constant scalar curvature Kahler metrics | Julius Ross | distributable |
| Todorov | Gueorgui | An example of crepant resolution conjecture in two steps | Renzo Cavalieri | distributable |
| Totaro | Burt | Line bundles with partially vanishing cohomology | | distributable |
| Trotman | David | Smooth fibering conjecture for Whitney stratified sets. | Andrew du Plessis and Claudio Murolo | distributable |
| Tseng | Hsian-Hua | Gromov-Witten theory of product stacks | Elena Andreini, Yunfeng Jiang | distributable |
| Tseng | Hsian-Hua | Gromov-Witten theory of etale gerbes, I: root gerbes | Elena Andreini, Yunfeng Jiang | distributable |
| Vasy | András | Asymptotics of solutions of the wave equation on de Sitter-Schwarzschild space | Richard Melrose, Antonio Sa Barreto | distributable |
| Weiss | Benjamin | The Isomorphism problem in ergodic theory | M. Foreman , D. Rudolph | distributable |
| Weiss | Benjamin | The Isomorphism problem in ergodic theory | M. Foreman , D. Rudolph | distributable |
| Wickelgren | Kirsten | Lower central series obstructions to homotopy sections of curves over a number field (Thesis) | | distributable |
| Wlodarczyk | Jaroslav | Combinatorial part of cohomology | Donu Arapura, Parsa Bakhtary | distributable |
| Wolf | Julia | Subsets of F_p^n not containing any k-term progressions | Y. Lin | distributable |
| Wunsch | Jared | Microlocal analysis and evolution equations | | distributable |
| Xu | Chenyang | Products, Homotopy Limits and Applications | Amit Hogadi | distributable |
| Aluffi | Paolo | Limits of $PGL(3)$ -translates of plane curves, I | Carel Faber | submitted |
| Aluffi | Paolo | Limits of $PGL(3)$ -translates of plane curves, II | Carel Faber | submitted |
| Aluffi | Paolo | Parametric Feynman integrals and determinant hypersurfaces | Matilde Marcolli | submitted |
| Aluffi | Paolo | Algebra: Chapter 0 | | submitted |
| Andreatta | Marco | On the Kummer Construction | J.A. Wisniewski | submitted |
| Austin | Tim | Deducing the multidimensional Szemerédi Theorem from an infinitary removal lemma | | submitted |
| Bauer-Catanese | Ingrid | The rationality of the moduli space of genus 4 curves endowed with an order three subgroup of their Jacobian | Alessandro Verra | submitted |
| Bayer | Arend | Quantum Cohomology of $[C^N/\mu_r]$ | Charles Cadman | submitted |
| Bayer | Arend | Quantum Cohomology of $[C^N/\mu_r]$ | Charles Cadman | submitted |

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|------------------|---------|--|--|-----------|
| Beheshti Zavareh | Roya | non-uniruledness results for the spaces of rational curves on hypersurfaces | | submitted |
| Beiglböck | Mathias | Rado's Theorem in D-sets | Vitaly Bergelson, Tomasz Downarowicz, Alexander Fish | submitted |
| Bruening | Jochen | The signature operator on a manifold with one conical stratum | R.T. Seeley | submitted |
| Bruening | Jochen | Leonhard Euler in Berlin | | submitted |
| Bryan | Jim | The quantum McKay correspondence for polyhedral singularities | Gholampour | submitted |
| Buch | Anders | Quantum K-theory of Grassmannians | Leonardo Mihalcea | submitted |
| Caporaso | Lucia | Torelli theorem for graphs and tropical curves | Filippo Viviani | submitted |
| Cautis | Sabin | Derived equivalences for cotangent bundles of Grassmannians via categorical $sl(2)$ actions | J. Kamnitzer, A. Licata | submitted |
| Cautis | Sabin | Coherent sheaves and categorical $sl(2)$ actions | J. Kamnitzer, A. Licata | submitted |
| Cautis | Sabin | Categorical geometric skew Howe duality | J. Kamnitzer, A. Licata | submitted |
| Cavaliere | Renzo | Tautological Pairings for moduli spaces of Curves | Stephanie Yang | submitted |
| Chen | Dawei | Linear series on ribbons | | submitted |
| Christiansen | Tanya | Maximal order of growth for The resonance counting functions for generic potentials in even dimensions | Peter Hislop | submitted |
| Christianson | Hans | Local smoothing effects for the water-wave problem with surface tension | Vera Mikyoung Hur and Gigliola Staffilani | submitted |
| Chu | Qing | Convergence of multiple ergodic averages along cubes for several commuting transformations | | submitted |
| Corti | Alessio | The Work of Hacon and McKernan | | submitted |
| Coskun | Izzet | Stable base locus decompositions of Kontsevich moduli spaces | Dawei Chen | submitted |
| Coskun | Izzet | A Littlewood-Richardson rule for partial flag varieties | | submitted |
| Dencker | Nils | On the solvability of systems of pseudodifferential operators | | submitted |
| Derenthal | Ulrich | Manin's conjecture for a quartic del Pezzo surface with A4 singularity | T. D. Browning | submitted |
| Di Rocco | Sandra | Classifying polytopes via toric fibrations | A. Dickenstein and R. Piene | submitted |
| Dryden | Emily | Bounding the eigenvalues of the Laplace-Beltrami operator on compact submanifolds | Bruno Colbois and Ahmad El Soufi | submitted |
| Edidin | Dan | Logarithmic Trace and orbifold products | Tyler Jarvis, Takashi Kimura | submitted |

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|------------|-------------|--|-------------------|-----------|
| Einsiedler | Manfred | Rigidity of measures invariant under the action of a multiplicative semigroup of rigidity of measures invariant under the action of a multiplicative semigroup of polynomial growth on T | Alexander Fish | submitted |
| Faber | Carel | Limits of $PGL(3)$ -translates of plane curves, I | Paolo Aluffi | submitted |
| Faber | Carel | Limits of $PGL(3)$ -translates of plane curves, II | Paolo Aluffi | submitted |
| Fantechi | Barbara | Virtual Grothendieck Riemann Roch Theorems | Lothar Goettsche | submitted |
| Ferenczi | Sebastien | A self-dual induction for three-interval exchange transformations | L.F.C. da Rocha | submitted |
| Francsics | Gabor | An explicit fundamental domain for a Picard modular group in two complex dimensions | Peter Lax | submitted |
| Freiman | Gregory | Inverse Additive Number Theory XI | | submitted |
| Gaffney | Terence | Equisingularity of sections, (t^r) condition, and the integral closure of modules. | Trotman, Wilson | submitted |
| Gaffney | Terence | The Multiplicity Polar Theorem and Isolated Singularities | | submitted |
| Gaffney | Terence | Weak subintegral closure of ideals. | Marie A. Vitulli | submitted |
| Gibney | Angela | Equations | Maclagan | submitted |
| Gibney | Angela | Equations for $\overline{M}_{0,n}$ | Diane Maclagan | submitted |
| Goettsche | Lothar | Riemann-Roch Theorems and elliptic genus for virtually smooth schemes | Barbara Fantechi | submitted |
| Graham | William | Smooth components of Springer fibers | Roger Zierau | submitted |
| Grieser | Daniel | Pseudodifferential operator calculus for generalized Q -rank 1 locally symmetric spaces, I | Eugenie Hunsicker | submitted |
| Grubb | Gerd | Distributions and Operators | 0 | submitted |
| Hall | Jack | Towards a classification of modular compactifications of the moduli space of curves | David Smyth | submitted |
| Hering | Milena | Multigraded regularity and the Koszul property | | submitted |
| Hogadi | Amit | Brauer Group of Moduli Spaces of $PGL(r)$ -Bundles over a curve | Indranil Biswas | submitted |
| Host | Bernard | Ergodic seminorms for commuting transformations and applications | | submitted |
| Hulek | Klaus | Cohomology of the toroidal compactification of A_3 | Orsola Tommasi | submitted |
| Hunsicker | Eugenie | Pseudodifferential operators on generalized Q -rank 1 locally symmetric spaces, I | Daniel Grieser | submitted |
| Jabbusch | Kelly | Positive sheaves of differentials coming from coarse moduli spaces | Stefan Kebekus | submitted |
| Jabbusch | Kelly | Families over special base manifolds and a conjecture of Campana | Stefan Kebekus | submitted |
| Johnson | Jennifer | How Small Can A Polynomial Be Near Infinity? | J. Kollár | submitted |
| Kaloghiros | Anne-Sophie | The defect of Fano 3-folds | | submitted |

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|-----------|----------|---|--|-----------|
| Kaufmann | Ralph | Global Stringy Orbifold Cohomology, K-theory and deRham Theory | | submitted |
| Kebekus | Stefan | Families over special base manifolds and a conjecture of Campana | Kelly Jabbusch | submitted |
| Kebekus | Stefan | Positive sheaves of differentials coming from coarse moduli spaces | Kelly Jabbusch | submitted |
| Kebekus | Stefan | Deformations along subsheaves | Stavros Kousidis, Daniel Lohmann | submitted |
| Kollár | János | Log canonical singularities are Du Bois | S Kovacs | submitted |
| Kollár | János | How small can a polynomial be at infinity? | J Johnson | submitted |
| Kollár | János | Quotients by finite equivalence relations | C Raicu | submitted |
| Kovács | Sándor | Log canonical singularities are Du Bois | Janos Kollar | submitted |
| Kovács | Sándor | Boundedness of families of canonically polarized manifolds: A higher dimensional analogue of Shafarevich's conjecture | Max Lieblich | submitted |
| Kovács | Sándor | Extension theorems.... | Greb, Kebekus | submitted |
| Lemanczyk | Mariusz | Joining primeness and disjointness from infinitely divisible systems | F. Parreau, E. Roy | submitted |
| Lemanczyk | Mariusz | Some new cases of realization of spectral multiplicity function for ergodic transformations | A.B. Katok | submitted |
| Lesigne | Emmanuel | Powers of sequences and convergence of ergodic averages | Nikos Frantzikinakis, Michael Johnson, Mate Wierdl | submitted |
| Lesigne | Emmanuel | On the Behavior at Infinity of an On the behaviour at infinity of an integrable function | | submitted |
| Lieblich | Max | Arithmetic aspects of moduli of vector bundles on curves | | submitted |
| Lipman | Joseph | Reduction of derived Hochschild functors over commutative algebras and schemes. | Luchezar Avramov, Srikanth Iyengar | submitted |
| Lipman | Joseph | Reflexivity and rigidity for complexes. I. Commutative rings. | Luchezar Avramov, Srikanth Iyengar | submitted |
| Loya | Paul | Semiclassical Analysis and the Atiyah-Singer Index Theorem | | submitted |
| Marcolli | Matilde | Cyclotomy and endomotives | | submitted |
| Marcolli | Matilde | Parametric Feynman integrals and determinant hypersurfaces | Paolo Aluffi (Florida State University) | submitted |
| Marcolli | Matilde | Feynman integrals and motives | | submitted |
| McClendon | David | An Ambrose-Kakutani theorem for countable-to-1 semiflows | | submitted |
| Melrose | Richard | The index of projective families of elliptic operators: The decomposable case | V. Mathai and I.M. Singer | submitted |
| Melrose | Richard | Relative Chern character, boundaries and index formulae | Pierre Albin | submitted |
| Morrison | Ian | Stability of Elliptic Tails and \mathbb{Q} -canonical Models | Donghoon Hyeon | submitted |

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|--------------|-----------|--|---|-----------|
| Morrison | Ian | GIT Constructions of Moduli Spaces of Stable Curves and Maps | | submitted |
| Olsson | Martin | The Picard group of $M_{\{1,1\}}$ | William Fulton | submitted |
| Pete | Gabor | Scale-invariant groups | Volodymyr Nekrashevych | submitted |
| Pete | Gabor | Biased tug-of-war, the biased infinity Laplacian, and comparison with exponential cones | Yuval Peres, Stephanie Somersille | submitted |
| Quas | Anthony | Rates of divergence of nonconventional ergodic averages | Mate Wierdl | submitted |
| Reid | Miles | On quasismooth weighted complete intersections | Jheng-Jie Chen, Jungkai A. Chen and Meng Chen | submitted |
| Rosenblatt | Joseph | Distinguishing transformations by averaging methods | | submitted |
| Rydh | David | Hilbert schemes and Hilbert stacks of points | | submitted |
| Rydh | David | The space of generically etale families | Roy Skjelnes | submitted |
| Sá Barreto | Antônio | Inverse Problems For Einstein Manifolds | Colin Guillarmou | submitted |
| Saez Trumper | Mariel | Uniqueness of self-similar solutions to the network flow in a given topological class | | submitted |
| Schwede | Karl | Centers of F-purity | | submitted |
| Schwede | Karl | F-adjunction | | submitted |
| Shkredov | Ilya | On monochromatic solutions of some nonlinear equations in $\mathbb{Z}/p\mathbb{Z}$ | | submitted |
| Smith | Gregory | Smooth and Irreducible Multigraded Hilbert Schemes | Diane Maclagan | submitted |
| Stanhope | Elizabeth | Spectral and geometric bounds on 2-orbifold diffeomorphism type | Emily Proctor | submitted |
| Stanhope | Elizabeth | RUI: Collaborative Research: Orbifold homotopy finiteness for isospectral sets of orbifolds with curvature bounded below | Emily Proctor | submitted |
| Tataru | Daniel | Trilinear restriction estimates | I. Bejenaru, S. Herr | submitted |
| Tataru | Daniel | L^2 wellposedness for the Zakharov system | I. Bejenaru, S. Herr, J. Holmer | submitted |
| Taylor | Michael | Functions of the Laplace operator on manifolds with lower Ricci and injectivity bounds | | submitted |
| Thomas | Richard | Hilbert schemes and stable pairs: GIT and derived category wall crossings | Jacopo Stoppa | submitted |
| Tommasi | Orsola | Cohomology of the toroidal compactification of A_3 | Klaus Hulek | submitted |
| Totaro | Burt | The cone conjecture for Calabi-Yau pairs in dimension two | | submitted |
| Towsner | Henry | Epsilon Substitution and Priority Arguments | | submitted |
| Tucker | Kevin | On the Number of Compatibly F-Split Subvarieties and Log Canonical Centers | Karl Schwede | submitted |
| Tziolas | Nikolaos | Smoothings of schemes with non-isolated singularities | | submitted |
| Usnich | Alexandr | On the action of the Cremona group on non-commutative ring | | submitted |

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|------------|----------|---|------------------------------|-----------|
| Vakil | Ravi | Universal covers and fundamental groups in algebraic geometry as schemes | Kirsten Wickelgren | submitted |
| Vistoli | Angelo | Stacks of trigonal curves | Michele Bolognesi | submitted |
| Wickelgren | Kirsten | Universal covering spaces and fundamental groups in algebraic geometry as schemes | Ravi Vakil | submitted |
| Wisniewski | Jaroslav | On the Kummer construction | Marco Andreatta | submitted |
| Wolf | Julia | A note on Elkin's improvement of Behrend's construction | B.J. Green | submitted |
| Wunsch | Jared | Diffraction of singularities for the wave equation on manifolds with corners | Richard Melrose, Andras Vasy | submitted |
| Xu | Chenyang | Weak Approximation for Low Degree del Pezzo Surfaces | | submitted |

3. Postdoctoral Fellows

The postdoctoral program at MSRI is central to MSRI’s mission of continued excellence in mathematics research. MSRI organizes and hosts semester-long, and two-semester-long programs that, during the time of the program, become the leading edge in that field of study. MSRI’s postdocs engage with fellow mathematicians from all over the world to develop their interests and contribute to the Science community. During the 2008-2009 academic year, MSRI selected 34 postdoctoral scholars with research interests in the programs that MSRI offers. 32 of those were NSF Postdoctoral Fellows, and 2 were funded the Viterbi Endowment. (MSRI received an endowment for postdoc support from the Viterbi Family Foundation that permits us to appoint two semester-long postdoctoral fellows each year).

There were many more excellent postdoc applicants than we could fund with our NSF Postdoctoral Fellowship (PD) budget line. The program organizers took additional funds from their allocated (NSF) budget to support an additional 37 participants that were within 5 years of having completed their Ph.D. Those were “Postdoc Research Members” (PD/RMs as opposed to NSF Postdoctoral Fellows) and received a per diem of \$2,400 per month. While they were not monetarily compensated at the same level as the NSF Postdoctoral Fellows, they received all other privileges. That is, all Postdocs were assigned a mentor upon their arrival, they participated in a weekly Postdoc seminar, and they were a vibrant part of the research community. They also had the same logistic privileges (office, library access, bus pass, etc...)

Of the 71 Postdocs (PD+ PD/RM), 25% were female, and the 37 PD/RMs, 13 were female (35%). The numbers of US Citizen and Permanent Residents were 15 (44%) and 13 (35%), respectively. It is fair to say that all organizers were extremely satisfied with the Postdoctoral program and believed that it was by all accounts an enormous success. Looking at the Institution Placement list (below), one sees that, of the 23 NSF Postdocs who stayed in the US, 18 (78%) went on to Group I Universities, 3 went to Group II Universities, and 1 went to Group B, while the other went to the Redwood Center for Theoretical Neuroscience . Of the postdocs who were not US Citizens (or Permanent Residents), most went on to prestigious institutions, such as University of Cambridge, Zurich University, and Australian National University. **Here are additional details on the NSF Postdoctoral Fellows for each program.**

Analysis on Singular Spaces:

| <i>Name</i> | <i>PhD Year</i> | <i>Degree Institute</i> | <i>AMS Group</i> | <i>MSRI - Mentor</i> | <i>Pre - MSRI</i> | <i>AMS Group</i> | <i>Placement Institute</i> | <i>AMS Group</i> | <i>Position</i> |
|--------------------|-----------------|--------------------------|------------------|----------------------|---------------------------|------------------|----------------------------|------------------|----------------------|
| Bahuaud, Eric | 2007 | University of Washington | I Public | Melrose, Richard | Universite Montpellier II | Foreign | Saint Mary's College | B | Postdoc |
| Christianson, Hans | 2007 | UC Berkeley | I Public | Wunsch, Jared | MIT | I Private | MIT | I Private | CLE Moore Instructor |
| Dryden, Emily | 2004 | Darthmouth College | II | Mazzeo, Rafe | Bucknell University | M | MIT | I Private | Visiting Scholar |
| Muller, Jom | 2008 | University of Bonn | Foreign | Hunsicker, Eugenie | University of Bonn | Foreign | University of Bonn | Foreign | Assistant Professor |
| Rochon, Frederic | 2005 | MIT | I Private | Vasy, Andras | University of Toronto | Foreign | University of Toronto | Foreign | Assistant Professor |



Eric Bahuaud

Eric received his Ph.D. from the University of Washington in 2007 under the supervision of John Lee. His dissertation was titled “Intrinsic Characterization of Asymptotically Hyperbolic Metrics.” Bahuaud completed a paper (with Romain Gicquaud) on conformal compactification of asymptotically locally hyperbolic metrics, and began a project on the complex hyperbolic version of this problem; he interacted fruitfully with John Lee and Robin Graham on this subject during the workshop. He and Emily Dryden worked together studying Melrose’s b-calculus of pseudodifferential operators under the tutelage of Paul Loya, and have embarked on a project to extend some estimates of Jeffres-Loya for the heat operator from conic manifolds to higher (edge) singularities. After his stay at MSRI, Eric Bahuaud went on to Saint Mary’s College of California.



Hans Christianson

Hans received his Ph.D. from UC Berkeley in 2007 under the supervision of Maciej Zworski. His dissertation was titled “Quantum Monodromy and Non-Concentration near a Closed Semi-hyperbolic Orbit.” Christianson completed a lengthy paper with Hur and Staffilani which breaks new ground in applying techniques imported from microlocal analysis to the water wave problem; the same authors began a further paper on Strichartz estimates for water waves. He began a project on random walks on manifolds with cusps jointly with Kiril Datchev (a UCB graduate student), Colin Guillarmou, Laurent Michel, and Frederic Naud. He undertook a joint project with Jeremy Marzuola on solitons for the nonlinear Schrodinger equation on hyperbolic space, as well as a project on semiclassical methods for the discrete damped wave equation. After his stay at MSRI, Hans went on to the Massachusetts Institute of Technology.



Emily Dryden

Emily received her Ph.D. from Dartmouth in 2004 under the supervision of Carolyn Gordon. Her dissertation was titled “Geometric and Spectral Properties of Compact Riemann Orbisurfaces.” Dryden worked on a variety of projects, mostly centered around spectral theory of orbifolds; she undertook joint work with Eric Bahuaud, with Rafe Mazzeo, and with Patrick McDonald. She and Bahuaud spent time reading foundational material on singular spaces in an informal “reading course” and she reported that the semester enabled her to expand her research program to a wider geometric setting. After her stay at MSRI, Emily went on to Bucknell University.



Jörn Müller

Jörn received his Ph.D. from the University of Bonn in 2008. He was able to complete a paper based on his thesis, on Hodge cohomology of manifolds with fibred cusps, and began work on an L^2 signature theorem. After his stay at MSRI, Jörn went on to the University of Bonn.



Frederic Rochon

Frederic received his Ph.D. from MIT in 2005 under the supervision of Richard Melrose. His dissertation was titled “Bott Periodicity for Fibred Cusp Operators.” Rochon had a number of intense collaborations both underway and undertaken in the course of the semester. He completed joint papers in index theory and K-theory with Richard Melrose and with Pierre Albin, and undertook new projects with Melrose and with Albin and Clara Aldana. He reports that a casual conversation with Daniel Grieser on the subject of pushforward theorems at the beginning of the semester later led to decisive progress in his project with Albin on the index of \bar{d} -bar operators acting on stable parabolic vector bundles of degree zero. After his stay at MSRI, Frederic went on to the University of Toronto.

Ergodic Theory and Additive Combinatorics:

| <i>Name</i> | <i>PhD Year</i> | <i>Degree Institute</i> | <i>AMS Group</i> | <i>MSRI - Mentor</i> | <i>Pre - MSRI</i> | <i>AMS Group</i> | <i>Placement Institute</i> | <i>AMS Group</i> | <i>Position</i> |
|------------------|-----------------|----------------------------|------------------|----------------------|-------------------------|------------------|----------------------------|------------------|-------------------------------------|
| Fish, Alexander | 2006 | Hebrew University | Foreign | Lind, Doug | Ohio State University | I Public | Ohio State University | I Public | Assistant Professor |
| Hochman, Michael | 2007 | Hebrew University | Foreign | Kra, Bryna | Princeton University | I Private | Princeton University | I Private | Veblen Research Instructor |
| Pete, Gabor | 2006 | UC Berkeley | I Public | Wierdl, Mate | Microsoft Research | N/A | University of Toronto | Foreign | Coxeter Assistant Professor |
| Sanders, Tom | 2007 | University of Cambridge | Foreign | Kra, Bryna | University of Cambridge | Foreign | Institut Mittag-Leffler | Foreign | Member |
| Shkredov, Ilya | 2005 | Moscow State University | Foreign | Wierdl, Mate | Moscow State University | Foreign | Moscow State University | Foreign | Assistant Professor |
| Spencer, Craig | 2008 | University of Michigan | I Public | Green, Ben | IAS | N/A | Kansas State University | II | Assistant Professor |
| Towsner, Henry | 2008 | Carnegie Mellon University | I Private | Lesigne, Emmanuel | UCLA | I Public | UCLA | I Public | Hedrick Adjunct Assistant Professor |
| Wolf, Julia | 2008 | University of Cambridge | Foreign | Host, Bernard | Rutgers University | I Public | Rutgers University | I Public | Triennial Assistant Professor |



Alexander Fish

Alexander received his Ph.D. from Hebrew University in Jerusalem in 2006 under the supervision of Harry Furstenberg. His dissertation was titled “Ramsey Properties of Subsets of $\mathbb{N}\mathbb{N}$.” He was in residence for the entire semester. He worked on 2 topics: sumset phenomenon for amenable groups and measure rigidity for dilations of polynomial curves in nilmanifolds. He finished a paper joint with Michael Bjorklund on the second topic, and the paper was accepted for publication in PAMS (Proceedings of the AMS). Together with Mathias Beiglbock and Vitaly Bergelson, who both visited MSRI during the program, he wrote a preprint “Sumset phenomenon for countable amenable groups.” He also collaborated with Ilya Shkredov, another postdoc in residence. After his stay at MSRI, Alexander went on to the Ohio State University.



Michael Hochman

Michael received his Ph.D. from Hebrew University in Jerusalem in 2007 under the supervision of Benjamin Weiss. His dissertation was titled “Combinatorial Methods in Dynamical Systems.” He was in residence the entire semester. He completed work on Rohklin properties of the space of $\mathbb{Z}d$ actions on the Cantor set. He worked with Doug Lind, a senior member in residence, identifying the directions that can be the unique non-expansive direction for a topological $\mathbb{Z}2$ action. He worked with Pablo Shmerkin on the projections of sets and measures and their dimensions, focusing on projections of products of sets and measures in \mathbb{R}/\mathbb{Z} that are invariant under $\times 2$ and $\times 3$ respectively. After his stay at MSRI, Michael went on to Princeton University.



Gabor Pete

Gabor received his Ph.D. from UC Berkeley in 2006 under the supervision of Yuval Peres. His dissertation was titled “Dependent Percolation, Critical Exponents, Anchored Isoperimetry and Random Walks.” He was in residence for the entire semester. Gabor’s main focus at MSRI was proving sparse Szemerédi type results: finding long arithmetic progressions in subsets of positive density inside sparse random sets. With Jozsef Balogh (UIUC), he developed an approach via a sparse hypergraph regularity lemma, but very recently, Conlon and Gowers have found a more elegant and universal method, so this project might get cancelled. With Pablo Shmerkin (MSRI) and Elchanan Mossel (UC Berkeley), Gabor is writing a paper about such questions in fractal percolation limit sets, improving recent results of Laba and Pramanik. In this setting, neither the Balogh-Pete nor the Conlon-Gowers method seems to work, so a more geometric approach is needed. Gabor is writing a paper with Amos Lapidot (ETH Zürich) on some applications of additive combinatorics to information theory, and he is developing some ideas to prove a conjecture of Bergelson: a group is amenable if and only if any set of measurable recurrence is also of topological recurrence. Slightly related to the MSRI program, he finished a paper with Volodia Nekrashevych (Texas A&M) on scale-invariant groups, and is working on some applications of discrete Fourier analysis to statistical physics (joint with Christophe Garban (ENS Paris), Alan Hammond (NYU), Oded Schramm (Microsoft) and Jeff Steif (Chalmers)). Finally, Gabor finished a paper on using probabilistic game theory to understand a degenerate elliptic PDE, jointly with Yuval Peres (Microsoft) and Stephanie Somersille (UC Berkeley). After his stay at MSRI, Gabor went on to the University of Toronto.



Tom Sanders

Tom received his Ph.D. from the University of Cambridge in 2007 under the supervision of William Gowers. His dissertation was titled “Topics in Arithmetic Combinatorics.” He was in residence for the entire semester and during this time worked in two areas. He worked on non-abelian analogs of the celebrated structural theorems of Freiman, and on improving estimates for the ‘roughness’ of integral valued functions on finite abelian groups. After his stay at MSRI, Tom went on to the Mittag-Leffler Institute.



Ilya Shkredov

Ilya received his Ph.D. from Moscow State University in 2005. He was in residence for the entire semester. Ilya Shkredov fruitful discussions with other MSRI members including Alexander Fish, Tom Sanders, Mathew Beiglböck, and Michael Boshernitzan. He gave an answer to a question of Mathew Beiglböck concerning some non-linear coloring problem in $\mathbb{Z}/p\mathbb{Z}$ and wrote an article about that. In the near future, Ilya Shkredov and Alexander Fish will finish writing a joint paper on solution of some equations in normal sets. Also he finished his own project on dense sets without some two-dimensional configurations (more than 100 pages long paper). After his stay at MSRI, Ilya went on to Moscow State University.



Craig Spencer

Craig received his Ph.D. from the University of Michigan in 2008 under the supervision of Trevor Wooley and Donald Lewis. His dissertation was titled “Analytic Methods for Diophantine Problems.” He was in residence for one month at MSRI. He will be an Assistant Professor at Kansas State University after finishing at IAS from September 2008 to July 2009. After his stay at MSRI, Craig went on to Kansas State University.



Henry Towsner

Henry received his Ph.D. from Carnegie Mellon University in 2008. He was in residence for the entire semester. During the semester at MSRI, Henry Towsner worked with Jeremy Avigad on “unwinding” the use of the Furstenberg-Zimmer structure theorem in proofs of Szemerédi’s Theorem and its generalizations. A draft on the application to Szemerédi’s Theorem is almost finished. He also began an investigation with Mathias Beiglböck on converting proofs of combinatorial statements using ultrafilters into purely combinatorial proofs; the first result was a new proof of Hindman’s Theorem. Finally, Towsner began investigating generalizations of the Furstenberg correspondence, developing a correspondence principle which preserves the Gowers uniformity norms. After his stay at MSRI, Henry went on to UCLA.



Julia Wolf

Julia received her Ph.D. from the University of Cambridge in 2008 under the supervision of William Gowers. Her dissertation was titled “Arithmetic Structure in Sets of Integers.” She was in residence for the entire semester. During her time at MSRI Julia Wolf concentrated on using higher-order Fourier analysis to prove refined decomposition theorems for bounded functions in both cyclic groups and finite field geometries. This work, which was carried out in collaboration with W.T. Gowers (in residence at MSRI for two weeks) led to rough drafts in both cases, with applications to counting solutions to certain systems of linear equations in these settings. She also submitted a note on Elkin’s improvement of Behrend’s construction with B.J. Green (in residence at MSRI for 5 weeks), and a second one on Behrend’s construction for longer progressions in finite fields with Y. Lin (Stanford University). After her stay at MSRI, Julia went on to Rutgers University.

Algebraic Geometry:

| <i>Name</i> | <i>PhD Year</i> | <i>Degree Institute</i> | <i>AMS Group</i> | <i>MSRI - Mentor</i> | <i>Pre - MSRI</i> | <i>AMS Group</i> | <i>Placement Institute</i> | <i>AMS Group</i> | <i>Position</i> |
|-----------------------------|-----------------|------------------------------------|------------------|----------------------|--|------------------|--|------------------|--------------------------------------|
| Alper, Jarod | 2008 | Stanford University | I Private | Vistoli, Angelo | Columbia University | I Private | Columbia University | I Private | Instructor |
| Araujo, Carolina | 2004 | Princeton University | I Private | Alexeev, Valery | IMPA | Foreign | IMPA | Foreign | Postdoc |
| Asok, Aravind | 2006 | Princeton University | I Private | Totaro, Burt | University of Washington | I Public | UCLA | I Public | Hedrick Assistant Professor |
| Bayer, Arend | 2006 | Max Planck Institut für Mathematik | Foreign | Behrend, Kai | University of Utah | I Public | University of Connecticut | II | Assistant Professor |
| Beheshti Zavareh, Roya | 2003 | MIT | I Private | Faber, Carel | Queen's University | Foreign | Washington University | I Public | Assistant Professor |
| Casalaina-Martin, Sebastian | 2004 | Columbia University | I Private | Kollar, Janos | Harvard University | I Private | University of Colorado at Boulder | II | Assistant Professor |
| Cautis, Sabin | 2006 | Harvard University | I Private | Abramovich, Dan | Rice University | I Private | Columbia University | I Private | Joseph Fels Ritt Assistant Professor |
| Chen, Dawei | 2008 | Harvard University | I Private | Vakil, Ravi | Harvard University | I Private | University of Illinois at Chicago | I Public | Research Assistant Professor |
| Easton, Robert | 2007 | Stanford University | I Private | Caporaso, Lucia | University of Utah | I Public | University of Utah | I Public | Assistant Professor |
| Greb, Daniel | 2008 | Ruhr-Universität Bochum | Foreign | Kovacs, Sandor | Mathematisches Institut der Universität zu Koeln | Foreign | Albert-Ludwigs-Universität Freiburg | Foreign | Assistant Professor |
| Hogadi, Amit | 2007 | Princeton University | I Private | Reid, Miles | Tata Institute of Fundamental Research | Foreign | Tata Institute of Fundamental Research | Foreign | Faculty Member |
| Kaloghiros, Anne-Sophie | 2007 | University of Cambridge | Foreign | Ein, Lawrence | University of Cambridge | Foreign | University of Cambridge | Foreign | Research Fellow |
| Laza, Radu | 2006 | Columbia University | I Private | Corti, Alessio | University of Michigan | I Public | Rice University | I Private | Assistant Professor |
| Oprea, Dragos | 2005 | MIT | I Private | Bryan, Jim | Stanford University | I Private | UCSD | I Public | Assistant Professor |
| Smyth, David | 2008 | Harvard University | I Private | Eisenbud, David | Harvard University | I Private | Harvard University | I Private | Junior Faculty |

| <i>Name</i> | <i>PhD Year</i> | <i>Degree Institute</i> | <i>AMS Group</i> | <i>MSRI - Mentor</i> | <i>Pre - MSRI</i> | <i>AMS Group</i> | <i>Placement Institute</i> | <i>AMS Group</i> | <i>Position</i> |
|-------------------|-----------------|--|------------------|----------------------|--|------------------|----------------------------|------------------|------------------------|
| Todorov, Gueorgui | 2008 | University of Utah | I Public | McKernan, James | University of Utah | I Public | Princeton University | I Private | Instructor |
| Tseng, Hsian-Hua | 2005 | UC Berkeley | I Public | Lee, Yuan-Pin | University of Wisconsin - Madison | I Public | Ohio State University | I Public | Assistant Professor |
| Usnich, Alexandr | 2008 | Université Pierre et Marie Curie - Paris 6 | Foreign | Pandharipande, Rahul | Université Pierre et Marie Curie - Paris 6 | Foreign | Zurich University | Foreign | Assistant Professor |
| Xu, Chenyang | 2008 | Princeton University | I Private | Kebekus, Stefan | MIT | I Private | MIT | I Private | C L E Moore Instructor |



Jarod Alper

Jarod Alper received his Ph.D. from Stanford University in 2008 under the supervision of Ravi Vakil. His dissertation was titled “Good Moduli Spaces for Artin Stacks.” At MSRI his mentor was Angelo Vistoli. Jarod Alper worked on extending his thesis work on good moduli spaces in an attempt to give intrinsic constructions of moduli schemes parameterizing objects with non-finite automorphism groups. He worked on a project joint with David Smyth and Fred van der Wyck on giving moduli interpretations of log-canonical models of the moduli space of stable curves. After his stay at MSRI, Alper went on to Columbia University.



Carolina Araujo

Carolina Araujo received her Ph.D. from Princeton University in 2004 under the supervision of János Kollár. Her dissertation was titled “The Variety of Tangents to Rational Curves.” At MSRI her mentor was Valery Alexeev. At MSRI she worked on a joint project with Ana-Maria Castravet about Fano manifolds with positive Chern characters. She also worked on a project concerning flat deformations of projective spaces. She gave a talk at the postdoc seminar. She also participated in a working group with other postdocs about rationally simply connected varieties. After her stay at MSRI, Carolina went on to IMPA.



Aravind Asok

Aravind Asok received his Ph.D. from Princeton University in 2004 under the supervision of Robert MacPherson. His dissertation was titled “Vector Bundles on Certain G-Varieties.”

At MSRI his mentor was Burt Totaro. While at MSRI, Asok continued his collaborations with B. Doran (ETH, Zurich) and F. Morel (Ludwig-Maximilians Universitaet, Muenchen) regarding applications of techniques of homotopy theory to problems in algebraic geometry using the A_1 -homotopy theory developed by F. Morel and V. Voevodsky. The main accomplishment, joint with F. Morel, was a better understanding of some aspects of the Voevodsky-Rost theorem (nee Milnor conjecture) with applications to construction of unirational, non-rational varieties for which non-rationality is detected by specific “higher” cohomological obstructions. Furthermore, Asok contributed a short presentation at the MSRI Academic Sponsors day, and attended various informal seminars (e.g., the seminar on the de Jong-Starr work on rational simple connectivity) together with the various conferences. After his stay at MSRI, Aravind went on to UCLA.



Arend Bayer

Arend Bayer received his Ph.D. from Max Planck Institut für Mathematik in 2006 under the supervision of Yuri Manin. His dissertation was titled “Semisimple Quantum Cohomology, Deformations of Stability Conditions and the Derived Category.” At MSRI his mentor was Kai Behrend. At the beginning of the semester, Arend Bayer gave two talks in the Post-Doc seminar that gave introductions to Bridgeland stability conditions and wall-crossing. Together with Emanuele Macri (University of Utah), he studied the space of stability conditions on the local P^2 . In this joint work, they determined topological properties of the space, and used it to prove a theorem on the autoequivalences of its derived category. For this project, Arend Bayer benefited directly from conversations with Richard Thomas and Hiroshi Iritani (Imperial College) at the MSRI. He started collaborations with Gueorgui Todorov (Princeton University) on stability conditions for higher-dimensional varieties, and with Renzo Cavalieri (Colorado State University) and Margarida Melo (Universit`a Roma Tre) on Hurwitz numbers, and he had many more informal discussion with other members and visitors to the MSRI. After his stay at MSRI, Arend went on to the University of Connecticut.



**Roya Beheshti
Zavareh**

Roya Beheshti Zavareh received her Ph.D. from the Massachusetts Institute of Technology in 2003 under the supervision of A. Johan de Jong. Her dissertation was titled “Lines on Fano Hypersurfaces.” At MSRI her mentor was Carel Faber. She collaborated with David Eisenbud (UC Berkeley) working on a project on the regularity of fibers of general projections. She also continued her work on birational geometry of spaces of rational curves on hypersurfaces and gave a talk in the post-doc seminar on this work. Along with a few other post-doc members, she helped running a reading group to study the new results of J. de Jong and J. Starr on rationally simply connectedness of low degree hypersurfaces. After her stay at MSRI, Roya went on to Washington University in St. Louis



**Sebastian
Casalaina-Martin**

Sebastian Casalaina-Martin received his Ph.D. from Columbia University in 2004 under the supervision of Robert Friedman. His dissertation was titled “Singularities of the Prym Theta Divisor and Applications to Cubic Threefolds.” At MSRI his mentor was Janos Kollar. In joint work with Radu Laza, he investigated the moduli space of stable genus four curves, and its relationship to both a ball quotient constructed by Kondo, as well as the discriminant locus in the moduli space of cubic threefolds. They also investigated curves with singularities of type ADE. This is work in progress and will be posted to the arxiv shortly. In another project, with Jesse Kass (Harvard University), he studied the geometry of theta divisors of integral curves. A manuscript with these results will be posted on the arxiv shortly. This project has led to work (in progress) on theta divisors of nodal, but possibly reducible curves. This is joint with Lucia Caporaso (University of Rome III), Filippo Viviani (University of Rome III), and Jesse Kass. Casalaina-Martin also gave a lecture on his work in the Algebraic Geometry Seminar at the University of British Columbia. After his stay at MSRI, Sebastian went on to the University of Colorado at Boulder.



Sabin Cautis

Sabin Cautis received his Ph.D. from Harvard University in 2006 under the supervision of Joseph Harris. His dissertation was titled “Extending Families of Curves: Monodromy and Applications.” At MSRI his mentor was Dan Abramovich. He constructed equivalences between categories associated to birational varieties (more precisely, varieties related by stratified flops). He also studied examples of 2-representations in algebraic geometry and looked for a way to prove braid group relations from categorical Lie algebra actions. He worked in part with Joel Kamnitzer from University of Toronto and Anthony Licata from Stanford University. After his stay at MSRI, Sabin went on to Columbia University.



Dawei Chen

Dawei Chen received his Ph.D. from Harvard University in 2008 under the supervision of Joseph Harris. His dissertation was titled “Covers of Elliptic Curves and the Lower bound for Slopes of Effective Divisors on the Moduli Space of Curves.” At MSRI his mentor was Ravi Vakil. He finished a paper about linear series on ribbons and another one about the birational geometry of the moduli space of stable maps. The latter was done in collaboration with Izzet Coskun (UIC) and Charley Crissman (Berkeley). He also gave a talk about Mori’s program on moduli spaces in the postdoc seminar. After his stay at MSRI, Dawei went on to the University of Illinois at Chicago.



Robert Easton

Robert Easton received his Ph.D. from Stanford University in 2007 under the supervision of Ravi Vakil. His dissertation was titled “S3-covers of schemes.” At MSRI his mentor was Lucia Caporaso. He formed a new collaboration with fellow MSRI postdoc Jarod Alper, working on the existence of good moduli spaces. He was also chair of the postdoc seminar, and gave a short talk about covers and quotients at the MSRI Academic Sponsor Day. After his stay at MSRI, Robert went on to the University of Utah.



Daniel Greb

Daniel Greb received his Ph.D. from Ruhr-University Bochum in 2008 under the supervision of Peter Heinzner. His dissertation was titled “Projectivity of Analytic Hilbert Quotients.” At MSRI his mentor was Sandor Kovacs.

Greb’s work during his time at MSRI focused on two different areas: extension results for differential forms on higher-dimensional algebraic varieties and singularities of analytic Hilbert quotients.

In the first area he collaborated with S. Kebekus (Albert-Ludwigs-Universität-Freiburg), S. Kovács (University of Washington), and Th. Peternell (Universität-Bayreuth). All three of his collaborators also visited MSRI during the Algebraic Geometry Program. Building on their previous work for low-dimensional varieties they proved the following extension result which will appear in a forthcoming preprint: Theorem: Let X be a variety with log canonical singularities and let σ be a differential form defined on the smooth locus of X . Then, σ extends to a differential form with at worst logarithmic poles along exceptional divisors on any resolution of singularities of X .

This result, its history, and parts of its proof were discussed by Greb during a talk in the MSRI Postdoc Seminar.

In addition, Greb worked on a generalisation and refinement of classical results of Boutot on the rationality of algebraic quotient singularities to the holomorphic setup. His results about rational singularities and (analytic Hilbert) quotients by holomorphic group actions will soon appear as a preprint.

Furthermore, Greb and Kovács’ grad student Zsolt Patakfalvi formed a reading group studying period domains and variations of Hodge structures.

Supported in part by MSRI postdoc travel money he visited the University of Washington to present his research in the Algebra and Algebraic Geometry Seminar. After his stay at MSRI, Daniel went on to the University of Freiburg.



Amit Hogadi

Amit Hogadi received his Ph.D. from Princeton University in 2007 under the supervision of Janos Kollar. His dissertation was titled “Topics in Birational Geometry.” At MSRI his mentor was Miles Reid. He worked on two problems during his stay at MSRI: One was about calculation of Brauer group of moduli space (and moduli stack) of $PGL(n)$ bundles (joint with Indranil Biswas) and the other problem (joint with Chenyang Xu, who was also a postdoc at MSRI) was on Homotopy limits and derived categories of Deligne Mumford stacks. Apart from these, he participated in a special seminar run by post-docs on Rational Simple Connectedness. After his stay at MSRI, Amit went on to the Tata Institute of Fundamental Research.



**Anne-Sophie
Kaloghiros**

Anne-Sophie Kaloghiros received her Ph.D. from the University of Cambridge in 2007 under the supervision of Alessio Corti. Her dissertation was titled “The Topology of Terminal Quartic 3-Folds.” At MSRI her mentor was Lawrence Ein. While at MSRI, she carried on her work on non-factorial terminal Gorenstein Fano 3-folds. She made the final corrections to her article “The Defect of Fano 3-folds”, and she wrote a first version of the preprint “A classification of terminal quartic 3-folds and some remarks on rationality”. She gave a talk in the postdoc seminar on these problems. Second, she took part to the Stacks working group and to a working group on the work of de Jong and Starr on notions of (simple) rational connectedness and the study of rational curves. Last, she worked with G. Todorov (Princeton) and C. Xu (MIT) on some (still vague) problems related to boundedness for 3-folds. After her stay at MSRI, Anne-Sophie went on to University of Cambridge.



Radu Laza

Radu Laza received his Ph.D. from Columbia University in 2006 under the supervision of Robert Friedman. His dissertation was titled “Deformations of Singularities and Variations of GIT Quotients.” At MSRI his mentor was Alessio Corti. Prior to his visit at MSRI, he was a postdoc at the University of Michigan. The period that he spent at MSRI has partially overlapped with his job search. One big advantage of being at MSRI was flexibility to travel. During his period at MSRI, he gave 6 research talks: at the conference on “Arithmetic Algebraic Geometry related to moduli spaces” (Tokyo 19-23), UC Davis, U Wisconsin, Stony Brook, UNC, and the main seminar at MSRI.

At MSRI, he worked on three projects. The first project, joint with S. Casalaina-Martin, regards the birational geometry of the moduli space of genus 4 curves. This project is close to completion, and it is almost entirely a result of the research conducted at MSRI. He will talk about the results of this projects in June at the “Moduli and discrete groups conference” in Kyoto (June 8-12) and expects that a paper will be submitted by the end of the summer. The main results of the project include an explicit simultaneous semi-stable reduction for curves with ADE singularities, and an explicit and geometric resolution of the bi-rational map between the ball quotient model for M_4 constructed by Kondo and Deligne-Mumford compactification M_4 .

A second project that he worked on is about the geometric compactification of the moduli space of polarized K3 surfaces. This is a longer term project. First, discussions with P. Hacking allowed him to expand the results from my previous paper “Triangulations of the sphere and degenerations of K3 surfaces” (on the combinatorics of degenerations of K3 surfaces). It is likely that the new results will be merged with the triangulation paper to form a joint paper with P. Hacking (to be submitted this summer). Secondly, he continued work on the geometric compactification for K3 surfaces along the lines of Kollár–Shepherd-Barron–Alexeev program (of compactifying the moduli space of log general type varieties). By the end of this year, he expects complete results about a geometric compactification in the case of low degree K3 surfaces.

The third project that he worked on is about the moduli of hyperkaehler manifolds. This is related with his previous work on “Moduli of cubic fourfolds”. Parts of this project are in collaboration with K. O’Grady. The semester at MSRI gave him the opportunity to discuss at length with K. O’Grady.

In conclusion, the semester at MSRI gave him the ample opportunities to discuss with his collaborators or potential collaborators (S. Casalaina-Martin, P. Hacking, K. O’Grady). He also discussed (re-lated to his research projects) with B. Hassett, C. Voisin, S. Keel, D. Smyth, D. Hyeon, etc. Beside people directly related to his research, he had countless discussions with numerous people in the community, from senior faculty to graduate students. For instance, one result of these discussions was the decision to organize a NorthEastern regional algebraic meeting (somewhat modeled on WAGS) starting this fall. In the beginning, the core school involved will be Stony Brook (R. Laza), Amherst (J. Tevelev), and UConn



Dragos Oprea

Dragos Oprea received his Ph.D. from the Massachusetts Institute of Technology in 2005 under the supervision of Gang Tian. His dissertation was titled “The Tautological Classes on the Moduli Space of Stable Maps to Flag Varieties.” At MSRI his mentor was Jim Bryan. Dragos Oprea worked on two papers: “On the strange duality conjecture for elliptic K3 surfaces,” written with Alina Marian (UIC), and “The moduli space of stable quotients,” written with Alina Marian (UIC) and Rahul Pandharipande (Princeton). The papers can be found on the arxiv: arXiv:0902.3052, arXiv:0904.2992. He also gave a lecture entitled “The Verlinde bundles in higher genus” during the workshop Modern Moduli Theory. After his stay at MSRI, Dragos went on to UC San Diego.



David Smyth

David Smyth received his Ph.D. from Harvard University in 2008 under the supervision of Joseph Harris. His dissertation was titled “Compact Moduli of Singular Curves: A Case Study in Genus One.” At MSRI his mentor was David Eisenbud. During his semester at MSRI, David Smyth collaborated with Jarod Alper (Columbia) and Fred van der Wyck (Harvard) on a project aimed at constructing certain moduli spaces of curves without using geometric invariant theory. He also gave a lecture at the Modern Moduli workshop and served on the speakers committee. After his stay at MSRI, David went on to Harvard University.



Gueorgui Todorov

Gueorgui Todorov received his Ph.D. from the University of Utah in 2008 under the supervision of Aaron Bertram. His dissertation was titled “The Gromov-Witten potential of local projective line with weights one and two and pluricanonical maps on threefolds of general type.” At MSRI his mentor was James McKernan. He collaborated with Renzo Cavalieri on the Gromov-Witten potential of a partial resolution of an A_2 singularity. They proved that the resulting potential matches the potential of the A_2 singularity and also that of the resolution. Together with Anne-Sophie Kaloghiros and Chenyang Xu he was running a working group on birational geometry. He also collaborated and discussed various topics with Arend Bayer, Aaron Bertram, Dawei Chen, Christopher Hacon, Tommaso deFernex. After his stay at MSRI, Gueorgui went on to Princeton University.



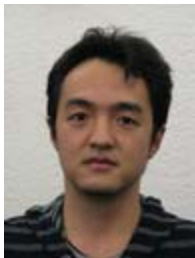
Hsian-Hua Tseng

Hsian-Hua Tseng received his Ph.D. from UC Berkeley in 2005 under the supervision of Alexander Givental. His dissertation was titled “Quantum Riemann-Roch, Lefschetz and Serre Theorems for Orbifold Gromov-Witten Theory.” At MSRI his mentor was Yuan-Pin Lee. Hsian-Hua studied Gromov-Witten theory of orbifolds while at MSRI. He calculated some genus 1 degree 0 Gromov-Witten invariants of Deligne-Mumford stacks. He collaborated with Yunfeng Jiang (University of Utah) and Elena Andreini (MPI-Bonn) on Gromov-Witten theory of product stacks and Gromov-Witten theory of root gerbes. They have written a paper with results on calculations of Gromov-Witten invariants of product stacks. He collaborated with Jeff Brown (UC Berkeley) on proving Virasoro constraints for Gromov-Witten invariants of toric bundles. He collaborated with Tom Coates (Imperial college London), Alessio Corti (Imperial college London) and Hiroshi Iritani (Imperial college London) on calculations of Gromov-Witten invariants of toric Deligne-Mumford stacks. He also gave a lecture at the postdoc seminar on Givental’s formalism in Gromov-Witten theory. After his stay at MSRI, Hsian-Hua went on to the Ohio State University.



Alexandr Usnich

Alexandr Usnich received his Ph.D. from Universite Paris VI in 2008 under the supervision of Maxim Kontsevich. His dissertation was titled “On the Cremona Group and its Subgroups.” At MSRI his mentor was Rahul Pandharipande. Alexandr Usnich worked on the Donaldson-Thomas invariants for 3 Calabi-Yau categories and on cluster mutations in non-commutative setting. After his stay at MSRI, Alexandr went on to the University of Zurich.



Chenyang Xu

Chenyang Xu received his Ph.D. from Princeton University in 2008 under the supervision of Janos Kollar. His dissertation was titled “Topics on Rationally Connected Varieties.” At MSRI his mentor was Stefan Kebekus. While Chenyang was at MSRI, he finished 2 papers: one showed the weak approximation holds for some del Pezzo surfaces; the other was a joint work with Amit Hogadi, who was also in MSRI, on properties of derived categories of stacks. He gave two lectures there: one in the post-doc seminar, one in the period seminar. He also worked on some other problems, which will become papers in future. After his stay at MSRI, Chenyang Xu went on to the Massachusetts Institute of Technology.

Complementary Program 08-09:

| <i>Name</i> | <i>PhD Year</i> | <i>Degree Institute</i> | <i>AMS Group</i> | <i>MSRI - Mentor</i> | <i>Pre - MSRI</i> | <i>AMS Group</i> | <i>Placement Institute</i> | <i>AMS Group</i> | <i>Position</i> |
|---------------------|-----------------|-------------------------|------------------|----------------------|----------------------|------------------|---|------------------|-----------------|
| Hillar, Christopher | 2005 | UC Berkeley | I Public | N/A | Texas A&M University | II | Redwood Center for Theoretical Neuroscience | Other | N/A |
| Xu, Feng | 2008 | Duke University | I Private | N/A | Duke University | II | Australian National University | Foreign | N/A |



Christopher Hillar

Christopher received his Ph.D. from Berkeley in 2005 under the supervision of Bernd Sturmfels. His dissertation was titled “Solving Polynomial Systems with Special Structure.” In his time at MSRI Christopher worked on applications of compressed sensing to sparse coding with Fritz Sommer at the Redwood Institute for Theoretical Neuroscience. They have submitted their work to a NIPS conference and will be finishing up a journal article in the next month. He has also been collaborating with Lek-Heng Lim at Berkeley on the computational complexity of tensor decompositions. They should also have a paper out in the next few months. Finally, he has been working with Pentti Kanerva and Fritz Sommer on the mathematics underlying a new computational paradigm, "Hyperdimensional Computing." With it, they hope to understand and model complex systems that appear to be Turing incompatible. After his stay at MSRI, Christopher went on to the Redwood Center for Theoretical Neuroscience.



Feng Xu

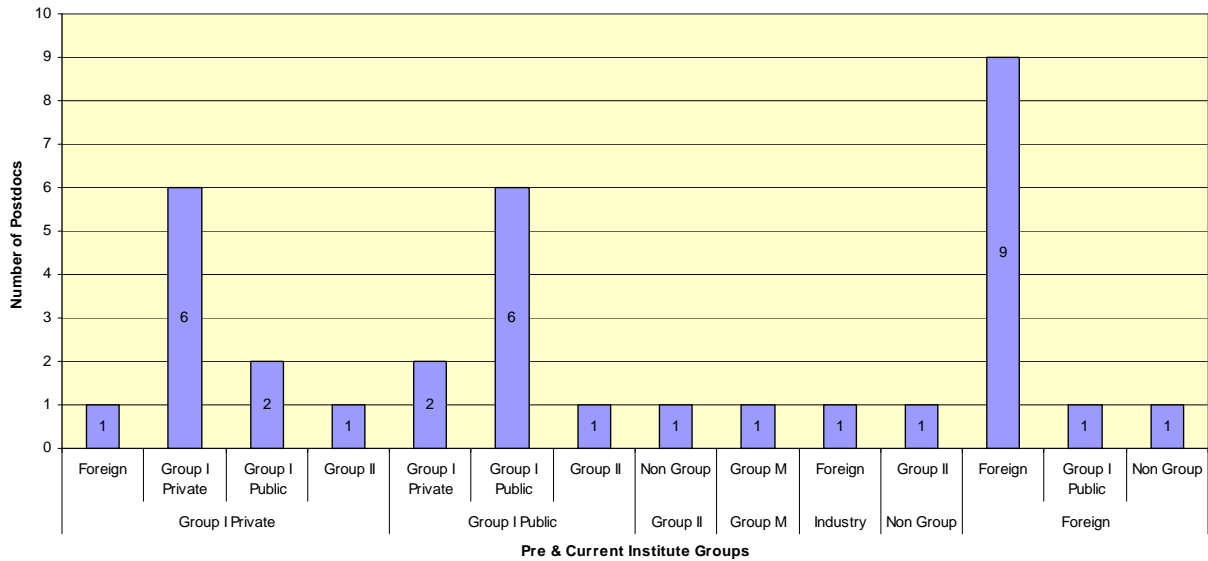
Feng received his Ph.D. from Duke University in 2008 under the supervision of Robert L. Bryant. His dissertation was titled “Harmonic Morphisms with Totally Geodesic Fibers.” He was in residence for the entire academic year. He worked on two topics: G_2 -structures and G_2 flows, and prescribing generalized Ricci curvatures. He finished a paper joint with Robert Bryant on the first topic. He published a paper titled "Pseudo-holomorphic curves in nearly Kähler CP^3 " in Differential Geometry and Its Application. He also submitted another paper to Communications in Analysis and Geometry. He has obtained some interesting results on the second topic and is writing a paper. He also visited Professor Rugang Ye at UCSB for two days and started a collaboration on the first topic. After his stay at MSRI, Feng went on to the Australian National University.

3.1 Postdoctoral Fellow Placement List

| Family Name | First Name | Placement Institution | Placement Department | Placement Position | MSRI Mentor | Placement State | Placement Country | Program |
|------------------|-------------|--|-------------------------|--------------------------------|---------------------|-----------------|-------------------|---------|
| Alper | Jarod | Columbia University | Mathematics | Faculty/Postdoc | Angelo Vistoli | NY | US | AG |
| Araujo | Carolina | Instituto Nacional de Matemática Pura e Aplicada | Mathematics | Adjoint Researcher | Valery Alexeev | | BZ | AG |
| Asok | Aravind | UCLA | Mathematics | Faculty/Postdoc | Burt Totaro | CA | US | AG |
| Bayer | Arend | University of Connecticut | Mathematics | Assistant Professor (Lecturer) | Kai Behrend | CT | US | AG |
| Beheshti Zavareh | Roya | Washington University in St. Louis | Mathematics | Faculty/Postdoc | Carel Faber | MO | US | AG |
| Casalaina-Martin | Sebastian | University of Colorado at Boulder | Mathematics | Faculty/Postdoc | Janos Kollar | CO | US | AG |
| Cautis | Sabin | Columbia University | Mathematics | G.C. Evans Instructor | Dan Abramovich | NY | US | AG |
| Chen | Dawei | University of Illinois at Chicago | Mathematics | Faculty/Postdoc | Ravi Vakil | IL | US | AG |
| Easton | Robert | University of Utah | Mathematics | Assistant Professor | Lucia Caporaso | UT | US | AG |
| Greb | Daniel | University of Freiburg | Mathematisches Institut | Faculty/Postdoc | Sandor Kovacs | | DE | AG |
| Hogadi | Amit | Tata Institute of Fundamental Research | Mathematics | Postdoctoral Research Fellow | Miles Reid | | IN | AG |
| Kaloghros | Anne-Sophie | University of Cambridge | Mathematics | Junior Research Fellow | Lawrence Ein | | UK | AG |
| Laza | Radu | Rice University | Mathematics | Assistant Prof. (postdoc) | Alessio Corti | TX | US | AG |
| Oprea | Dragos | UC San Diego | Mathematics | Faculty/Postdoc | Jim Bryan | CA | US | AG |
| Smyth | David | Harvard University | Mathematics | Faculty/Postdoc | David Eisenbud | MA | US | AG |
| Todorov | Gueorgui | Princeton University | Mathematics | Faculty/Postdoc | James McKernan | NJ | US | AG |
| Tseng | Hsian-Hua | Ohio State University | Mathematics | Faculty/Postdoc | Yuan-Pin Lee | OH | US | AG |
| Usnich | Alexandr | University of Zurich | Mathematics | Faculty/Postdoc | Rahul Pandharipande | | CH | AG |

| | | | | | | | | |
|--------------|------------------|---|-------------|------------------------------|-------------------|----|----|----------|
| Xu | Chenyang | Massachusetts Institute of Technology | Mathematics | Faculty/Postdoc | Stefan Kebekus | MA | US | AG |
| Bahuaud | Eric | Saint Mary's College of California | Mathematics | CNRS Postdoctoral researcher | Richard Melrose | CA | US | AOSS |
| Christianson | Hans | Massachusetts Institute of Technology | Mathematics | Lecturer | Jared Wunsch | MA | US | AOSS |
| Dryden | Emily | Bucknell University | Mathematics | Assistant Professor | Rafe Mazzeo | PA | US | AOSS |
| Muller | Jorn | University of Bonn | Mathematics | Faculty/Postdoc | Eugenie Hunsicker | | DE | AOSS |
| Rochon | Frederic | University of Toronto | Mathematics | Assistant Professor | András Vasy | ON | CA | AOSS |
| Hillar | Christopher | Redwood Center for Theoretical Neuroscience | Mathematics | N/A | Fritz Sommer | CA | US | CP 08-09 |
| Xu | Feng | Australian National University | Mathematics | Faculty/Postdoc | Robert Bryant | | AU | CP 08-09 |
| Fish | Alexander | Ohio State University | Mathematics | Faculty/Postdoc | Doug Lind | OH | US | ETAC |
| Hochman | Michael | Princeton University | Mathematics | Veblen Research Instructor | Bryna Kra | NJ | US | ETAC |
| Pete | Gabor | University of Toronto | Mathematics | Assistant Professor | Mate Wierdl | ON | CA | ETAC |
| Sanders | Tom | Mittag-Leffler Institute | Mathematics | Research Fellow | Bryna Kra | | SE | ETAC |
| Shkredov | Ilya Dmitrievich | Moscow State University | Mathematics | N/A | Mate Wierdl | | RU | ETAC |
| Spencer | Craig | Kansas State University | Mathematics | Faculty/Postdoc | Ben Green | KS | US | ETAC |
| Towsner | Henry | University of California Los Angeles | Mathematics | Assistant Adjunct Professor | Emmanuel Lesigne | CA | US | ETAC |
| Wolf | Julia | Rutgers University | Mathematics | Assistant Professor | Bernard Host | NJ | US | ETAC |

**Postdocs 08-09 Pre & Current MSRI
Institute Group**



HIGHLIGHTS:

Of the 10 postdocs who came from Group I Private Institutions, 6 are currently at a Group I Private Institutions. The other are split among Group II Institutions and Foreign Institution.

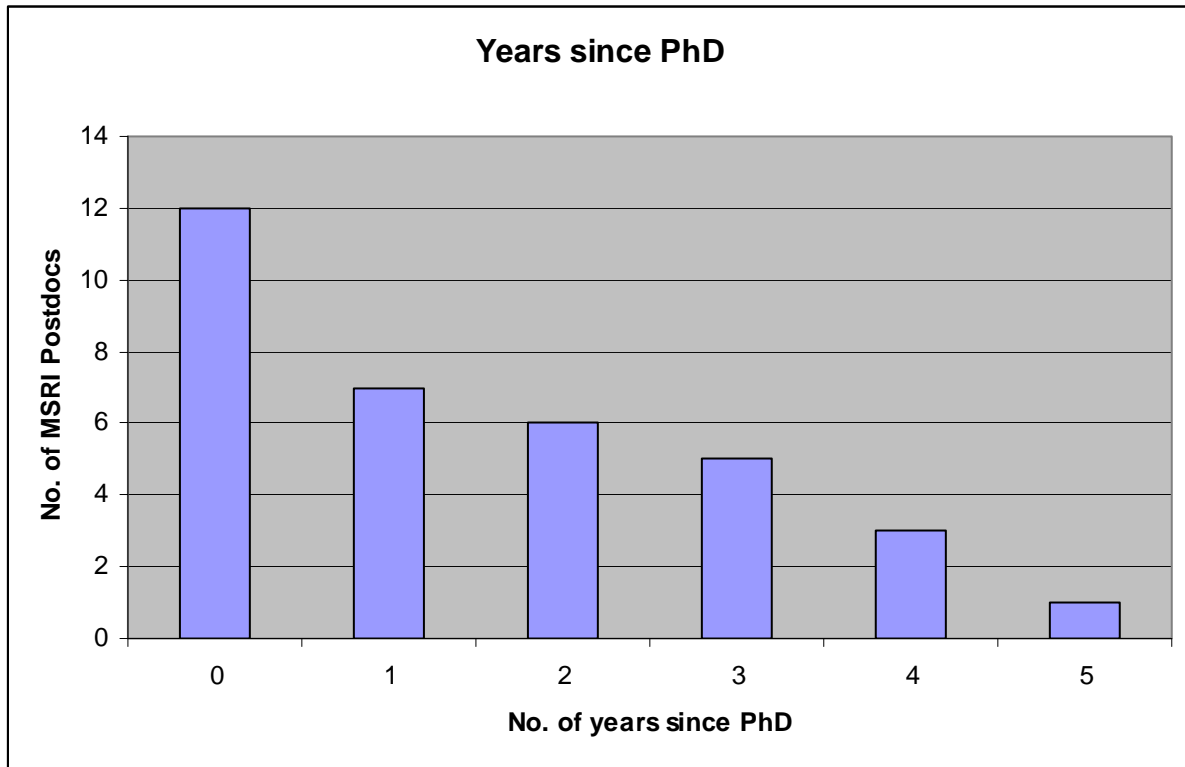
Of the 9 postdocs who came from Group I Public Institutions, 6 are currently at Group I Public Institutions, and 2 are at Group I Private Institutions.

Of the 11 postdocs who came from Foreign Institutions, 9 returned to Foreign Institutions.

3.2 Postdoctoral Fellow Participant Summary

| Name of Activity | # of PD | # of Citizens & Per Res | % | # of Female | % | # of Minorities | % | # of US Home Institution | % |
|--|-----------|-------------------------|------------|-------------|------------|-----------------|-----------|--------------------------|------------|
| Algebraic Geometry | 19 | 8 | 42% | 3 | 16% | 1 | 5% | 14 | 74% |
| Analysis on Singular Spaces | 5 | 3 | 60% | 1 | 20% | - | 0% | 2 | 40% |
| Complementary Program 08/09 | 2 | 1 | 50% | - | 0% | 1 | 50% | 2 | 100% |
| Ergodic Theory and Additive Combinatorics | 8 | 3 | 38% | 1 | 13% | - | 0% | 5 | 63% |
| Total Number of Distinct Postdoc Fellow | 34 | 15 | 44% | 5 | 15% | 2 | 6% | 23 | 68% |

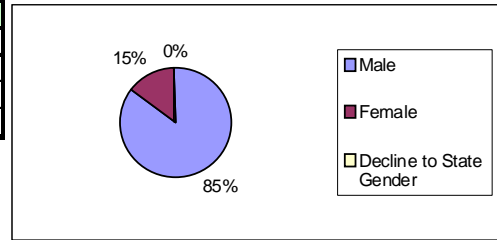
| Yrs Since PhD | 08-09 |
|-----------------------------|-----------|
| 0 | 12 |
| 1 | 7 |
| 2 | 6 |
| 3 | 5 |
| 4 | 3 |
| 5 | 1 |
| Total 08-09 Postdocs | 34 |



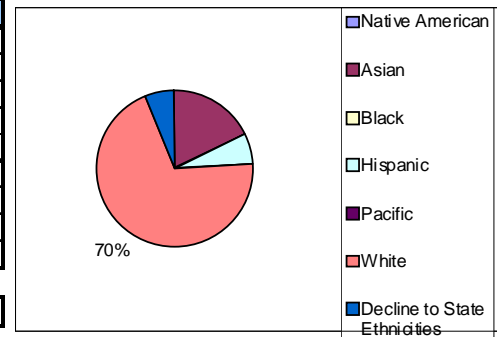
3.3 Postdoctoral Fellow Demographic Data

4 Programs Postdoctoral Fellow Summary

| Gender | # | % (No Decl.)* | % |
|-----------------------------|----|---------------|--------|
| No. of Postdoctoral Fellows | 34 | | 100.0% |
| Male | 29 | 85.29% | 85.3% |
| Female | 5 | 14.71% | 14.7% |
| Decline to State Gender | 0 | | 0.0% |

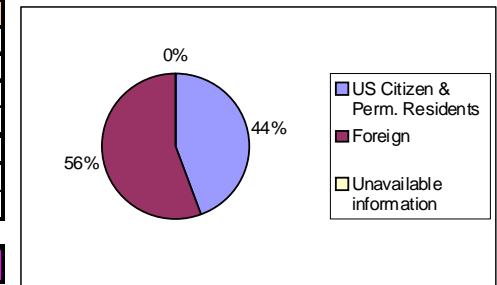


| Ethnicities | # | % (No Decl.)* | % |
|-----------------------------------|----|---------------|--------|
| Native American | 0 | 0.00% | 0.0% |
| Asian | 6 | 19.35% | 17.6% |
| Black | 0 | 0.00% | 0.0% |
| Hispanic | 2 | 6.45% | 5.9% |
| Pacific | 0 | 0.00% | 0.0% |
| White | 23 | 74.19% | 67.6% |
| Decline to State Ethnicities | 2 | | 5.9% |
| Unavailable Information | 1 | | 2.9% |
| Total no. of Postdoctoral Fellows | 34 | | 100.0% |



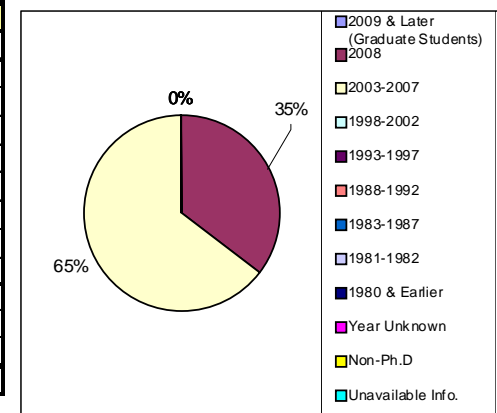
| | | | |
|------------|---|-------|------|
| Minorities | 2 | 6.45% | 5.9% |
|------------|---|-------|------|

| Citizenships | # | % (No Decl.)* | % |
|-----------------------------------|----|---------------|--------|
| US Citizen & Perm. Residents | 15 | | 44.1% |
| Foreign | 19 | | 55.9% |
| Unavailable information | 0 | | 0.0% |
| Total no. of Postdoctoral Fellows | 34 | | 100.0% |
| US Citizen | 11 | | 32.4% |
| Perm Residents | 4 | | 11.8% |



| | | | |
|------------------|----|--|--------|
| Home Inst. in US | 23 | | 67.65% |
|------------------|----|--|--------|

| Year of Ph.D | # | % (No Decl.)* | % |
|----------------------------------|----|---------------|--------|
| 2009 & Later (Graduate Students) | 0 | | 0.0% |
| 2008 | 12 | | 35.3% |
| 2003-2007 | 22 | | 64.7% |
| 1998-2002 | 0 | | 0.0% |
| 1993-1997 | 0 | | 0.0% |
| 1988-1992 | 0 | | 0.0% |
| 1983-1987 | 0 | | 0.0% |
| 1981-1982 | 0 | | 0.0% |
| 1980 & Earlier | 0 | | 0.0% |
| Year Unknown | 0 | | 0.0% |
| Non-Ph.D | 0 | | 0.0% |
| Unavailable Info. | 0 | | 0.0% |
| Total | 34 | | 100.0% |

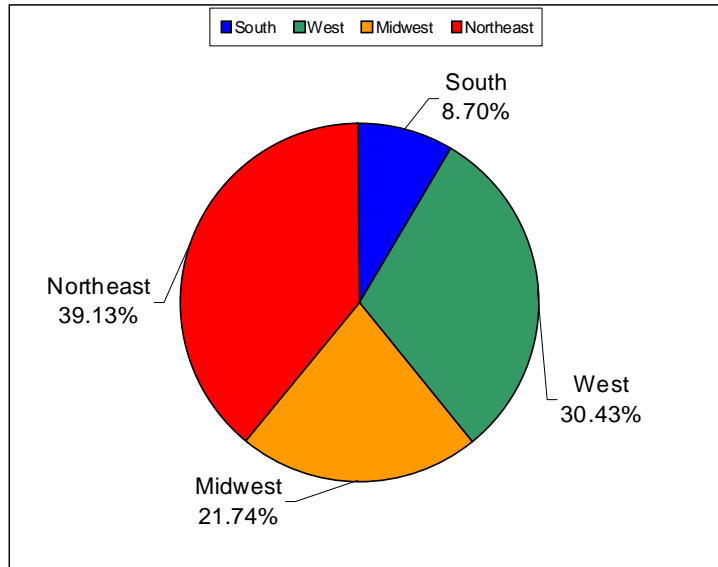


*Statistic Calculation based on all participants that did not decline.

Home Institution Classified by States

*Regions based on US Census classification

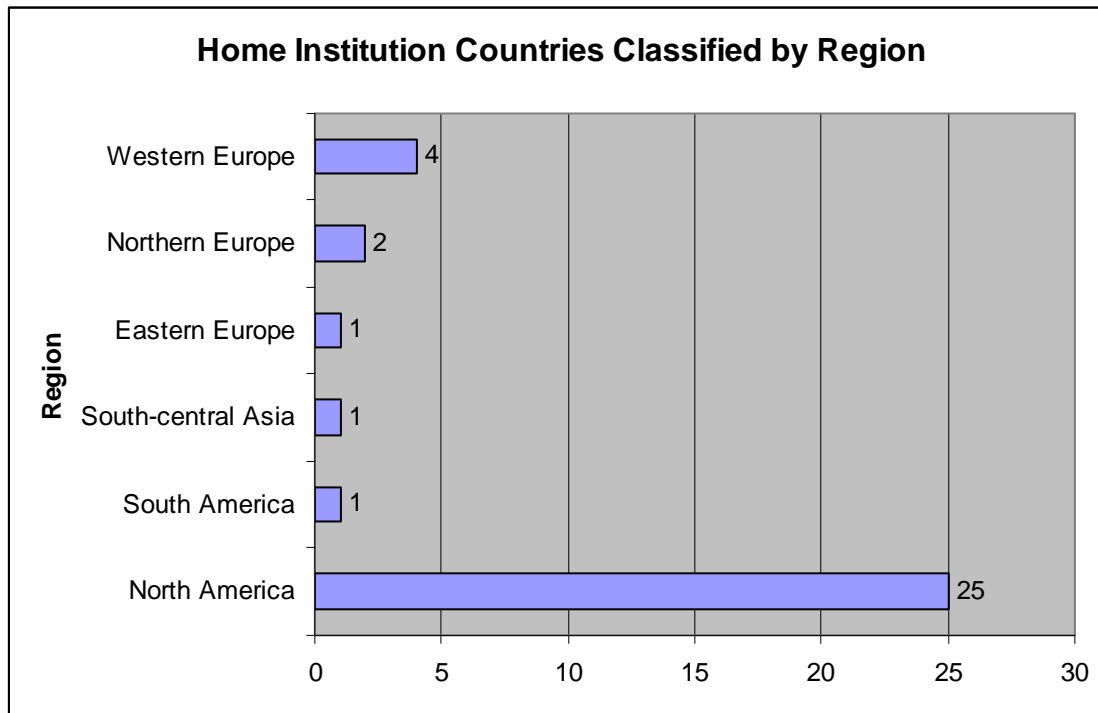
| State | # | % |
|------------------|-----------|---------------|
| South | 2 | 8.7% |
| AL | - | 0.0% |
| AR | - | 0.0% |
| DE | - | 0.0% |
| DC | - | 0.0% |
| FL | - | 0.0% |
| GA | - | 0.0% |
| KY | - | 0.0% |
| LA | - | 0.0% |
| MD | - | 0.0% |
| MS | - | 0.0% |
| NC | 1 | 4.3% |
| OK | - | 0.0% |
| SC | - | 0.0% |
| TN | - | 0.0% |
| TX | 1 | 4.3% |
| VA | - | 0.0% |
| WV | - | 0.0% |
| West | 7 | 30.4% |
| AK | - | 0.0% |
| AZ | - | 0.0% |
| CA | 4 | 17.4% |
| CO | 1 | 4.3% |
| HI | - | 0.0% |
| ID | - | 0.0% |
| MT | - | 0.0% |
| NV | - | 0.0% |
| NM | - | 0.0% |
| OR | - | 0.0% |
| UT | 2 | 8.7% |
| WA | - | 0.0% |
| WY | - | 0.0% |
| Midwest | 5 | 21.7% |
| IL | 1 | 4.3% |
| IN | - | 0.0% |
| IA | - | 0.0% |
| KS | - | 0.0% |
| MI | 1 | 4.3% |
| MN | - | 0.0% |
| MO | 1 | 4.3% |
| ND | - | 0.0% |
| NE | - | 0.0% |
| OH | 1 | 4.3% |
| SD | - | 0.0% |
| WI | 1 | 4.3% |
| Northeast | 9 | 39.1% |
| CT | - | 0.0% |
| ME | - | 0.0% |
| MA | 3 | 13.0% |
| NH | - | 0.0% |
| NJ | 4 | 17.4% |
| NY | 1 | 4.3% |
| PA | 1 | 4.3% |
| RI | - | 0.0% |
| VT | - | 0.0% |
| Other | - | 0.0% |
| PR | - | 0.0% |
| Other | - | 0.0% |
| Total | 23 | 100.0% |



Home Institution Classified by Countries

*Regions based on United Nations' classification

| Region | Area | Country | # of Attendees |
|--------------------|--------------------|--------------------|----------------|
| Americas | | | 26 |
| | North America | | 25 |
| | | Canada | 2 |
| | | United States | 23 |
| | South America | | 1 |
| | | Brazil | 1 |
| Asia | | | 1 |
| | South-central Asia | | 1 |
| | | India | 1 |
| Europe | | | 7 |
| | Eastern Europe | | 1 |
| | | Russian Federation | 1 |
| | Northern Europe | | 2 |
| | | United Kingdom | 2 |
| | Western Europe | | 4 |
| | | Switzerland | 1 |
| | | Germany | 2 |
| | | France | 1 |
| Grand Total | | | 34 |



3.4 Postdoctoral Research Member List

| Last Name | First Name | Placement Institution | Placement Department | Placement Position | Mentor | Placement State | Placement Country | Program |
|------------------|------------|--|----------------------|-------------------------|--------------------------------|-----------------|-------------------|---------|
| Albin | Pierre | Massachusetts Institute of Technology | Mathematics | Postdoctoral Fellow | Rafe Roys Mazzeo | MA | US | AOSS |
| Aldana Dominguez | Clara | Universität Bonn | | graduate student | No Mentor | | DE | AOSS |
| Alexandrova | Ivana | East Carolina University | Mathematics | Postdoctoral Fellow | No Mentor | NC | US | AOSS |
| Beiglböck | Mathias | Vienna University of Technology | Mathematics | Postdoctoral Fellow | Reinhard Winkler | | AT | ETAC |
| Breuillard | Emmanue | École Polytechnique | Mathematics | associate professor | Gregory Aleksandrovic Margulis | | FR | ETAC |
| Cavaliere | Renzo | Colorado State University | Mathematics | Faculty/Postdoc | Aaron James Bertram | CO | US | AG |
| Coskun | Izzet | University of Illinois | Mathematics | Faculty/Postdoc | Joseph Daniel Harris | IL | US | AG |
| Derenthal | Ulrich | University of Zurich | Mathematics | Postdoc | Yuri Tschinkel | | CH | AG |
| Doran | Brent | University of Oxford | Mathematics | Faculty/Postdoc | Robert Duncan MacPherson | | GB | AG |
| Gill | Nick | University of Bristol | Mathematics | Faculty/Postdoc | No Mentor | | GB | ETAC |
| Guillarmou | Colin | Centre National de la Recherche Scient | Mathematics | Researcher CNRS | Laurent Guillopé | | FR | AOSS |
| Gyarmati | Kati | Eötvös Loránd University (ELTE) | Mathematics | Postdoctoral Fellow | No Mentor | | HU | ETAC |
| Helfgott | Harald | University of Bristol | Mathematics | Senior Lecturer | Henryk Iwaniec | | GB | ETAC |
| Hering | Milena | University of Utah | Mathematics | Faculty/Postdoc | William Edgar Fulton | UT | US | AG |
| Jabbusch | Kelly | Albert-Ludwigs-Universität Freiburg | Mathematics | Faculty/Postdoc | Sándor József Kovács | | DE | AG |
| Johnson | Michael | Swarthmore College | Mathematics | Lecturer | No Mentor | PA | US | ETAC |
| Krupchyk | Katsiaryna | University of Helsinki | Mathematics | Academy research fellow | No Mentor | | FI | AOSS |

| | | | | | | | | |
|--------------|----------|--|-------------|------------------------------|-------------------------|----|----|------|
| Li | Hui | University of Luxembourg | Mathematics | Postdoc | No Mentor | | LU | AOSS |
| Lieblich | Max | Princeton University | Mathematics | Faculty/Postdoc | A. Johan de Jong | NJ | US | AG |
| Marian | Alina | University of Illinois | Mathematics | Faculty/Postdoc | Shing-Tung Yau | IL | US | AG |
| Maulik | Davesh | Massachusetts Institute of Technology | Mathematics | Faculty/Postdoc | Rahul Pandharipande | MA | US | AG |
| McClendon | David | Northwestern University | Mathematics | Assistant Professor | Daniel Jay Rudolph | IL | US | ETAC |
| McKinnie | Kelly | Rice University | Mathematics | Faculty/Postdoc | No Mentor | TX | US | AG |
| Osserman | Brian | University of California | Mathematics | Assistant Professor | A. Johan de Jong | CA | US | AG |
| Payne | Sam | Stanford University / Clay Mathematics Institute | Mathematics | Postdoctoral Research Fellow | William Edgar Fulton | CA | US | AG |
| Proudfoot | Nicholas | University of Oregon | Mathematics | Faculty/Postdoc | Allen Ivar Knutson | OR | US | AG |
| Rowlett | Julie | University of California | Mathematics | Faculty/Postdoc | Rafe Roys Mazzeo | CA | US | AOSS |
| Roy | Emmanuel | Institut Galilée | Mathematics | Maître de conférences | François Louis Baccelli | | FR | ETAC |
| Rydh | David | University of California | Mathematics | Faculty/Postdoc | No Mentor | CA | US | AG |
| Saez Trumper | Mariel | Pontificia Universidad Catolica de Chile | Mathematics | Assistant Professor | No Mentor | RM | CL | AOSS |
| Santoro | Bianca | Duke University | Mathematics | Assistant Research Professor | Gang Tian | NC | US | AOSS |
| Schraudner | Michael | Universidad de Chile | Mathematics | Postdoctoral | Wolfgang Krieger | | CL | ETAC |
| Schwede | Karl | University of Michigan | Mathematics | Postdoc Assistant Professor | Sándor József Kovács | MI | US | AG |
| Shmerkin | Pablo | University of Jyväskylä | Mathematics | Postdoc | Boris M. Solomyak | | FI | ETAC |
| Takagi | Shunsuke | Kyushu University | Mathematics | Research Associate Professor | No Mentor | | JP | AG |
| Tommasi | Orsola | Universität Hannover | Mathematics | Postdoc | Joseph H. M. Steenbrink | | DE | AG |
| Ziegler | Tamar | Technion - Israel Institute of Technology | Mathematics | Faculty | Harry Furstenberg | | IL | ETAC |

3.5 Postdoctoral Research Member Summary

| Name of Activity | # of PD/RM | # of Citizens & Per Res | % | # of Female | % | # of Minorities | % | # of US Home Institution | % |
|--|------------|-------------------------|------------|-------------|------------|-----------------|-----------|--------------------------|------------|
| Algebraic Geometry | 17 | 9 | 53% | 5 | 29% | - | 0% | 12 | 71% |
| Analysis on Singular Spaces | 9 | 2 | 22% | 6 | 67% | 1 | 11% | 4 | 44% |
| Complementary Program 08/09 | - | - | | - | | - | | - | - |
| Ergodic Theory and Additive Combinatorics | 11 | 2 | 18% | 2 | 18% | - | 0% | 2 | 18% |
| Total Number of Distinct Postdoc Fellow | 37 | 13 | 35% | 13 | 35% | 1 | 3% | 18 | 49% |

4. Graduate Students

Most graduate students who visit MSRI had been invited to take part in one of our Summer Graduate Workshops. A much smaller number of graduate students are invited each year as “Program Associates” in our semester and year-long scientific programs. Program Associates benefit greatly from the chance to interact with a wide variety of mathematicians, gaining intense exposure to current ideas and trends in their area of specialization. Though without fundings, Program Associates benefit from most members’ privileges.

4.1 Summer Graduate Workshops

Every summer MSRI organizes several summer graduate workshops (usually two weeks each), most of which are held at MSRI. Attending one of these workshops can be a very motivating and exciting experience for a student: participants have often said that it was the first experience where they felt like real mathematicians, interacting with other students and mathematicians in their field. Academic sponsored institutes as well as any US university are invited to send two students (in total) to participate in these programs, and can send a third if the group includes a woman or a member of an under-represented minority. MSRI covers the travel (up to \$700 USD for foreign sponsoring institutions) and local expenses of all the students.

The procedure is as follows: MSRI’s Deputy Director informs the Sponsor’s Representative and the Director of Graduate Studies of the available Summer Graduate Programs for the following year. The Director of Graduate Studies submits nominations of students for particular programs. If the chosen program is already full, the institution may make additional nominations to other programs until its quota of two or three accepted participants is reached. As mentioned above from none sponsoring institutions are most welcome to apply, they need to be nominated by their department’s chair of graduate studies.

The following are descriptions of the 6 Summer Graduate workshops which took place during the 2008-2009 academic year. Altogether 174 graduate students from all over the US participated in those workshops, of which 32% were female. See the table in section 4.4 for detailed demographic data.

SGW 1: IAS/PCMI Summer Program: Analytic and Algebraic Geometry: Common Problems – Different Methods

Date: July 06, 2008 to July 26, 2008

Location: IAS/Park City Mathematics Institute, Salt Lake City, UT

Organizers: Mircea Mustață, Jeff McNeal

The Graduate Summer School bridged the gap between a general graduate education in mathematics and the specific preparation necessary to do research on problems of current interest. In general, these students would have completed their first year, and in some cases, may already be working on a thesis. While a majority of the participants were graduate students, some postdoctoral scholars and researchers were also interested in attending.

The main activity of the Graduate Summer School was a set of intensive short lectures offered by leaders in the field, designed to introduce students to exciting, current research in mathematics. These lectures did not duplicate standard courses available elsewhere. Each course consisted of lectures with problem sessions. Course assistants were available for each lecture series. The participants of the Graduate Summer School met three times each day for lectures, with one or two problem sessions scheduled each day as well.

This workshop required a special application with a January 20, 2008 deadline. For application forms and information about the courses, please visit

http://www.admin.ias.edu/ma/current/program_gradsummer.php

SGW 2: Geometry and Representation Theory of Tensors for Computer Science, Statistics, and other areas

Date: July 07, 2008 to July 18, 2008

Location: MSRI

Organizers: J.M. Landsberg*, Lek-Heng Lim, Jason Morton

Questions in computational complexity, statistical learning theory, signal processing, scientific data analysis, and other areas have recently been recast in terms of geometry and representation theory. Among them are: the complexity of matrix multiplication, Valiant's approach to $P=NP$, measures of entanglement in quantum information theory, matchgates in computer science, graphical models in statistical learning theory, the study of phylogenetic invariants, independent component analysis and other multilinear data analytic techniques in bioinformatics, signal processing, and spectroscopy.

The geometric perspective allows one to understand the questions in a more general mathematical context. It explains known results in terms of standard theorems in geometry and helps to advance the relevant areas.

The goals of this workshop were twofold: To introduce the relevant geometry and representation theory and to present and discuss open questions from the relevant areas that we believe could be resolved by workshop participants.

We introduced the problems that lead to varieties in spaces of tensors and cover the basic

geometry and representation theory needed to study them. By the middle of the second week we worked on open questions.

For more advanced participants, there was a follow-up research workshop at the American Institute of Mathematics (AIM) the week after the graduate workshop. Information on the research workshop is available at <http://www.aimath.org/ARCC/workshops/repnsoftensors.html>.

SGW 3: Climate Change – Summer Graduate Workshop

Date: July 14, 2008 to August 01, 2008

Location: MSRI

Organizers: Christopher Jone*, Inez Fung, Eric Kostelich, K.K. Tung, Mary Lou Zeeman*, Charles D. Camp, Rachel Kuske

The goal of the workshop was to discern ways in which mathematics can contribute and to expose new researchers to some of the key areas that we believe will form the basis of serious mathematical considerations of climate change issues. It was part of a larger 3 week program to bring both graduate students and researchers together to jointly study ways to engage in meaningful collaborations. See [Climate Change Summer School](#).

Mathematical contributions are needed at two levels. Addressing climate change presents daunting challenges to the scientific community. It involves scientists with expertise varying from concrete engineering design to those formulating economic and political policies. Mathematical analysis of models plays a key coordinating role in making these models as effective as possible. Mathematicians are needed to formulate and refine models, understand their limitations and optimize the underlying computational strategies. At the same time, there is considerable basic research that needs to be done to properly ground the modeling, and resulting predictions. Mathematical input is badly needed to delineate the limits of reasonable predictability and quantify inherent uncertainties. Since the underlying models are highly nonlinear, complex evolving systems with stochastic inputs, there are considerable, and exciting basic research contributions to be made at a deep mathematical level. We emphasized this latter aspect in the summer program.

Three themes drove the workshop:

Prediction and uncertainty
Economic impact and decision-making
Incorporating and assimilating data.

[Schedule 7/14 - 7/18](#) (PDF 132KB)

In the first half of the summer school (July 14- July 23) there were short courses in: Climate Modeling, Data Assimilation, Dynamical Systems, Stochastic Processes, Statistics, and Computation Methods which will be aimed at graduate students and postdocs. Students also worked on mentored research projects in teams.

The second half of the summer school (July 24 - Aug 1) involved brainstorming on mathematical issues in climate science. Working groups were formed to address specific issues and formulate

plans and concrete problems. This was an exciting opportunity for students to be a part of high-level efforts to grapple with difficult questions and forge research directions that promise impact on climate change research as well as interesting mathematics. Students were integrated into the work of the brainstorming workshop in several ways: they presented their projects aimed at defining a mathematical question in climate research, as starting points for further discussion; and they were assigned a senior mentor who worked with them to draft the reports of each day's activities. Meetings were then held at the end of each day for the students to meet with each other and share their insights.

SGW 4: Climate Change – Summer School

Date: July 14, 2008 to August 01, 2008

Location: MSRI

Organizers: Christopher Jones*, Inez Fung, Eric Kostelich, K.K. Tung, Mary Lou Zeeman*, Charles D. Camp, Rachel Kuske

It is now generally accepted in the scientific community that the world is undergoing a significant change in its climate. Mathematical models play a central role in ongoing climate change research. They are the basis for all specific predictions of future changes and have been critical in elucidating the underlying physical processes. This has not yet translated, however, into the involvement of mathematicians themselves in climate change research, at least not to any large degree.

This was a three-week program that incorporated a summer workshop for graduate students as well as an advanced brainstorming workshop. The program was designed to introduce students and postdocs to a set of mathematical ideas and techniques that are highly relevant to climate change research. This program was a follow-up to the [Symposium on Climate Change](#) held at MSRI in April 2007, and the Climate Program at the Joint Math Meetings in January 2008. It further aimed at fleshing out Dana MacKenzie's "[Mathematics of Climate Change](#)" resulting from the [April 2007 symposium](#) on mathematical challenges and opportunities in climate change research, whose development promises significant applications to improving our understanding of the underlying processes of climate change in all its manifestations.

The workshop was structured as follow:

First half, July 14 - July 23: Short courses in Climate Modeling, Data Assimilation, Dynamical Systems, Stochastic Processes, Statistics, and Computational Methods which aimed at graduate students and postdocs. Students worked on mentored research projects in teams. Visit the [Climate Change - Summer Graduate Workshop](#) webpage.

Second half, July 24 - Aug 1: Brainstorming workshop on mathematical challenges in climate science, including student presentations, discussions, informal presentations on generic mathematical stumbling blocks encountered in climate research; break out working groups and mentored graduate student projects. Students were integrated into the work of the brainstorming workshop in several ways: they presented their projects aimed at defining a mathematical question in climate research, as starting points for further discussion; and they were assigned a senior mentor who worked with them to draft the reports of each day's activities. Meetings were held at the end of each day for the students to meet with each other and share their insights.

This Summer School was jointly supported by MSRI and the [Sea Change Foundation](#).

Information about the 2007 Symposia on Global Warming can be found at the links below.

[Public Symposium](#)

[Scientific Symposium](#)

SGW 5: Toric Varieties

Date: June 15, 2009 to June 26, 2009

Location: MSRI

Organizers: David Cox, Hal Schenck

Toric varieties are algebraic varieties defined by combinatorial data, and there is a wonderful interplay between algebra, combinatorics and geometry involved in their study. Many of the key concepts of abstract algebraic geometry (for example, constructing a variety by gluing affine pieces) have very concrete interpretations in the toric case, making toric varieties an ideal tool for introducing students to abstruse concepts.

The first week covered basic material, including affine toric varieties, projective toric varieties, normal toric varieties constructed from fans, divisors, and homogeneous coordinates. We also discussed toric surfaces. The second week went deeper into the subject, covering topics such as ampleness, vanishing theorems in cohomology, the secondary fan, and geometric invariant theory.

An important feature of the workshop was that it did not assume that students had a full background in algebraic geometry. Students knew basic facts about varieties in affine and projective space, but we assumed no knowledge of schemes, sheaves, cohomology, etc.

SGW 6: IAS/PCMI Summer Workshop: The Arithmetic of L-Functions

Date: June 28, 2009 to July 18, 2009

Location: IAS/Park City Mathematics Institute, Salt Lake City, UT

Organizers: Cristian Popescu, Karl Rubin, Alice Silverberg

An off-site workshop partially funded by MSRI.

4.2 Program Associates

While most graduate students who visit MSRI have been invited to take part in one of our Summer Graduate Workshops, a smaller number of students are invited each year as “Program Associates” in our semester and year-long scientific programs. Program Associates benefit greatly from the chance to interact with a wide variety of mathematicians, gaining intense exposure to current ideas and trends in their area of specialization. While MSRI does not have the financial resources to fund the Program Associates they are closely supervised and essentially benefit from all members’ privileges. They are provided with access card to the building, allowing them to use the premises at any time and days of the week. They are given a bus pass, as well as library and sports facilities access pass. Thirty two graduate students spent a

semester at MSRI during the academic year 2008-09, of those 35% were female. See the table in section 4.7 for a detailed description of the demographic data.

During the Fall 2008, the two programs, Analysis on Singular Spaces and Ergodic Theory and Additive Combinatorics, hosted numerous graduate students.

From the Analysis on Singular Spaces Program, students attended introductory workshops and twice-weekly seminars which they found as an invaluable experience. Below are some significant progresses made by several program associates in this program:

- Heiko Gimperlein made progress on a project with Schrohe on a bounded H^∞ calculus for pseudodifferential operators.
- Dean Baskin made progress toward a detailed microlocal description of the singular and asymptotic structure of the fundamental solution of the wave kernel on a class of Lorentzian spaces which are perturbations of the classic de Sitter spacetime.
- Fang Wang completed her first draft of her thesis on the analog of the Dirichlet-to-Neumann operator for Poincare-Einstein metrics.
- Chris Kottke discussed his ideas concerning index theory and monopoles with several participants.
- Randy Qian made significant progress on his thesis project to understand diffraction on singularities of the wave equation by inverse-square singularities.

From the Ergodic Theory and Additive Combinatorics Program, three program associates were in residence the whole semester:

- Mahsa Allahbakhshi worked on her thesis and is currently working on measures of maximal entropy arising in symbolic factor mappings
- Qing Chu worked on generalizing known multiple convergence results for commuting transformations over cubes and with polynomial exponents. She also had fruitful discussions with Tim Austin (UCLA graduate student, also in residence for a few weeks), Bryna Kra, and Amanda Potts.
- Amanda Potts worked on generalizing the known results for flows, including showing convergence along polynomial times and giving a description of the limit.

During the Spring 2009, the Algebraic Geometry Program hosted 29 graduated students overall, some were officially program associates and some students from the University of California, Berkeley. In addition to participating in regular events, the graduate students also ran their own weekly seminar, and regularly participated en masse in the postdoctoral seminars.

4.3 Summer Graduate Workshops List

| Family Name | First Name | Home Institution Name | Position | Activity Title |
|------------------|------------|---|------------------|----------------|
| Bernardi | Alessandra | Universita degli studi di Milano | Graduate Student | G&RT |
| Boralevi | Ada | Texas A & M University | Graduate Student | G&RT |
| Cartwright | Dustin | University of California | Graduate Student | G&RT |
| Cheng | Steven | Johns Hopkins University | Graduate Student | G&RT |
| Diaz | Pedro | Central Michigan University | Graduate Student | G&RT |
| Farnell | Shawn | Colorado State University | Graduate Student | G&RT |
| Friedland | S. | University of Illinois | Graduate Student | G&RT |
| Garcia-Puente | Luis | Sam Houston State University | Faculty/Postdoc | G&RT |
| Garza | Ricardo | University of California, Los Angeles | Graduate Student | G&RT |
| Hernandez | Troy | University of Illinois Chicago | Graduate Student | G&RT |
| Johnson | Garrett | University of California at Santa Barbara | Graduate Student | G&RT |
| Kondor | Risi | University College | Faculty/Postdoc | G&RT |
| Lin | Min-Hsiung | North Carolina State University, Raleigh | Graduate Student | G&RT |
| Lin | Shaowei | University of California | Graduate Student | G&RT |
| Lin | Haijian | University of California | Graduate Student | G&RT |
| Long | John | University of California, Los Angeles | Graduate Student | G&RT |
| Murthy | Sandeep | University of Bristol | Graduate Student | G&RT |
| Oeding | Luke | Texas A & M University | Graduate Student | G&RT |
| Ribeiro | Jason | Northeastern University | Graduate Student | G&RT |
| Rushton | Brian | Brigham Young University | Graduate Student | G&RT |
| Russell | Jeremy | Northeastern University | Graduate Student | G&RT |
| Scavino | Marco | Universidad de la Republica Uruguay | Graduate Student | G&RT |
| Shiu | Anne | University of California | Graduate Student | G&RT |
| Slofstra | William | University of California, Berkeley | Graduate Student | G&RT |
| Smith | Elin | Massachusetts Institute of Technology | Graduate Student | G&RT |
| Sullivan | William | Portland State University | Graduate Student | G&RT |
| Womack | Andrew | Washington University | Graduate Student | G&RT |
| Yang | Ming | Texas A & M University | Graduate Student | G&RT |
| Ye | Ke | Texas A & M University | Graduate Student | G&RT |
| Arap | Maxim | University of Georgia | Graduate Student | IAS/PCMI |
| Cantillo | Jorge | Rutgers University - Newark | Graduate Student | IAS/PCMI |
| Choi | Jinwon | University of Illinois, Urbana-Champaign | Graduate Student | IAS/PCMI |
| Deng | Wei | Washington University | PhD Student | IAS/PCMI |
| Gharahbeigi | Sara | Washington University | Graduate Student | IAS/PCMI |
| Goedhart | Eve | Bryn Mawr College | Graduate Student | IAS/PCMI |
| Hurley | Donny | National University of Ireland, Dublin | Graduate Student | IAS/PCMI |
| Joshi | Janhavi | Ohio State University | Graduate Student | IAS/PCMI |
| Konstantinovskiy | Lev | University of Georgia | Graduate Student | IAS/PCMI |
| Kopp | Travis | University of Washington | Graduate Student | IAS/PCMI |
| Li | Si | Harvard University | Graduate Student | IAS/PCMI |
| Liu | Yu-Han | Ohio State University | Graduate Student | IAS/PCMI |
| Lozovanu | Victor | University of Michigan | Graduate Student | IAS/PCMI |

| | | | | |
|---------------|------------|--|-------------------------------------|----------|
| Lundell | Benjamin | Cornell University | Graduate student | IAS/PCMI |
| Nitz | Ted | University of California, Santa Cruz | Graduate Student | IAS/PCMI |
| Novoseltsev | Andrey | University of Alberta | Graduate Student | IAS/PCMI |
| Palm | Marc | Virginia Polytechnic Institute | Graduate Student | IAS/PCMI |
| Parra | Manuel | University of Michigan | PhD Student | IAS/PCMI |
| Preygel | Anatoly | Massachusetts Institute of Technology | Graduate Student | IAS/PCMI |
| Quddus | Safdar | Washington University | Graduate Student | IAS/PCMI |
| Sengupta | Tathagata | Brandeis University | Graduate Student | IAS/PCMI |
| Shroff | Ravi | University of California, San Diego | Graduate Student | IAS/PCMI |
| Song | Ruifang | Harvard University | PhD student | IAS/PCMI |
| Sun | Jingzhou | Johns Hopkins University | Graduate Student | IAS/PCMI |
| Svishcheva | Anastasia | Emory University | Graduate Student | IAS/PCMI |
| Arnst | Maarten | University of Southern California | Faculty/Postdoc | CC - SS |
| Boghosian | Bruce | Tufts University | Graduate Student | CC - SS |
| Danforth | Chris | University of Vermont | Graduate Student | CC - SS |
| Drummond | Tony | Lawrence Berkeley National Laboratory | Staff Scientist | CC - SS |
| Dutta | Prajit | Columbia University | Professor | CC - SS |
| Engel | Chermelle | Australian Bureau of Meteorology | Senior Professional Officer Grade C | CC - SS |
| Ezzedine | Souheil | LLNL | Graduate Student | CC - SS |
| Flaschka | Hermann | University of Arizona | Professor | CC - SS |
| Ide | Kayo | University of California, Los Angeles | Graduate Student | CC - SS |
| Kalb | Virginia | NASA/GSFC | mathematician/data analyst | CC - SS |
| Kalnay | Eugenia | University of Maryland | Graduate Student | CC - SS |
| Kaper | Hans | National Science Foundation | Graduate Student | CC - SS |
| Kuhl | David | University of Maryland, College Park | Graduate Student | CC - SS |
| McGehee | Richard | University of Minnesota | Professor | CC - SS |
| Meza | Juan | Lawrence Berkeley National Laboratory | Department Head | CC - SS |
| Namachchivaya | Navaratnam | University of Illinois at Urbana-Champaign | Graduate Student | CC - SS |
| Parker | Wendy | Ohio University | Graduate Student | CC - SS |
| Phillips | Mark | UNC Asheville | Research Associate | CC - SS |
| Schecter | Stephen | North Carolina State University, Raleigh | Faculty/Postdoc | CC - SS |
| Scott | Sherry | Marquette University | Assistant Professor | CC - SS |
| Silber | Mary | Northwestern University | Professor | CC - SS |
| Stainforth | Dave | University of Oxford | Graduate Student | CC - SS |
| Traeger | Christian | University of California, Berkeley | Assistant Professor | CC - SS |
| Zhou | Jiansong | University of Washington | Graduate Student | CC - SS |
| Zhu | Jingyi | University of Utah | Associate Professor | CC - SS |
| Abel | Haley | Washington University | Graduate Student | CC - SGW |
| Ampadu | Clement | Central Michigan University | Graduate Student | CC - SGW |
| Balci | Nusret | Indiana University, Bloomington | Graduate Student | CC - SGW |
| Bamzai | Aparna | University of California, Los Angeles | Graduate Student | CC - SGW |
| Cheampion | Richard | University of San Francisco | Graduate Student | CC - SGW |
| Chen | Qingshan | Indiana University, Bloomington | Graduate Student | CC - SGW |
| Chen | Yan-Jiun | Cornell University | Graduate Student | CC - SGW |
| comboul | maud | University of Southern California | PhD student | CC - SGW |
| Crowell | Sean | University of Oklahoma | Graduate Student | CC - SGW |

| | | | | |
|-----------------|-------------|--|-------------------------------------|----------|
| cummins | Breschine | Montana State University | Graduate Student | CC - SGW |
| Engel | Chermelle | Australian Bureau of Meteorology | Senior Professional Officer Grade C | CC - SGW |
| Etinger | Bree | University of Georgia | Teaching Assistant | CC - SGW |
| Fadali | Lyla | University of California, San Diego | Graduate Student | CC - SGW |
| Garcia Carreras | Bernardo | University of Bath | Graduate Student | CC - SGW |
| Gully | Adam | University of Utah | Graduate Student | CC - SGW |
| Hoang | Nguyen | Kansas State University | Graduate Student | CC - SGW |
| Holmes | Bill | Indiana University, Bloomington | Graduate Student | CC - SGW |
| Iams | Sarah | Cornell University | Graduate Student | CC - SGW |
| Jafarov | Elchin | University of Alaska | Graduate Student | CC - SGW |
| Kim | Kunwoo | University of Illinois at Urbana-Champaign | Graduate Student | CC - SGW |
| Kjerland | Marc | University of Illinois Chicago | Graduate Student | CC - SGW |
| Kong | Hoitin | University of Georgia | Graduate Student | CC - SGW |
| Lewis | Chloe | University of California, Berkeley | Graduate student | CC - SGW |
| Li | King-Fai | California Institute of Technology | Graduate Student | CC - SGW |
| Luo | Qinghua | University of Oklahoma | Graduate Student | CC - SGW |
| Mathew | Supriya | Macquarie University | PhD student | CC - SGW |
| Ortega | Omayra | Arizona State University | Faculty/Postdoc | CC - SGW |
| Pantic | Sanja | University of Illinois Chicago | Graduate Student | CC - SGW |
| Parker | Jay | University of Illinois Chicago | Graduate Student | CC - SGW |
| Pearson | Yanthe | Rensselaer Polytechnic Institute | PhD student | CC - SGW |
| Poole | Thomas | SUNY Stony Brook | Graduate Student | CC - SGW |
| Stancevic | Ognjen | University of New South Wales | PhD student | CC - SGW |
| Sullivan | Kathryn | Cornell University | Graduate Student | CC - SGW |
| Swanson | Greta | Portland State University | Graduate Student | CC - SGW |
| Tang | Hui | Tufts University | Graduate Student | CC - SGW |
| Tasset | Tiffany | University of Colorado | Graduate Student | CC - SGW |
| Tipireddy | Ramakrishna | University of Southern California | PhD student | CC - SGW |
| Webb | Adrean | University of Colorado | Graduate Student | CC - SGW |
| Whitehead | Jared | University of Michigan, Ann Arbor | Graduate Student | CC - SGW |
| Widiasih | Esther | University of Minnesota, Minneapolis | Graduate Student | CC - SGW |
| Yu | Guowei | University of Minnesota, Minneapolis | Graduate Student | CC - SGW |
| Beaudry | Agnes | Northwestern University | Graduate Student | TV |
| Brannetti | Silvia | Terza Università di Roma | Graduate Student | TV |
| Cartwright | Dustin | University of California | Graduate Student | TV |
| Chan | Melody | University of California | Graduate Student | TV |
| Chowdhury | Atoshi | Stanford University | Graduate Student | TV |
| Chung | KiRyong | Seoul National University | Graduate Student | TV |
| Contois | Mark | University of Washington | Graduate Student | TV |
| Diemer | Colin | University of Pennsylvania | Graduate Student | TV |
| Dover | James | University of Oklahoma | Graduate Student | TV |
| Duncan | Alex | University of British Columbia | Graduate Student | TV |
| Dutle | Aaron | University of South Carolina | Graduate Student | TV |
| Erman | Daniel | University of California | Graduate Student | TV |
| Escobar | Laura | San Francisco State University | Graduate Student | TV |
| Gibbins | Aliska | Ohio State University | Graduate Student | TV |
| Gudmundsson | Hilmar | Reykjavik University | Graduate Student | TV |
| Hardarson | Marteinn | Reykjavik University | Graduate Student | TV |

| | | | | |
|------------------|-----------|--|------------------|----------|
| Hinkelmann | Franziska | Virginia Polytechnic Institute | Graduate Student | TV |
| Hsiao | Jen-Chieh | Purdue University | Graduate Student | TV |
| Kang | Ning | University of Texas, Austin | Graduate Student | TV |
| Kazanova | Anna | University of Massachusetts | Graduate Student | TV |
| Kodgis | Lisa | University of Hawaii | Graduate Student | TV |
| Kositwattanarerk | wittawat | Clemson University | Graduate Student | TV |
| Li | Zhiyuan | Rice University | Graduate Student | TV |
| Lin | Kuei-Nuan | Purdue University | Graduate Student | TV |
| Lin | Jan-Li | Indiana University, Bloomington | Graduate Student | TV |
| Mahmood | Fatima | Cornell University | Graduate Student | TV |
| Malmskog | Elizabeth | Colorado State University | Graduate Student | TV |
| Mathews | Bryant | University of California, Los Angeles | Graduate Student | TV |
| Miller | Jason | Ohio State University | Graduate Student | TV |
| Mondal | Pinaki | University of Toronto | Graduate student | TV |
| Mukhopadhyay | Swarnava | University of North Carolina | Graduate Student | TV |
| Novoseltsev | Andrey | University of Alberta | Graduate Student | TV |
| O'Keefe | Augustine | Tulane University | Graduate Student | TV |
| Pabiniak | Milena | Cornell University | Graduate Student | TV |
| Pham | Vinh An | University of Missouri | Graduate Student | TV |
| Ravikumar | Vijay | Rutgers University | Graduate Student | TV |
| Sachitano | David | California State University | Graduate Student | TV |
| Seceleanu | Alexandra | University of Illinois at Urbana-Champaign | Graduate Student | TV |
| Shao | Yijun | University of Arizona | Graduate Student | TV |
| Slawinski | Mike | University of California, San Diego | Graduate Student | TV |
| Sweet | Ross | Boston University | Graduate Student | TV |
| Tian | Zhiyu | SUNY | Graduate Student | TV |
| Trentacoste | Catherin | Clemson University | Graduate Student | TV |
| Wechter | Matthew | University of Illinois Chicago | Graduate Student | TV |
| Whitney | Josh | University of California | Graduate Student | TV |
| Williams | Harold | University of California | Graduate Student | TV |
| Xie | Yu | Purdue University | Graduate student | TV |
| Zhong | Changlong | University of Southern California | Graduate Student | TV |
| Zhu | Yi | SUNY Stony Brook | Graduate Student | TV |
| Ulfarsson | Henning | Brown University | Graduate Student | IAS/PCMI |
| Van Garrel | Micheal | California Institute of Technology | Graduate Student | IAS/PCMI |
| Venkatram | Kartik | Massachusetts Institute of Technology | Graduate Student | IAS/PCMI |
| Womack | Andrew | Washington University | Graduate Student | IAS/PCMI |
| Zhu | Yi | SUNY Stony Brook | Graduate Student | IAS/PCMI |

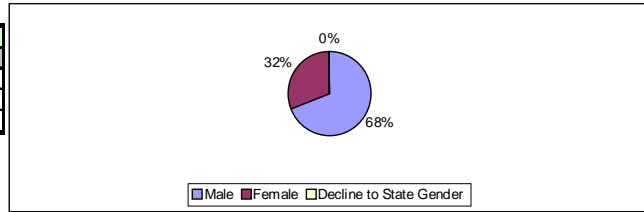
4.4 Summer Graduate Workshops Summary

| Workshop | No. of Officially Registered Workshop | No. of Citizens & Permanent | % of Citizens & Permanent | No. of Female | No. of Decline to state | % of Female | No. of Minorities | No. of Decline to state Ethnicity | % of Minorities | # of US Home Institute | % of US Home Institute |
|---|---------------------------------------|-----------------------------|---------------------------|---------------|-------------------------|--------------|-------------------|-----------------------------------|-----------------|------------------------|------------------------|
| Climate Change - Summer Graduate Workshop | 41 | 18 | 43.9% | 20 | 0 | 48.8% | 4 | 2 | 10.3% | 36 | 87.8% |
| Climate Change Summer School | 25 | 13 | 52.0% | 7 | 0 | 28.0% | 2 | 2 | 8.7% | 23 | 92.0% |
| Geometry and Representation Theory of Tensors for Computer Science, Statistics, and other areas | 29 | 10 | 34.5% | 4 | 0 | 13.8% | 1 | 0 | 3.4% | 22 | 75.9% |
| IAS/PCMI Summer Program: Analytic and Algebraic Geometry: Common Problems - Different Methods | 25 | 3 | 12.0% | 5 | 0 | 20.0% | 0 | 1 | 0.0% | 21 | 84.0% |
| IAS/PCMI Summer Program: The Arithmetic of L-functions | 5 | 1 | 20.0% | 1 | 0 | 20.0% | 0 | 0 | 0.0% | 5 | 100.0% |
| Toric Varieties | 49 | 23 | 46.9% | 18 | 0 | 36.7% | 0 | 2 | 0.0% | 42 | 85.7% |
| Grand Total | 174 | 68 | 39.1% | 55 | 0 | 31.6% | 7 | 7 | 4.2% | 149 | 85.6% |

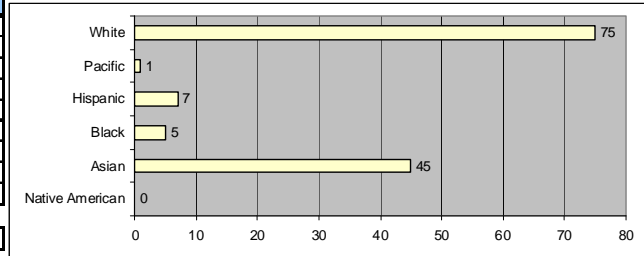
4.5 Summer Graduate Workshops Demographic Data

Summer Graduate Workshop Demographic Summary 2008-2009

| Gender | No. | % (No Decl.)* | % |
|---|-----|---------------|-------|
| Officially Registered Participants | 174 | | |
| Male | 119 | 68.39% | 68.4% |
| Female | 55 | 31.61% | 31.6% |
| Decline to State Gender | 0 | | 0.0% |

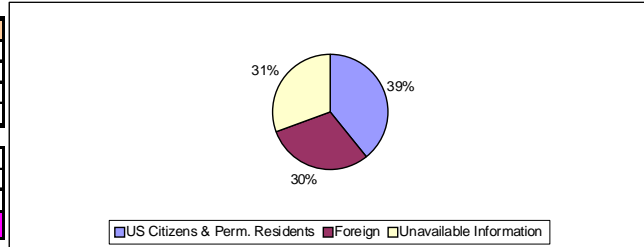


| Ethnicities | No. | % (No Decl.)* | % |
|----------------------------------|-----|---------------|--------|
| Native American | 0 | 0.00% | 0.0% |
| Asian | 45 | 33.83% | 25.9% |
| Black | 5 | 3.76% | 2.9% |
| Hispanic | 7 | 5.26% | 4.0% |
| Pacific | 1 | 0.75% | 0.6% |
| White | 75 | 56.39% | 43.1% |
| Decline to State Ethnicity | 7 | | 4.0% |
| Unavailable Information | 34 | | 19.5% |
| Total no. of Participants | 174 | | 100.0% |



| | | | |
|-------------------|---|-------|------|
| Minorities | 7 | 5.26% | 4.0% |
|-------------------|---|-------|------|

| Citizenships | No. | % |
|--|-----|--------|
| US Citizens & Perm. Residents | 68 | 39.1% |
| Foreign | 52 | 29.9% |
| Unavailable Information | 54 | 31.0% |
| Total no. of Participants | 174 | 100.0% |



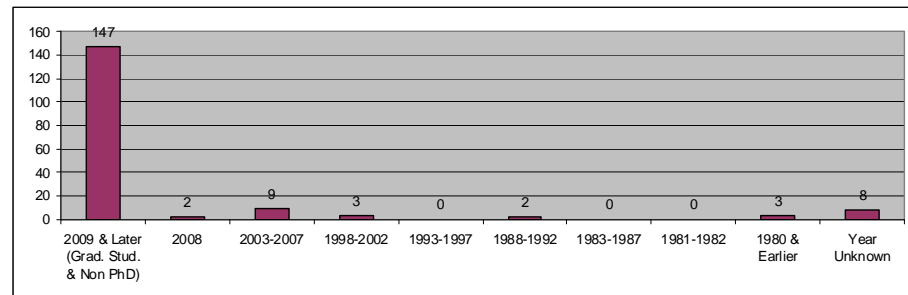
| | | |
|-------------------------|------------|---------------|
| US Citizens | 65 | 37.4% |
| Perm. Residents | 3 | 1.7% |
| Home Inst. in US | 149 | 85.63% |

| Year of Ph.D | No. | % |
|---|-----|--------|
| 2009 & Later (Grad. Stud. & Non PhD) | 147 | 84.5% |
| 2008 | 2 | 1.1% |
| 2003-2007 | 9 | 5.2% |
| 1998-2002 | 3 | 1.7% |
| 1993-1997 | 0 | 0.0% |
| 1988-1992 | 2 | 1.1% |
| 1983-1987 | 0 | 0.0% |
| 1981-1982 | 0 | 0.0% |
| 1980 & Earlier | 3 | 1.7% |
| Year Unknown | 8 | 4.6% |
| Unavailable Information | 0 | 0.0% |
| Total | 174 | 100.0% |

SGWs for 2008-09

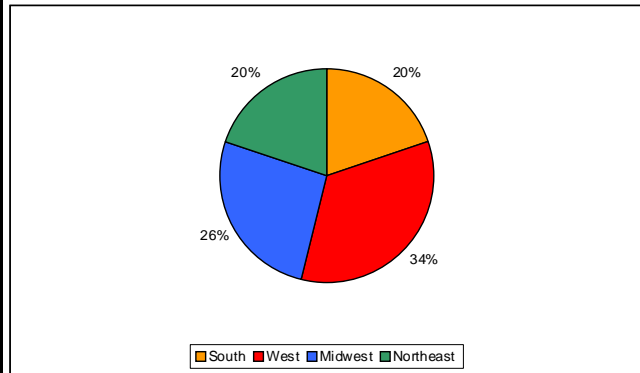
Geometry and Representation Theory of Tensors for Computer Science, Statistics, and other areas
Climate Change - Summer Graduate Workshop
Climate Change Summer School
IAS/PCMI Summer Program: The Arithmetic of L-Function
IAS/PCMI Summer Program: Analytic and Algebraic Geometry
Toric Varieties

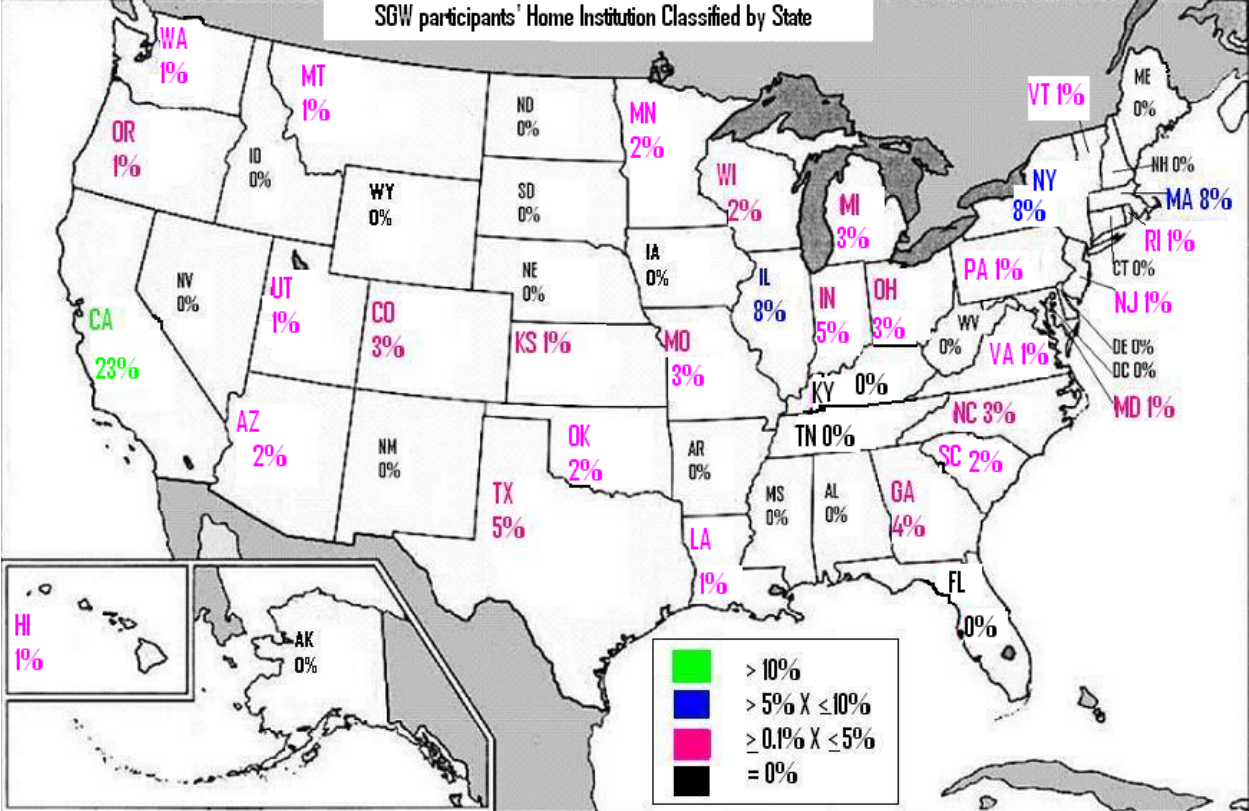
*Statistic Calculation based on all participants that did not decline.



Home Institution Classified by States
 *Regions based on US Census classification

| State | No. | % | US Pop. (2007 Census) |
|------------------|------------|---------------|-----------------------|
| South | 30 | 20.1% | 36.6% |
| AL | - | 0.0% | 1.5% |
| AR | - | 0.0% | 0.9% |
| DE | - | 0.0% | 0.3% |
| DC | - | 0.0% | 0.2% |
| FL | - | 0.0% | 6.1% |
| GA | 6 | 4.0% | 3.2% |
| KY | - | 0.0% | 1.4% |
| LA | 1 | 0.7% | 1.4% |
| MD | 4 | 2.7% | 1.9% |
| MS | - | 0.0% | 1.0% |
| NC | 4 | 2.7% | 3.0% |
| OK | 3 | 2.0% | 1.2% |
| SC | 3 | 2.0% | 1.5% |
| TN | - | 0.0% | 2.0% |
| TX | 7 | 4.7% | 7.9% |
| VA | 2 | 1.3% | 2.6% |
| WV | - | 0.0% | 0.6% |
| West | 50 | 33.6% | 23.2% |
| AK | 1 | 0.7% | 0.2% |
| AZ | 3 | 2.0% | 2.1% |
| CA | 35 | 23.5% | 0.4% |
| CO | 4 | 2.7% | 0.5% |
| HI | 1 | 0.7% | 0.3% |
| ID | - | 0.0% | 12.1% |
| MT | 1 | 0.7% | 1.6% |
| NV | - | 0.0% | 0.9% |
| NM | - | 0.0% | 0.7% |
| OR | 1 | 0.7% | 1.2% |
| UT | 2 | 1.3% | 0.9% |
| WA | 2 | 1.3% | 2.1% |
| WY | - | 0.0% | 0.2% |
| Midwest | 39 | 26.2% | 22.0% |
| IL | 12 | 8.1% | 4.3% |
| IN | 7 | 4.7% | 2.1% |
| IA | - | 0.0% | 1.0% |
| KS | 1 | 0.7% | 0.9% |
| MI | 5 | 3.4% | 3.3% |
| MN | 3 | 2.0% | 1.7% |
| MO | 5 | 3.4% | 1.9% |
| ND | - | 0.0% | 0.2% |
| NE | - | 0.0% | 0.6% |
| OH | 5 | 3.4% | 3.8% |
| SD | - | 0.0% | 0.3% |
| WI | 1 | 0.7% | 1.9% |
| Northeast | 30 | 20.1% | 18.1% |
| CT | - | 0.0% | 1.2% |
| ME | - | 0.0% | 0.4% |
| MA | 12 | 8.1% | 2.1% |
| NH | - | 0.0% | 0.4% |
| NJ | 2 | 1.3% | 2.9% |
| NY | 12 | 8.1% | 6.4% |
| PA | 2 | 1.3% | 4.1% |
| RI | 1 | 0.7% | 0.4% |
| VT | 1 | 0.7% | 0.2% |
| Other | - | 0.0% | 0% |
| PR | - | 0.0% | 0% |
| Other | - | 0.0% | 0% |
| Total | 149 | 100.0% | 100.0% |

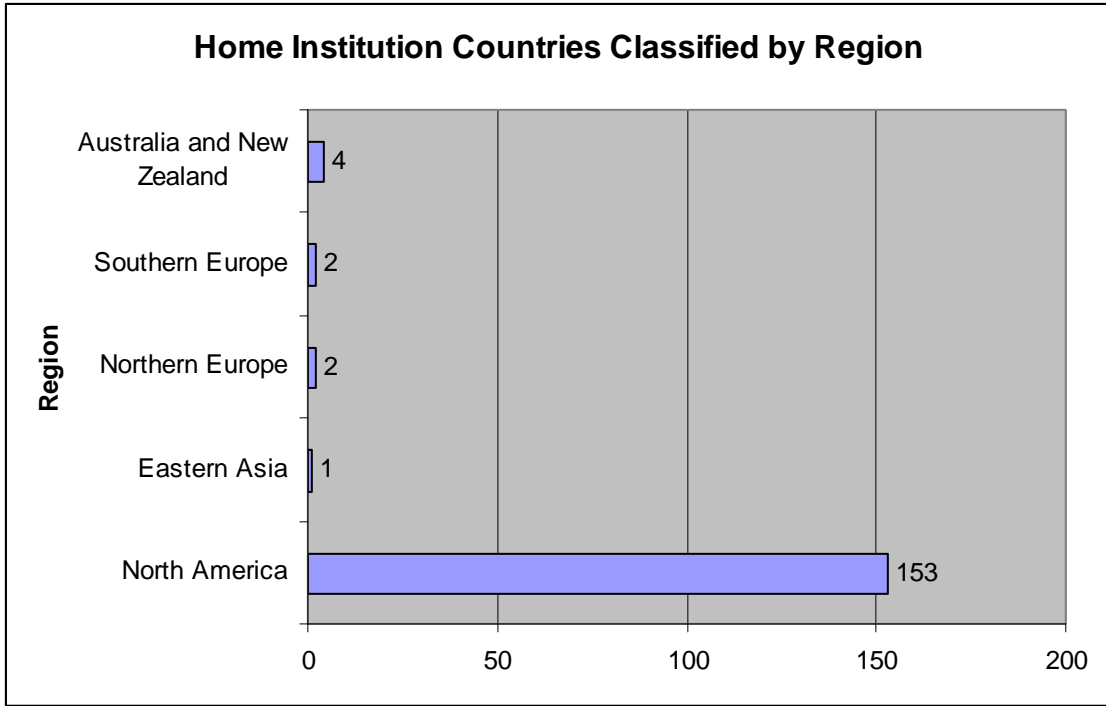




Home Institution Classified by Countries

**Regions based on United Nations' classification*

| Region | Area | Country | # of Attendees |
|--------------------|---------------------------|--------------------|----------------|
| Americas | | | 153 |
| | North America | | 153 |
| | | Canada | 4 |
| | | United States | 149 |
| Asia | | | 1 |
| | Eastern Asia | | 1 |
| | | Korea, Republic of | 1 |
| Europe | | | 4 |
| | Northern Europe | | 2 |
| | | England | 2 |
| | Southern Europe | | 2 |
| | | Italy | 2 |
| Oceania | | | 4 |
| | Australia and New Zealand | | 4 |
| | | Australia | 4 |
| | Unavailable Information | | 12 |
| Grand Total | | | 174 |



4.6 Program Associates List

| Family Name | First Name | Home Institute Name | Home Inst City | Inst State | Inst Country |
|--------------|-------------|---------------------------------------|------------------|------------|--------------|
| Allahbakhshi | Mahnaz | University of Victoria | Victoria | BC | CA |
| Anderson | David | University of Michigan, Ann Arbor | Ann Arbor | MI | US |
| Austin | Tim | University of California, Los Angeles | Los Angeles | CA | US |
| Baskin | Dean | Stanford University | Stanford | CA | US |
| Brannetti | Silvia | Terza Università di Roma | Rome | | IT |
| Chen | Qile | Brown University | Providence | RI | US |
| Chu | Qing | Université de Marne-la-Vallée | Champs-sur-Marne | | FR |
| Coronel | Alvaro | Universidad de Chile | Santiago | | CL |
| Dundon | Ariana | University of Washington | Seattle | WA | US |
| Finkel | Dan | University of Washington | Seattle | WA | US |
| Gell-Redman | Jesse | Stanford University | Stanford | CA | US |
| Giansiracusa | Noah | Brown University | Providence | RI | US |
| Hall | Jack | Stanford University | Stanford | CA | US |
| Hoang | Le Thai | University of California, Los Angeles | Los Angeles | CA | US |
| Kirson | Antonio | University of Washington | Seattle | WA | US |
| Kopp | Travis | University of Washington | Redmond | WA | US |
| Kottke | Christopher | Massachusetts Institute of Technology | Lexington | MA | US |
| Marcus | Steffen | Brown University | Providence | RI | US |

| | | | | | |
|------------|-------------|---------------------------------------|------------|----|----|
| Matthiesen | Lilian | University of Cambridge | Cambridge | | GB |
| Melo | Margarida | Terza Università di Roma | Rome | | IT |
| Neale | Vicky | University of Cambridge | Cambridge | | GB |
| Patakfalvi | Zsolt | University of Washington | Redmond | WA | US |
| Potts | Amanda | Northwestern University | Evanston | IL | US |
| Qian | Randy | Northwestern University | Evanston | IL | US |
| Ross | Kiana | University of Washington | Redmond | WA | US |
| Severs | Christopher | Arizona State University | Tempe | AZ | US |
| Sisask | Olof | University of Cambridge | Cambridge | | GB |
| Talpo | Mattia | Scuola Normale Superiore | Pisa | | IT |
| Tucker | Kevin | University of Michigan, Ann Arbor | Ann Arbor | MI | US |
| Ulfarsson | Henning | Brown University | Providence | RI | US |
| Wang | Fang | Massachusetts Institute of Technology | Cambridge | MA | US |
| Wickelgren | Kirsten | Stanford University | Stanford | CA | US |

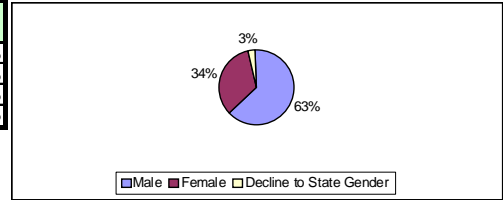
4.7 Program Associates Summary

| Name of Activity | No. of Program Associates | No. of Citizens & Permanent Residents | % of Citizens & Permanent Residents | No. of Female | No. of Decline to state Gender | % of Female (includes decline) | No. of Minorities | No. of Decline to state Ethnicity | % of Minorities (includes decline) | US Home Institution | % of US Home Institution |
|---|---------------------------|---------------------------------------|-------------------------------------|---------------|--------------------------------|--------------------------------|-------------------|-----------------------------------|------------------------------------|---------------------|--------------------------|
| Algebraic Geometry | 17 | 9 | 53% | 5 | 1 | 31% | 0 | 1 | 0% | 14 | 82% |
| Analysis on Singular Spaces | 5 | 4 | 80% | 1 | 0 | 20% | 0 | 0 | 0% | 5 | 100% |
| Complementary Program 08/09 | 1 | 1 | 100% | 0 | 0 | 0% | 0 | 0 | 0% | 1 | 100% |
| Ergodic Theory and Additive Combinatorics | 9 | 1 | 11% | 5 | 0 | 56% | 0 | 0 | 0% | 3 | 33% |
| Total | 32 | 15 | 47% | 11 | 1 | 35% | 0 | 1 | 0% | 23 | 72% |

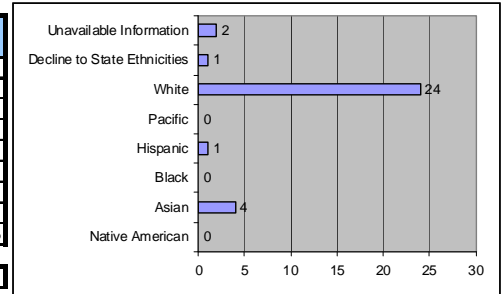
4.8 Program Associates Demographic Data

Program Associates Demographic Summary

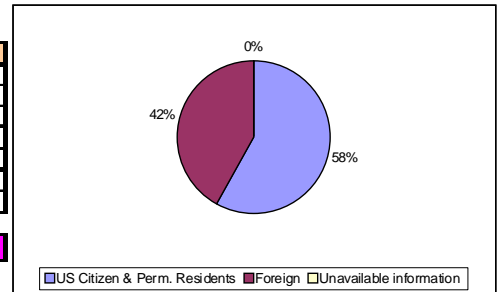
| Gender | # | % (No Decl.)* | % |
|--------------------------------|----|---------------|--------|
| No. of Program Associates (GS) | 32 | | 100.0% |
| Male | 20 | 64.5% | 62.5% |
| Female | 11 | 35.5% | 34.4% |
| Decline to State Gender | 1 | | 3.1% |



| Ethnicities | # | % (No Decl.)* | % |
|---------------------------------|----|---------------|--------|
| Native American | 0 | 0.0% | 0.0% |
| Asian | 4 | 13.8% | 13.3% |
| Black | 0 | 0.0% | 0.0% |
| Hispanic | 1 | 3.4% | 3.3% |
| Pacific | 0 | 0.0% | 0.0% |
| White | 24 | 82.8% | 80.0% |
| Decline to State Ethnicities | 1 | | 3.3% |
| Unavailable Information | 2 | | 6.7% |
| Total no. of Program Associates | 32 | | 106.7% |
| Minorities | 0 | 0.00% | 0.0% |



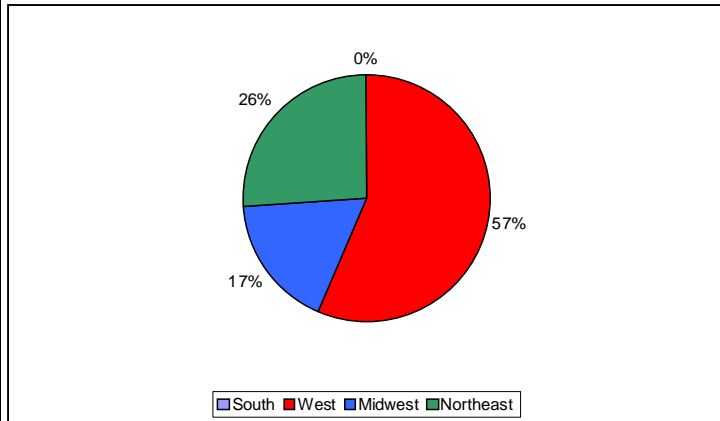
| Citizenships | # | % |
|---------------------------------|----|-------|
| US Citizen & Perm. Residents | 15 | 46.9% |
| Foreign | 11 | 34.4% |
| Unavailable information | 0 | 0.0% |
| Total no. of Program Associates | 26 | 81.3% |
| US Citizen | 15 | 46.9% |
| Perm Residents | 0 | 0.0% |
| Home Inst. in US | 23 | 71.9% |



*Statistic Calculation based on all participants that did not decline.

Home Institution Classified by States
 *Regions based on US Census classification

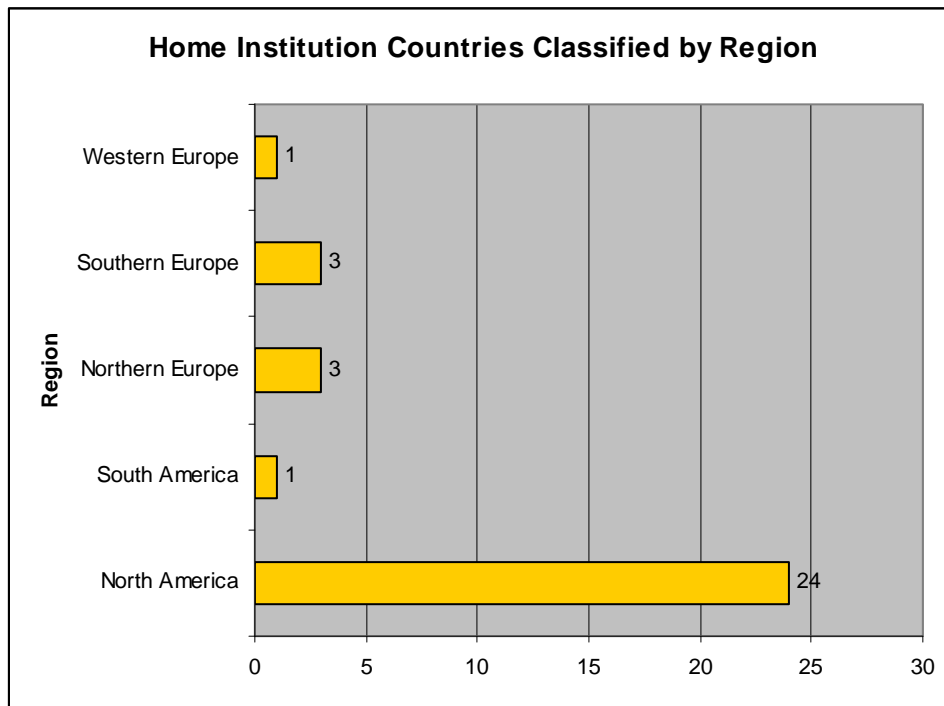
| State | # | % | US Pop. (2007 Census) |
|------------------|-----------|---------------|-----------------------|
| South | - | 0.0% | 36.6% |
| AL | - | 0.0% | 1.5% |
| AR | - | 0.0% | 0.9% |
| DE | - | 0.0% | 0.3% |
| DC | - | 0.0% | 0.2% |
| FL | - | 0.0% | 6.1% |
| GA | - | 0.0% | 3.2% |
| KY | - | 0.0% | 1.4% |
| LA | - | 0.0% | 1.4% |
| MD | - | 0.0% | 1.9% |
| MS | - | 0.0% | 1.0% |
| NC | - | 0.0% | 3.0% |
| OK | - | 0.0% | 1.2% |
| SC | - | 0.0% | 1.5% |
| TN | - | 0.0% | 2.0% |
| TX | - | 0.0% | 7.9% |
| VA | - | 0.0% | 2.6% |
| WV | - | 0.0% | 0.6% |
| West | 13 | 56.5% | 23.2% |
| AK | - | 0.0% | 0.2% |
| AZ | 1 | 4.3% | 2.1% |
| HI | - | 0.0% | 0.4% |
| ID | - | 0.0% | 0.5% |
| MT | - | 0.0% | 0.3% |
| CA | 6 | 26.1% | 12.1% |
| CO | - | 0.0% | 1.6% |
| NV | - | 0.0% | 0.9% |
| NM | - | 0.0% | 0.7% |
| OR | - | 0.0% | 1.2% |
| UT | - | 0.0% | 0.9% |
| WA | 6 | 26.1% | 2.1% |
| WY | - | 0.0% | 0.2% |
| Midwest | 4 | 17.4% | 22.0% |
| IL | 2 | 8.7% | 4.3% |
| IN | - | 0.0% | 2.1% |
| IA | - | 0.0% | 1.0% |
| KS | - | 0.0% | 0.9% |
| MI | 2 | 8.7% | 3.3% |
| MN | - | 0.0% | 1.7% |
| MO | - | 0.0% | 1.9% |
| ND | - | 0.0% | 0.2% |
| NE | - | 0.0% | 0.6% |
| OH | - | 0.0% | 3.8% |
| SD | - | 0.0% | 0.3% |
| WI | - | 0.0% | 1.9% |
| Northeast | 6 | 26.1% | 18.1% |
| CT | - | 0.0% | 1.2% |
| ME | - | 0.0% | 0.4% |
| MA | 2 | 8.7% | 2.1% |
| NH | - | 0.0% | 0.4% |
| NJ | - | 0.0% | 2.9% |
| NY | - | 0.0% | 6.4% |
| PA | - | 0.0% | 4.1% |
| RI | 4 | 17.4% | 0.4% |
| VT | - | 0.0% | 0.2% |
| Other | - | 0.0% | 0.0% |
| PR | - | 0.0% | 0.0% |
| Other | - | 0.0% | 0.0% |
| Total | 23 | 100.0% | 100.0% |



Home Institution Classified by Countries

*Regions based on United Nations' classification

| Region | Area | Country | # of Attendees |
|--------------------|-----------------|----------------|----------------|
| Americas | | | 25 |
| | North America | | 24 |
| | | Canada | 1 |
| | | United States | 23 |
| | South America | | 1 |
| | | Chile | 1 |
| Europe | | | 7 |
| | Northern Europe | | 3 |
| | | United Kingdom | 3 |
| | Southern Europe | | 3 |
| | | Italy | 3 |
| | Western Europe | | 1 |
| | | France | 1 |
| Grand Total | | | 32 |



4.9 Regular Workshops Graduate Student List (excluding SGWs)

(See e-mail attached file)

4.10 Regular Workshops Graduate Student Summary

| Name of Activity | No. of Graduate Students | No. of Citizens & Permanent Residents | % of Citizens & Permanent Residents | No. of Female | No. of Decline to state Gender | % of Female (includes decline) | No. of Minorities | No. of Decline to state Ethnicity | % of Minorities (includes decline) | US Home Institution | % of US Home Institution |
|--|--------------------------|---------------------------------------|-------------------------------------|---------------|--------------------------------|--------------------------------|-------------------|-----------------------------------|------------------------------------|---------------------|--------------------------|
| 21 Scientific Workshops | | | | | | | | | | | |
| Algebraic Statistics | 27 | 11 | 41% | 8 | 1 | 29.6% | 3 | 1 | 11.1% | 20 | 74% |
| Broader Connections: Analysis on Singular Spaces | 11 | 2 | 18% | 1 | | 9.1% | 0 | 1 | 0.0% | 7 | 64% |
| Broader Connections: Ergodic Theory and Additive Combinatorics | 16 | 2 | 13% | 7 | | 43.8% | 0 | 1 | 0.0% | 8 | 50% |
| Classical Algebraic Geometry Today | 53 | 18 | 34% | 13 | 1 | 24.5% | 4 | 3 | 7.5% | 40 | 75% |
| CMI/MSRI Workshop: Modular Forms and Combinatorial, Enumerative and Toric | 17 | 3 | 18% | 5 | | 29.4% | 1 | 2 | 5.9% | 10 | 59% |
| Combinatorial, Enumerative and Toric | 45 | 21 | 47% | 13 | | 28.9% | 3 | 2 | 6.7% | 36 | 80% |
| Connections for Women: Algebraic Geometry and Related Fields | 31 | 18 | 58% | 19 | 1 | 61.3% | 3 | 1 | 9.7% | 25 | 81% |
| Discrete Rigidity Phenomena in Additive Combinatorics | 13 | 2 | 15% | 4 | | 30.8% | 1 | 1 | 7.7% | 6 | 46% |
| Economic Games and Mechanisms to Address Climate Change | 13 | 10 | 77% | 1 | | 7.7% | 0 | 2 | 0.0% | 12 | 92% |
| Elliptic and Hyperbolic Equations on Singular Spaces | 9 | 5 | 56% | 2 | | 22.2% | 0 | | 0.0% | 6 | 67% |
| International Conference on Cluster Algebras and Related Topics | 9 | 2 | 22% | 3 | | 33.3% | 1 | 1 | 11.1% | 9 | 100% |
| Introduction to Ergodic Theory and Additive Combinatorics | 19 | 5 | 26% | 6 | | 31.6% | 0 | 3 | 0.0% | 13 | 68% |
| Introductory Workshop on Analysis on Singular Spaces | 20 | 6 | 30% | 1 | | 5.0% | 0 | 1 | 0.0% | 14 | 70% |
| Low Dimensional Topology | 32 | 22 | 69% | 7 | 1 | 21.9% | 2 | 4 | 6.3% | 25 | 78% |
| Macaulay 2 day | 1 | 1 | 100% | | | 0.0% | 0 | | 0.0% | 1 | 100% |
| Mathematical Genomics | 9 | 5 | 56% | 5 | 1 | 55.6% | 0 | 1 | 0.0% | 9 | 100% |
| Modern Moduli Theory | 52 | 17 | 33% | 11 | 4 | 21.2% | 1 | 7 | 1.9% | 34 | 65% |
| Modern Perspectives in Applied Mathematics | 5 | 1 | 20% | 2 | | 40.0% | 0 | 2 | 0.0% | 3 | 60% |
| Sage Days: Algebraic Geometry | 12 | 6 | 50% | 5 | | 41.7% | 3 | | 25.0% | 8 | 67% |
| Topology of Stratified Spaces | 9 | 1 | 11% | 1 | | 11.1% | 0 | 1 | 0.0% | 5 | 56% |
| Western Algebraic Geometry Seminar | 12 | 5 | 42% | 1 | | 8.3% | 0 | | 0.0% | 10 | 83% |
| 21 Scientific Workshops Total | 415 | 163 | 39% | 115 | 9 | 28% | 22 | 34 | 5% | 301 | 73% |
| 6 Outreach & Diversity Workshops | | | | | | | | | | | |
| Bay Area Circle for Teachers | 4 | 4 | 100% | 2 | | 50.0% | 0 | | 0.0% | 2 | 50% |
| Great Circles 2009 | 33 | 28 | 85% | 21 | 1 | 63.6% | 1 | 2 | 3.0% | 29 | 88% |
| Math Institutes Modern Mathematics Workshop | 9 | 8 | 89% | 4 | | 44.4% | 8 | 1 | 88.9% | 9 | 100% |
| Promoting Diversity at the Graduate Level in Mathematics: a National Forum | 15 | 12 | 80% | 12 | | 80.0% | 6 | 1 | 40.0% | 15 | 100% |
| Teaching Undergraduates Mathematics | 22 | 16 | 73% | 16 | | 72.7% | 3 | 2 | 13.6% | 20 | 91% |
| Using Partnerships to Strengthen Elementary Mathematics Teacher Education | 17 | 14 | 82% | 14 | | 82.4% | 5 | | 29.4% | 16 | 94% |
| 6 Outreach & Diversity Workshops Total | 100 | 82 | 82% | 69 | 1 | 69% | 23 | 6 | 23% | 91 | 91% |
| All 27 Workshops Total | 515 | 245 | 48% | 184 | 10 | 36% | 45 | 40 | 9% | 392 | 76% |

5. Undergraduate Program



Research Topic: Coding Theory

Date: June 15, 2009 to July 24, 2009

Organizers: Ivelisse Rubio, Duane Cooper*, Ricardo Cortez, Herbert Medina, Suzanne Weekes

Overview of the summer program

The MSRI-UP summer program was designed for undergraduate students who have completed two years of university-level mathematics courses and would like to conduct research in the mathematical sciences. The academic portion of the program was led by [John B. Little](#), Professor of Mathematics at College of the Holy Cross. Dr. Little had done research in many mathematical fields including computational algebra and coding theory and has extensive experience with directing undergraduate research. Indeed, he had worked in Research Experience for Undergraduates (REUs) in both the U.S. and Puerto Rico.

During the summer, each of the 18 student participants:

- participated in the mathematics research program under the direction of Dr. Little
- completed a research project done in collaboration with other MSRI-UP students

- gave a presentation and wrote a technical report on his/her research project
- attended a series of colloquium talks given by leading researchers in their fields
- attended workshops aimed at developing skills and techniques needed for research careers in the mathematical sciences and
- learned techniques that will maximize a student's likelihood of admissions to graduate programs as well as the likelihood of winning fellowships
- received a \$3000 stipend, lodging, meals and roundtrip travel to Berkeley, CA.

After the summer, each student:

- had an opportunity to attend a national mathematics or science conference where students presented their research
- was part of a network of mentors that provides continuous advice in the long term as the student makes progress in his/her studies
- was contacted regarding future research opportunities

The main objective of the MSRI-UP 2009 was to identify talented students, especially those from underrepresented groups, who are interested in mathematics and made available to them meaningful research opportunities, the necessary skills and knowledge to participate in successful collaborations, and a community of academic peers and mentors who can advise, encourage and support them through a successful graduate program.

The objective was designed to contribute significantly toward meeting the program goal of increasing the number of graduate degrees in the mathematical sciences, especially doctorates, earned by U.S. citizens and permanent residents by cultivating heretofore untapped mathematical talent within the U.S. Black, Hispanic/Latino and Native American communities.

Recently, MSRI is delighted to have learned that 2 of the students who participated in the MSRI-UP 2007 were awarded the 2010 NSF Graduate Research Fellowships. There are Talea Mayo and Gina Pomann.

Topic: Coding Theory

Prerequisite: A solid course in linear algebra and a course where a student develops skill in reading and constructing proofs.

Communication of information often takes place over noisy channels that can corrupt the messages sent over them. For reliability of communication, it is often desirable to encode the transmitted information in such a way that errors can be detected and/or corrected when they occur. Finding methods that achieve error control without introducing undue redundancy, and that admit efficient encoding and decoding, was the main goal of coding theory.

Consider a communications environment in which messages are divided into *words* or blocks of a fixed length, k , formed using a finite alphabet with q symbols. The simplest case (the one best adapted to electronic hardware) is an alphabet with two symbols, the binary digits 0, 1. Indeed, in

the codes used for the transfer of digital information within computer systems, and for storing information on compact disks, or other media and retrieving it for use at a later time, q is either 2 or a power of 2. The alphabet with exactly two symbols can be identified with the finite field, but the theory is substantially the same if the alphabet is any finite field. In order to detect and/or correct errors when they occur, some redundancy must be built into the information that is transmitted over the channel. One possible approach is to make the encoded form of a message consist of blocks or n -tuples of length $n > k$ over the same alphabet used for the message itself. Codes obtained in this way are called block codes of length n over the alphabet.

The summer started with a quick (2 week) short course giving an introduction to the theory of block codes over and other finite fields including: the Hamming distance, the parameters n, k, d of codes and some elementary bounds (Gilbert-Varshamov, Hamming, Singleton, etc.) on the parameters, linear codes, generator and parity check matrices for encoding and syndrome decoding, some important examples such as Hamming and Golay codes, cyclic codes and associated polynomial algebra, general finite fields, Reed-Solomon and BCH codes, algebraic decoding algorithms.

The basic decoding method for Reed-Solomon codes (leading up to the Berlekamp-Massey algorithm) is designed to correct up to $t = \lfloor (d-1)/2 \rfloor = \lfloor (n-k)/2 \rfloor$ errors in a received word. By results on the error-correcting capacity of a code in terms of its minimum distance, this restriction on the number of errors is necessary if we ask for a method that returns only one closest codeword for each received word. There has been a surge of interest in different algorithms for Reed-Solomon and other codes in recent years.

Starting with work of Sudan in the late 1990's and followed by work of Guruswami & Sudan and Roth & Ruckstein, a significant amount of work has been devoted to methods that produce a list of all codewords within some specified distance (possibly $> t$ above) of the received word.

For the remaining 4 weeks of the workshop, the students worked on various projects. This workshop was not supported by the DMS-0441170. The funds came from the NSA and another NSF grant. The full report has been submitted with the annual report of the 2 grants.

Short Biographies of the 2009 MSRI-UP

Ivelisse M. Rubio was born and raised in Puerto Rico. She received her B.S. and M.S. in Mathematics from the University of Puerto Rico-Río Piedras and her Ph.D. in Applied Mathematics from Cornell University. In 1998 she co-founded the NSF-REU Summer Institute in Mathematics for Undergraduates (SIMU) at the UPR-Humacao. Ivelisse is currently a Professor in the Computer Science Department at the UPR-Río Piedras. Her research interests are finite fields and applications to error-correcting codes.

John B. Little, is a Professor of Mathematics at College of Holy Cross. His undergrad degree is from Haverford College and his Ph.D. from Yale. John has directed students in REUs several times including three times in Humacao, Puerto Rico where he and I had a chance to work together. His mathematical interests are varied and include algebraic geometry, computational methods in algebraic geometry and commutative algebra, and algebraic coding theory.

Website: <http://math.holycross.edu/~little/homepage.html>

Emille Davie is a Visiting Assistant Professor at the University of California, Santa Barbara. She received her undergraduate degree at Spelman College and her Ph.D. at University of Georgia. Her research interests are in braid groups, mapping class groups of surfaces and 3-manifolds. She has worked in the Enhancing Diversity in Graduate Education (EDGE) Program as a mentor. Website: <http://www.math.ucsb.edu/~davie/>

Ashley Wheeler is a second-year graduate student in mathematics at the University of Michigan. She received her undergraduate degree at Kansas State University. As an undergraduate, Ashley worked on a research project titled "Symplectic topology of Hamiltonian systems with one degree of freedom."

Candice Price is currently a graduate student in pure mathematics at the University of Iowa. Candice finished her undergraduate education at California State University Chico where she was an active participant in LS-AMP. She continued her education at San Francisco State University, where she received an M.A. Candice is studying topology with an emphasis in knot theory. Candice also worked as a graduate student assistant in the 2008 MSRI-UP.

Herbert A. Medina is a Professor of Mathematics at Loyola Marymount University. Undergrad at UCLA and Ph.D. at UC Berkeley. He's an analyst and has done work in Hilbert space operators (of a certain type) and some theoretical aspects of wavelets. He's also dabbled in other elementary math topics. Prof. Medina has been involved in many undergraduate summer programs including 5 summers as co-director of an REU at the University of Puerto Rico-Humacao. Website: <http://myweb.lmu.edu/hmedina/>

2008 MSRI-UP Participants List

| Participants | Home Institution |
|----------------------|---|
| Almodovar, Leyda | University of Puerto Rico at Mayaguez |
| Amaya, James | College of New Jersey |
| Carbonara, Alejandro | California Institute of Technology |
| Chancellor, Warren | Morehouse College |
| Cody, Eugene | Phoenix College |
| Gaudinez, Aileen | Chapman University |
| Harry, April | Xavier University |
| Henry, Jonathon | California State Polytechnic University, Pomona |
| Heu, Kimberly | University of Hawaii at Manoa |
| Le, Ellen | Pomona College |
| Morales, Lourdes | University of Puerto Rico at Rio Piedras |
| Murillo Pablo, Juan | Sonoma State University |
| Ortiz Camacho, Abner | University at Puerto Rico at Humacao |
| Outing, Cheryl | Spelman College |
| Parmelee, Caytlin | Nazareth College of Rochester |
| Vega, Brian | California State Polytechnic University |
| Vega, Rachel | Concordia College |

6. Appendix – Final Reports

Program Reports

- Analysis on Singular Spaces
- Ergodic Theory and Additive Combinatorics
- Algebraic Geometry
- Complementary Program

Workshop Reports

- No. 447: CMI-MSRI Workshop: Modular Forms and Arithmetic
- No. 441: Low-Dimensional Topology
- No 438: Broader Connections Ergodic Theory and Additive Combinatorics
- No. 439: Introduction to Ergodic Theory and Additive Combinatorics
- No. 442: Broader Connections: Analysis on Singular Spaces
- No. 443: Introductory Workshop Analysis of Singular Spaces
- No. 469: Topology of Stratified Spaces
- No. 444: Elliptic and Hyperbolic Equations on Singular Spaces
- No. 440: Discrete Rigidity Phenomena in Additive Combinatorics
- No 499: International Conference on Cluster Algebras and Related Topics
- No. 484: Algebraic Statistics
- No. 471: Connections for Women: Algebraic Geometry and Related Fields
- No. 470: Classical Algebraic Geometry Today
- No. 472: Modern Moduli
- No. 473: Combinatorial, Enumerative, and Toric Geometry
- No. 515: Economic Games and Mechanisms to Address Climate Change

Summer Graduate Workshop Reports

- Geometry and Representation Theory of Tensors for Computer Science, Statistics, and other areas
- Climate Change - Summer Graduate Workshop and Summer School
- IAS/PCMI Summer Program: Analytic and Algebraic Geometry
- Toric Varieties

2004-2009 Members and Participants data

- Evaluating MSRI's Postdoctoral Fellowship Program
- Demographic Data of MSRI 5 Years Study

MSRI ANALYSIS ON SINGULAR SPACES PROGRAM
FINAL REPORT
March 2, 2009

1. INTRODUCTION

The *Analysis on singular spaces* program at MSRI ran from August 18, 2008 to December 19, 2008. The organizing committee consisted of Gilles Carron (University of Nantes), Eugenie Hunsicker (Loughborough University), Richard Melrose (Massachusetts Institute of Technology), Michael Taylor (University of North Carolina, Chapel Hill), András Vasy (Stanford), and Jared Wunsch (Northwestern University). Notwithstanding the diverse interests of the participants (and indeed diverse notions of what should constitute a “singular space”), the program was well-focused and impressively coherent.

We were fortunate to have a large number of senior participants visiting for the entire semester. Among the organizers, Richard Melrose, Michael Taylor, András Vasy, and Jared Wunsch were present for the duration, with Eugénie Hunsicker also spending ten weeks. Additionally Tanya Christiansen, Terence Gaffney, and Paul Loya spent the whole semester at MSRI, and the program had fruitful interactions with Daniel Tataru and Maciej Zworski from UCB, who both participated all semester. Werner Müller visited for ten weeks, funded by the Clay Mathematics Institute, while Daniel Grieser and Gerd Grubb each visited for a month as Simons Professor. Melrose was the UCB Chancellor’s Professor for the year, and gave a course at UCB on *Smooth Operator Algebras and K-theory*.

2. RESEARCH DEVELOPMENTS

- **Fredholm theory on quasi-asymptotically locally Euclidean spaces.** Degeratu and Mazzeo made substantial progress on an extended examination of the Fredholm properties of generalized Laplacians on crepant resolutions of quotients of \mathbb{C}^n by finite subgroups of $SU(n)$, and the Riemannian generalizations of these spaces. The eventual goal is to prove Atiyah-Patodi-Singer type index theorems in this setting, which has applications to the higher dimensional McKay correspondence in algebraic geometry.
- **The fundamental solution of the wave operator on asymptotically de Sitter spaces.** Baskin, a Stanford graduate student (under the direction of Mazzeo and Vasy) made progress toward a detailed microlocal description of the singular and asymptotic structure of the fundamental solution of the wave kernel on a class of

Lorentzian spaces which are perturbations of the classic de Sitter spacetime. Eventual applications will include the stability (with respect to Einstein evolution) of de Sitter itself.

- **Analytic signature on stratified spaces.** Albin, Mazzeo, Piazza, and Leichtnam made substantial progress on a manuscript which provides the definition of an analytic signature on a general class of stratified spaces satisfying the Witt condition. They proved that for any iterated edge Witt space, the signature operator acting on L^2 differential forms has maximal domain compactly included in L^2 , hence has well-defined finite index. The proof is carried out both in the ordinary context and also for the higher Dirac operator, i.e. twisted by the bundle of reduced C^* algebras associated to a map of the space into $B\Gamma$ (where Γ is the fundamental group). They expect to be able to improve this to a full signature theorem, i.e. equating this analytic signature with a topological signature for this class of operators and spaces. Piazza reports that during the Topology of Stratified Spaces Workshop, he learned a “magic theorem” on Witt bordism invariants from Shmuel Weinberger and Markus Banagl that is of considerable use in giving a topological interpretation of the Witt analytic index.
- **Local index theorem.** Loya was able to use the techniques of semi-classical analysis (which he learned from Melrose’s UCB lectures) to finish a pedagogically novel proof of the local index theorem for Dirac operators; the resulting proof will appear in *Advances in Applied Clifford Algebras*.
- **Vanishing viscosity limits for circular pipe flows.** Mazzucato and Taylor obtained a result showing that viscous solutions to a class of circular pipe flows converge in the vanishing-viscosity limit to the solution to the associated Euler equation, in a variety of function spaces (including certain weighted b -Sobolev spaces), fine enough to specify boundary layer behavior, including concentration of vorticity on the boundary.
- **Inverse problems for obstacles in wave guides.** Christiansen and Taylor obtained an inverse-scattering result for obstacles in a waveguide, specified by knowledge of the scattering matrix $S_{kk}(\lambda)$, for a single k (of a certain sort) and for λ in an interval. This result applies to obstacles that are rotationally symmetric around an axis parallel to the waveguide, as well as convex, connected, and real-analytic. It extends previous results known in dimension-two.
- **Eta forms and gerbes.** Melrose and Rochon showed that the 2-form part of the eta form (in the “odd” case) is the B-field for the K-theory gerbe.
- **Scattering configurations spaces.** Melrose and Singer completed an ongoing project to describe the n -fold scattering configuration

space. This space was introduced principally to describe the compactification of the moduli space of magnetic monopoles.

- **Eta invariants on convex cocompact hyperbolic manifolds.** Guillarmou (together with S. Moroianu and J. Park) nearly completed an ongoing project to define an eta invariant for Dirac and signature operator on convex cocompact hyperbolic manifolds (infinite volume) by showing the usual procedure for compact manifolds goes through thanks to an algebraic cancellation near infinity. They then define the odd type Selberg zeta function $Z(s)$ and show its meromorphic extension for the spin case and that the eta invariant on the manifold is the argument of $Z(0)$.
- **Random walks on manifolds with finite volume cusps.** Christianson, Datchev, and Guillarmou (together with L. Michel and F. Naud) undertook a novel project describing the spectrum of a random walk operator on manifolds with finite volume cusps. The goal is to generalize the results of Lebeau-Michel to this setting and to understand the spectral change owing to vanishing injectivity radius.
- **Asymptotics of waves on De Sitter-Schwarzschild space.** Melrose, Sá Barreto, and Vasy wrote a paper and made much progress on another paper on the asymptotics of solutions of the wave equation on curved black hole space times (De Sitter-Schwarzschild space) and the closely related topic of high energy resolvent estimates for the Laplacian on asymptotically hyperbolic spaces. With three coauthors, the opportunity to work together intensively at MSRI was a major help both in actually writing the papers, and also in organizing their large scale structure.
- **Diffraction of waves on manifolds with corners.** Melrose, Vasy, and Wunsch made significant progress on an ongoing project to understand the regularity of the diffracted singularities appearing when a singularity of a solution to the wave equation strikes a corner. The opportunity for intensive collaboration was essential for the authors to overcome certain technical obstacles in the proof, including the implementation a duality argument which proves propagation of a “nonfocusing” condition dual to coisotropic regularity.

3. ORGANIZATIONAL STRUCTURE

The typical week during the semester included two regular seminars, on Tuesday and Thursday, and an additional postdoc seminar on Friday. The Tuesday/Thursday lectures were scheduled for two hours, even while the lecturers were asked to give hour-long lectures. The format thus allowed more than ample time for audience questions and interactions without causing the speaker to have to cut short the planned presentation. The postdoctoral seminar on Fridays also had excellent attendance from the general program

members and functioned as a third parallel seminar series. Richard Melrose, as UCB Chancellor’s Professor, gave a course *Smooth Operator Algebras and K-theory*, which was attended by a range of “students” from Berkeley and MSRI including both traditional graduate students and tenured faculty. This course seems to have sparked considerable interaction among participants at all levels of seniority.

Participants enjoyed the interaction fostered by the seminar arrangements and also reported finding the simple experience of having lunch together on a regular basis to be a valuable aspect of life at MSRI. On most days, a large crowd assembled for lunch in the second-floor common room and this was a valuable venue for informal networking as well as discussion of mathematics. Participants of all levels of seniority mingled in this setting, and it may in the end have been the most effective mentoring tool for postdocs.

4. WORKSHOPS AND CONFERENCES

4.1. Broader Connections Workshop. The Broader Connections workshop associated to the semester was held August 28–29. It was organized by Eugénie Hunsicker. Approximately 30 mathematicians attended the workshop, which consisted of eight introductory talks given by mathematicians selected both for their lecturing ability and for their interest in mentoring underrepresented groups in mathematics. The lecturers were Thalia Jeffres, Tanya Christiansen, Eugénie Hunsicker and Paul Loya. In addition to the talks, there was a problem session where participants had a chance to get to know each other as they worked on problems suggested by the lecturers. There were morning, afternoon and lunch breaks on both days, when participants could network with each other and talk to more established researchers in the field. There was also a networking dinner for women. The response to the workshop was quite positive, both for its networking opportunities and for the preparation it gave for the introductory workshop.

4.2. Introductory Workshop. The Introductory Workshop for the Analysis on Singular Spaces semester program was held from September 2 to September 5. The workshop only lasted four days as the week was curtailed by Labor Day; in recompense, there was no half-day. The principal workshop organizers were Eugénie Hunsicker, András Vasy, and Jared Wunsch.

The scientific program consisted primarily of a linked collection of mini-courses, as follows:

- Richard Melrose, *Real blow-ups*
- Michael Taylor, *Pseudodifferential operators on singular spaces*
- Maciej Zworski and Tanya Christiansen, *Spectral and scattering theory*
- Gerd Grubb, *Introduction to index theory*
- Paul Loya, *Index theory on singular spaces*
- Gilles Carron, *L^2 cohomology*

These courses were designed to give background material suitable for advanced students and postdocs (as well as more senior researchers from allied fields) to be able to take part in the main thrust of the semester's program. The mini-courses proved by and large quite well-integrated, with core material on pseudodifferential operators being covered very efficiently by Taylor in the early part of the week, and thus available to other speakers; likewise, key ideas from spectral and scattering theory were introduced quickly by Zworski and Christiansen and played recurrent roles in the other courses.

In addition to the mini-courses, three half-hour slots were allocated to short lectures on open problems, given by Jochen Brüning, Werner Müller, and Rafe Mazzeo. These problem sessions provided a lively counterpoint to the main courses, reminding the participants that notwithstanding all that is known about analysis on singular spaces, it remains a vital field, with much left unknown.

4.3. Topical Workshop. This workshop focused on recent advances in the field. There were seventy-three participants and the workshop was organized by the program organizers (Gilles Carron, Eugénie Hunsicker, Richard Melrose, Michael Taylor, András Vasy and Jared Wunsch) together with Pierre Albin. The speakers and their topics were:

MONDAY OCTOBER 27, 2008

Gilles Lebeau: a novel approach to proving existence results for non-linear partial differential equations by putting a probabilistic distribution on the space of initial data.

Andrew Hassell: extending various classical results in harmonic analysis (such as the Stein-Tomas restriction theorem) to more general, closely related situations where translation-invariance is lost.

Gregory Eskin: described various inverse problems for second order hyperbolic equations and discussed the existence of black or white holes.

Steven Zelditch: an exciting new approach to establishing existence of solutions to a homogeneous Monge-Ampere equation.

TUESDAY OCTOBER 28, 2008

Daniel Tataru: the asymptotic behavior of solutions to the wave and Schrödinger equations on long range perturbations of Euclidean space.

Nicolas Burq: truly non-linear behavior of solutions to the non-linear Schrödinger equation.

Mark Stern: instanton solutions of the Yang-Mills functional on $\mathbb{T}^3 \times \mathbb{R}$ joining different components of the moduli space of flat connections on \mathbb{T}^3 .

Tanya Christiansen: characterizing obstacles with a reflection symmetry inside cylindrical ends by scattering data.

WEDNESDAY OCTOBER 29, 2008

Colin Guillarmou: an explicit formula for the η invariant of an infinite

volume hyperbolic manifolds without cusps in terms of the corresponding odd Selberg zeta function.

Rafe Mazzeo: described the Teichmüller space of surfaces with a given genus and number of conic points.

THURSDAY OCTOBER 30, 2008

Marius Mitrea: described the complete range of Sobolev, Besov, and Triebel-Lizorkin spaces on which the second derivative of Green’s operator acts boundedly.

Robin Graham: looking for Einstein metrics on perturbations of $\mathbb{H}^4 \times \mathbb{S}^7$ within the class of ‘edge’ metrics.

Bert-Wolfgang Schulze: a calculus of corner-degenerate operators on appropriate stratified spaces.

FRIDAY OCTOBER 31, 2008

András Vasy: using high-energy estimates on the analytic continuation of the resolvent to describe asymptotics of solutions to the wave equation on de Sitter-Schwarzschild space.

Maciej Zworski: counting the number of resonances associated to a union of disjoint convex obstacles satisfying a separation condition (Ikawa’s condition).

Victor Ivrii: periodicity in classical and quantum dynamics and its effect on spectral asymptotics.

Additionally, Andrew Hassell gave a colloquium at Berkeley arranged as part of this meeting, in which he spoke on his recent breakthrough showing that the “Bunimovich stadium,” while classically ergodic, is not (for almost any aspect ratio) quantum unique ergodic. Some collaborations to explore the ramifications of this result and the method of its proof emerged as a consequence of the meeting.

5. POSTDOCTORAL FELLOWS

One of our proposed postdoctoral fellows, Katya Krupchyk, was unable to attend the whole program owing to visa difficulties, and was therefore supported as a member rather than a postdoc and is not included below. Krupchyk reported having found the program quite valuable; she was informally mentored by Eugénie Hunsicker.

Data for the other postdocs is as follows.

Eric Bahuaud:

Prior positions: Ph.D. Washington 2007; CNRS/ANR Postdoc at Université Montpellier 2 Sept 2007–July 2008.

Current position: Adjunct lecturer at Saint Mary’s College of California.

Mentor: Melrose.

Bahuaud completed a paper (with Romain Gicquaud) on conformal compactification of asymptotically locally hyperbolic metrics, and began a project on the complex hyperbolic version of this problem; he interacted fruitfully with John Lee and Robin Graham on this subject during the workshop. He and Emily Dryden worked together studying Melrose's b-calculus of pseudo-differential operators under the tutelage of Paul Loya, and have embarked on a project to extend some estimates of Jeffres-Loya for the heat operator from conic manifolds to higher (edge) singularities.

Hans Christianson: Ph.D. Berkeley 2007; MIT Moore Instructor 2007–present.

Mentor: Wunsch

Christianson completed a lengthy paper with Hur and Staffilani which breaks new ground in applying techniques imported from microlocal analysis to the water wave problem; the same authors began a further paper on Strichartz estimates for water waves. He began a project on random walks on manifolds with cusps jointly with Kiril Datchev (a UCB graduate student), Colin Guillarmou, Laurent Michel, and Frederic Naud. He undertook a joint project with Jeremy Marzuola on solitons for the nonlinear Schrödinger equation on hyperbolic space, as well as a project on semiclassical methods for the discrete damped wave equation.

Emily Dryden: Ph.D. Dartmouth 2004;

Prior positions: Postdoctoral Fellow, McGill University, 2004–2005; Centre Interfacultaire Bernoulli, EPFL (Lausanne, Switzerland), Visiting Professor, August–September 2005; Instituto Superior Tecnico (Lisbon, Portugal), Postdoctoral Fellow, 2005–6; Bucknell University, Assistant Professor, 2006–present.

Current position(s): MIT, Visiting Scholar in Pure Mathematics, January–August 2009; Bucknell University, Assistant Professor.

Dryden worked on a variety of projects, mostly centered around spectral theory of orbifolds; she undertook joint work with Eric Bahuaud, with Rafe Mazzeo, and with Patrick McDonald. She and Bahuaud spent time reading foundational material on singular spaces in an informal “reading course” and she reported that the semester enabled her to expand her research program to a wider geometric setting.

Jörn Müller

Ph.D. Bonn 2008;

Prior position: none.

Current position: University Bonn, scientific assistant.

Mentor: Hunsicker.

Müller was able to complete a paper based on his thesis, on Hodge cohomology of manifolds with fibred cusps, and began work on an L^2 signature theorem.

Frédéric Rochon Ph.D. MIT 2005;

Prior positions: Simons Instructor at Stony Brook, Assistant Professor at University of Toronto.

Current position: Assistant Professor at University of Toronto.

Mentor: Vasy.

Rochon had a number of intense collaborations both underway and undertaken in the course of the semester. He completed joint papers in index theory and K-theory with Richard Melrose and with Pierre Albin, and undertook new projects with Melrose and with Albin and Clara Aldana. He reports that a casual conversation with Daniel Grieser on the subject of pushforward theorems at the beginning of the semester later led to decisive progress in his project with Albin on the index of d-bar operators acting on stable parabolic vector bundles of degree zero.

6. GRADUATE STUDENTS

A number of graduate students were present for all or part of the program (mentored by their thesis advisors), and found it an invaluable experience.

Heiko Gimperlein (advisor: Schrohe) made progress on a project with Schrohe on a bounded H_∞ calculus for pseudodifferential operators, and reports having had an idea for a simpler proof of the regularity of solutions to the Hamilton-Jacobi-Bellman equations arising from a talk at UCB.

Dean Baskin (advisors: Mazzeo, Vasy) made progress toward a detailed microlocal description of the singular and asymptotic structure of the fundamental solution of the wave kernel on a class of Lorentzian spaces which are perturbations of the classic de Sitter spacetime.

Fang Wang (advisor: Melrose) benefitted from discussion with several participants in the topical workshop, and completed the first draft of (the first part of) her thesis on the analog of the Dirichlet-to-Neumann operator for Poincaré-Einstein metrics. Subsequently she started to work on geometric scattering theory in the context of Einstein's equations due to activity in this general area.

Chris Kottke (advisor: Melrose) spent only a couple of weeks at MSRI but was able to discuss his ideas concerning index theory and monopoles with several participants.

Randy Qian (advisor: Wunsch) was able to make significant progress on his thesis project to understand diffraction of singularities of the wave equation by inverse-square singularities.

Glenn Austin Ford (advisor: Wunsch) visited MSRI for both workshops, and benefitted especially from discussions and lectures on the geometry of singular spaces, and hyperbolic equations in this setting.

Overall, the opportunity for advanced students to see the state of the field laid out for them both in the introductory workshop and in the subsequent intense atmosphere of twice-weekly seminars was one of the most strikingly transformative aspects of the semester.

7. DIVERSITY

Of the program's five postdocs, two were women (with a third woman, Krupchyk, attending as a member instead of a postdoc owing to visa issues). The program also boasted an impressive array of senior women, with Christiansen, Hunsicker, and Grubb all attending for substantial periods as research professors or organizers. All of these women gave lectures in Introductory and/or Broader Connections workshops. The program as a whole had seventeen women members, at various levels of seniority (and including five long-term members, in additions to the research professors listed above), out of approximately sixty-five total; thus it was more than 25% women.

Additionally, one of our other research professors, Paul Loya, is Native American; Loya attended for the whole semester and interacted extensively with students during his stay, in addition to delivering a number of introductory lectures in our Broader Connections and Introductory workshops (and a research seminar as well).

8. SYNERGISTIC ACTIVITIES

Melrose's course at UCB attracted a wide audience and served to publicize many of the activities at MSRI to a wider audience (Loya also enjoyed substantial informal interaction with students in conjunction with this class), as did the Evans lectures of Christiansen, Taylor, and Wunsch. The program had very fruitful interaction with Tataru and Zworski at UCB, as well as with their students, who derived considerable benefit from the MSRI program.

The program had considerable interaction with the Topology of Stratified Spaces Workshop held at MSRI from September 8–12. While this workshop was not formally a part of the programs's activities, it brought in many experts in the more topological aspects of singular spaces, and went a large distance to realizing the program's goal of fostering interaction between the analytic and topological communities.

9. NUGGETS AND BREAKTHROUGHS

Tanya Christiansen and Michael Taylor proved a new result on inverse-scattering for obstacles in waveguides, following on a talk that Christiansen gave on some results in this direction. The inverse-scattering problem is that of determining an object—in this case, one in the middle of a waveguide—by bouncing waves off of it; these waves might be acoustic, seismic, or electromagnetic: to a good approximation, the theory is the same. The work of Christiansen-Taylor allows us to determine the shape of the obstacle, subject to some technical hypotheses, by using waves of a small range of wavelengths. Previous results of Christiansen had been confined to the two-dimensional case.

Frédéric Rochon reported that a casual conversation with Daniel Grieser at the beginning of the semester later led to decisive progress in his project with Pierre Albin on the index of d -bar operators acting on stable parabolic

vector bundles of degree zero. Index theory is a subject of crucial importance both in geometry and in modern mathematical physics, where it arises in connection with the study of anomalies in quantum field theory.

Andrew Hassell discussed and, in interaction with Luc Hillairet, was able to extend his recent breakthroughs on the failure of quantum unique ergodicity for the Bunimovich stadium. These results show that while the motion of a billiard ball in the “stadium” (a rectangular region with semicircular ends) is rather chaotic, nonetheless there can be quantum states at high energy that are narrowly concentrated along those billiard trajectories that bounce back and forth within the rectangular part. The existence of these quantum states had been a major open problem in the burgeoning field of quantum chaos.

Postdoctoral Fellows Summary

Pre/Post Institute Group

| <i>Name</i> | <i>PhD Year</i> | <i>Degree Institute</i> | <i>AMS Group</i> | <i>MSRI - Mentor</i> | <i>Pre - MSRI</i> | <i>AMS Group</i> | <i>Placement Institute</i> | <i>AMS Group</i> | <i>Position</i> |
|--------------------|-----------------|--------------------------|------------------|----------------------|---------------------------|------------------|----------------------------|------------------|----------------------|
| Bahuaud, Eric | 2007 | University of Washington | I Public | Melrose, Richard | Universite Montpellier II | Foreign | Saint Mary's College | B | Postdoc |
| Christianson, Hans | 2007 | UC Berkeley | I Public | Wunsch, Jared | MIT | I Private | MIT | I Private | CLE Moore Instructor |
| Dryden, Emily | 2004 | Darhmouth College | II | Mazzeo, Rafe | Bucknell University | M | MIT | I Private | Visiting Scholar |
| Muller, Jorn | 2008 | University of Bonn | Foreign | Hunsicker, Eugenie | University of Bonn | Foreign | University of Bonn | Foreign | Assistant Professor |
| Rochon, Frederic | 2005 | MIT | I Private | Vasy, Andras | University of Toronto | Foreign | University of Toronto | Foreign | Assistant Professor |

| | Pre-MSRI | | | | | | | Total |
|------------------|------------------------|-----------------------|-----------------|------------------|----------------|----------------|----------------|--------------|
| | Group I Private | Group I Public | Group II | Group III | Group B | Group M | Foreign | |
| Post-MSRI | | | | | | | | |
| Group I Private | 1 | | | | | | 1 | 2 |
| Group I Public | | | | | | | | - |
| Group II | | | | | | | | - |
| Group III | | | | | | | | - |
| Group B | | | | | | | 1 | 1 |
| Group M | | | | | | | | - |
| Foreign | | | | | | | | 2 |
| Total | 1 | - | - | - | - | - | 1 | 3 |
| | | | | | | | | 5 |

Participant List

MSRI Program:

Analysis on Singular Spaces

August 18 to December 19, 2008

at Mathematical Sciences Research Institute, Berkeley California

| Full Name | Primary Institute | Position |
|-------------------------|---|------------------------------|
| Aastrup, Johannes | Universitat Munster | Post. Doc. |
| Albin, Pierre | Massachusetts Institute of Technology | Postdoctoral Fellow |
| Aldana Dominguez, Clara | Universität Bonn | Graduate Student |
| Alexandrova, Ivana | East Carolina University | Postdoctoral Fellow |
| Bahuaud, Eric | Universite Montpellier 2 (France) | CNRS Postdoctoral researcher |
| Baskin, Dean | Stanford University | Graduate student |
| Borthwick, David | Emory University | Associate Professor |
| Boucllet, Jean-Marc | Université de Lille 1 (Sciences et Techniques de Lille Flandres Artois) | Professor |
| Bruning, Jochen | Universität Humboldt | Professor |
| Carron, Gilles | University of Nantes | Professor |
| Christiansen, Tanya | University of Missouri, Columbia | Professor |
| Christianson, Hans | Massachusetts Institute of Technology | Lecturer |
| Davis, Benjamin | Saint Mary's College of California | Associate Professor |
| Degeratu, Anda | Max Planck Institute for Gravitational Physics | Junior Scientist |
| Dencker, Nils | University of Lund | Professor |
| Dryden, Emily | Bucknell University | Assistant Professor |
| Farsi, Carla | University of Colorado, Boulder | Professor |
| Francsics, Gabor | Michigan State University | Associate Professor |
| Gaffney, Terence | Northeastern University | Professor |
| Gell-Redman, Jesse | Stanford University | Graduate Student |
| Gimperlein, Heiko | Leibniz Universitaet Hannover | Graduate Student |
| Grandjean, Vincent | University of Bath | Professor |
| Grieser, Daniel | Carl von Ossietzky Universitaet Oldenburg | Professor |
| Grubb, Gerd | Copenhagen University | Professor |
| Guillarmou, Colin | Centre National de la Recherche Scient | Researcher CNRS |
| Haskins, Mark | Imperial College, London | Reader in Pure Mathematics |
| Hillairet, Luc | Université de Nantes | Maitre de Conferences |
| Hunsicker, Eugenie | Lawrence University | Assistant Professor |
| Jeffres, Thalia | Wichita State University | Assistant Professor |
| Kottke, Christopher | Massachusetts Institute of Technology | Graduate Student |
| Krainer, Thomas | Penn State Altoona | Asst. Professor |
| Krupchyk, Katsiaryna | University of Helsinki | Academy research fellow |
| Lebeau, Giles | Universite de Nice Sophia-Antipolis | Professor |
| Li, Hui | University of Luxembourg | Postdoc |
| Loya, Paul | SUNY Binghamton | Associate Professor |
| Mazzeo, Rafe | Stanford University | Professor |
| Mazzucato, Anna | Pennsylvania State University | Assistant Professor |
| McDonald, Patrick | New College of the University of South Florida | Professor |
| Melrose, Richard | MIT - Massachusetts Institute of Technology | Professor |
| Muller, Jorn | University of Bonn | Graduate Student |
| Müller, Werner | Universität Bonn | Professor |
| Perry, Peter | University of Kentucky | Professor |
| Piazza, Paolo | Università di Roma "La Sapienza" | Professor |
| Planchon, Fabrice | Université Paris 13 | Professor |
| Ponge, Raphael | University of Toronto | Assistant Professor |
| Qian, Randy | Northwestern University | Graduate Student |
| Rochon, Frederic | University of Toronto | Assistant Professor |
| Rowlett, Julie | University of California | Faculty/Postdoc |
| Sá Barreto, Antônio | Purdue University | Professor |
| Saez Trumper, Mariel | Pontificia Universidad Catolica de Chile | Assistant Professor |
| Sandoval, Mary | Trinity College | Professor |
| Santoro, Bianca | Duke University | Assistant Research Professor |

| | | |
|-----------------------|---|---------------------|
| Saper, Les | Duke University | Professor |
| Schrohe, Elmar | Leibniz Universität Hannover | Professor |
| Singer, Michael | University of Edinburgh | Professor |
| Stanhope, Elizabeth | Lewis and Clark College | Faculty/Postdoc |
| Stefanov, Plamen | Purdue University | Professor |
| Strohmaier, Alexander | Loughborough University | Lecturer |
| Tataru, Daniel | University of California | Professor |
| Taylor, Michael | University of North Carolina, Chapel Hill | Professor |
| Trotman, David | University of Provence | Professor |
| Uhlmann, Gunther | University of Washington | Professor |
| Varghese, Mathai | University of Adelaide | Professor |
| Vasy, András | Stanford University | Professor |
| Wang, Fang | Massachusetts Institute of Technology | Graduate Student |
| Wunsch, Jared | Northwestern University | Associate Professor |
| Zworski, Maciej | University of California | Professor |

Analysis on Singular Spaces

2008-2009

Program Participant Summary

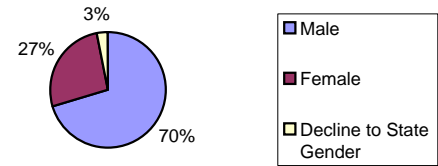
| Analysis on Singular Spaces | # of Distinct Participants | % | # of Citizens & Perm. Res. | % | # of Female | % | # of Minorities | % |
|---|----------------------------|---------------|----------------------------|------------|-------------|--------------|-----------------|-------------|
| Postdoctoral Fellows | 5 | 7.8% | 3 | 4.7% | 1 | 1.6% | 0 | 0.0% |
| PD/RM | 9 | 14.1% | 2 | 3.1% | 6 | 9.4% | 1 | 1.6% |
| Program Associates | 5 | 7.8% | 3 | 4.7% | 1 | 1.6% | 0 | 0.0% |
| Research Members | 32 | 50.0% | 16 | 25.0% | 6 | 9.4% | 2 | 3.1% |
| Research Professor | 7 | 10.9% | 5 | 7.8% | 2 | 3.1% | 1 | 1.6% |
| Organizers | 6 | 9.4% | 4 | 6.3% | 1 | 1.6% | 0 | 0.0% |
| Total no. of Distinct Participants | 64 | 100.0% | 33 | 52% | 17 | 26.6% | 4 | 6.3% |

Home Institute Grouping

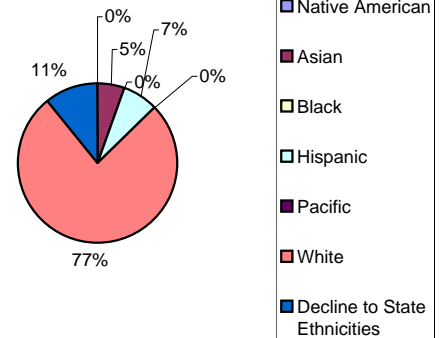
| Analysis on Singular Spaces | Group I Private | Group I Public | Group II | Group III | Group B | Group M | Foreign Institute | Total |
|-----------------------------|-----------------|----------------|-------------|-------------|-------------|-------------|-------------------|---------------|
| Postdoctoral Fellows | 1 | - | - | - | - | 1 | 3 | 5 |
| PD/RM | 2 | 1 | - | - | - | 1 | 5 | 9 |
| Program Associates | 4 | - | - | - | - | - | 1 | 5 |
| Research Members | 2 | 5 | 2 | 2 | 4 | - | 17 | 32 |
| Research Professor | - | 2 | 2 | - | - | - | 3 | 7 |
| Organizers | 3 | 1 | - | - | - | - | 2 | 6 |
| Total | 12 | 9 | 4 | 2 | 4 | 2 | 31 | 64 |
| % | 18.8% | 14.1% | 6.3% | 3.1% | 6.3% | 3.1% | 48.4% | 100.0% |

Analysis on Singular Spaces (All Participants) Demographic Summary

| Gender | # | % (No Decl.)* | % |
|------------------------------|----|---------------|-------|
| No. of Distinct Participants | 64 | | |
| Male | 45 | 72.58% | 70.3% |
| Female | 17 | 27.42% | 26.6% |
| Decline to State Gender | 2 | | 3.1% |

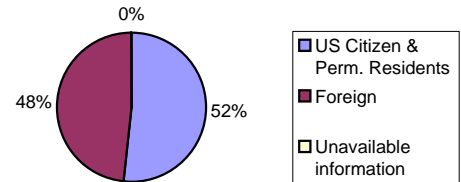


| Ethnicities | # | % (No Decl.)* | % |
|------------------------------------|----|---------------|-------|
| Native American | 0 | 0.00% | 0.0% |
| Asian | 3 | 6.12% | 5.5% |
| Black | 0 | 0.00% | 0.0% |
| Hispanic | 4 | 8.16% | 7.3% |
| Pacific | 0 | 0.00% | 0.0% |
| White | 42 | 85.71% | 76.4% |
| Decline to State Ethnicities | 6 | | 10.9% |
| Unavailable Information | 8 | | |
| Total no. of Distinct Participants | 63 | | |



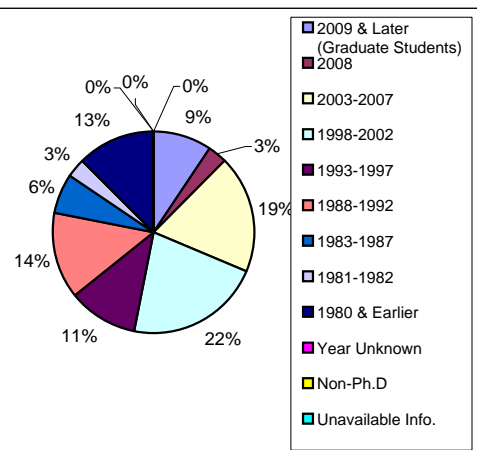
| | | | |
|------------|---|-------|------|
| Minorities | 4 | 8.16% | 6.3% |
|------------|---|-------|------|

| Citizenships | # | % |
|------------------------------------|----|--------|
| US Citizen & Perm. Residents | 33 | 51.6% |
| Foreign | 31 | 48.4% |
| Unavailable information | 0 | 0.0% |
| Total no. of Distinct Participants | 64 | 100.0% |
| US Citizen | 25 | 39.1% |
| Perm Residents | 8 | 12.5% |



| | | |
|------------------|----|--------|
| Home Inst. in US | 33 | 51.56% |
|------------------|----|--------|

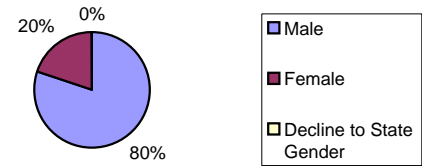
| Year of Ph.D | # | % |
|----------------------------------|----|--------|
| 2009 & Later (Graduate Students) | 6 | 9.4% |
| 2008 | 2 | 3.1% |
| 2003-2007 | 12 | 18.8% |
| 1998-2002 | 14 | 21.9% |
| 1993-1997 | 7 | 10.9% |
| 1988-1992 | 9 | 14.1% |
| 1983-1987 | 4 | 6.3% |
| 1981-1982 | 2 | 3.1% |
| 1980 & Earlier | 8 | 12.5% |
| Year Unknown | 0 | 0.0% |
| Non-Ph.D | 0 | 0.0% |
| Unavailable Info. | 0 | 0.0% |
| Total | 64 | 100.0% |



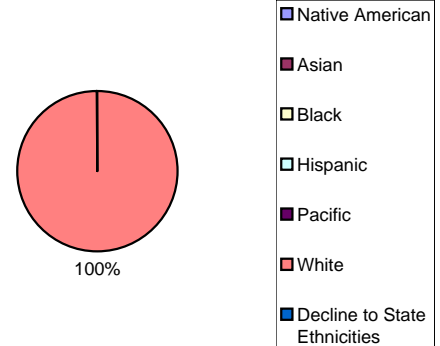
*Statistic Calculation based on all participants that did not decline.

Analysis on Singular Spaces Postdoctoral Fellow Summary

| Gender | # | % (No Decl.)* | % |
|------------------------------------|---|---------------|-------|
| No. of Postdoctoral Fellows | 5 | | |
| Male | 4 | 80.00% | 80.0% |
| Female | 1 | 20.00% | 20.0% |
| Decline to State Gender | 0 | | 0.0% |

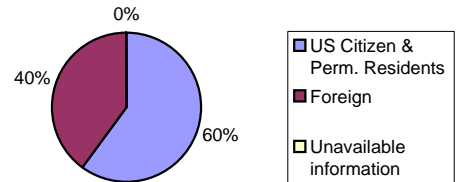


| Ethnicities | # | % (No Decl.)* | % |
|--|---|---------------|--------|
| Native American | 0 | 0.00% | 0.0% |
| Asian | 0 | 0.00% | 0.0% |
| Black | 0 | 0.00% | 0.0% |
| Hispanic | 0 | 0.00% | 0.0% |
| Pacific | 0 | 0.00% | 0.0% |
| White | 5 | 100.00% | 100.0% |
| Decline to State Ethnicities | 0 | | 0.0% |
| Unavailable Information | 0 | | 0.0% |
| Total no. of Postdoctoral Fellows | 5 | | 100.0% |



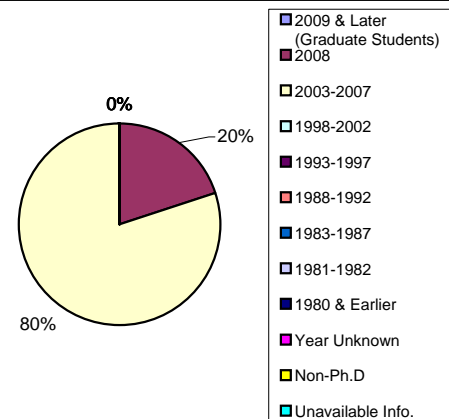
| | | | |
|-------------------|---|-------|------|
| Minorities | 0 | 0.00% | 0.0% |
|-------------------|---|-------|------|

| Citizenships | # | % |
|--|---|--------|
| US Citizen & Perm. Residents | 3 | 60.0% |
| Foreign | 2 | 40.0% |
| Unavailable information | 0 | 0.0% |
| Total no. of Postdoctoral Fellows | 5 | 100.0% |
| US Citizen | 2 | 40.0% |
| Perm Residents | 1 | 20.0% |



| | | |
|-------------------------|---|--------|
| Home Inst. in US | 2 | 40.00% |
|-------------------------|---|--------|

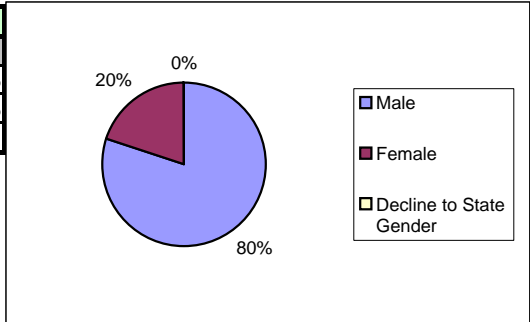
| Year of Ph.D | # | % |
|---|---|--------|
| 2009 & Later (Graduate Students) | 0 | 0.0% |
| 2008 | 1 | 20.0% |
| 2003-2007 | 4 | 80.0% |
| 1998-2002 | 0 | 0.0% |
| 1993-1997 | 0 | 0.0% |
| 1988-1992 | 0 | 0.0% |
| 1983-1987 | 0 | 0.0% |
| 1981-1982 | 0 | 0.0% |
| 1980 & Earlier | 0 | 0.0% |
| Year Unknown | 0 | 0.0% |
| Non-Ph.D | 0 | 0.0% |
| Unavailable Info. | 0 | 0.0% |
| Total | 5 | 100.0% |



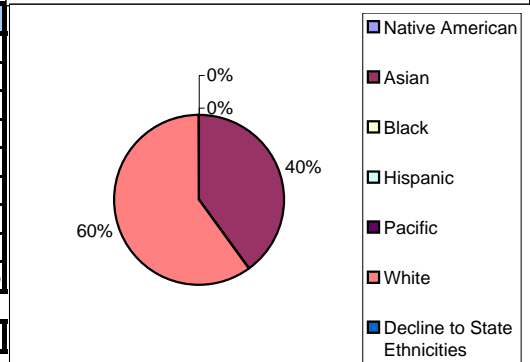
*Statistic Calculation based on all participants that did not decline.

Analysis on Singular Spaces Program Associate (Graduate Student) Summary

| Gender | # | % (No Decl.)* | % |
|----------------------------------|----------|----------------------|----------|
| No. of Program Associates | 5 | | |
| Male | 4 | 80.00% | 80.0% |
| Female | 1 | 20.00% | 20.0% |
| Decline to State Gender | 0 | | 0.0% |

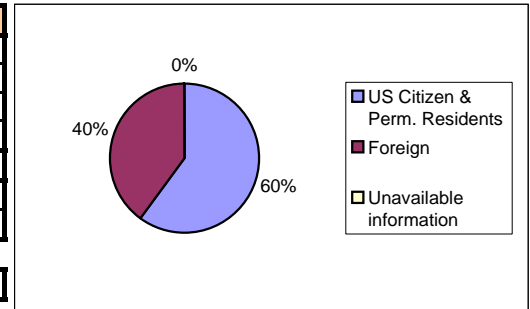


| Ethnicities | # | % (No Decl.)* | % |
|--|----------|----------------------|----------|
| Native American | 0 | 0.00% | 0.0% |
| Asian | 2 | 40.00% | 40.0% |
| Black | 0 | 0.00% | 0.0% |
| Hispanic | 0 | 0.00% | 0.0% |
| Pacific | 0 | 0.00% | 0.0% |
| White | 3 | 60.00% | 60.0% |
| Decline to State Ethnicities | 0 | | 0.0% |
| Unavailable Information | 0 | | 0.0% |
| Total no. of Program Associates | 5 | | 100.0% |



| | | | |
|-------------------|---|-------|------|
| Minorities | 0 | 0.00% | 0.0% |
|-------------------|---|-------|------|

| Citizenships | # | % |
|---|----------|----------|
| US Citizen & Perm. Residents | 3 | 60.0% |
| Foreign | 2 | 40.0% |
| Unavailable information | 0 | 0.0% |
| Total no. of Program Associates | 5 | 100.0% |



| | | |
|-----------------------|---|-------|
| US Citizen | 3 | 60.0% |
| Perm Residents | 0 | 0.0% |

| | | |
|-------------------------|---|--------|
| Home Inst. in US | 4 | 80.00% |
|-------------------------|---|--------|

*Statistic Calculation based on all participants that did not decline.

Report on the ergodic theory and additive combinatorics program

Ben Green, Bryna Kra, Emmanuel Lesigne, Anthony Quas, Mate Wierdl

MSRI Fall 2008

1 Introduction

The semester long program in ergodic theory and additive combinatorics was held at MSRI during the fall of 2008 (August 19-December 18). The semester was organized by Ben Green (University of Cambridge, England), Bryna Kra (Northwestern University), Emmanuel Lesigne (University of Tours, France), Anthony Quas (University of Victoria, Canada), Mate Wierdl (University of Memphis). Ben Green was in residence for 5 weeks during two visits, while the other organizers were in residence during the whole program.

The idea of the program was to bring together experts in both ergodic theory and additive combinatorics, to facilitate the interaction between the two fields. While the interaction between the two fields has a few decades of history, many of the recent advances in both fields (the Green-Tao proof that the primes contain arithmetic progressions, the Host-Kra proof of convergence of multiple averages), demanded new insights. Concurrent with this goal, we organized three conferences. The first two were introductory in nature, and had several minicourses with introductory talks given by experts. The third conference was a high level conference on rigidity theory, which lies at the intersection of several mathematical fields, two of them are ergodic theory and number theory. Many of the greatest “stars” of ergodic theory and additive combinatorics were in residence for all or part of the program. We also had several postdoctoral fellows during the program, and most were in residence during the whole program.

Overall, the general atmosphere at MSRI was extremely fruitful. There were numerous informal and lively discussions, varied and interesting questions circulated (both in formal problem sessions and informal exchanges), and new collaborations began. This atmosphere of scientific exchange was confirmed by numerous comments by participants. The general organization of MSRI, including the excellent library, make the Institute a great place for dynamical mathematical research.

In what follows, we report on the the postdoctoral fellows, the three conferences, the semester’s regular activities (seminars, unsolved problem posing sessions), and some work of the various members while in residence.

2 Postdoctoral fellows

Fish, Alexander

Year of PhD 2006

Institution of Ph.D. Hebrew University in Jerusalem

Institution and positions after Ph.D. before MSRI The Ohio State University

Institution and position after MSRI The Ohio State University

Mentor while at MSRI Doug Lind

Ohio State. He was in residence for the entire semester. He worked on 2 topics: sunset phenomenon for amenable groups and measure rigidity for dilations of polynomial curves in nilmanifolds. He finished a paper joint with Michael Bjorklund on the second topic, and the paper was accepted for publication in PAMS (Proceedings of the AMS). Together with Mathias Beiglbock and Vitaly Bergelson, who both visited MSRI during the program, he wrote a preprint “Sunset phenomenon for countable amenable groups.” He also collaborated with Ilya Shkredov, another postdoc in residence.

Hochman, Michael

Year of PhD 2007

Institution of Ph.D. Hebrew University of Jerusalem

Institution and positions after Ph.D. before MSRI Veblen Research Instructor, Princeton University

Institution and position after MSRI Veblen Research Instructor, Princeton University

Mentor while at MSRI Bryna Kra

He was in residence the entire semester. He completed work on Rohklin properties of the space of Z^d actions on the Cantor set. He worked with Doug Lind, a senior member in residence, identifying the directions that can be the unique non-expansive direction for a topological Z^2 action. He worked with Pablo Shmerkin on the projections of sets and measures and their dimensions, focusing on projections of products of sets and measures in R/Z that are invariant under $\times 2$ and $\times 3$ respectively.

McClendon, David

Year of PhD 2006

Institution of Ph.D. Univ. of Maryland

Institution and positions after Ph.D. before MSRI Lecturer, Northwestern University (Fall 2006-Spring 2007) Boas Asst. Professor, Northwestern University (Fall 2007-present)

Institution and position after MSRI Boas Asst. Professor, Northwestern University

Mentor while at MSRI Doug Lind

Northwestern University. He was in residence for the entire semester.

David McClendon worked in three areas: first, he studied Weyl complexity of polynomials and was able to extend a result of Frantzikinakis regarding the maximal Weyl complexity of a family of polynomials. Second, he attempted to characterize the families of polynomials which do not have “good lower bounds” (that is, those families that do not satisfy a Khintchine recurrence-type result). Third, he worked toward a one-sided version of the Becker-Kechris theorem, obtaining partial results.

Pete, Gabor

Year of PhD 2006

Institution of Ph.D. UC Berkeley

Institution and positions after Ph.D. before MSRI Microsoft Research, Redmond. Postdoc 2006-2008

Institution and position after MSRI University of Toronto, Coxeter Assistant Professor

Mentor while at MSRI Máté Wierdl

He was in residence for the entire semester. Gábor’s main focus at MSRI was proving sparse Szemerédi type results: finding long arithmetic progressions in subsets of positive density inside sparse random sets. With József Balogh (UIUC), he developed an approach via a sparse hypergraph regularity lemma, but very recently, Conlon and Gowers have found a more elegant and universal method, so this project might get cancelled. With Pablo Shmerkin (MSRI) and Elchanan Mossel (UC Berkeley), Gábor is writing a paper about such questions in fractal percolation limit sets, improving recent results of Łaba and Pramanik. In this setting, neither the Balogh-Pete nor the Conlon-Gowers method seems to work, so a more geometric approach is needed. Gábor is writing a paper with Amos Lapidot (ETH Zürich) on some applications of additive combinatorics to information theory, and he is developing some ideas to prove a conjecture of Bergelson: a group is amenable if and only if any set of measurable recurrence is also of topological recurrence. Slightly related to the MSRI program, he finished a paper with Volodia Nekrashevych (Texas A&M) on scale-invariant groups, and is working on some applications of discrete Fourier analysis to statistical physics (joint with Christophe Garban (ENS Paris), Alan Hammond (NYU), Oded Schramm (Microsoft) and Jeff Steif (Chalmers)). Finally, Gábor finished a paper on using probabilistic game theory to understand a degenerate elliptic PDE, jointly with Yuval Peres (Microsoft) and Stephanie Somersille (UC Berkeley).

Roy, Emmanuel

Year of PhD 2005

Institution of Ph.D. Universit Paris 6

Institution and positions after Ph.D. before MSRI ATER (Paris 6)
(from September 2005 to august 2006) Matre de confrences (Paris 13)
(from September 2006 to current)

Institution and position after MSRI Matre de confrences (Paris 13)
(from September 2006 to current)

Mentor while at MSRI Anthony Quas

He was in residence the entire semester. He proved the existence of a Pinsker factor for an infinite measure preserving action of a countable amenable group. He also derived most of the basic ergodic properties of “max-stable” processes, generalizing existing results. This work takes the form of two articles. He also finished a paper on filtering and infinite divisibility and another paper in collaboration with M. Lemanczyk, who was in residence for a month, and F. Parreau (Université de Paris XIII) on disjointness properties.

Sanders, Tom

Year of PhD 2007

Institution of Ph.D. University of Cambridge

Institution and positions after Ph.D. before MSRI Member IAS

Institution and position after MSRI Member Institut Mittag-Leffler;

Mentor while at MSRI Bryna Kra

He was in residence for the entire semester and during this time worked in two areas. He worked on non-abelian analogs of the celebrated structural theorems of Freiman, and on improving estimates for the ‘roughness’ of integral valued functions on finite abelian groups.

Shkredov, Ilya Dmitrievich

Year of PhD 2005

Institution of Ph.D. Moscow State University

Institution and positions after Ph.D. before MSRI Moscow State University 2006-2007, Assistant Professor Institute for Advanced Study, Princeton 2007, postdoc Moscow State University 2008, Assistant Professor

Institution and position after MSRI Moscow State University 2009-..., Assistant Professor

Mentor while at MSRI Mate Wierdl

He was in residence for the entire semester. Ilya Shkredov fruitful discussions with other MSRI members including Alexander Fish, Tom Sanders, Mathew Beiglbock, and Michael Boshernitzan. He gave an answer to a question of Mathew Beiglbock concerning some non-linear coloring problem in Z/pZ and wrote an article about that. In the near future, Ilya Shkredov and Alexander Fish will finish writing a joint paper on solution of some equations in normal sets. Also he finished his own project on dense sets without some two-dimensional configurations (more than 100 pages long paper).

Shmerkin, Pablo

Year of PhD 2006

Institution of Ph.D. University of Washington

Institution and positions after Ph.D. before MSRI University of Jyväskylä (Finland), postdoc September 2006-March 2008. University of Manchester (UK), research associate since April 2008.

Institution and position after MSRI University of Manchester (UK), research associate since April 2008.

Mentor while at MSRI Emmanuel Lesigne.

He was in residence for the entire semester. With M. Hochman (postdoc at MSRI), he worked on the projections of sets and measures and their dimensions, focusing on projections of products of sets and measures in R/Z that are invariant under $\times 2$ and $\times 3$ respectively. With Pete (postdoc at MSRI), he worked on the existence of arithmetic structures and analogues of Szemerédi theorem for random fractals. With J. Schmeling (member at MSRI for one month), he worked on the fractal dimensions of iterated sumsets and comparison with the discrete case. In addition, continued work with Y. Peres (Microsoft) on the convolution of self-similar measures and with B. Solomyak (University of Washington) on the connection between multifractal formalism and beta expansions.

Towsner, Henry

Year of PhD 2008

Institution of Ph.D. Carnegie Mellon University

Institution and positions after Ph.D. before MSRI N/A

Institution and position after MSRI Hedrick Assistant Adjunct Professor

Mentor while at MSRI Emmanuel Lesigne

He was in residence for the entire semester.

During the semester at MSRI, Henry Towsner worked with Jeremy Avigad on "unwinding" the use of the Furstenberg-Zimmer structure theorem

in proofs of Szemerédi's Theorem and its generalizations. A draft on the application to Szemerédi's Theorem is almost finished. He also began an investigation with Mathias Beiglböck on converting proofs of combinatorial statements using ultrafilters into purely combinatorial proofs; the first result was a new proof of Hindman's Theorem. Finally, Towsner began investigating generalizations of the Furstenberg correspondence, developing a correspondence principle which preserves the Gowers uniformity norms.

Wolf, Julia

Year of PhD 2008

Institution of Ph.D. University of Cambridge

Institution and positions after Ph.D. before MSRI Institute for Advanced Study, Princeton (Member)

Institution and position after MSRI Rutgers The State University of New Jersey (Assistant professor, NTT)

Mentor while at MSRI Bernard Host

She was in residence for the entire semester. During her time at MSRI Julia Wolf concentrated on using higher-order Fourier analysis to prove refined decomposition theorems for bounded functions in both cyclic groups and finite field geometries. This work, which was carried out in collaboration with W.T. Gowers (in residence at MSRI for two weeks) led to rough drafts in both cases, with applications to counting solutions to certain systems of linear equations in these settings. She also submitted a note on Elkin's improvement of Behrend's construction with B.J. Green (in residence at MSRI for 5 weeks), and a second one on Behrend's construction for longer progressions in finite fields with Y. Lin (Stanford University).

Spencer, Craig Valere

Year of PhD 2008

Institution of Ph.D. University of Michigan

Institution and positions after Ph.D. before MSRI N/A

Institution and position after MSRI Member, IAS Sept. 2008-July 2009; Assistant Professor, Kansas State University Aug. 2008-

Mentor while at MSRI Ben Green

He was in residence for one month.

3 Students

There were numerous students who visited during the semester. Three of them were in residence the whole time:

Mahsa Allahbakhshi Mahsa is a PhD student at the University of Victoria working under the supervision of Anthony Quas. She is working on her thesis and is currently working on measures of maximal entropy arising in symbolic factor mappings.

Qing Chu She is a student of Bernard Host, and she worked on generalizing known multiple convergence results for commuting transformations over cubes and with polynomial exponents. She also had fruitful discussions with Tim Austin (UCLA graduate student, also in residence for a few weeks), Bryna Kra, Amanda Potts.

Amanda Potts She a graduate student at Northwestern and her advisor is Bryna Kra. She worked on generalizing the known results for flows, including showing convergence along polynomial times and giving a description of the limit.

There were other students in residence for shorter periods, including:

Tim Austin He is a student of Terence Tao and was in residence for 6 weeks. He worked on various topics, including convergence results for commuting transformations, a new proof of the density Hales-Jewett Theorem, and a quantitative proof of the Furstenberg-Katznelson recurrence theorem.

Lilian Matthiesen She is student of Ben Green and worked on her thesis and attended introductory workshops.

Vicky Neale She is student of Ben Green and worked on her thesis and attended introductory workshops.

Olof Sisask He is student of Ben Green and worked on his thesis and attended introductory workshops.

Alvaro Coronel He is student of Alejandro Maass and worked on writing up his thesis while in residence.

4 Workshops

4.1 Broader Connections: Ergodic Theory and Additive Combinatorics

The Broader Connections workshop was held August 21–22 2008 to serve as a pre-introduction to the semester's program on Ergodic Theory and Additive Combinatorics. It was immediately followed by the program's first main workshop: An Introduction to Ergodic Theory and Additive Combinatorics (August 25–29 2008).

The goal of the workshop was to set the stage for the remainder of the program, introducing all participants to the basics. Since the program is aimed at

researchers coming from a number of different areas (harmonic analysis, combinatorics and ergodic theory), a key aim of the meeting was to establish a common framework for discussion. As the title of the meeting indicates (“Broader Connections” as opposed to the more traditional “Connections for Women”), the workshop was aimed broadly at graduate students and postdocs in the areas of the workshop and closely related research areas as well as more senior women faculty members in nearby research areas. As in other Connections workshops, an important aspect was providing a format that would encourage the formation of connections between researchers, especially female researchers.

The formal part of the workshop consisted of four two-lecture series given by Vitaly Bergelson of the Ohio State University, Izabella Laba of the University of British Columbia, Akshay Venkatesh of Stanford University and Tamar Ziegler of the Technion in Haifa, Israel. Of these, Bergelson and Ziegler came from an ergodic perspective while Laba’s work is in harmonic analysis and Venkatesh works on number theory. Each lecturer gave a one-hour lecture on each of the days.

Bergelson’s lectures focused on the philosophy of ergodic Ramsey theory: sufficiently rich combinatorial structures have a tendency to be “unbreakable” in the sense that if the structure is finitely partitioned, one of the pieces inherits large scale pieces of the original structure. Laba’s lectures gave a detailed introduction to the application of harmonic analysis in the subject, focusing on Roth’s theorem (one of the early results in additive combinatorics, stating that every sufficiently dense subset of the integers contains three-term arithmetic progressions) and its generalizations. Venkatesh lectured on group actions on homogeneous spaces and illustrated the theory with a discussion of Pell’s equation and counting of lattice structures. Ziegler’s lectures were on the role of weak-mixing in non conventional ergodic averages (the ergodic tools underlying the Furstenberg proof of Szemerédi’s theorem on arithmetic progressions in dense sets of integers) and the characteristic factors that govern the behavior of these averages.

Thursday afternoon, the workshop featured an informal panel discussion entitled “Three things I wish I had known”. This was aimed at younger women mathematicians, and students and postdocs more generally. The format for the discussion was that the four panelists introduced themselves and shared their thoughts on things they had learned. The floor was then opened for questions. The panel was moderated by H el ene Barcelo of MSRI and the panelists were Tanya Christensen of the University of Missouri (a member of the Analysis of Singular Spaces program), Bryna Kra of Northwestern University, Malabika Pramanik of the University of British Columbia and Ay se  ahin of DePaul University. During the discussion, there was a range of interesting questions from the floor covering issues such as collaboration with senior colleagues; broadening one’s research interests; how to pick good research questions. On Thursday evening all the women participants at the conference were invited to dinner at a local Chinese restaurant, and 16 people attended.

Overall the workshop was highly successful. The lectures were very well attended with approximately 60 people present. Many people commented to

the organizers that the quality of exposition was very high.

4.2 Introduction to Ergodic Theory and Additive Combinatorics

This workshop was organized, in connection with the workshop "Broader Connections: Ergodic Theory and Additive Combinatorics" (held the previous week), as an introduction to the semester long research program of the same name. It was designed to be particularly useful to the most junior members of the workshop and semester program.

The aim of the research program "Ergodic Theory and Additive Combinatorics" is to bring together people of different areas of mathematics that have recently enjoyed substantial interaction. These areas include: Additive Combinatorics, Harmonic Analysis, and Ergodic Theory. The main focus of the workshop was mathematical questions on which these three different areas are effective, and related themes were presented from all perspectives. As was noted by many different speakers, the celebrated theorem of Szemerédi (on the existence of arbitrarily long arithmetic progressions in any positive density subset of integers) is the archetype of the richness of this confrontation-collaboration. Terence Tao spoke of Szemerédi's Theorem as "the Rosetta stone between ergodic theory, combinatorics, and Fourier analysis." Reading three different languages discussing the same problem is extremely instructive and gives insight for solving new problems.

Techniques from Harmonic Analysis, Combinatorial Number Theory, and Ergodic Theory have been successively and successfully utilized to prove Szemerédi's theorem and many of its generalizations and improvements: quantitative results; generalization to other types of progressions (such as "polynomial progressions"); sets of zero density (such as the Green-Tao theorem on long arithmetic progressions in prime numbers); higher dimensional results; study of structures other than the integers (such as graphs). Each of these topics was the subject of lectures during the workshop, at a level which allowed newcomers to the fields to understand the basics.

The workshop was built around mini-courses (unfortunately Hillel Furstenberg, who was the originator of the ergodic theory view-point in this field, was unable to attend at the last moment due to health reasons). Mini-courses were given by Bernard Host, Ben Green, and Terence Tao. They gave outstanding talks, going from carefully explained basic facts to recent results, with significant information on the proofs. Bernard Host described the appearance and role of "translations on compact quotients of nilpotent Lie groups" in this field; Ben Green gave a comprehensive and fascinating outlook on the proof of Green-Tao theorem; Terence Tao illustrated several different examples of the bridges between "finitary quantitative" and "abstract qualitative" results on existence of configurations, graph properties, and convergence theorems.

Eight more classical research talks were given by specialists of combinatorial number theory (Endre Szemerédi himself), ergodic theory (Nikos Frantzikinakis, Thierry de la Rue, Vitaly Bergelson, Tamar Ziegler and Benjamin Weiss),

Fourier analysis (Izabella Laba, Tom Sanders). Moreover, during two Problem Sessions, ten participants (including two post-docs) took the opportunity to ask open challenging questions.

The total number of registered participants was 109, from 12 different countries, including an important proportion of young mathematicians (male and female), PhD students or post-docs. Since most of the talks were really accessible, we believe that most participants benefited from their participation.

The excellent atmosphere, comfort, and organization of MSRI were of great importance in the success of this meeting. The schedule of the workshop and the building itself gave numerous opportunities for informal encounters and discussions.

We already gave a quick description of the content of mini-courses by Bernard Host, Ben Green and Terence Tao. Complementing these course, new mathematical results related to the background material were announced during the workshop. Endre Szemerédi announced the solution of an Erdős conjecture on sumsets of "not too thin" sets of integers. Nikos Frantzikinakis stated theorems of multiple recurrence along sequences produced by functions from an arbitrary Hardy field. Izabella Laba gave conditions on the fractional dimension of a set of real numbers which guarantee that this set contains an arithmetic progression of length three. Thierry de la Rue gave precise calculations of the rate of exponential growth for random Fibonacci sequences. Vitaly Bergelson presented multiple recurrence theorems for measure preserving actions of general amenable groups, and asked several challenging questions around amenable actions. Tamar Ziegler announced new results on the inverse problems for "ergodic Gowers-Host-Kra seminorms" in the context of products of finite fields actions.

4.3 MSRI Workshop on Discrete Rigidity Phenomena

Overview. The term "Discrete Rigidity Phenomena" was invented specially for this workshop, which was one of the highlights of the special semester on Ergodic Theory and Additive Combinatorics. Although many of the invited speakers were bemused (or occasionally amused) by the title, they all gave talks very much within the intended spirit of the workshop. This strongly suggests that the time was ripe for such a meeting.

What, then, are Discrete Rigidity Phenomena? Organizer Ben Green gave the opening lecture at the conference, outlining his interpretation of one aspect of this area of study. He mentioned various types of approximate objects arising in additive combinatorics, specifically approximate groups, approximate homomorphisms, and approximate polynomials. He asked a number of questions about such objects, many of which demanded that approximate objects be described more explicitly, perhaps in terms of their exact algebraic analogs or closely related structures. In the talk Green showed that some of the key questions of additive combinatorics, specifically Freiman's theorem and the inverse conjectures for the Gowers norms, may be understood within this context.

Over the course of the week the notion of a Discrete Rigidity Phenomenon, as delineated by the participants' lectures, grew considerably from this rather

focused core.

The program. The week consisted largely of lectures, with four or five on each day except Wednesday when, as is the custom at MSRI, a free afternoon was declared. Monday afternoon included an MSRI/Evans lecture by Fields Medalist Tim Gowers down the hill at Berkeley on the subject of “What is Quadratic Fourier Analysis.” In this lecture Gowers discussed some of the ideas from his famous 1998 work on Szemerédi’s theorem, regarded by many as the place where modern additive combinatorics was born. He proceeded to bring those ideas thoroughly up to date whilst carefully staying within the brief of this series of talks, designed for a general audience.

Back up the hill, one common theme was the interplay between ergodic theory and additive combinatorics. This was exemplified by the talks of Bernard Host, who discussed joint work with Kra and Maass on nilsequences from an analyst’s viewpoint, and Terry Tao, who discussed his joint work with Green on “discrete” dynamics on nilmanifolds and its applications to prime number theory. Tamar Ziegler presented very interesting recent joint work with Trevor Wooley on finding progressions with “arithmetic” common differences in certain sets, and Hillel Furstenberg gave a talk connecting additive combinatorics with so-called piecewise Bohr sets, objects which have recently been studied on the “ergodic” side. The purer ergodic side of the subject was represented by Manfred Einsiedler’s talk on issues related to Ratner’s measure rigidity theorem (the name of which was the inspiration for the present workshop). Meanwhile, the interplay between dynamics and number theory was brought to the fore by Adrian Ubis’ discussion of his recent joint work with Peter Sarnak on the horocycle flow at prime times.

A second major theme centered around the Gowers norms, introduced by Gowers in his work on Szemerédi’s theorem and now rather central to additive combinatorics. Hamed Hatami gave a talk about some very general kinds of norms arising in graph theory which, in a certain sense, generalize Gowers’s construction. Julia Wolf talked about her joint work with Tim Gowers clarifying exactly which Gowers norms are necessary to control specific types of linear expressions related to arithmetic progressions. Her talk featured a certain “decomposition” theorem in which a function is split into structured and random parts. Luca Trevisan spoke about a very similar type of result that he was able to approach using language from game theory and computer science. Ryan O’Donnell gave a highly entertaining and polished account of the importance of Gowers-type norms, and in particular the inverse questions associated with them, in theoretical computer science.

A third big theme was a discussion of approximate groups from various perspectives. Harald Helfgott presented his new result on the approximate subgroups of $SL_3(\mathbb{F}_p)$, whilst Emmanuel Breuillard gave a wonderfully accessible account of his so-called Uniform Tits Alternative, a result about a certain notion of approximate subgroups in $GL_n(k)$. Tom Sanders presented a very general result about approximate groups, valid in quite unstructured settings, and in particular for all solvable groups and all torsion-free groups. James Lee

gave a talk establishing quantitative information on sets with a certain precise type of polynomial growth, establishing that they have a nontrivial image inside reasonably small matrix groups over \mathbb{C} . Mei-Chu Chang discussed sum-product theorems and their applications to classical character sum estimates, but concluded with some intriguing speculations about approximate subgroups of $\mathrm{SL}_3(\mathbb{C})$. Alexander Gamburd described his work with Bourgain in which the aforementioned additive-combinatorial type results are applied to yield spectacular results about the existence of expanders as Cayley graphs associated to matrix groups. Elon Lindenstrauss gave some hints of his very impressive work joint with Bourgain, Furman and Mozes. In this work they prove, under quite general conditions, that if one takes a walk on the d -dimensional torus \mathbb{T}^d by acting using a randomly selected integer matrix from some finite set, this walk becomes uniformly distributed with advancing time.

Lastly (and most welcome) there were a few talks by major experts which did not fit so easily into the above categories, but which amply demonstrated the power of “discrete rigidity phenomenological” modes of thinking in other areas. Avi Wigderson gave a fascinating talk on extractors in computer science and their link with additive combinatorics. Jean Bourgain discussed his amazing work with Gamburd and Sarnak locating almost primes in very strange places – orbits of linear groups. Finally, Akshay Venkatesh hinted at his tour-de-force work with Einsiedler and Margulis on quantitative versions of Ratner’s theorem, and went on to discuss how more can be said in number-theoretical situations, where one may benefit from the presence of Hecke operators.

Participants. There were a total of 85 registered participants, as well as numerous other participants from the members in the semester long program at MSRI on additive combinatorics and ergodic theory. This included 8 graduate students and 11 postdoctoral fellows.

5 Organizational structure, seminars

Each week of our program, we had three seminars. The “regular” seminars were on Tuesdays and Thursdays 2-3PM, and the postdoc seminar was on Fridays, 11-12AM. The students in residence regularly attended a Berkeley student seminar on harmonic analysis and ergodic theory (directed by University of Berkeley Professor Michael Christ) on Wednesday afternoons. In addition to the seminars, we had daily tea at 3:30.

The regular seminar talks were:

Michael Boshernitzan Hardy fields in ergodic theory.

Bernard Host Ergodic seminorms for commuting transformations; a third proof of Tao’s theorem.

Moshe (Eli) Glasner Stationary dynamical systems and Szemerédi’s theorem for $\mathrm{PSL}(2, \mathbb{Z})$.

- Christian Mauduit** A q -additive property of the primes and squares.
- Endre Szemerédi** On sunset sums.
- Terence Tao** The correspondence principle and the inverse conjecture for the Gowers norm over finite fields.
- Yves Derriennic** Reversibility and the ergodic theorem.
- Ben Green** Quantitative distribution of orbits on nilmanifolds.
- Harald Helfgott** Escape and incidence: their role in growth in groups.
- Anthony Quas** Distance sets and the odd-distance graph.
- Alejandro Maass** Topological parallelepipeds: How do you recognize nilsequences?
- Douglas Lind** Mahler, Entropy, and Heisenberg.
- Joerg Schmeling** Mass Transference and Dyadic Diophantine Approximation.
- Sebastien Ferenczi** Combinatorics of Interval Exchange Transformations.
- Mate Wierdl** Some problems on bases for the integers.
- Mariusz Lemanczyk** On non-mixing for interval exchange transformations.
- Emmanuel Lesigne** Good and bad powers for recurrence.
- Timothy Gowers** Combinatorics inside sparse random sets I
- Timothy Gowers** Combinatorics inside sparse random sets II
- Randall McCutcheon** Concentration function estimates and intersective sets from matrices.
- Hillel Furstenberg** Logarithmic density and Ramsey theory.
- Ilya Dmitrievich Shkredov** On some two-dimensional configurations in dense sets.
- Henry Towsner** Metastability and the Mean Ergodic Theorem.
- Emmanuel Lesigne** Averages on annuli on a Euclidean space.
- There were talks which were jointly organized by our program and UC Berkeley's math department (the MSRI-Evans Lecture Series). The talks related to this program were:
- Terence Tao** Szemerédi's theorem: a Rosetta stone between ergodic theory, combinatorics, and Fourier analysis.
- Bryna Kra** Combinatorial Ergodic Theory

Timothy Gowers Quadratic Fourier Analysis

Vitaly Bergelson Ergodic Ramsey theory: old and new results and conjectures.

Talks in the postdoc seminar:

Julia Wolf Minimal characteristic factors for linear systems

Tom Sanders Models in additive combinatorics

Emmanuel Roy Poisson suspensions and infinite ergodic theory

Michael Hochman Ergodic theorems for multiparameter actions on infinite measure spaces

Alexander Fish Rigidity of measures on the torus invariant under the action of a "large" multiplicative semigroup.

Qing Chu Convergence of multiple averages along cubes for several commuting transformations

Amanda Potts Polynomial Ergodic Averages and Flows

Mahsa Allahbakhshi Measures of Maximal Relative Entropy

In addition to these seminars, we had 5 informal meetings where members presented their favorite unsolved problems. Problems ranged from classical problems that had been open for many years to new problems that had arisen while at MSRI. These late afternoon discussions were often lively and spurred numerous discussions over lunch and tea.

6 Interaction with other programs

Over the course of the semester there was a substantial amount of interaction between the Ergodic Theory and Additive Combinatorics program and the Mathematics Department at Berkeley: Michael Christ and his students regularly attended seminars and lectures at MSRI and Christ organized a harmonic analysis student seminar which was also attended by several of the students in residence at MSRI. Patrick LaVictoire, a PhD student at UC Berkeley, had extensive discussions with Mate Wierdl about recent developments in subsequence ergodic theory, including LaVictoire's own recent work about convergence along sparse random sequences and important new work on convergence along the squares.

7 Some research topics

Doug Lind, Klaus Schmidt They worked hard on a paper involving the dynamics of actions by discrete amenable groups, especially the discrete Heisenberg group, by automorphisms of a compact abelian group. This is part of a larger program that links these topics to von Neumann algebras and to extremely interesting noncommutative phenomena that lead to some concrete results that do not seem to be provable any other way. Working together at MSRI enabled them to advance this project considerably, and they are close to having the main paper completed.

As mentioned above, Lind also had productive interactions with Michael Hochmann on a problem that originated at the MSRI Symbolic Dynamics Program from 1992, and also worked with Manfred Einsiedler during his two visits to MSRI on a project they will be completing soon.

Bernard Host, Bryna Kra, Alejandro Maass They worked on proving a structure theorem for topological dynamical systems, analogous to the structure theorem of Host and Kra for measure preserving systems. This led to a new characterization of nilsequences, which have played an important role in recent advances both in ergodic theory and in additive combinatorics.

Bernard Host, Bryna Kra They worked on generalizing results of Furstenberg and his collaborators that Furstenberg discussed during the second workshop. They gave a characterization of certain dynamically produced subsets of the integers in terms of piecewise nil-Bohr sets, which is a generalization of the more classical Bohr set.

Hillel Furstenberg During the November workshop Furstenberg lectured on combinatorial properties of sets of integers that imply that the set is close to being “almost periodic”. It was natural to expect that an analogous property would lead to nil-sequences. This was discussed with Bernard Host and Bryna Kra who have done pioneering work on nil-sequences, and the indications are that the expected result is true. Furstenberg also discussed connections between ergodic theory and fractal theory with Michael Hochman and these discussions as well as discussions with Michael Boshernitzan and Mahsa Allahbakhshi brought to light a variety of problems and were mutually beneficial.

Emmanuel Lesigne With Nikos Frantzikinakis, Michael Johnson, Mate Wierdl, he concluded the writing of an article on “sub-sequence ergodic theory”. Vitaly Bergelson and Emmanuel Lesigne made progress in some research around “quantitative multiple recurrence.” With Mariusz Lemanczyk, he initiated a new collaboration on spectral properties of perturbations of nil-translations. He took great profit from numerous discussions, lectures and problem sessions.

Mate Wierdl In addition to what was mentioned elsewhere, he collaborated with Kati Gyarmati (sumsets, Plincke's theorem, pseudo random sequences), Anthony Quas (bases of integers, finished paper on "worst sums"). He also had very enlightening discussions with Berkeley graduate student, Patrick La Victoire. Patrick presented his unexpected result on almost everywhere convergence along some random sequences in L^1 , and then has been having discussions about the recent breakthrough result of Buczolic-Mauldin on the non-convergence along the squares in L^1 .

Anthony Quas During his stay at MSRI he worked on relationships between various notions of strong recurrence (strong recurrence for measure-preserving transformations, strong recurrence for ergodic measure-preserving transformations, strong recurrence for sets of positive upper density, strong recurrence for sets of positive upper Banach density). A new equivalence was shown. This points to a question concerning the essential differences between ergodic and non-ergodic systems with regard to recurrence properties (discussion with E. Lesigne). He also worked with Wierdl on counting functions of sums of integer bases. With Lemanczyk and Thouvenot, Quas discussed L^1 spectral questions of dynamical systems: the AT property for Morse-type systems (a of positive simple spectrum condition) and L^1 simple spectrum of Bernoulli shifts. These discussions are continuing.

Harald Helfgott Part of his time, especially during the first third of the semester, was spent on additive matters not immediately related to combinatorics. He interacted substantially with Ben Green during his visits to MSRI; in one of their conversations, Tao gave him some pointers to the literature (papers by Vaaler) that led him to realize that an auxiliary result he was attempting to obtain had already been proven by Graham and Vaaler in 1982. The second half of the semester was spent partly on projective and additive matters. Here it was good to get some feedback both from people in additive combinatorics and in ergodic theory. The workshop in November enabled him to speak with several visitors (including his own postdoc) on matters related to growth in groups. Discussions with Lindenstrauss and Tao were especially helpful.

Michael Schraudner During his 3 week long stay, he worked on a paper (nearly finished by now) defining a class of multidimensional shifts of finite type having known topological entropies $h(X) = \log N$ for some natural number N . While in one-dimension the entropy of a shift of finite type is given as the logarithm of the Perron value of its matrix, in dimensions two and above no procedure to determine the exact value of the entropy is known (there are only approximation algorithms depending on special mixing properties), whereas the class of real numbers appearing as entropies was recently characterized by work of Hochman-Meyerovitch.

He also started to think about subshifts defined on regular trees, which I believe may be seen as an intermediate case/link between one-dimensional

and multidimensional subshifts, in which some of the undecidability problems of higher-dimensional shifts may be avoided.

He discussed mainly with Mike Hochman, but also with Doug Lind and Anthony Quas.

8 Nuggets and breakthroughs

A notable feature of the program was the large number of questions in circulation. Amongst those posing questions, Michael Boshernitzan stands out for having a steady supply of innocent-sounding questions exploring the limits of the theory.

While one or two of these were answered during the program (e.g. the paper of Boshernitzan and Glasner), the majority were taken home by participants where they will no doubt continue to plague people. One question formulated in a particularly elementary way sounded so innocent that on the day after Thanksgiving (after which a number of the participants were due to leave), there was a veritable maelstrom of activity with several members unsuccessfully proposing methods of attack. The original question, alas, escaped to torment members another day (although it seems now that there is a solution to this problem).

The central idea in the recent developments (in the last 10 years) of the subject is that of Gowers norms or equivalently on the ergodic side, the Host-Kra seminorms. At a heuristic level, these leads to a decomposition of sets and functions into structured and “random” parts. An emerging idea in recent years has been the so-called inverse conjecture for the Gowers norms, where one is seeking to express in a quantitative way in terms of correlations what it means to be have large Gowers norm. During the program, a major project of Bergelson, Tao and Ziegler was completed establishing the inverse Gowers conjecture in the case of \mathbb{F}_p^d . Their result may be informally stated as follows: *If f has biased k th derivative then f correlates with a polynomial phase of degree $k - 1$.* This leaves open the major question of the inverse conjecture of Green and Tao for $\mathbb{Z}/N\mathbb{Z}$.

Postdoctoral Fellows Summary

Pre/Post Institute Group

| <i>Name</i> | <i>PhD Year</i> | <i>Degree Institute</i> | <i>AMS Group</i> | <i>MSRI - Mentor</i> | <i>Pre - MSRI</i> | <i>AMS Group</i> | <i>Placement Institute</i> | <i>AMS Group</i> | <i>Position</i> |
|------------------|-----------------|----------------------------|------------------|----------------------|-------------------------|------------------|----------------------------|------------------|-------------------------------------|
| Fish, Alexander | 2006 | Hebrew University | Foreign | Lind, Doug | Ohio State University | I Public | Ohio State University | I Public | Assistant Professor |
| Hochman, Michael | 2007 | Hebrew University | Foreign | Kra, Bryna | Princeton University | I Private | Princeton University | I Private | Veblen Research Instructor |
| Pete, Gabor | 2006 | UC Berkeley | I Public | Wierdl, Mate | Microsoft Research | N/A | University of Toronto | Foreign | Coxeter Assistant Professor |
| Sanders, Tom | 2007 | University of Cambridge | Foreign | Kra, Bryna | University of Cambridge | Foreign | Institut Mittag-Leffler | Foreign | Member |
| Shkredov, Ilya | 2005 | Moscow State University | Foreign | Wierdl, Mate | Moscow State University | Foreign | Moscow State University | Foreign | Assistant Professor |
| Spencer, Craig | 2008 | University of Michigan | I Public | Green, Ben | IAS | N/A | Kansas State University | II | Assistant Professor |
| Towsner, Henry | 2008 | Carnegie Mellon University | I Private | Lesigne, Emmanuel | UCLA | I Public | UCLA | I Public | Hedrick Adjunct Assistant Professor |
| Wolf, Julia | 2008 | University of Cambridge | Foreign | Host, Bernard | Rutgers University | I Public | Rutgers University | I Public | Triennial Assistant Professor |

| | Pre-MSRI | | | | | | | Total |
|-----------------|-----------------|----------------|----------|-----------|----------|----------|----------|----------|
| | Group I Private | Group I Public | Group II | Group III | Group B | Group M | Other | |
| Post-MSRI | 1 | 3 | | | | | | 1 |
| Group I Private | | | | | | | | 3 |
| Group I Public | | | | | | | | 1 |
| Group II | | | | | | | | - |
| Group III | | | | | | | | - |
| Group B | | | | | | | | - |
| Group M | | | | | | | | - |
| Foreign | | | | | | | 2 | 1 |
| Other | | | | | | | | - |
| Total | 1 | 3 | - | - | - | - | 2 | 8 |

Participant List
MSRI Program:
Ergodic Theory and Additive Combinatorics
August 18 to December 19, 2008
at Mathematical Sciences Research Institute, Berkeley California

| Full Name | Primary Institute | Position |
|----------------------------|---|---------------------------------------|
| Aliste, Jose | Universidad de Chile | Graduate Student |
| Allahbakhshi, Mahnaz | University of Victoria | PhD Student |
| Austin, Tim | University of California | Graduate Student |
| Beiglböck, Mathias | Vienna University of Technology | Postdoctoral Fellow |
| Bergelson, Vitaly | Ohio State University | Professor |
| Bilyk, Dmitriy | Georgia Institute of Technology | Assistant Professor |
| Boshernitzan, Michael | Rice University | Professor |
| Breillard, Emmanue | École Polytechnique | Associate Professor |
| Campbell, James | University of Memphis | Assoc Professor |
| Chu, Qing | Universite Paris-Est Marne-La-Vallee | Graduate Student |
| Coronel, Alvaro | Universidad de Chile | Ph. D. student |
| Derriennic, Yves | Universite de Bretagne Occidentale | Professor |
| Einsiedler, Manfred | Ohio State University | Professor |
| Ferenczi, Sebastien | Centre National de la Recherche Scientifique (CNRS) | directeur de recherches |
| Fish, Alexander | Ohio State University | Faculty/Postdoc |
| Foreman, Matthew | UC Irvine | Professor |
| Frantzikinakis, Nikos | University of Memphis | Lecturer |
| Freiman, Gregory | Tel Aviv University | Full Professor and Professor Emeritus |
| Furstenberg, Hillel | Hebrew University | Professor |
| Gamburd, Alexander | Northwestern University | Professor |
| Gill, Nick | University of Bristol | Faculty/Postdoc |
| Glasner, Moshe (Eli) | Tel Aviv University | Professor |
| Gowers, Timothy | Cambridge University | Rouse Ball Professor of Mathematics |
| Green, Ben | University of Cambridge | Professor |
| Gyarmati, Kati | Eötvös Loránd University (ELTE) | Postdoctoral Fellow |
| Helfgott, Harald | University of Bristol | Senior Lecturer |
| Hoang, Le Thai | University of California | Graduate Student |
| Hochman, Michael | Princeton University | Veblen Research Instructor |
| Host, Bernard | Université de Marne-la-Vallée | Professor |
| Johnson, Michael | Swarthmore College | Lecturer |
| Katznelson, Yitzhak | Stanford University | Professor |
| Kra, Bryna | Northwestern University | professor |
| Kurlberg, Par | Royal Institute of Technology (KTH) | Associate Professor |
| Lemanczyk, Mariusz | Nicholas Copernicus University of Torun | Professor |
| Lesigne, Emmanuel | Université François Rabelais | Professor |
| Lind, Douglas | University of Washington | Professor |
| Maass, Alejandro | University of Chile | Full Professor |
| Magyar, Akos | University of Georgia | Associate Professor |
| Matthiesen, Lilian | University of Cambridge | Research Student |
| Mauduit, Christian | Université d'Aix-Marseille II (Université de la Méditerranée) | full professor |
| McClendon, David | Northwestern University | Assistant Professor |
| McCutcheon, Randall | University of Memphis | Professor |
| Neale, Vicky | University of Cambridge | PhD student |
| Nevo, Amos | Technion (Israel Institute of Technology) | Professor |
| Pete, Gabor | University of Toronto | Assistant Professor |
| Potts, Amanda | Northwestern University | Graduate Student |
| Prakash, Gyan | University de Bordeaux 1 | Professor |
| Quas, Anthony | University of Victoria | Professor |
| Rosenblatt, Joseph | University of Illinois, Urbana-Champaign | Professor |
| Roy, Emmanuel | Institut Galilée | Maître de conférences |
| Sanders, Tom | Cambridge University | Research Fellow |
| Schmeling, Joerg | University of Lund, Sweden | Full Professor |
| Schmidt, Klaus | University of Vienna | Professor |
| Schraudner, Michael | Universidad de Chile | Postdoctoral |
| Shkredov, Ilya Dmitrievich | Moscow State University | N/A |
| Shmerkin, Pablo | University of Jyväskylä | Postdoc |
| Sisask, Olof | University of Cambridge | PhD student |

| | | |
|----------------------|--|-----------------------------|
| Smorodinsky, Meir | Tel-Aviv University | Professor |
| Spencer, Craig | Institute for Advanced Study | graduate student |
| Szemerédi, Endre | Rutgers University, New Brunswick | Professor |
| Tao, Terence | University of California | Faculty/Postdoc |
| Thouvenot, Jean-Paul | Université de Paris VI (Pierre et Marie Curie) | Professor |
| Towsner, Henry | University of California | Assistant Adjunct Professor |
| Trevisan, Luca | UC Berkeley | Associate Professor |
| Weiss, Benjamin | Hebrew University | Professor |
| Wierdl, Mate | University of Memphis | Professor |
| Wolf, Julia | Rutgers University | Assistant Professor |
| Ziegler, Tamar | Technion - Israel Institute of Technology | Faculty |

Ergodic Theory and Additive Combinatorics

2008-2009

Program Participant Summary

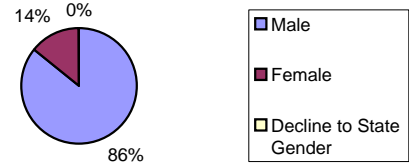
| Ergodic Theory and Additive Combinatorics | # of Distinct Participants | % | # of Citizens & Perm. Res. | % | # of Female | % | # of Minorities | % |
|---|----------------------------|---------------|----------------------------|--------------|-------------|--------------|-----------------|-------------|
| Postdoctoral Fellows | 8 | 12.7% | 3 | 4.8% | 1 | 1.6% | 0 | 0.0% |
| PD/RM | 11 | 17.5% | 2 | 3.2% | 2 | 3.2% | 1 | 1.6% |
| Program Associates | 9 | 14.3% | 1 | 1.6% | 5 | 7.9% | 1 | 1.6% |
| Research Members | 17 | 27.0% | 9 | 14.3% | 0 | 0.0% | 0 | 0.0% |
| Research Professor | 13 | 20.6% | 6 | 9.5% | 0 | 0.0% | 1 | 1.6% |
| Organizers | 5 | 7.9% | 3 | 4.8% | 1 | 1.6% | 0 | 0.0% |
| Total no. of Distinct Participants | 63 | 100.0% | 24 | 38.1% | 9 | 14.3% | 3 | 4.8% |

Home Institute Grouping

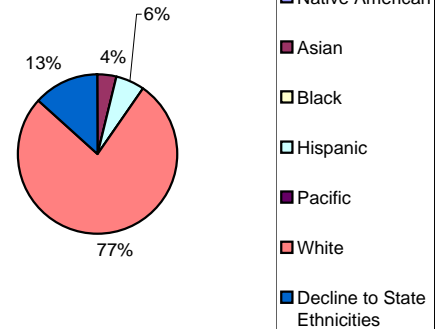
| Ergodic Theory and Additive Combinatorics | Group I Private | Group I Public | Group II | Group III | Group B | Foreign | IAS | Total |
|---|-----------------|----------------|-------------|-------------|-------------|--------------|-------------|---------------|
| Organizer | 1 | 0 | 0 | 1 | 0 | 3 | 0 | 5 |
| Postdoc | 1 | 3 | 0 | 0 | 0 | 3 | 1 | 8 |
| PD/RM | 1 | 0 | 0 | 0 | 1 | 9 | 0 | 11 |
| Program Associate | 1 | 2 | 0 | 0 | 0 | 6 | 0 | 9 |
| Research Member | 2 | 3 | 1 | 3 | 0 | 8 | 0 | 17 |
| Research Professor | 1 | 3 | 1 | 0 | 0 | 8 | 0 | 13 |
| Total | 7 | 11 | 2 | 4 | 1 | 37 | 1 | 63 |
| % | 11.1% | 17.5% | 3.2% | 6.3% | 1.6% | 58.7% | 1.6% | 100.0% |

Ergodic Theory and Additive Combinatorics (All Participants) Demographic Summary

| Gender | # | % (No Decl.)* | % |
|------------------------------|----|---------------|--------|
| No. of Distinct Participants | 63 | | 100.0% |
| Male | 54 | 85.71% | 85.7% |
| Female | 9 | 14.29% | 14.3% |
| Decline to State Gender | 0 | | 0.0% |

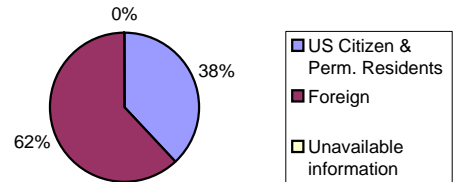


| Ethnicities | # | % (No Decl.)* | % |
|------------------------------------|----|---------------|--------|
| Native American | 0 | 0.00% | 0.0% |
| Asian | 2 | 4.44% | 3.2% |
| Black | 0 | 0.00% | 0.0% |
| Hispanic | 3 | 6.67% | 4.8% |
| Pacific | 0 | 0.00% | 0.0% |
| White | 40 | 88.89% | 63.5% |
| Decline to State Ethnicities | 7 | | 11.1% |
| Unavailable Information | 11 | | 17.5% |
| Total no. of Distinct Participants | 63 | | 100.0% |



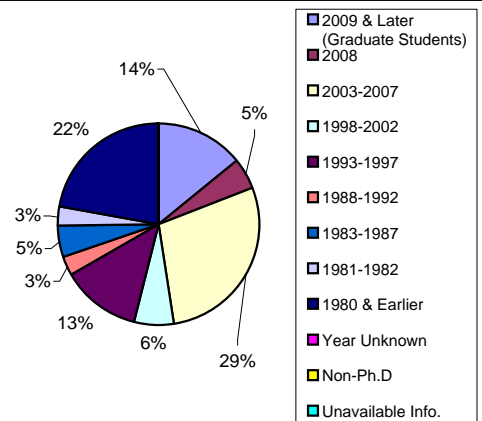
| | | | |
|------------|---|-------|------|
| Minorities | 3 | 6.67% | 4.8% |
|------------|---|-------|------|

| Citizenships | # | % |
|------------------------------------|----|--------|
| US Citizen & Perm. Residents | 24 | 38.1% |
| Foreign | 39 | 61.9% |
| Unavailable information | 0 | 0.0% |
| Total no. of Distinct Participants | 63 | 100.0% |
| US Citizen | 21 | 33.3% |
| Perm Residents | 3 | 4.8% |



| | | |
|------------------|----|--------|
| Home Inst. in US | 26 | 41.27% |
|------------------|----|--------|

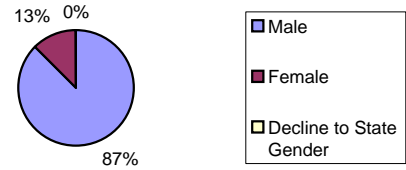
| Year of Ph.D | # | % |
|----------------------------------|----|--------|
| 2009 & Later (Graduate Students) | 9 | 14.3% |
| 2008 | 3 | 4.8% |
| 2003-2007 | 18 | 28.6% |
| 1998-2002 | 4 | 6.3% |
| 1993-1997 | 8 | 12.7% |
| 1988-1992 | 2 | 3.2% |
| 1983-1987 | 3 | 4.8% |
| 1981-1982 | 2 | 3.2% |
| 1980 & Earlier | 14 | 22.2% |
| Year Unknown | 0 | 0.0% |
| Non-Ph.D | 0 | 0.0% |
| Unavailable Info. | 0 | 0.0% |
| Total | 63 | 100.0% |



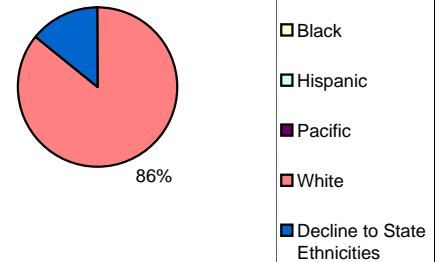
*Statistic Calculation based on all participants that did not decline.

Ergodic Theory and Additive Combinatorics Postdoctoral Fellow Summary

| Gender | # | % (No Decl.)* | % |
|-----------------------------|---|---------------|-------|
| No. of Postdoctoral Fellows | 8 | | |
| Male | 7 | 87.50% | 87.5% |
| Female | 1 | 12.50% | 12.5% |
| Decline to State Gender | 0 | | 0.0% |

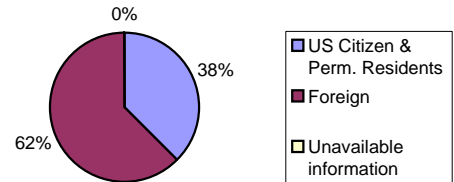


| Ethnicities | # | % (No Decl.)* | % |
|-----------------------------------|---|---------------|--------|
| Native American | 0 | 0.00% | 0.0% |
| Asian | 0 | 0.00% | 0.0% |
| Black | 0 | 0.00% | 0.0% |
| Hispanic | 0 | 0.00% | 0.0% |
| Pacific | 0 | 0.00% | 0.0% |
| White | 6 | 100.00% | 85.7% |
| Decline to State Ethnicities | 1 | | 14.3% |
| Unavailable Information | 1 | | 14.3% |
| Total no. of Postdoctoral Fellows | 8 | | 114.3% |



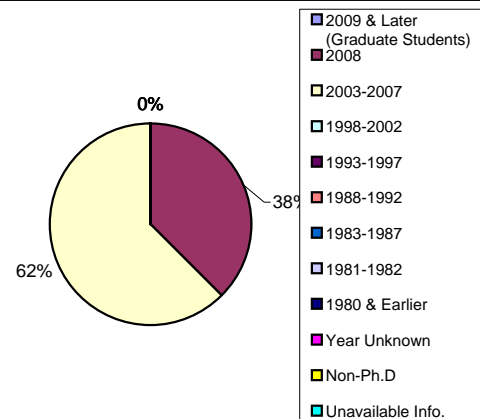
| | | | |
|------------|---|-------|------|
| Minorities | 0 | 0.00% | 0.0% |
|------------|---|-------|------|

| Citizenships | # | % |
|-----------------------------------|---|--------|
| US Citizen & Perm. Residents | 3 | 37.5% |
| Foreign | 5 | 62.5% |
| Unavailable information | 0 | 0.0% |
| Total no. of Postdoctoral Fellows | 8 | 100.0% |
| US Citizen | 3 | 37.5% |
| Perm Residents | 0 | 0.0% |



| | | |
|------------------|---|--------|
| Home Inst. in US | 5 | 62.50% |
|------------------|---|--------|

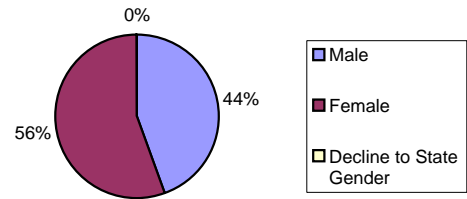
| Year of Ph.D | # | % |
|----------------------------------|---|--------|
| 2009 & Later (Graduate Students) | 0 | 0.0% |
| 2008 | 3 | 37.5% |
| 2003-2007 | 5 | 62.5% |
| 1998-2002 | 0 | 0.0% |
| 1993-1997 | 0 | 0.0% |
| 1988-1992 | 0 | 0.0% |
| 1983-1987 | 0 | 0.0% |
| 1981-1982 | 0 | 0.0% |
| 1980 & Earlier | 0 | 0.0% |
| Year Unknown | 0 | 0.0% |
| Non-Ph.D | 0 | 0.0% |
| Unavailable Info. | 0 | 0.0% |
| Total | 8 | 100.0% |



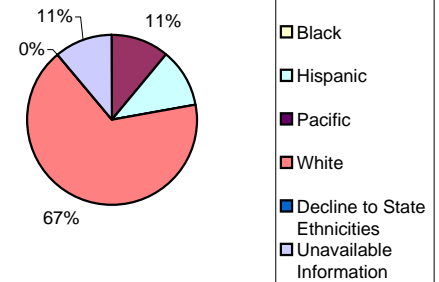
*Statistic Calculation based on all participants that did not decline.

Ergodic Theory and Additive Combinatorics Program Associate (Graduate Student) Summary

| Gender | # | % (No Decl.)* | % |
|---------------------------------------|---|---------------|--------|
| No. of Program Associates (GS) | 9 | | 100.0% |
| Male | 4 | 44.44% | 44.4% |
| Female | 5 | 55.56% | 55.6% |
| Decline to State Gender | 0 | | 0.0% |

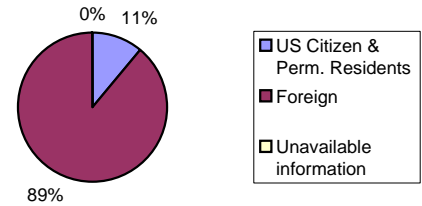


| Ethnicities | # | % (No Decl.)* | % |
|--|---|---------------|--------|
| Native American | 0 | 0.00% | 0.0% |
| Asian | 1 | 12.50% | 12.5% |
| Black | 0 | 0.00% | 0.0% |
| Hispanic | 1 | 12.50% | 12.5% |
| Pacific | 0 | 0.00% | 0.0% |
| White | 6 | 75.00% | 75.0% |
| Decline to State Ethnicities | 0 | | 0.0% |
| Unavailable Information | 1 | | 12.5% |
| Total no. of Program Associates | 9 | | 112.5% |



| | | | |
|-------------------|---|--------|-------|
| Minorities | 1 | 12.50% | 11.1% |
|-------------------|---|--------|-------|

| Citizenships | # | % |
|---|---|--------|
| US Citizen & Perm. Residents | 1 | 11.1% |
| Foreign | 8 | 88.9% |
| Unavailable information | 0 | 0.0% |
| Total no. of Program Associates | 9 | 100.0% |



| | | |
|-----------------------|---|-------|
| US Citizen | 1 | 11.1% |
| Perm Residents | 0 | 0.0% |

| | | |
|-------------------------|---|--------|
| Home Inst. in US | 3 | 33.33% |
|-------------------------|---|--------|

*Statistic Calculation based on all participants that did not decline.

MSRI Spring 2009 Program: Algebraic Geometry Final Program Report

Brendan Hassett, Sándor Kovács, and Ravi Vakil
Program organizing committee co-chairs

June 30, 2009.

Contents

| | | |
|----------|--|-----------|
| 1 | Introduction | 2 |
| 2 | Research developments | 3 |
| 3 | Organizational structure | 8 |
| 4 | Seminars, workshops and conferences | 9 |
| 5 | Postdoctoral program | 11 |
| 6 | Graduate students | 21 |
| 7 | Diversity | 21 |
| 8 | Synergistic activities | 22 |
| 9 | A Nugget | 22 |

1 Introduction

Algebraic geometry has long been a central subject in mathematics, with deep and substantial connections to almost every aspect of the field. There are numerous different approaches to algebraic geometry, utilizing widely varying technical tools. Commutative algebra, complex analysis, sheaf theory, cohomological methods, and combinatorial techniques all play important roles. Powerful centrifugal forces pull at the field, as it spawns new specialties with astonishing regularity. New students of algebraic geometry tend to be specialized, and unfamiliar with other perspectives. Established researchers tend to see a narrow band of the subject at research conferences in their area. But dramatic new developments consistently involve broader themes, and connections between different parts of the subjects. Recent breakthroughs in higher-dimensional algebraic geometry, and in those parts of algebraic geometry connected with physics, are only two of a large number of examples.

From January to May 2009, MSRI hosted a semester-long program in algebraic geometry. The goal of this program was to bring together this diverse field. The size of MSRI gives a unique opportunity to collect a large number of researchers from throughout the field, at different stages of their careers, for an extended period of time. Programs at other research institutes gave an excuse to narrow the scope somewhat: the program concentrated on those portions of algebraic geometry that were particularly algebraic and geometric. Areas emphasized less (despite their continuing importance to the field) were: analytic methods; pure commutative algebra; arithmetic geometry; and tropical geometry. Despite this restriction in scope, one main challenge of the program was to avoid overwhelming the participants. A second challenge was the size of the program: at the start, we deliberately chose a small number of influential researchers to lay out our vision for the program. As a result, we were deluged with talented researchers interested in attending. Due to financial constraints, we were able to fund only a small portion of those interested, and even these at quite a low level. Many people not offered funding asked to come anyway, often for long periods of time. So we had to work to manage expectations, and also to try to build a welcoming intellectual community, which included those not officially part of the program. Another major challenge was the developing a cohesive community, and to avoid the program fragmenting into a number of independent parallel programs. The choice of seminars was designed with this in mind; this is discussed below.

By all accounts, the semester was a success. Younger researchers branched out from their thesis work, and most formed new collaborations, often with people

outside of their area of particular expertise. This will be clear in the discussion of the postdoctoral participants below. Established researchers often came to get some quiet work time, but discovered the opportunity to become acquainted with new developments in different parts of the subject. The long-term impact will of course only become clear in the long term, but we hope that in two decades, people still talk about this program as a key formative experience in their careers.

The semester was organized by William Fulton (Michigan), Joe Harris (Harvard), Brendan Hassett (Rice), János Kollár (Princeton), Sándor Kovács (Washington), Rob Lazarsfeld (Michigan), and Ravi Vakil (Stanford).

The web “portal” for the entire program is:

<http://main.msri2009.org/>

Supporting information may be found there. A list of participants may be found at:

<http://participants.msri2009.org/>

This list of 164 people does not include a good number who informally dropped by the program, or who attended some of the many workshops. A sampling of the preprints produced by participants during the period of the program is given in §2.

We would like to single out H el ene Barcelos and Jackie Blue for special thanks. Barcelos took over as Deputy Director shortly before the start of our program, and had an immediate impact. Our program posed a number of challenges for the staff: we had far more people (officially and unofficially) than other programs, while the staff had the same number of hours in a day. Throughout, Barcelos’ primary concern was the success of the research program, and she was happy to think hard about how to make things work, when it would have been easier to just say “no”. Blue’s work with finding housing for participants is legendary, but we wanted to point out that this program had many more participants than usual, with less funding per participant, in a particularly grim economic climate, and we heard not one negative comment from any members about their housing experience.

2 Research developments

A hallmark of the program was its many collaborations across levels and subdisciplines. The efflorescence of research was inspiring to behold, involving literally hundreds of projects. The following is a list of roughly 100 preprints produced

by official members during the first-half of 2009. All are available on the arXiv. A surprising number of papers transcend narrow subdisciplines within algebraic geometry. Of course, most of the benefits of the program will be realized after the conclusion of the program, as new working relationships bear fruit.

Non-Defectivity of Grassmannians of planes (Abo, Ottaviani, Peterson)

Stable varieties with a twist (Abramovich, Hassett)

Explicit compactifications of moduli spaces of Campedelli and Burniat surfaces (Alexeev, Pardini)

Local properties of good moduli spaces (Alper)

On the local quotient structure of Artin stacks (Alper)

Deforming Stanley-Reisner schemes (Altmann, Christopherson)

Parametric Feynman integrals and determinant hypersurfaces (Aluffi, Marcolli)

Gromov-Witten theory of product stacks (Andreini, Jiang, Tseng)

The combinatorial part of the cohomology of a singular variety (Arapura, Bakhtary, Włodarczyk)

Reider's Theorem and Thaddeus Pairs Revisited (Arcara, Bertram)

\mathbb{A}^1 -homotopy groups, excision, and solvable quotients (Asok, Doran)

Reflexivity and rigidity for complexes. I. Commutative rings (Avramov, Iyengar, Lipman)

Reduction of derived Hochschild functors over commutative algebras and schemes (Avramov, Iyengar, Lipman, Nayak)

On the Brauer group of Enriques surfaces (Beauville)

Non-uniruledness results for the space of rational curves in hypersurfaces (Behesti)

Bidding chess (Bhat, Payne)

Faster Real Feasibility via Circuit Discriminants (Bihan, Rojas, Stella)

Brauer Group of Moduli Spaces of $\mathrm{PGL}(r)$ -Bundles over a curve (Biswas, Hogadi)

Discreteness and rationality of F -jumping numbers on singular varieties (Blickle, Schwede, Takagi, Zhang)

Stacks of trigonal curves (Bolognesi, Vistoli)

Pro- p groups and towers of rational homology spheres (Boston, Ellenberg)

Some 4-point Hurwitz numbers in positive characteristic (Bouw, Osserman)

Goresky-MacPherson duality and deformations of Koszul algebras (Braden, Licata, Phan, Proudfoot, Webster)

BPS invariants for resolutions of polyhedral singularities (Bryan, Gholampour)

The Monodromy Conjecture for hyperplane arrangements (Budur, Mustața, Teitler)

Quotients résolubles ou nilpotents des groupes de Kaehler orbifolde (Campana)

Torelli theorem for stable curves (Caporaso, Viviani)

Torelli theorem for graphs and tropical curves (Caporaso, Viviani)

Categorical geometric skew Howe duality (Cautis, Kamnitzer, Licata)

Coherent sheaves and categorical $\mathfrak{sl}(2)$ actions (Cautis, Kamnitzer, Licata)

Derived equivalences for cotangent bundles of Grassmannians via categorical $\mathfrak{sl}(2)$ actions (Cautis, Kamnitzer, Licata)

An example of crepant resolution conjecture in two steps (Cavalieri, Todorov)

Tautological pairings on moduli spaces of curves (Cavalieri, Yang)

Linear series on ribbons (Chen)

Stable Base Locus Decompositions of Kontsevich Moduli Spaces (Chen, Coskun)

Towards Mori's program for the moduli space of stable maps (Chen, Coskun, Crissman)

Strong rational connectedness of toric varieties (Chen, Shokurov)

The local lifting problem for actions of finite groups on curves (Chinberg, Guralnick, Harbater)

Hyperplane sections of abelian surfaces (Colombo, Frediani, Pareschi)

Stability and Unobstructedness of Syzygy Bundles (Costa, Marques, Miro-Roig)

Hyper-Kähler fourfolds and Grassmann geometry (Debarre, Voisin)

Shokurov's ACC Conjecture for log canonical thresholds on smooth varieties (de Fernex, Ein, Mustața)

Deformations of canonical pairs and Fano varieties (de Fernex, Hacon)

The Milnor fibre of the Pfaffian and the Hilbert scheme of four points on three-space (Dimca, Szendrői)

Logarithmic trace and orbifold products (Edidin, Jarvis, Kimura)

Cohomology of Coherent Sheaves and Series of Supernatural Bundles (Eisenbud, Schreyer)

The Kakeya set and maximal conjectures for algebraic varieties over finite fields (Ellenberg, Oberlin, Tao)

Two small remarks on Nori fundamental group scheme (Esnault, Hai)

Abelian birational sections in characteristic 0 (Esnault, Wittenberg)

Linear Shafarevich Conjecture (Eyssidieux, Katzarkov, Pantev, Ramachandran)

Remarks on hard Lefschetz conjectures on Chow groups (Fu)

Non-vanishing theorem for log canonical pairs (Fujino)

Rational singularities and quotients by holomorphic group actions (Greb)

1-rational singularities and quotients by reductive groups (Greb)

The tropical vertex (Gross, Pandharipande, Siebert)

The Sarkisov program (Hacon, McKernan)

Patching subfields of division algebras (Harbater, Hartmann, Krashen)

Products, Homotopy Limits and Applications (Hogadi, Xu)

The relations among invariants of points on the projective line (Howard, Millson, Snowden, Vakil)

Families over special base manifolds and a conjecture of Campana (Jabbusch, Kebekus)

Positive sheaves of differentials coming from coarse moduli spaces (Jabbusch, Kebekus)

Deformations along subsheaves (Kebekus, Kousidis, Lohmann)

Enriques diagrams, infinitely near points, and Hilbert schemes (Kleiman, Piene, Tyomkin)

Compatibly Frobenius split subschemes are rigid (Knutson)

Frobenius splitting and Möbius inversion (Knutson)

Positroid varieties I: juggling and geometry (Knutson, Lam, Speyer)

Log canonical singularities are Du Bois (Kollár, Kovács)

Fano Varieties with Large Degree Endomorphisms (Kollár, Xu)

A note on restriction theorems for semistable sheaves (Langer)

On the S-fundamental group scheme (Langer)

Triangulations of the sphere and degenerations of K3 surfaces (Laza)

A short course on multiplier ideals (Lazarsfeld)

Complex structure on the rational blowdown of sections in $E(4)$ (Lee)

Arithmetic aspects of moduli of sheaves on curves (Lieblich)

On the strange duality conjecture for elliptic K3 surfaces (Marian, Oprea)

The moduli space of stable quotients (Marian, Oprea, Pandharipande)

Equivalent birational embeddings I and II: divisors (Mella, Polastri)

Severi type inequalities for irregular surfaces with ample canonical class (Mendes Lopes, Pardini)

The quantum differential equation of the Hilbert scheme of points in the plane (Okounkov, Pandharipande)

On the hypersurface of Lüroth quartics (Ottaviani, Sernesi)

The kappa ring of the moduli of curves of compact type: I and II (Pandharipande)

Optimization and NP_R -Completeness of Certain Fewnomials (Pebay, Rojas, Thompson)
 Refined Asymptotics for Sparse Sums of Squares (Rojas, Sethuraman)
 Fibrations on four-folds with trivial canonical bundles (Sawon)
 A Simple Characterization of Du Bois Singularities (Schwede)
 F -adjunction (Schwede)
 Test ideals in non- Q -Gorenstein rings (Schwede)
 Globally F -regular and log Fano varieties (Schwede, Smith)
 On the number of compatibly Frobenius split subvarieties, prime F -ideals, and log canonical centers (Schwede, Tucker)
 Towards a classification of modular compactifications of the moduli space of curves (Smyth)
 Hilbert schemes and stable pairs: GIT and derived category wall crossings (Stoppa, Thomas)
 The cone conjecture for Calabi-Yau pairs in dimension two (Totaro)
 Universal covering spaces and fundamental groups in algebraic geometry as schemes (Vakil, Wickelgren)
 Rigidity of Mori cone for Fano manifolds (Wiśniewski)
 Weak Approximation for Low Degree del Pezzo Surfaces (Xu)

3 Organizational structure

Our main goal was to provide a large number and variety of events, each anchored in a particular part of algebraic geometry, but better connected to other parts of the field than a typical research conference. This had two aspects: ongoing seminars throughout the semester, and special events. Against this, we had to balance the danger of having too much going on.

We enlisted a number of people to help.

The Speakers Committee (Stefan Kebekus chair, Dan Abramovich, David Eisenbud, David Smyth) oversaw the seminars, ensuring breadth and diversity of speakers. The success of the program owes a great deal to their efforts.

David Smyth sent a weekly mailing to the participants, advertising coming events.

Martin Olsson was the “postdoctoral czar”, and kept individual track of how the postdocs were doing. He organized the mentoring, and oversaw the postdoctoral seminar.

Rob Easton ran the postdoctoral seminar, in consultation with the large postdoctoral community.

Daniel Erman and Kevin Tucker ran the graduate student seminar.

The chairs of the full program were actively involved in all aspects of organization, and were for example involved in all discussions of the above committees.

Many senior participants took it upon themselves to help. Miles Reid in particular was a very positive influence.

4 Seminars, workshops and conferences

The events of the semester are listed at:

<http://past.msri2009.org/>

Regular events. A “typical week” consisted of the following events.

Monday

4:10-5:00 MSRI/Evans lecture at Berkeley (roughly every two weeks), followed by pizza/beer

Tuesday

2:00-3:00 Basic Notions (often related to the main seminar or the colloquium)

4:00-5:00 Main Seminar

Wednesday

1:30-3:00 Emphasis period seminar (several seminars over several weeks, loosely tied to an adjacent conference)

4:00-5:00 Graduate student seminar (followed by socializing, often at Raleigh’s on Telegraph Ave.)

Thursday

Two regular self-organized seminars, on log geometry and on stacks, often took place on Thursday afternoons. Both topics are transdisciplinary notions that recently have spread throughout much of algebraic geometry.

Friday

12:00-1:00 Postdoc seminar (followed by pizza)

2:00-3:00 Algebraic Geometry Colloquium

Weekends

Organized recreational activities (e.g. hikes, basketball).

Workshops and conferences. We held an unusual number of conferences and workshops associated with the semester. All were intended to focus on one particular aspect of the subject, but to make connections to the rest of the field. Speakers were particularly asked to speak to people not normally at conferences they attend. Links for further information on all of these events are available at the MSRI algebraic geometry portal page:

<http://main.msri2009.org>

- Deformation theory and moduli (July 23-August 03, 2007, organized by Max Lieblich, Martin Olsson, Brian Osserman, and Ravi Vakil), a summer school for graduate students and postdocs. This workshop was particularly successful; MSRI had to greatly expand it due to overwhelming interest. Detailed notes and videos remain publicly available.
- We started the semester with Kickoff Presentations (January 14-16 — the year is 2009 unless otherwise noted) by the postdocs present, to introduce them to the community. Their slides remain publicly available.
- Warm-up lectures: Sam Payne and Phil Griffiths gave warm-up lectures in two topics (Toric Geometry, Hodge Theory, January 20-21)
- The first “official” conference was: *Connections for Women: Algebraic geometry and related fields* (January 22-24, organized by Angela Gibney, Brendan Hassett, Sándor Kovács, Diane Maclagan, Jessica Sidman, and Ravi Vakil)
- The introductory workshop was on the topic of *Classical algebraic geometry today* (January 26-30, Lucia Caporaso, Brendan Hassett, James McKernan, Mircea Mustață co-chair, Mihnea Popa co-chair)
- There was a Macaulay 2 Workshop (February 3, Greg Smith and Mike Stillman), helping many algebraic geometers become comfortable with using Macaulay 2 in their research.

- The third major workshop was on *Modern moduli theory* (February 23-27, Izzet Coskun chair, Sheldon Katz, Alina Marian, Rahul Pandharipande, Richard Thomas, Hsian-Hua Tseng, Ravi Vakil)
- The program hosted the 14th *SAGE days* (March 9-12, William Stein).
- On March 13, an open problem session was held for the postdocs.
- The final official workshop was on *Combinatorial, enumerative and toric geometry* (March 23-27, Michel Brion, Anders Buch, Linda Chen chair, William Fulton, Frank Sottile, Harry Tamvakis, Burt Totaro)
- The program hosted the twice-annual *Western Algebraic Geometry Seminar* (WAGS, April 25-26, Aravind Asok, Martin Olsson, Jonathan Wise)
- The semester concluded with a series of *Closing Lectures* (May 18-22, Rob Lazarsfeld)

The three official workshops all had more registrants than could be accommodated in the Simons Auditorium. Overflow audiences watched lectures on screens in the Baker Boardroom. These are some of the most popular workshops ever held at MSRI. We thank the staff for the lengths they went to, to make these supersized conferences a success.

5 Postdoctoral program

The postdoctoral participants may have benefited more than any other participants. The program organizers designated Martin Olsson (Berkeley) as the coordinator of the postdoctoral program. Olsson, in consultation with the program co-chairs, matched senior faculty mentors with postdocs. With the help of Deputy Director Barcelo, we communicated our expectations of the guidance mentors should offer postdocs:

- A discussion at the beginning of the semester on the problem(s) the postdoc is working on.
- An “open problems” session with all postdocs and senior members in residence.
- How to choose what to work on.

- Introductions to senior researchers in the postdoc's area.
- How to give a good talk.
- How to write a paper well.
- Discussion about publications (e.g. how quickly to publish a thesis; choosing and dealing with journals; feedback on drafts).
- Discussion on NSF grant proposal writing; feedback on proposal drafts.
- Career discussions, including comments on CV writing, how to prepare for an interview, two-body issues; etc.
- Sharing of views on how to address the various aspects of an academic career (proportion of research/teaching/service at various stage of ones career; etc.).

A number of activities were targeted toward postdocs. The first week of the program, David Eisenbud (Berkeley, and the previous Director of MSRI), János Kollár (Princeton), and the program co-chairs organized a series of 'Kickoff presentations'. This consisted of 22 ten-minute presentations by postdocs and a handful of advanced graduate students. Each speaker prepared precisely two slides sketching the key points of his or her research program; these were distributed through the program website. The main objective was to introduce the postdocs and their work to the other members in residence.

There was the weekly postdoc seminar, organized by Robert Easton, a postdoc at the University of Utah. The format was a series of introductory talks, combining exposition with real-world calculations. The intention was an informal seminar aimed at teaching postdocs how to do real work with various tools/theories, not formal lectures aimed at experts.

We held an open-problem session, moderated by David Eisenbud. Postdocs and their mentors were all strongly encouraged to attend. (The grad students also turned out in force.) Lists of problems posed were distributed through the program website. Finally, there were numerous informal seminars on diverse topics, e.g., the Log Geometry working group led by Dan Abramovich (Brown) and the Stacks working group led by Laura Matusevich.

The Postdocs: Background, research accomplishments, and placement

Jarod Alper received his Ph.D. from Stanford University in 2008 under the supervision of Ravi Vakil. His dissertation was titled “Good Moduli Spaces for Artin Stacks.” At MSRI his mentor was Angelo Vistoli.

Jarod Alper worked on extending his thesis work on good moduli spaces in an attempt to give intrinsic constructions of moduli schemes parameterizing objects with non-finite automorphism groups. He worked on a project joint with David Smyth and Fred van der Wyck on giving moduli interpretations of log-canonical models of the moduli space of stable curves.

After his stay at MSRI, Jarod Alper went on to continue his NSF postdoctoral fellowship in the mathematics department at Columbia University.

Carolina Araujo received her Ph.D. from Princeton University in 2004 under the supervision of János Kollár. Her dissertation was titled “The Variety of Tangents to Rational Curves.” At MSRI her mentor was Valery Alexeev.

At MSRI she worked on a joint project with Ana-Maria Castravet about Fano manifolds with positive Chern characters. She also worked on a project concerning flat deformations of projective spaces. She gave a talk at the postdoc seminar. She also participated in a working group with other postdocs about rationally simply connected varieties.

After her stay at MSRI, Carolina went to the Instituto Nacional de Matemática Pura e Aplicada, IMPA, Brazil.

Aravind Asok received his Ph.D. from Princeton University in 2004 under the supervision of Robert MacPherson. His dissertation was titled “Vector Bundles on Certain G-Varieties.” At MSRI his mentor was Burt Totaro.

While at MSRI, Asok continued his collaborations with B. Doran (ETH, Zurich) and F. Morel (Ludwig-Maximilians Universität, München) regarding applications of techniques of homotopy theory to problems in algebraic geometry using the \mathbb{A}^1 -homotopy theory developed by F. Morel and V. Voevodsky. The main accomplishment, joint with F. Morel, was a better understanding of some aspects of the Voevodsky-Rost theorem (née Milnor conjecture) with applications to construction of unirational, non-rational varieties for which non-rationality is detected by specific “higher” cohomological obstructions. Furthermore, Asok contributed a short presentation at the MSRI Academic Sponsors day, and attended various informal seminars (e.g., the seminar on the de Jong-Starr work on rational simple connectivity) together with the various conferences.

After his stay at MSRI, Aravind took a position as a tenure-track assistant professor at the Department of Mathematics, University of Southern California

Arend Bayer received his Ph.D. from Max Planck Institut für Mathematik in

2006 under the supervision of Yuri Manin. His dissertation was titled “Semisimple Quantum Cohomology, Deformations of Stability Conditions and the Derived Category.” At MSRI his mentor was Kai Behrend.

At the beginning of the semester, Arend Bayer gave two talks in the Post-Doc seminar that gave introductions to Bridgeland stability conditions and wall-crossing. Together with Emanuele Macri (University of Utah), he studied the space of stability conditions on the local \mathbb{P}^2 . In this joint work, they determined topological properties of the space, and used it to prove a theorem on the autoequivalences of its derived category. For this project, Arend Bayer benefited directly from conversations with Richard Thomas and Hiroshi Iritani (Imperial College) at MSRI. He started collaborations with Gueorgui Todorov (Princeton University) on stability conditions for higher-dimensional varieties, and with Renzo Cavalieri (Colorado State University) and Margarida Melo (Università Roma Tre) on Hurwitz numbers, and he had many more informal discussions with other members and visitors to the MSRI.

After his stay at MSRI, Arend went to the University of Connecticut department of mathematics as a tenure-track assistant professor.

Roya Beheshti Zavareh received her Ph.D. from the Massachusetts Institute of Technology in 2003 under the supervision of A. Johan de Jong. Her dissertation was titled “Lines on Fano Hypersurfaces.” At MSRI her mentor was Carel Faber.

She collaborated with David Eisenbud (UC Berkeley) working on a project on the regularity of fibers of general projections. She also continued her work on birational geometry of spaces of rational curves on hypersurfaces and gave a talk in the post-doc seminar on this work. Along with a few other post-doc members, she helped running a reading group to study the new results of J. de Jong and J. Starr on rationally simply connectedness of low degree hypersurfaces.

After her stay at MSRI, Roya went to Washington University in St. Louis, where she is a tenure-track assistant professor of mathematics.

Sebastian Casalaina-Martin received his Ph.D. from Columbia University in 2004 under the supervision of Robert Friedman. His dissertation was titled “Singularities of the Prym Theta Divisor and Applications to Cubic Threefolds.” At MSRI his mentor was János Kollár.

In joint work with Radu Laza, he investigated the moduli space of stable genus four curves, and its relationship to both a ball quotient constructed by Kondo, as well as the discriminant locus in the moduli space of cubic threefolds. They also investigated curves with singularities of type ADE. This is work in progress and will be posted to the arxiv shortly.

In another project, with Jesse Kass (Harvard University), he studied the geom-

etry of theta divisors of integral curves. A manuscript with these results will be posted on the arxiv shortly.

This project has led to work (in progress) on theta divisors of nodal, but possibly reducible curves. This is joint with Lucia Caporaso (University of Rome III), Filippo Viviani (University of Rome III), and Jesse Kass.

Casalaina-Martin also gave a lecture on his work in the Algebraic Geometry Seminar at the University of British Columbia.

After his stay at MSRI, Sebastian went to the University of Colorado at Boulder department of mathematics as a tenure-track assistant professor.

Sabin Cautis received his Ph.D. from Harvard University in 2006 under the supervision of Joseph Harris. His dissertation was titled “Extending Families of Curves: Monodromy and Applications.” At MSRI his mentor was Dan Abramovich.

He constructed equivalences between categories associated to birational varieties (more precisely, varieties related by stratified flops). He also studied examples of 2-representations in algebraic geometry and looked for a way to prove braid group relations from categorical Lie algebra actions.

He worked in part with Joel Kamnitzer from University of Toronto and Anthony Licata from Stanford University.

After his stay at MSRI, he went on to be a Ritt Assistant Professor in the Mathematics Department at Columbia University.

Dawei Chen received his Ph.D. from Harvard University in 2008 under the supervision of Joseph Harris. His dissertation was titled “Covers of Elliptic Curves and the Lower bound for Slopes of Effective Divisors on the Moduli Space of Curves.” At MSRI his mentor was Ravi Vakil.

He finished a paper about linear series on ribbons and another one about the birational geometry of the moduli space of stable maps. The latter was done in collaboration with Izzet Coskun (UIC) and Charley Crissman (Berkeley). He also gave a talk about Mori’s program on moduli spaces in the postdoc seminar.

After his stay at MSRI, Dawei went to the Department of Mathematics, Statistics, and Computer Science University of Illinois at Chicago as a research assistant professor.

Robert Easton received his Ph.D. from Stanford University in 2007 under the supervision of Ravi Vakil. His dissertation was titled “ S_3 -covers of schemes.” At MSRI his mentor was Lucia Caporaso.

He formed a new collaboration with fellow MSRI postdoc Jarod Alper, working on the existence of good moduli spaces. He was also chair of the postdoc seminar, and gave a short talk about covers and quotients at the MSRI Academic Sponsor Day.

After his stay at MSRI, he resumed his postdoc position as an assistant professor in the Department of Mathematics at the University of Utah.

Daniel Greb received his Ph.D. from Ruhr-University Bochum in 2008 under the supervision of Peter Heinzner. His dissertation was titled “Projectivity of Analytic Hilbert Quotients.” At MSRI his mentor was Sándor Kovács.

Greb’s work during his time at MSRI focused on two different areas: extension results for differential forms on higher-dimensional algebraic varieties and singularities of analytic Hilbert quotients.

In the first area he collaborated with S. Kebekus (Albert-Ludwigs-Universität-Freiburg), S. Kovács (University of Washington), and Th. Peternell (Universität-Bayreuth). All three of his collaborators also visited MSRI during the Algebraic Geometry Program. Building on their previous work for low-dimensional varieties they proved the following extension result which will appear in a forthcoming preprint:

Theorem: Let X be a variety with log canonical singularities and let σ be a differential form defined on the smooth locus of X . Then, σ extends to a differential form with at worst logarithmic poles along exceptional divisors on any resolution of singularities of X .

This result, its history, and parts of its proof were discussed by Greb during a talk in the MSRI Postdoc Seminar.

In addition, Greb worked on a generalisation and refinement of classical results of Boutot on the rationality of algebraic quotient singularities to the holomorphic setup. His results about rational singularities and (analytic Hilbert) quotients by holomorphic group actions will soon appear as a preprint.

Furthermore, Greb and Kovács’ grad student Zsolt Patakfalvi formed a reading group studying period domains and variations of Hodge structures.

Supported in part by MSRI postdoc travel money he visited the University of Washington to present his research in the Algebra and Algebraic Geometry Seminar.

After his stay at MSRI, Daniel returned to his position as Akademischer Rat (Assistant Professor) at Mathematisches Institut, Albert-Ludwigs-Universität-Freiburg, Germany.

Amit Hogadi received his Ph.D. from Princeton University in 2007 under the supervision of János Kollár. His dissertation was titled “Topics in Birational Geometry.” At MSRI his mentor was Miles Reid.

He worked on two problems during his stay at MSRI: One was about calculation of Brauer group of moduli space (and moduli stack) of $PGL(n)$ bundles (joint with Indranil Biswas) and the other problem (joint with Chenyang Xu, who

was also a postdoc at MSRI) was on Homotopy limits and derived categories of Deligne Mumford stacks. Apart from these, he participated in a special seminar run by post-docs on Rational Simple Connectedness.

After his stay at MSRI, Amit returned to the School of Maths, Tata Institute of Fundamental Research (TIFR), Mumbai, India.

Anne-Sophie Kaloghiros received her Ph.D. from the University of Cambridge in 2007 under the supervision of Alessio Corti. Her dissertation was titled “The Topology of Terminal Quartic 3-Folds.” At MSRI her mentor was Lawrence Ein.

While at MSRI, she carried on her work on non-factorial terminal Gorenstein Fano 3-folds. She made the final corrections to her article “The Defect of Fano 3-folds”, and she wrote a first version of the preprint “A classification of terminal quartic 3-folds and some remarks on rationality”. She gave a talk in the postdoc seminar on these problems. Second, she took part to the Stacks working group and to a working group on the work of de Jong and Starr on notions of (simple) rational connectedness and the study of rational curves. Last, she worked with G. Todorov (Princeton) and C. Xu (MIT) on some (still vague) problems related to boundedness for 3-folds.

After MSRI, Anne-Sophie returned to her Junior Research Fellowship at Trinity Hall and DPMMS, University of Cambridge.

Radu Laza received his Ph.D. from Columbia University in 2006 under the supervision of Robert Friedman. His dissertation was titled “Deformations of Singularities and Variations of GIT Quotients.” At MSRI his mentor was Alessio Corti.

Prior to his visit at MSRI, he was a postdoc at the University of Michigan. The period that he spent at MSRI partially overlapped with his job search. One big advantage of being at MSRI was flexibility to travel. During his period at MSRI, he gave 6 research talks: at the conference on “Arithmetic Algebraic Geometry related to moduli spaces” (Tokyo), UC Davis, U Wisconsin, Stony Brook, UNC, and the main seminar at MSRI.

At MSRI, he worked on three projects. The first project, joint with S. Casalaina-Martin, regards the birational geometry of the moduli space of genus 4 curves. This project is close to completion, and it is almost entirely a result of the research conducted at MSRI. He will talk about the results of this projects in June at the “Moduli and discrete groups conference” in Kyoto (June 8-12) and expects that a paper will be submitted by the end of the summer. The main results of the project include an explicit simultaneous semi-stable reduction for curves with ADE singularities, and an explicit and geometric resolution of the birational map between

the ball quotient model for M_4 constructed by Kondo and the Deligne-Mumford compactification \overline{M}_4 .

A second project that he worked on is about the geometric compactification of the moduli space of polarized K3 surfaces. This is a longer term project. First, discussions with P. Hacking allowed him to expand the results from his previous paper “Triangulations of the sphere and degenerations of K3 surfaces” (on the combinatorics of degenerations of K3 surfaces). It is likely that the new results will be merged with the triangulation paper to form a joint paper with P. Hacking (to be submitted this summer). Secondly, he continued work on the geometric compactification for K3 surfaces along the lines of Kollár–Shepherd-Barron–Alexeev program (of compactifying the moduli space of log general type varieties). By the end of this year, he expects complete results about a geometric compactification in the case of low degree K3 surfaces.

The third project that he worked on is about the moduli of hyperkaehler manifolds. This is related with his previous work on “Moduli of cubic fourfolds”. Parts of this project are in collaboration with K. O’Grady. The semester at MSRI gave him the opportunity to discuss at length with K. O’Grady.

In conclusion, the semester at MSRI gave him the ample opportunities to discuss with his collaborators or potential collaborators (S. Casalaina-Martin, P. Hacking, K. O’Grady). He also discussed (related to his research projects) with B. Hassett, C. Voisin, S. Keel, D. Smyth, D. Hyeon, etc. Beside people directly related to his research, he had countless discussions with numerous people in the community, from senior faculty to graduate students. For instance, one result of these discussions was the decision to organize a Northeastern regional algebraic geometry meeting (somewhat modeled on WAGS) starting this fall. In the beginning, the core schools involved will be Stony Brook (R. Laza), Amherst (J. Tevelev), and UConn (A. Bayer).

After his stay at MSRI, Radu will start as a tenure-track assistant professor at the mathematics department of the State University of New York at Stony Brook.

Dragos Oprea received his Ph.D. from the Massachusetts Institute of Technology in 2005 under the supervision of Gang Tian. His dissertation was titled “The Tautological Classes on the Moduli Space of Stable Maps to Flag Varieties.” At MSRI his mentor was Jim Bryan.

Dragos Oprea worked on two papers: “On the strange duality conjecture for elliptic K3 surfaces,” written with Alina Marian (UIC), and “The moduli space of stable quotients,” written with Alina Marian (UIC) and Rahul Pandharipande (Princeton). The papers can be found on the arxiv: arXiv:0902.3052, arXiv:0904.2992. He also gave a lecture entitled “The Verlinde bundles in higher

genus” during the workshop Modern Moduli Theory.

After his stay at MSRI, Dragos went to the Department of Mathematics at UC San Diego as a tenure-track assistant professor.

David Smyth received his Ph.D. from Harvard University in 2008 under the supervision of Joseph Harris. His dissertation was titled “Projectivity of analytic Hilbert quotients.” At MSRI his mentor was David Eisenbud.

During his semester at MSRI, David Smyth collaborated with Jarod Alper (Columbia) and Fred van der Wyck (Harvard) on a project aimed at constructing certain moduli spaces of curves without using geometric invariant theory. He also gave a lecture at the Modern Moduli workshop and served on the speakers committee.

After his stay at MSRI, David went on to be a Junior Fellow at Harvard.

Gueorgui Todorov received his Ph.D. from the University of Utah in 2008 under the supervision of Aaron Bertram. His dissertation was titled “The Gromov-Witten potential of local projective line with weights one and two and pluricanonical maps on threefolds of general type.” At MSRI his mentor was James McKernan.

He collaborated with Renzo Cavalieri on the Gromov-Witten potential of a partial resolution of an A_2 singularity. They proved that the resulting potential matches the potential of the A_2 singularity and also that of the resolution. Together with Anne-Sophie Kaloghiros and Chenyang Xu he was running a working group on birational geometry. He also collaborated and discussed various topics with Arend Bayer, Aaron Bertram, Dawei Chen, Christopher Hacon, Tommaso deFernex.

After his stay at MSRI, Gueorgui went to be an Instructor at the mathematics department at Princeton University.

Hsian-Hua Tseng received his Ph.D. from UC Berkeley in 2005 under the supervision of Alexander Givental. His dissertation was titled “Quantum Riemann-Roch, Lefschetz and Serre Theorems for Orbifold Gromov-Witten Theory.” At MSRI his mentor was Yuan-Pin Lee.

Hsian-Hua studied Gromov-Witten theory of orbifolds while at MSRI. He calculated some genus 1 degree 0 Gromov-Witten invariants of Deligne-Mumford stacks. He collaborated with Yunfeng Jiang (University of Utah) and Elena Andreini (MPI-Bonn) on Gromov-Witten theory of product stacks and Gromov-Witten theory of root gerbes. They have written a paper with results on calculations of Gromov-Witten invariants of product stacks. He collaborated with Jeff Brown (UC Berkeley) on proving Virasoro constraints for Gromov-Witten invariants of toric bundles. He collaborated with Tom Coates (Imperial College

London), Alessio Corti (Imperial College London) and Hiroshi Iritani (Imperial College London) on calculations of Gromov-Witten invariants of toric Deligne-Mumford stacks.

He also gave a lecture at the postdoc seminar on Givental's formalism in Gromov-Witten theory.

After his stay at MSRI, Hsian-Hua went on to be a tenure-track assistant professor at department of mathematics, Ohio State University.

Alexandr Usnich received his Ph.D. from Universite Paris VI in 2008 under the supervision of Maxim Kontsevich. His dissertation was titled "On the Cremona Group and its Subgroups." At MSRI his mentor was Rahul Pandharipande.

Alexandr Usnich worked on the Donaldson-Thomas invariants for 3 Calabi-Yau categories and on cluster mutations in non-commutative setting.

After his stay at MSRI, Alexandr went to the Institut für Mathematik, Zurich University

Chenyang Xu received his Ph.D. from Princeton University in 2008 under the supervision of János Kollár. His dissertation was titled "Topics on Rationally Connected Varieties." At MSRI his mentor was Stefan Kebekus.

While Chenyang was at MSRI, he finished 2 papers: one showed the weak approximation holds for some del Pezzo surfaces; the other was a joint work with Amit Hogadi, who was also in MSRI, on properties of derived categories of stacks. He gave two lectures there: one in the post-doc seminar, one in the Emphasis seminar. He also worked on some other problems, which will become papers in the future.

After his stay at MSRI, Chenyang went on to be C.L.E Moore instructor at the mathematics department of MIT.

Other postdocs.

In addition to the official MSRI postdocs, there were a number of participants (official and unofficial) still in the postdoctoral stage of their careers. We did our best to treat them on the same footing as the official MSRI postdocs. In some cases, these people are based outside the US and supported by their governments. Others were supported by their home departments. These 'unofficial' postdocs were also assigned mentors by the organizers:

Ulrich Derenthal received his Ph.D. from Georg-August-Universität Göttingen in 2006 under the supervision of Yuri Tschinkel. His dissertation was titled "Geometry of Universal Torsors". At MSRI his mentor was Brendan Hassett. After his stay at MSRI, Ulrich took a position as a Juniorprofessor at the Mathematisches Institut, Universität Freiburg (Germany)

Milena Hering received her Ph.D. from the University of Michigan in 2006

under the supervision of William Fulton. Her dissertation was titled “Syzygies of toric varieties”. At MSRI her mentor was Michael Stillman. After her stay at MSRI, Milena was a postdoctoral assistant professor at the Department of Mathematics at the University of Utah.

David Rydh received his Ph.D. from the Kungliga Tekniska Högskolan in Stockholm in 2008 under the supervision of Dan Laksov. His dissertation was titled “Families of cycles and the Chow scheme”. At MSRI his mentor was Martin Olsson. After his stay at MSRI, David was a postdoc at the Department of Mathematics at the University of California at Berkeley.

6 Graduate students

A number of graduate students took part in the program. About ten graduate students were officially program associates, accompanying their advisors who were members. In addition, a number of students participated unofficially, including students from Berkeley. (Our mailing list includes 29 students overall.) As well as participating in regular events, they ran their own weekly seminar, and regularly participated en masse in the postdoctoral seminar. The social events (including with the postdocs) were a great way for them to meet others in the community, from fellow graduate students to distinguished senior colleagues. The local graduate students from Berkeley were excellent hosts.

7 Diversity

In recommending participants, the committee was very conscious of issues of diversity. At this point, many of the younger leaders in the field are women, and the percentage of algebraic geometers who are women has been rising quickly, albeit from a very low number. A glance at our list of participants will show that we had a good percentage of women, including at the most senior levels (Research Professors, named professorships), and women had a significant presence on all committees, including as chairs. Indeed, the representation of women in our program significantly exceeds the percentage of US doctorates in algebraic geometry awarded to women. (In a recent informal study, we found 8-9 % of these going to women.)

On the other hand, the participation of underrepresented groups such as African-Americans and US-born Hispanics in algebraic geometry remains low, and the

pipeline into the field is insufficiently developed. The organizers worked to recruit participants from these groups. In advance of the special semester, Brendan Hassett gave a series of lectures at the annual meeting of SACNAS (Society for the Advancement of Chicano and Native American scientists) as part of our recruitment effort.

8 Synergistic activities

A number of synergistic activities took place during the semester. Connections to computational methods were encouraged through two events (on the programs Macaulay II and SAGE). Bernd Sturmfels' course on Tropical Geometry connected this program to the subsequent one on tropical geometry. Immediately after the end of the semester, a conference was held at Berkeley on representation theory, geometry, and combinatorics (organized by Martin Olsson and Ishai Dan-Cohen), and there was a great deal of activity between the two groups at that time. Many participants took part in a Math Circles meeting during the semester (and many volunteered their own children). The holding of WAGS (the Western Algebraic Geometry Seminar) at MSRI encouraged new synergistic interactions. Participants took part in a mathematically-themed event by *Playground*, a workshop of playwrights in the Bay Area.

9 A Nugget

The following nugget is based on Jim Bryan's excellent article in the *Emissary*.

Algebraic geometry is one of the oldest subjects in mathematics, and yet it is arguably at its most vibrant today. The subject is continually being invigorated by its active connections with topology, complex geometry, representation theory, number theory, commutative algebra, combinatorics, and modern high energy physics. Indeed, the participants of the 2009 MSRI jumbo program in algebraic geometry have a vast range of interests and many of the researchers have gravitated to algebraic geometry from other fields.

At the core of algebraic geometry are varieties, spaces defined by polynomial equations. For example, the solution set of a single equation in two variables defines a curve in the plane. If the coefficients of the polynomial are taken to be in the complex numbers, we get a Riemann surface, which can be visualized as the surface of a doughnut with g holes. It was realized early on that rather than

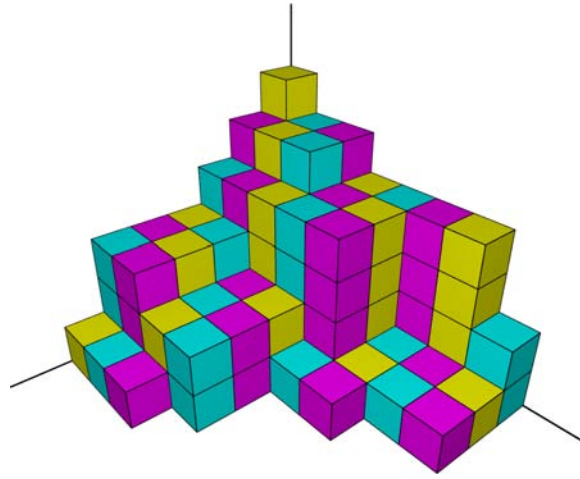


Figure 1: *3D partitions* are piles of boxes stacked stably in the corner of a room. These objects are of central importance in recent developments in algebraic geometry, notably in Donaldson-Thomas theory

studying varieties one at a time, one should consider how they vary in families, or *moduli*. In the nineteenth century, Bernhard Riemann studied curves in moduli and discovered that curves of genus g are parameterized by a $3g - 3$ dimensional moduli space. Moduli spaces in general, and the moduli space of curves in particular, now occupy a central place in modern algebraic geometry.

The modern point of view in algebraic geometry differs considerably from that of its inception. A significant paradigm shift occurred in the 1960's led by the work of Serre, Grothendieck, Deligne and Mumford. New and powerful theoretical tools were developed in those days to study how varieties behave in families. We are perhaps in the midst of a further paradigm shift, where these abstract tools are applied to concrete questions from across mathematics.

Moduli spaces also occur in string theory and in quantum field theory where they often have an algebro-geometric interpretation. Some of the most exciting recent advances in the theory of moduli have been fueled by these interactions. Examples include *Donaldson-Thomas invariants*, which despite their intricate definition can sometimes be computed by concrete, combinatorial means:

Postdoctoral Fellows Summary

Pre/Post Institute Group

| <i>Name</i> | <i>PhD Year</i> | <i>Degree Institute</i> | <i>AMS Group</i> | <i>MSRI - Mentor</i> | <i>Pre - MSRI</i> | <i>AMS Group</i> | <i>Placement Institute</i> | <i>AMS Group</i> | <i>Position</i> |
|-----------------------------|-----------------|------------------------------------|------------------|----------------------|---|------------------|--|------------------|--------------------------------------|
| Alper, Jarod | 2008 | Stanford University | I Private | Vistoli, Angelo | Columbia University | I Private | Columbia University | I Private | Instructor |
| Araujo, Carolina | 2004 | Princeton University | I Private | Alexeev, Valery | IMPA | Foreign | IMPA | Foreign | Postdoc |
| Asok, Aravind | 2006 | Princeton University | I Private | Totaro, Burt | University of Washington | I Public | UCLA | I Public | Hedrick Assistant Professor |
| Bayer, Arend | 2006 | Max Planck Institut für Mathematik | Foreign | Behrend, Kai | University of Utah | I Public | University of Connecticut | II | Assistant Professor |
| Beheshti Zavareh, Roya | 2003 | MIT | I Private | Faber, Carel | Queen's University | Foreign | Washington University | I Public | Assistant Professor |
| Casalaina-Martin, Sebastian | 2004 | Columbia University | I Private | Kollar, Janos | Harvard University | I Private | University of Colorado at Boulder | II | Assistant Professor |
| Cautis, Sabin | 2006 | Harvard University | I Private | Abramovich, Dan | Rice University | I Private | Columbia University | I Private | Joseph Fels Ritt Assistant Professor |
| Chen, Dawei | 2008 | Harvard University | I Private | Vakil, Ravi | Harvard University | I Private | University of Illinois at Chicago | I Public | Research Assistant Professor |
| Easton, Robert | 2007 | Stanford University | I Private | Caporaso, Lucia | University of Utah | I Public | University of Utah | I Public | Assistant Professor |
| Greb, Daniel | 2008 | Ruhr-Universität Bochum | Foreign | Kovacs, Sandor | Mathematisches Institut der Universität zu Köln | Foreign | Albert-Ludwigs-Universität Freiburg | Foreign | Assistant Professor |
| Hogadi, Amit | 2007 | Princeton University | I Private | Reid, Miles | Tata Institute of Fundamental Research | Foreign | Tata Institute of Fundamental Research | Foreign | Faculty Member |
| Kaloghiros, Anne-Sophie | 2007 | University of Cambridge | Foreign | Ein, Lawrence | University of Cambridge | Foreign | University of Cambridge | Foreign | Research Fellow |
| Laza, Radu | 2006 | Columbia University | I Private | Corti, Alessio | University of Michigan | I Public | Rice University | I Private | Assistant Professor |
| Oprea, Dragos | 2005 | MIT | I Private | Bryan, Jim | Stanford University | I Private | UCSD | I Public | Assistant Professor |
| Smyth, David | 2008 | Harvard University | I Private | Eisenbud, David | Harvard University | I Private | Harvard University | I Private | Junior Faculty |

| | | | | | | | | | |
|-------------------|------|--|-----------|----------------------|--|-----------|-----------------------|-----------|------------------------|
| Todorov, Gueorgui | 2008 | University of Utah | I Public | McKernan, James | University of Utah | I Public | Princeton University | I Private | Instructor |
| Tseng, Hsian-Hua | 2005 | UC Berkeley | I Public | Lee, Yuan-Pin | University of Wisconsin - Madison | I Public | Ohio State University | I Public | Assistant Professor |
| Usnich, Alexandr | 2008 | Université Pierre et Marie Curie - Paris 6 | Foreign | Pandharipande, Rahul | Université Pierre et Marie Curie - Paris 6 | Foreign | Zurich University | Foreign | Assistant Professor |
| Xu, Chenyang | 2008 | Princeton University | I Private | Kebekus, Stefan | MIT | I Private | MIT | I Private | C L E Moore Instructor |

| | | Pre-MSRI | | | | | | | |
|-----------|-----------------|-----------------|----------------|----------|-----------|----------|----------|----------|-----------|
| | | Group I Private | Group I Public | Group II | Group III | Group B | Group M | Foreign | Total |
| Post-MSRI | Group I Private | 4 | 2 | | | | | | 6 |
| | Group I Public | 2 | 3 | | | | | 1 | 6 |
| | Group II | 1 | 1 | | | | | | 2 |
| | Group III | | | | | | | | - |
| | Group B | | | | | | | | - |
| | Group M | | | | | | | | - |
| | Foreign | | | | | | | 5 | 5 |
| | Total | 7 | 6 | - | - | - | - | 6 | 19 |

Participant List
 MSRI Program:
Algebraic Geometry
January 1 to May 22, 2009

at Mathematical Sciences Research Institute, Berkeley California

| Full Name | Primary Institute | Position |
|----------------------------|--|--------------------------------|
| Abramovich, Dan | Brown University | Professor |
| Alexeev, Valery | University of Georgia | David C. Barrow Professor |
| Alper, Jarod | Columbia University | Faculty/Postdoc |
| Altmann, Klaus | Freie Universität Berlin | Professor |
| Aluffi, Paolo | Florida State University | Professor |
| Anderson, David | University of Michigan | Graduate Student |
| Andreatta, Marco | Università di Trento | Participant |
| Araujo, Carolina | Institute of Pure and Applied Mathematics (IMPA) | Adjoint Researcher |
| Asok, Aravind | University of California | Faculty/Postdoc |
| Bauer-Catanese, Ingrid | Universitaet Bayreuth | Professor |
| Bayer, Arend | University of Utah | Assistant Professor (Lecturer) |
| Beauville, Arnaud | Université de Nice Sophia Antipolis | Faculty/Postdoc |
| Beheshti Zavareh, Roya | Washington University | Faculty/Postdoc |
| Behrend, Kai | University of British Columbia | Professor |
| Bertram, Aaron | University of Utah | Professor and Chair |
| Borrelli, Giuseppe | Universidade Federal de Pernambuco | Professor |
| Brannetti, Silvia | Terza Università di Roma | Graduate Student |
| Bridgeland, Tom | University of Sheffield | Faculty/Postdoc |
| Bryan, Jim | University of British Columbia | Faculty/Postdoc |
| Buch, Anders | Rutgers University | Faculty/Postdoc |
| Campana, Frederic | Université de Nancy I (Henri Poincaré) | professor |
| Caporaso, Lucia | Università Roma TRE | Faculty/Postdoc |
| Casagrande, Cinzia | Università di Pavia | Faculty/Postdoc |
| Casalaina-Martin, Sebastia | University of Colorado | Faculty/Postdoc |
| Castravet, Ana-Maria | University of Arizona | Faculty/Postdoc |
| Catanese, Fabrizio | Universität Bayreuth | Professor |
| Cautis, Sabin | Rice University | G.C. Evans Instructor |
| Cavalieri, Renzo | Colorado State University | Faculty/Postdoc |
| Chen, Qile | Brown University | Graduate Student |
| Chen, Dawei | University of Illinois | Faculty/Postdoc |
| Chen, Linda | Swarthmore College | Faculty/Postdoc |
| Corti, Alessio | Imperial College, London | Professor |
| Coskun, Izzet | University of Illinois | Faculty/Postdoc |
| Costa, Laura | University of Barcelona | Faculty/Postdoc |
| Craw, Alastair | University of Glasgow | Faculty/Postdoc |
| de Fernex, Tommaso | University of Utah | Assistant Professor |
| Debarre, Olivier | École Normale Supérieure | Faculty/Postdoc |
| Derenthal, Ulrich | University of Zurich | Postdoc |
| Di Rocco, Sandra | K.T.H. | associate professor |
| Doran, Brent | University of Oxford | Faculty/Postdoc |
| Dundon, Ariana | University of Washington | Graduate Student |
| Easton, Robert | University of Utah | Assistant Professor |
| Edidin, Dan | University of Missouri, Columbia | Associate Professor |
| Ein, Lawrence | University of Illinois | Professor |
| Eisenbud, David | University of California | Professor |
| Ellenberg, Jordan | University of Wisconsin | Assistant Professor |
| Esnault, Helene | Universität Duisburg-Essen | Faculty/Postdoc |
| Faber, Eleonore | Universität Wien | Graduate Student |
| Faber, Carel | Royal Institute of Technology (KTH) | Full Professor |
| Fantechi, Barbara | International School for Advanced Studies (SISSA/ISAS) | Faculty/Postdoc |
| Farkas, Gavril | Humboldt-Universität | Professor |
| Finkel, Dan | University of Washington | Graduate Student |
| Fu, Baohua | Academy of Mathematics and Systems Science | Participant |
| Fujino, Osamu | Kyoto University | Faculty/Postdoc |
| Fulton, William | University of Michigan | Professor |
| Giansiracusa, Noah | Brown University | Graduate Student |
| Gibney, Angela | University of Georgia | Faculty/Postdoc |
| Goettsche, Lothar | Abdus Salam International Centre for Theoretical Physics | Faculty/Postdoc |
| Graber, Thomas | California Institute of Technology | Associate Professor |
| Graham, William | University of Georgia | Professor |
| Grassi, Antonella | University of Pennsylvania | Professor |
| Greb, Daniel | Albert-Ludwigs-Universitaet Mathematisches Institut | Faculty/Postdoc |

| | | |
|-------------------------|--|---|
| Griffiths, Phillip | Institute for Advanced Study | Professor |
| Grushevsky, Samuel | Princeton University | Faculty/Postdoc |
| Hacking, Paul | University of Washington | Faculty/Postdoc |
| Hacon, Christopher | University of Utah | Faculty/Postdoc |
| Hain, Richard | Duke University | Faculty/Postdoc |
| Hall, Jack | Stanford University | Graduate Student |
| Harbater, David | University of Pennsylvania | Christopher H. Browne Distinguished Professor |
| Harris, Joseph | Harvard University | Professor |
| Hassett, Brendan | Rice University | Faculty/Postdoc |
| Heier, Gordon | University of California | Faculty/Postdoc |
| Hering, Milena | University of Utah | Faculty/Postdoc |
| Hogadi, Amit | Tata Institute of Fundamental Research | Participant |
| Holm, Tara | Cornell University | Faculty/Postdoc |
| Hulek, Klaus | Leibniz Universität Hannover | Professor |
| Hwang, Jun-Muk | Korea Institute for Advanced Study (KIAS) | Faculty/Postdoc |
| Ishii, Shihoko | Tokyo Institute of Technology | Professor |
| Izadi, Elham | University of Georgia | Faculty/Postdoc |
| Jabbusch, Kelly | Albert-Ludwigs-Universität Freiburg | Faculty/Postdoc |
| Johnsen, Trygve | University of Tromsø | Faculty/Postdoc |
| Johnson, Jennifer | Princeton University | Senior Lecturer |
| Kaloghiros, Anne-Sophie | University of Cambridge | Junior Research Fellow |
| Katz, Sheldon | University of Illinois, Urbana-Champaign | Professor and Chair |
| Katzarkov, Ludmil | University of Miami | Professor |
| Kaufmann, Ralph | Purdue University | Associate Professor |
| Kawamata, Yujiro | University of Tokyo | Faculty/Postdoc |
| Kebekus, Stefan | Albert-Ludwigs-Universität Freiburg | Faculty/Postdoc |
| Kim, Bumsig | Korea Advanced Institute of Science and Technology (KAIST) | Professor |
| Kirson, Antonio | University of Washington | Graduate Student |
| Knutson, Allen | University of California, San Diego | Professor |
| Kollár, János | Princeton University | Professor |
| Kopp, Travis | University of Washington | Graduate Student |
| Kovács, Sándor | University of Washington | Professor |
| Kresch, Andrew | Universität Zürich | Professor |
| Langer, Adrian | University of Warsaw | Associate Professor |
| Laza, Radu | University of Michigan | Assistant Prof. (postdoc) |
| Lazarsfeld, Robert | University of Michigan | Faculty/Postdoc |
| Lee, Yongnam | Sogang University | Associate Professor |
| Lee, Yuan-Pin | University of Utah | Faculty/Postdoc |
| Lieblich, Max | Princeton University | Faculty/Postdoc |
| Lipman, Joseph | Purdue University | Professor |
| Maclagan, Diane | University of Warwick | Faculty/Postdoc |
| Marcus, Steffen | Brown University | Graduate Student |
| Marian, Alina | University of Illinois | Faculty/Postdoc |
| Matusevich, Laura | Texas A&M University | Assistant Professor |
| Maulik, Davesh | Massachusetts Institute of Technology | Faculty/Postdoc |
| McKernan, James | Massachusetts Institute of Technology | Faculty/Postdoc |
| McKinnie, Kelly | Rice University | Faculty/Postdoc |
| Mella, Massimiliano | Università di Ferrara | Faculty/Postdoc |
| Melo, Margarida | Terza Università di Roma | Graduate Student |
| Mendes Lopes, Margarida | Instituto Superior Técnico | Faculty/Postdoc |
| Mezzetti, Emilia | University of Trieste | Full professor |
| Miró-Roig, Rosa M. | University of Barcelona | Full Professor |
| Miyaoka, Yoichi | Kyoto University | Participant |
| Mori, Shigefumi | Kyoto University | Professor |
| Morrison, David | University of California | Faculty/Postdoc |
| Morrison, Ian | Fordham University | Faculty/Postdoc |
| Mukai, Shigeru | Kyoto University | Faculty/Postdoc |
| Mustata, Mircea | University of Michigan | Faculty/Postdoc |
| Nevins, Thomas | University of Illinois at Urbana-Champaign | Faculty/Postdoc |
| O'Grady, Kieran | Sapienza Università di Roma | Full professor |
| Olsson, Martin | University of California | Faculty |
| Oprea, Dragos | University of California, San Diego | Faculty/Postdoc |
| Osserman, Brian | University of California | Assistant Professor |
| Ottaviani, Giorgio | Università di Firenze | Professor |
| Pandharipande, Rahul | Princeton University | Faculty/Postdoc |
| Pardini, Rita | Università di Pisa | Faculty/Postdoc |
| Pareschi, Giuseppe | Università di Roma, Tor Vergata | Full Professor |
| Patakfalvi, Zolt | University of Washington | Graduate Student |
| Payne, Sam | Stanford University / Clay Mathematics Institute | Postdoctoral Research Fellow |
| Peternell, Thomas | Universität Bayreuth | Professor |

| | | |
|----------------------|---|--|
| Piene, Ragni | University of Oslo | Faculty/Postdoc |
| Popa, Mihnea | University of Illinois | Faculty/Postdoc |
| Proudfoot, Nicholas | University of Oregon | Faculty/Postdoc |
| Reid, Miles | University of Warwick | Professor |
| Rojas, J. Maurice | Texas A&M University | Professor |
| Ross, Julius | University of Cambridge | Faculty/Postdoc |
| Ross, Kiana | University of Washington | Graduate Student |
| Roth, Michael | Queen's University | Participant |
| Rydh, David | University of California | Faculty/Postdoc |
| Sawon, Justin | Colorado State University | Assistant Professor |
| Schoen, Chad | Duke University | Professor |
| Schumacher, Georg | Philipps-Universität Marburg | Fachbereich Mathematik |
| Schwede, Karl | University of Michigan | Postdoc Assistant Professor |
| Shokurov, Vyacheslav | Johns Hopkins University | Professor |
| Skjelnes, Roy | Royal Institute of Technology (KTH) | Assistant Professor |
| Smith, Karen | MIT - Massachusetts Institute of Technology | Participant |
| Smith, Gregory | Queen's University | Faculty/Postdoc |
| Smyth, David | Harvard University | Faculty/Postdoc |
| Srinivas, Vasudevan | Tata Institute of Fundamental Research | Faculty/Postdoc |
| Stillman, Mike | Cornell University | Director of Graduate Studies in Mathematics |
| Szendroi, Balazs | University of Oxford | Lecturer |
| Takagi, Shunsuke | Kyushu University | Research Associate Professor |
| Talpo, Mattia | Scuola Normale Superiore | Undergraduate Student |
| Tamvakis, Harry | University of Maryland | Participant |
| Teicher, Mina | Bar-Ilan University | Faculty/Postdoc |
| Tevelev, Jenia | University of Massachusetts | Faculty/Postdoc |
| Thomas, Richard | Imperial College London | Faculty Postdoc |
| Todorov, Gueorgui | Princeton University | Faculty/Postdoc |
| Tommasi, Orsola | Universität Hannover | Postdoc |
| Totaro, Burt | University of Cambridge | Lowndean Professor of Astronomy and Geometry |
| Tseng, Hsian-Hua | University of Wisconsin-Madison | Faculty/Postdoc |
| Tucker, Kevin | University of Michigan | Graduate Student |
| Tziolas, Nikolaos | University of Cyprus | Assistant Professor |
| Ulfarsson, Henning | Brown University | Graduate Student |
| Usnich, Alexandr | Universität Zürich | Faculty/Postdoc |
| Vakil, Ravi | Stanford University | Assistant Professor |
| Viehweg, Eckart | Universität Duisburg-Essen | Professor |
| Vistoli, Angelo | Scuola Normale Superiore | Professor |
| Voisin, Claire | Centre National de la Recherche Scientifique (CNRS) | Faculty/Postdoc |
| Wahl, Jonathan | University of North Carolina | Faculty/Postdoc |
| Westera, Dennis | University Wien | Graduate Student |
| Wickelgren, Kirsten | Stanford University | Graduate Student |
| Wisniewski, Jaroslaw | Uniwersytet Warszawski | Professor |
| Xu, Chenyang | Massachusetts Institute of Technology | Faculty/Postdoc |

Algebraic Geometry

2008-2009

Program Participant Summary

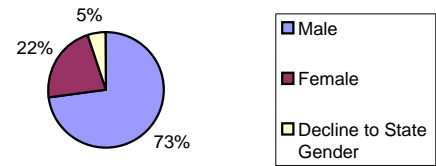
| Algebraic Geometry | # of Distinct Participants | % | # of Citizens & Perm. Res. | % | # of Female | % | # of Minorities | % |
|---|----------------------------|---------------|----------------------------|--------------|-------------|--------------|-----------------|-------------|
| Postdoctoral Fellows | 19 | 11.8% | 8 | 5.0% | 3 | 1.9% | 0 | 0.0% |
| PD/RM | 17 | 10.6% | 9 | 5.6% | 5 | 3.1% | 0 | 0.0% |
| Program Associates | 17 | 10.6% | 9 | 5.6% | 5 | 3.1% | 1 | 0.6% |
| Research Members | 70 | 43.5% | 34 | 21.1% | 17 | 10.6% | 3 | 1.9% |
| Research Professor | 28 | 17.4% | 10 | 6.2% | 3 | 1.9% | 0 | 0.0% |
| Organizers | 7 | 4.3% | 7 | 4.3% | 0 | 0.0% | 0 | 0.0% |
| Guests | 3 | 1.9% | 1 | 0.6% | 3 | 1.9% | 0 | 0.0% |
| Total no. of Distinct Participants | 161 | 100.0% | 78 | 48.4% | 36 | 22.4% | 4 | 2.5% |

Home Institute Grouping

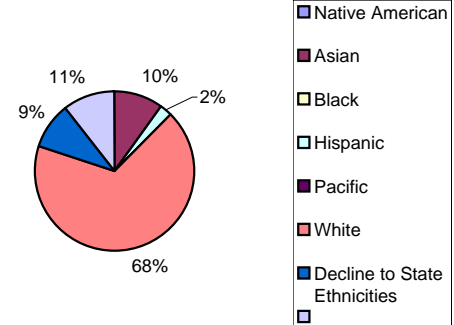
| Algebraic Geometry | Group I Private | Group I Public | Group II | Group B | IAS | Foreign | Total |
|--------------------|-----------------|----------------|-------------|-------------|-------------|--------------|---------------|
| Guest | 1 | | | | | 2 | 3 |
| Organizer | 4 | 3 | | | | | 7 |
| PD/RM | 4 | 4 | 2 | 1 | | 6 | 17 |
| Postdoc | 5 | 7 | 1 | 1 | | 5 | 19 |
| Program Associate | 6 | 8 | | | | 3 | 17 |
| Research Member | 7 | 14 | 12 | 2 | | 35 | 70 |
| RP | 2 | 5 | 1 | | 1 | 19 | 28 |
| Total | 29 | 41 | 16 | 4 | 1 | 70 | 161 |
| % | 18.0% | 25.5% | 9.9% | 2.5% | 0.6% | 43.5% | 100.0% |

Algebraic Geometry (All Participants) Demographic Summary

| Gender | # | % (No Decl.)* | % |
|------------------------------|-----|---------------|--------|
| No. of Distinct Participants | 161 | | 100.0% |
| Male | 117 | 76.47% | 72.7% |
| Female | 36 | 23.53% | 22.4% |
| Decline to State Gender | 8 | | 5.0% |

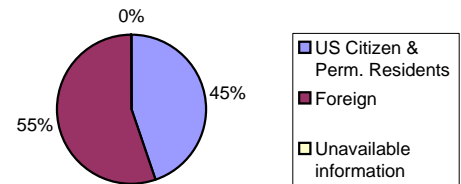


| Ethnicities | # | % (No Decl.)* | % |
|------------------------------------|-----|---------------|--------|
| Native American | 0 | 0.00% | 0.0% |
| Asian | 16 | 12.40% | 9.9% |
| Black | 0 | 0.00% | 0.0% |
| Hispanic | 4 | 3.10% | 2.5% |
| Pacific | 0 | 0.00% | 0.0% |
| White | 109 | 84.50% | 67.7% |
| Decline to State Ethnicities | 15 | | 9.3% |
| Unavailable Information | 17 | | 10.6% |
| Total no. of Distinct Participants | 161 | | 100.0% |



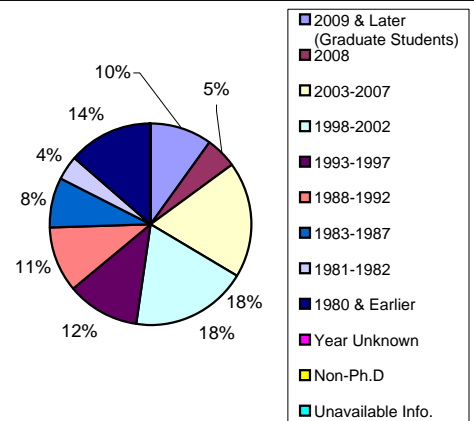
| | | | |
|------------|---|-------|------|
| Minorities | 4 | 3.10% | 2.5% |
|------------|---|-------|------|

| Citizenships | # | % |
|------------------------------------|-----|--------|
| US Citizen & Perm. Residents | 78 | 48.4% |
| Foreign | 96 | 59.6% |
| Unavailable information | 0 | 0.0% |
| Total no. of Distinct Participants | 174 | 108.1% |
| US Citizen | 62 | 38.5% |
| Perm Residents | 16 | 9.9% |



| | | |
|------------------|----|--------|
| Home Inst. in US | 91 | 56.52% |
|------------------|----|--------|

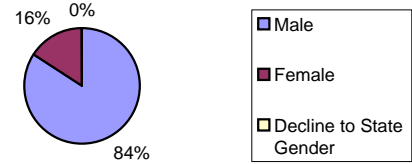
| Year of Ph.D | # | % |
|----------------------------------|-----|--------|
| 2009 & Later (Graduate Students) | 16 | 9.9% |
| 2008 | 8 | 5.0% |
| 2003-2007 | 30 | 18.6% |
| 1998-2002 | 30 | 18.6% |
| 1993-1997 | 19 | 11.8% |
| 1988-1992 | 17 | 10.6% |
| 1983-1987 | 13 | 8.1% |
| 1981-1982 | 6 | 3.7% |
| 1980 & Earlier | 22 | 13.7% |
| Year Unknown | 0 | 0.0% |
| Non-Ph.D | 0 | 0.0% |
| Unavailable Info. | 0 | 0.0% |
| Total | 161 | 100.0% |



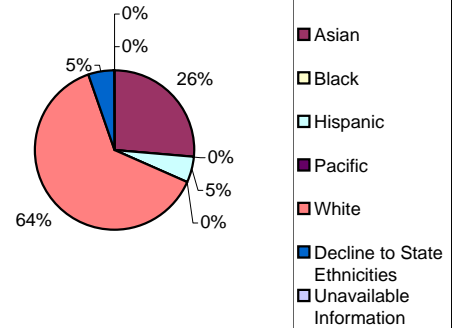
*Statistic Calculation based on all participants that did not decline.

Algebraic Geometry Postdoctoral Fellow Summary

| Gender | # | % (No Decl.)* | % |
|-----------------------------|----|---------------|--------|
| No. of Postdoctoral Fellows | 19 | | 100.0% |
| Male | 16 | 84.21% | 84.2% |
| Female | 3 | 15.79% | 15.8% |
| Decline to State Gender | 0 | | 0.0% |

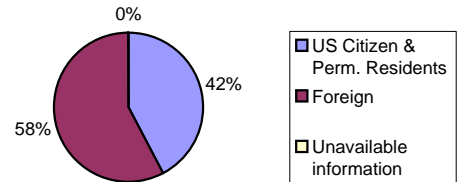


| Ethnicities | # | % (No Decl.)* | % |
|-----------------------------------|----|---------------|--------|
| Native American | 0 | 0.00% | 0.0% |
| Asian | 5 | 27.78% | 26.3% |
| Black | 0 | 0.00% | 0.0% |
| Hispanic | 1 | 5.56% | 5.3% |
| Pacific | 0 | 0.00% | 0.0% |
| White | 12 | 66.67% | 63.2% |
| Decline to State Ethnicities | 1 | | 5.3% |
| Unavailable Information | 0 | | 0.0% |
| Total no. of Postdoctoral Fellows | 19 | | 100.0% |



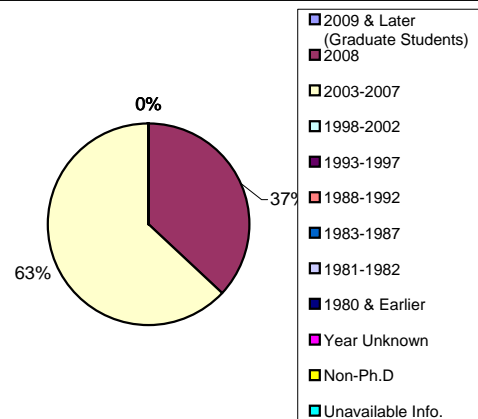
| | | | |
|------------|---|-------|------|
| Minorities | 1 | 5.56% | 5.3% |
|------------|---|-------|------|

| Citizenships | # | % |
|-----------------------------------|----|--------|
| US Citizen & Perm. Residents | 8 | 42.1% |
| Foreign | 11 | 57.9% |
| Unavailable information | 0 | 0.0% |
| Total no. of Postdoctoral Fellows | 19 | 100.0% |
| US Citizen | 5 | 26.3% |
| Perm Residents | 3 | 15.8% |



| | | |
|------------------|----|--------|
| Home Inst. in US | 14 | 73.68% |
|------------------|----|--------|

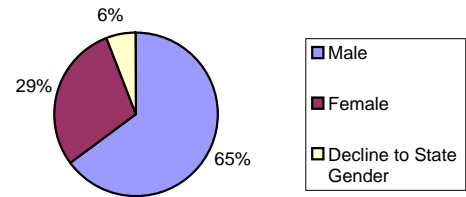
| Year of Ph.D | # | % |
|----------------------------------|----|--------|
| 2009 & Later (Graduate Students) | 0 | 0.0% |
| 2008 | 7 | 36.8% |
| 2003-2007 | 12 | 63.2% |
| 1998-2002 | 0 | 0.0% |
| 1993-1997 | 0 | 0.0% |
| 1988-1992 | 0 | 0.0% |
| 1983-1987 | 0 | 0.0% |
| 1981-1982 | 0 | 0.0% |
| 1980 & Earlier | 0 | 0.0% |
| Year Unknown | 0 | 0.0% |
| Non-Ph.D | 0 | 0.0% |
| Unavailable Info. | 0 | 0.0% |
| Total | 19 | 100.0% |



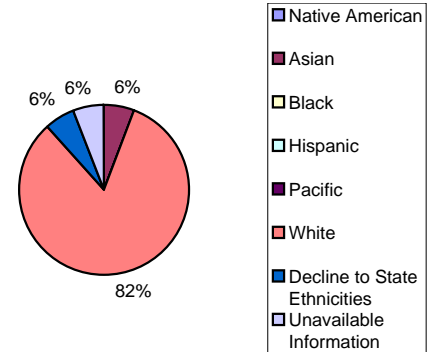
*Statistic Calculation based on all participants that did not decline.

Algebraic Geometry Program Associate (Graduate Student) Summary

| Gender | # | % (No Decl.)* | % |
|---------------------------------------|----|---------------|--------|
| No. of Program Associates (GS) | 17 | | 100.0% |
| Male | 11 | 68.75% | 64.7% |
| Female | 5 | 31.25% | 29.4% |
| Decline to State Gender | 1 | | 5.9% |

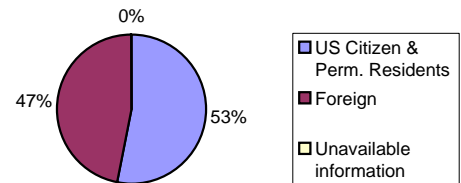


| Ethnicities | # | % (No Decl.)* | % |
|--|----|---------------|--------|
| Native American | 0 | 0.00% | 0.0% |
| Asian | 1 | 6.67% | 6.3% |
| Black | 0 | 0.00% | 0.0% |
| Hispanic | 0 | 0.00% | 0.0% |
| Pacific | 0 | 0.00% | 0.0% |
| White | 14 | 93.33% | 87.5% |
| Decline to State Ethnicities | 1 | | 6.3% |
| Unavailable Information | 1 | | 6.3% |
| Total no. of Program Associates | 17 | | 106.3% |



| | | | |
|-------------------|---|-------|------|
| Minorities | 0 | 0.00% | 0.0% |
|-------------------|---|-------|------|

| Citizenships | # | % |
|---|----|--------|
| US Citizen & Perm. Residents | 9 | 52.9% |
| Foreign | 8 | 47.1% |
| Unavailable information | 0 | 0.0% |
| Total no. of Program Associates | 17 | 100.0% |
| US Citizen | 9 | 52.9% |
| Perm Residents | 0 | 0.0% |



| | | |
|-------------------------|----|--------|
| Home Inst. in US | 14 | 82.35% |
|-------------------------|----|--------|

*Statistic Calculation based on all participants that did not decline.

Complementary Program 2008-09

August 18, 2008 to May 22, 2009

MSRI had a small Complementary Program comprised of two postdoctoral fellows, Chris Hillar (funded by the NSA and MSRI), and Feng Xu, 2 research members, Irwin Kra and Matilde Marcolli, and 1 graduate student, Christopher Severs.

Christopher Hillar had just completed a postdoctoral fellowship at Texas A&M University and was contemplating leaving academia. Bernd Sturmfels alerted us to the fact that Hillar was awarded a Young Investigator grant from the NSA and that given his exceptional talent it would be a loss to the mathematical community if he were to leave mathematics. We took him as a research member in the Complementary program while we administered his grant. It turned out to be one of MSRI's best success stories. In Hillar's own words "...The chance to work at MSRI was life-changing and especially important given my research aspirations in mathematical neuroscience. It is challenging to work at the intersection of these two fields, and I attribute much of my ongoing success to the opportunities, encouragement, and support that MSRI has offered me." Hillar went on to obtain a joint postdoctoral fellowship with MSRI and the Redwood Center for Theoretical Neuroscience at UCB. His mentor, Dr. Bruno Olshausen, is the Redwood Center's director. The Center's goal is to develop mathematical and computational models of the underlying neurobiological mechanisms involved in perception, cognition, learning, and motor function. The scientists collaborate with experimental neuroscience labs in the design of experiments and in the analysis of neural data. It has been a rewarding experience for MSRI to see one of its members branched out so successfully.

Feng Xu was at MSRI for the academic year 2008-09 working with Director Robert Bryant, his mentor. Xu is a differential geometer whose stay at MSRI appears to have been quite prolific as he lists 3 completed papers, and was making serious progress on a fourth. One of his papers, *Pseudo-holomorphic curves in nearly Kahler CP^3* , appeared in the "Differential Geometry and Its Applications" journal. More details on his works while at MSRI can be found in the Postdoctoral Chapter of this annual report.

Postdoctoral Fellow

Complementary Program 2008-09



Christopher Hillar

Christopher received his Ph.D. from Berkeley in 2005 under the supervision of Bernd Sturmfels. His dissertation was titled "Solving Polynomial Systems with Special Structure." In his time at MSRI Christopher worked on applications of compressed sensing to sparse coding with Fritz Sommer at the Redwood Institute for Theoretical Neuroscience. They have submitted their work to a NIPS conference and will be finishing up a journal article in the next month. He has also been collaborating with Lek-Heng Lim at Berkeley on the computational complexity of tensor decompositions. They should also have a paper out in the next few months. Finally, he has been working with Pentti Kanerva and Fritz Sommer on the mathematics underlying a new computational paradigm, "Hyperdimensional Computing." With it, they hope to understand and model complex systems that appear to be Turing incompatible. After his stay at MSRI, Christopher went on to the Redwood Center for Theoretical Neuroscience.



Feng Xu

Feng received his Ph.D. from Duke University in 2008 under the supervision of Robert L. Bryant. His dissertation was titled "Harmonic Morphisms with Totally Geodesic Fibers." He was in residence for the entire academic year. He worked on two topics: G_2 -structures and G_2 flows, and prescribing generalized Ricci curvatures. He finished a paper joint with Robert Bryant on the first topic. He published a paper titled "Pseudo-holomorphic curves in nearly Kähler CP^3 " in *Differential Geometry and Its Applications*. He also submitted another paper to *Communications in Analysis and Geometry*. He has obtained some interesting results on the second topic and is writing a paper. He also visited Professor Rugang Ye at UCSB for two days and started a collaboration on the first topic. After his stay at MSRI, Feng went on to the Australian National University.

Participant List

MSRI Program:

Complementary Program 08/09

August 18, 2008 to May 22, 2009

at Mathematical Sciences Research Institute, Berkeley California

| Full Name | Primary Institute | Position |
|---------------------|------------------------------------|-------------------------|
| Hillar, Christopher | Texas A & M University | NSF Postdoctoral Fellow |
| Kra, Irwin | Math for America | Executive Director |
| Marculli, Matilde | California Institute of Technology | Faculty/Postdoc |
| Severs, Christopher | Arizona State University | Graduate Student |
| Xu, Feng | Duke University | Graduate Student |

Complementary Program 2008-09

2008-2009

Program Participant Summary

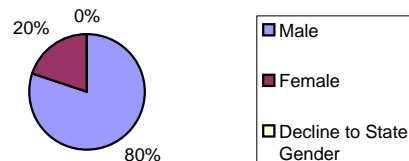
| Complementary Program 08-09 | # of Distinct Participants | % | # of Citizens & Perm. Res. | % | # of Female | % | # of Minorities | % |
|---|----------------------------|---------------|----------------------------|--------------|-------------|--------------|-----------------|--------------|
| Postdoctoral Fellows | 2 | 40.0% | 1 | 20.0% | 0 | 0.0% | 1 | 20.0% |
| Program Associates | 1 | 20.0% | 1 | 20.0% | 0 | 0.0% | 0 | 0.0% |
| Research Members | 0 | 0.0% | 0 | 0.0% | 0 | 0.0% | 0 | 0.0% |
| Research Professor | 2 | 40.0% | 2 | 40.0% | 1 | 20.0% | 0 | 0.0% |
| Total no. of Distinct Participants | 5 | 100.0% | 4 | 80.0% | 1 | 20.0% | 1 | 20.0% |

Home Institute Grouping

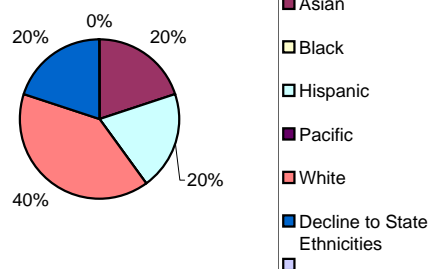
| Complementary Program 08-09 | I Private | I Public | II | Other | Total |
|-----------------------------|--------------|--------------|--------------|-------------|---------------|
| Postdoc | 1 | | 1 | | 2 |
| Program Associate | | | 1 | | 1 |
| Research Member | | | | | - |
| Research Professor | 1 | 1 | | | 2 |
| Total | 2 | 1 | 2 | - | 5 |
| % | 40.0% | 20.0% | 40.0% | 0.0% | 100.0% |

Complementary Program 2008-09 (All Participants) Demographic Summary

| Gender | # | % (No Decl.)* | % |
|------------------------------|---|---------------|--------|
| No. of Distinct Participants | 5 | | 100.0% |
| Male | 4 | 80.00% | 80.0% |
| Female | 1 | 20.00% | 20.0% |
| Decline to State Gender | 0 | | 0.0% |

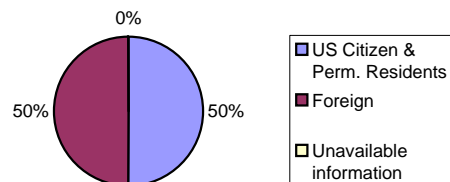


| Ethnicities | # | % (No Decl.)* | % |
|------------------------------------|---|---------------|--------|
| Native American | 0 | 0.00% | 0.0% |
| Asian | 1 | 25.00% | 20.0% |
| Black | 0 | 0.00% | 0.0% |
| Hispanic | 1 | 25.00% | 20.0% |
| Pacific | 0 | 0.00% | 0.0% |
| White | 2 | 50.00% | 40.0% |
| Decline to State Ethnicities | 1 | | 20.0% |
| Unavailable Information | 0 | | 0.0% |
| Total no. of Distinct Participants | 5 | | 100.0% |



| | | | |
|------------|---|--------|-------|
| Minorities | 1 | 25.00% | 20.0% |
|------------|---|--------|-------|

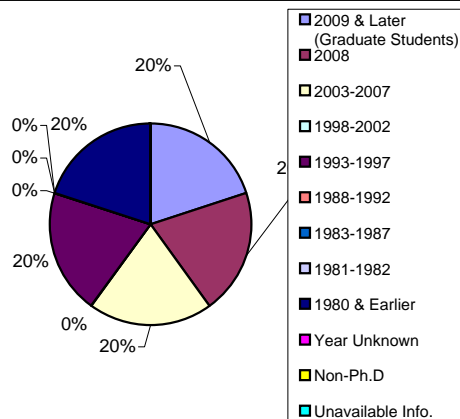
| Citizenships | # | % |
|------------------------------------|---|--------|
| US Citizen & Perm. Residents | 4 | 80.0% |
| Foreign | 4 | 80.0% |
| Unavailable information | 0 | 0.0% |
| Total no. of Distinct Participants | 8 | 160.0% |



| | | |
|----------------|---|-------|
| US Citizen | 3 | 60.0% |
| Perm Residents | 1 | 20.0% |

| | | |
|------------------|---|---------|
| Home Inst. in US | 5 | 100.00% |
|------------------|---|---------|

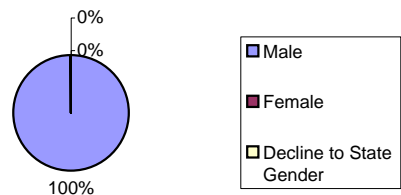
| Year of Ph.D | # | % |
|----------------------------------|---|--------|
| 2009 & Later (Graduate Students) | 1 | 20.0% |
| 2008 | 1 | 20.0% |
| 2003-2007 | 1 | 20.0% |
| 1998-2002 | 0 | 0.0% |
| 1993-1997 | 1 | 20.0% |
| 1988-1992 | 0 | 0.0% |
| 1983-1987 | 0 | 0.0% |
| 1981-1982 | 0 | 0.0% |
| 1980 & Earlier | 1 | 20.0% |
| Year Unknown | 0 | 0.0% |
| Non-Ph.D | 0 | 0.0% |
| Unavailable Info. | 0 | 0.0% |
| Total | 5 | 100.0% |



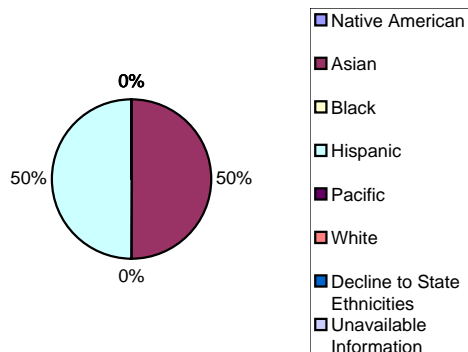
*Statistic Calculation based on all participants that did not decline.

Complementary Program 2008-09 Postdoctoral Fellow Summary

| Gender | # | % (No Decl.)* | % |
|-----------------------------|---|---------------|--------|
| No. of Postdoctoral Fellows | 2 | | 100.0% |
| Male | 2 | 100.00% | 100.0% |
| Female | 0 | 0.00% | 0.0% |
| Decline to State Gender | 0 | | 0.0% |

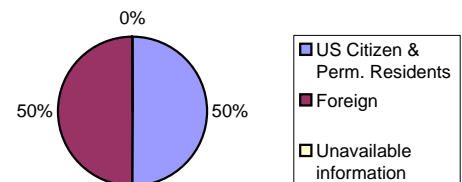


| Ethnicities | # | % (No Decl.)* | % |
|-----------------------------------|---|---------------|--------|
| Native American | 0 | 0.00% | 0.0% |
| Asian | 1 | 50.00% | 50.0% |
| Black | 0 | 0.00% | 0.0% |
| Hispanic | 1 | 50.00% | 50.0% |
| Pacific | 0 | 0.00% | 0.0% |
| White | 0 | 0.00% | 0.0% |
| Decline to State Ethnicities | 0 | | 0.0% |
| Unavailable Information | 0 | | 0.0% |
| Total no. of Postdoctoral Fellows | 2 | | 100.0% |



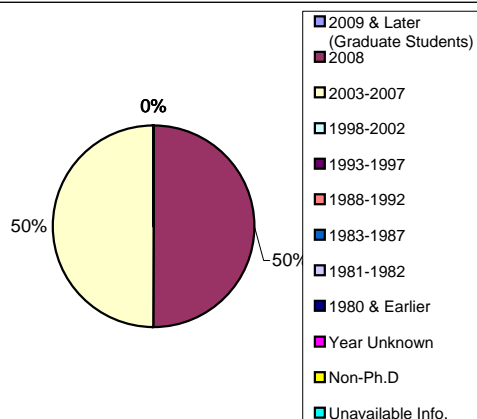
| | | | |
|------------|---|--------|-------|
| Minorities | 1 | 50.00% | 50.0% |
|------------|---|--------|-------|

| Citizenships | # | % |
|-----------------------------------|---|--------|
| US Citizen & Perm. Residents | 1 | 50.0% |
| Foreign | 1 | 50.0% |
| Unavailable information | 0 | 0.0% |
| Total no. of Postdoctoral Fellows | 2 | 100.0% |
| US Citizen | 1 | 50.0% |
| Perm Residents | 0 | 0.0% |



| | | |
|------------------|---|---------|
| Home Inst. in US | 2 | 100.00% |
|------------------|---|---------|

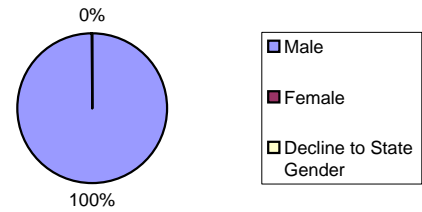
| Year of Ph.D | # | % |
|----------------------------------|---|--------|
| 2009 & Later (Graduate Students) | 0 | 0.0% |
| 2008 | 1 | 50.0% |
| 2003-2007 | 1 | 50.0% |
| 1998-2002 | 0 | 0.0% |
| 1993-1997 | 0 | 0.0% |
| 1988-1992 | 0 | 0.0% |
| 1983-1987 | 0 | 0.0% |
| 1981-1982 | 0 | 0.0% |
| 1980 & Earlier | 0 | 0.0% |
| Year Unknown | 0 | 0.0% |
| Non-Ph.D | 0 | 0.0% |
| Unavailable Info. | 0 | 0.0% |
| Total | 2 | 100.0% |



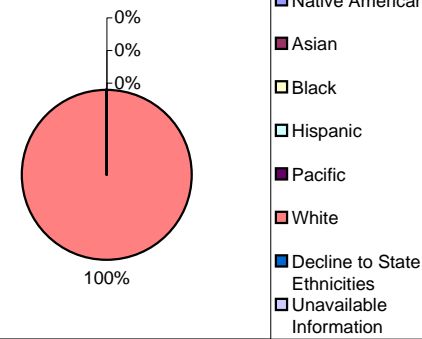
*Statistic Calculation based on all participants that did not decline.

Complementary Program 2008 -09 Program Associate (Graduate Student) Summary

| Gender | # | % (No Decl.)* | % |
|---------------------------------------|----------|----------------------|----------|
| No. of Program Associates (GS) | 1 | | 100.0% |
| Male | 1 | 100.00% | 100.0% |
| Female | 0 | 0.00% | 0.0% |
| Decline to State Gender | 0 | | 0.0% |

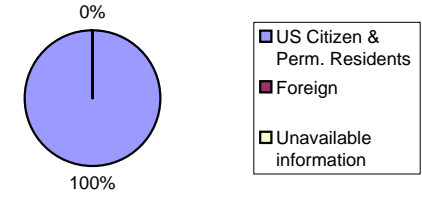


| Ethnicities | # | % (No Decl.)* | % |
|--|----------|----------------------|----------|
| Native American | 0 | 0.00% | 0.0% |
| Asian | 0 | 0.00% | 0.0% |
| Black | 0 | 0.00% | 0.0% |
| Hispanic | 0 | 0.00% | 0.0% |
| Pacific | 0 | 0.00% | 0.0% |
| White | 1 | 100.00% | 100.0% |
| Decline to State Ethnicities | 0 | | 0.0% |
| Unavailable Information | 0 | | 0.0% |
| Total no. of Program Associates | 1 | | 100.0% |



| | | | |
|-------------------|---|-------|------|
| Minorities | 0 | 0.00% | 0.0% |
|-------------------|---|-------|------|

| Citizenships | # | % |
|---|----------|----------|
| US Citizen & Perm. Residents | 1 | 100.0% |
| Foreign | 0 | 0.0% |
| Unavailable information | 0 | 0.0% |
| Total no. of Program Associates | 1 | 100.0% |
| US Citizen | 1 | 100.0% |
| Perm Residents | 0 | 0.0% |



| | | |
|-------------------------|---|---------|
| Home Inst. in US | 1 | 100.00% |
|-------------------------|---|---------|

*Statistic Calculation based on all participants that did not decline.

REPORT ON THE CONFERENCE “MODULAR FORMS AND ARITHMETIC”

FRANK CALEGARI, SAMIT DASGUPTA, BJORN POONEN, AND RICHARD TAYLOR

This is a report on the conference “Modular forms in arithmetic” held June 28 to July 2, 2008 at the University of California, Berkeley and the Mathematical Sciences Research Institute. The conference consisted of 17 lectures by international experts in arithmetic geometry, with a focus on aspects connected with automorphic forms and Galois representations.

It opened with a pair of colloquium-style lectures, which, as intended, attracted not only the conference participants but also a broader audience from around the San Francisco Bay Area. First, Barry Mazur spoke on Ribet’s construction of abelian extensions via the Galois representations attached to modular forms, and how its modern interpretation in terms of *families* of Galois representations connects to current research. Second, Kevin Buzzard gave a very general lecture on the role of modularity of elliptic curves in the proof of Fermat’s last theorem, and explained the larger picture to which this conjecturally belongs.

These two lectures placed many of the later lectures in context. For instance, Mazur’s lecture set the stage for Samit Dasgupta’s lecture on the weak Gross-Stark conjecture and Joël Bellaïche’s lecture on extensions of p -adic Galois representations.

Other lectures covered current research on the geometry of Shimura varieties (Mark Kisin on the F_p -points, Elena Mantovan on integral models of compactifications), the insufficiency of known cohomological obstructions for explaining non-existence of rational points on varieties (Bjorn Poonen), Rebolledo’s use of supersingular elliptic curves to prove some results towards uniform bounds for non-surjectivity of Galois representations associated to elliptic curves over \mathbb{Q} (Loïc Merel), some partial results towards a function field Lang-Trotter conjecture (Nicholas Katz), the rigid p -adic geometry of modular curves (Robert Coleman), a conjectural strengthening of the Jacquet-Langlands correspondence that sees torsion (Frank Calegari), torsion points on abelian varieties (Matthew Baker), a new functor from representations to (φ, Γ) -modules (Marie-France Vignéras), the interplay between ramification subgroups and finite subgroups of complex Lie groups arising in the local Langlands correspondence (Benedict Gross), and speculations on Kolyvagin’s old ideas that give (perhaps overly optimistic) hope for a proof of the Birch

and Swinnerton-Dyer conjecture for elliptic curves over \mathbb{Q} with analytic rank greater than 1 (William Stein). Two of the speakers, David Helm and Matthew Emerton discovered as a result of the

1 FRANK CALEGARI, SAMIT DASGUPTA, BJORN POONEN, AND RICHARD TAYLOR

conference that they were working on the same problem, extending the mod ℓ local Langlands correspondence to representations over an Artinian ring instead of just a field. Because the collaboration between Helm and Emerton is a striking concrete example of the success of the conference, we asked Helm to write a short but detailed summary of their work. He writes:

Both Matthew Emerton's research and my own centered on the question of making the local Langlands correspondence for $GL(2)$ work in families. That is, given a p -adic family of two-dimensional representations of the Galois group of a local field F , we sought a natural way of associating a p -adic family of admissible representations of $GL_2(F)$, in a way that induced the classical local Langlands correspondence on points of the two families.

Neither of us managed to achieve this goal independently, but Emerton was able to show that given a family ρ of Galois representations, there was at most one family π of admissible representations satisfying a short list of properties that one would expect if π and ρ were related by local Langlands. He was not able to show that such a π always existed, however. Meanwhile, I had independently taken a more constructive approach, via deformation theory. My approach was able to associate a π to every ρ , but my construction was very *ad hoc*, and I was unable to find a convincing way of showing that the π I constructed was the "right" one.

Emerton and I only became aware of each other's work when the conference abstracts were posted; we soon found that our work dovetailed perfectly — his result uniquely characterised the families π I constructed, and my construction proved his conjecture that a π satisfying his properties always existed.

Finally, we note that the extended breaks between lectures gave ample opportunities for interaction between participants. Several junior participants commented to us afterwards that they appreciated the opportunity to meet

and discuss mathematics with the leaders in the field. For instance, one student mentioned that, through talking to Barry Mazur during the breaks at the conference, she learned an idea that would let her circumvent a mathematical obstacle she was facing in the writing of her dissertation.

Workshop Summary for CMI/MSRI Workshop: Modular Forms and Arithmetic

Held: June 28 to July 2, 2008

The Mathematical Sciences Research Institute

| Participant Information | |
|-------------------------|--|
| 134 participants | |

| Gender (n = 134 participants) | | |
|-------------------------------|--------|-----|
| Male | 78.36% | 105 |
| Female | 21.64% | 29 |
| Declined to state | 0.00% | 0 |

| Ethnicity (n = 91 participants) | | |
|---------------------------------|--------|----|
| White | 37.31% | 50 |
| Asian | 14.93% | 20 |
| Hispanic | 2.99% | 4 |
| Pacific Islander | 0.00% | 0 |
| Black | 1.49% | 2 |
| Native American | 0.00% | 0 |
| Declined to state | 11.19% | 15 |

CMI/MSRI Workshop: Modular Forms and Arithmetic

Invited Speakers

| | |
|------------------------|--------------------------------------|
| Bellaïche, Joel | Columbia University |
| Buzzard, Kevin | Imperial College, London |
| Mazur, Barry | Harvard University |
| Gross, Benedict H. | Harvard University |
| Stein, William Arthur | University of Washington |
| Coleman, Robert | UC Berkeley |
| Katz, Nicholas | Princeton University |
| Mantovan, Elena | California Institute of Technology |
| Baker, Matthew Howard | Georgia Tech |
| Kisin, Mark | University of Chicago |
| Helm, David | University of Texas |
| Urban, Eric | Columbia University |
| de Jong, Johan | Columbia University |
| Lenstra, Hendrik W. | Universiteit Leiden |
| Merel, Loic | Institut de Mathématiques de Jussieu |
| Vigñeras, Marie-France | Université de Paris 7 |
| Emerton, Matthew James | Northwestern University |

CMI/MSRI Workshop: Modular Forms and Arithmetic Schedule

Saturday June 28, 2008

| | | |
|-------------------|--|---|
| 08:30AM - 09:00AM | Coffee and Registration at UCB North Gate Hall | |
| 09:00AM - 09:10AM | Introduction | |
| 09:10AM - 10:10AM | Barry Mazur | Construction of abelian extensions following Ken Ribet |
| 10:10AM - 10:30AM | Morning Break | |
| 10:30AM - 11:30AM | Kevin Buzzard | Ken Ribet and Fermat's Last Theorem |
| 11:30AM - 02:00PM | Lunch | |
| 02:00PM - 03:00PM | Nicholas Katz | Lang-Trotter revisited, and lower bounds for Frobenius traces |
| 03:00PM - 04:00PM | Afternoon Tea | |
| 04:00PM - 05:00PM | Mark Kisin | Shimura varieties mod p |

Sunday June 29, 2008

| | | |
|-------------------|-----------------------|--|
| 08:30AM - 09:00AM | Coffee | |
| 09:00AM - 10:00AM | Marie-France Vigneras | A functor from smooth O_L -torsion representations to (φ, Γ) -modules |
| 10:00AM - 10:30AM | Morning Break | |
| 10:30AM - 11:30AM | David Helm | On l -adic families of admissible representations of $GL_2(\mathbb{Q}_p)$ |
| 11:30AM - 02:00PM | Lunch | |
| 02:00PM - 03:00PM | William Stein | Kolyvagin's Approach to the Birch and Swinnerton-Dyer Conjecture |
| 03:00PM - 04:00PM | Afternoon Tea | |
| 04:00PM - 05:00PM | Benedict Gross | Ramification theory and finite subgroups of Lie groups |

Monday June 30, 2008

| | | |
|-------------------|-----------------|---|
| 09:00AM - 10:00AM | Matthew Emerton | Level lowering for p -adic modular forms |
| 10:00AM - 10:30AM | Morning Break | |
| 10:30AM - 11:30AM | Bjorn Poonen | Cohomological obstructions to rational points |

Tuesday July 1, 2008

| | | |
|-------------------|--|--|
| 09:00AM - 10:00AM | Frank Calegari | Towards a Torsion Jacquet-Langlands Correspondence for $GL(2)$ |
| 10:00AM - 10:30AM | Morning Break | |
| 10:30AM - 11:30AM | Samit Dasgupta | Ribet's converse to Herbrand and the weak Gross-Stark conjecture |
| 11:30AM - 02:00PM | Lunch | |
| 02:00PM - 03:00PM | Joel Bellaïche | Non trivial extensions of p -adic Galois representations that are trivial at p |
| 03:00PM - 04:00PM | Afternoon Tea | |
| 04:00PM - 05:00PM | Loic Merel | Modular symbols for global fields |
| 07:00PM - 09:00PM | Banquet honoring Ken Ribet on his 60th Birthday (UCB Faculty club) | |

Wednesday July 2, 2008

| | | |
|-------------------|----------------|---|
| 09:00AM - 10:00AM | Robert Coleman | Wide Open Spaces |
| 10:00AM - 10:30AM | Morning Break | |
| 10:30AM - 11:30AM | Elena Mantovan | Integral models for toroidal compactifications of Shimura varieties |
| 11:30AM - 02:00PM | Lunch | |
| 02:00PM - 03:00PM | Matthew Baker | Torsion points on abelian varieties |
| 03:00PM - 04:00PM | Afternoon Tea | |

Currently Available Videos

- **Barry Mazur** , Construction of abelian extensions following Ken Ribet *June 28,2008, 09:10 AM to 10:10 AM*
- **Kevin Buzzard** , Ken Ribet and Fermat's Last Theorem *June 28,2008, 10:30 AM to 11:30 AM*
- **Nicholas Katz** , Lang-Trotter revisited, and lower bounds for Frobenius traces *June 28,2008, 02:00 PM to 03:00 PM*
- **Mark Kisin** , Shimura varieties mod p *June 28,2008, 04:00 PM to 05:00 PM*
- **Marie-France Vigneras** , A functor from smooth OL-torsion representations to (φ, Γ) -modules *June 29,2008, 09:00 AM to 10:00 AM*
- **David Helm** , On l -adic families of admissible representations of $GL_2(\mathbb{Q}_p)$ *June 29,2008, 10:30 AM to 11:30 AM*
- **William Stein** , Kolyvagin's Approach to the Birch and Swinnerton-Dyer Conjecture *June 29,2008, 02:00 PM to 03:00 PM*
- **Benedict Gross** , Ramification theory and finite subgroups of Lie groups *June 29,2008, 04:00 PM to 05:00 PM*
- **Matthew Emerton** , Level Lowering of p -adic Modular Forms *June 30,2008, 09:00 AM to 10:00 AM*
- **Bjorn Poonen** , Cohomological Obstructions to Rational Points. *June 30,2008, 10:30 AM to 11:30 AM*
- **Frank Calegari** , Towards a Torsion Jacquet-Langlands Correspondence for GL_2 *July 1,2008, 09:00 AM to 10:00 AM*
- **Samit Dasgupta** , Ribet's Converse to Herbrand and the Weak Gross-Stark Conjecture. *July 1,2008, 10:30 AM to 11:30 AM*
- **Joel Bellaïche** , Non Trivial Extensions of p -adic Galois Representations that are Trivial at p . *July 1,2008, 02:00 PM to 03:00 PM*
- **Loic Merel** , Modular Symbols for Global Fields *July 1,2008, 04:00 PM to 05:00 PM*
- **Robert Coleman** , Wide Open Spaces *July 2,2008, 09:00 AM to 10:00 AM*
- **Elena Mantovan** , Integral Models for Toroidal Compactifications of Shimura Varieties. *July 2,2008, 10:30 AM to 11:30 AM*
- **Matthew Baker** , Torsion Points on Abelian Varieties *July 2,2008, 02:00 PM to 03:00 PM*

Participant List
 MSRI Workshop:
CMI/MSRI Workshop: Modular Forms and Arithmetic
June 28 to July 2, 2008
 at Mathematical Sciences Research Institute, Berkeley California

| Full Name | Role | Primary Institute |
|-------------------------|---------------------------|--|
| Achter, Jeffrey D. | Participant | Colorado State University |
| Adibhatla, Rajender | Invited Participant/ CLAY | University of Sheffield |
| Agarwal, Mahesh Kumar | Invited Participant | McMaster University |
| Agashe, Amod Sadanand | Invited Participant | Florida State University |
| Agboola, Adebisi | Participant | UC Santa Barbara |
| Allotta, Jeff | Invited Participant | Northwestern University |
| Arnold, Trevor | Participant | University of Washington |
| Baker, Matthew Howard | Speaker/ CLAY | Georgia Tech |
| Bakhova, Maiia Jurevna | Participant | Louisiana State University |
| Baran, Burcu | Participant | Universita' di Roma |
| Bellaïche, Joel | Speaker/ CLAY | Columbia University |
| Brown, Jim | Invited Participant/ CLAY | California Institute of Technology |
| Brumer, Armand | Participant | Fordham University |
| Buhler, Joe P. | Participant | CCR |
| Busuïoc, Cecilia | Invited Participant/ CLAY | Boston University |
| Buzzard, Kevin | Speaker/ CLAY | Imperial College |
| Calegari, Frank | Organizer/ CLAY | Northwestern University |
| Cardon, David A. | Participant | Brigham Young University |
| Cheng, Yuan-You | Participant | Wakeforest University |
| Choi, Suh Hyun | Invited Participant/ CLAY | Harvard University |
| chung, min i | Participant | not available |
| Citro, Craig Louis | Participant | UCLA |
| Coleman, Robert | Speaker/ CLAY | UC Berkeley |
| Conrad, Brian David | Invited Participant | Stanford University |
| Dasgupta, Samit | Organizer/ CLAY | Harvard University |
| de Jong, Johan | Speaker/ CLAY | Columbia University |
| Dembele, Lassina | Invited Participant | University of Duisburg-Essen |
| Dewar, Michael Patrick | Invited Participant/ CLAY | University of Illinois at Urbana-Champaign |
| Dieulefait, Luis Victor | Invited Participant | Harvard University |
| El-Guindy, Ahmad | Participant | Texas A&M University |
| Elkin, Arsen | Participant | Colorado State University |
| Ellenberg, Jordan | Participant | University of Wisconsin |
| Ellwood, David A. | Organizer | Boston University |
| Emerton, Matthew James | Speaker/ Clay | Northwestern University |
| Eriksson, Dennis | Participant | Tokyo University |
| Fité, Francesc | Invited Participant/ CLAY | Universitat Politècnica de Catalunya |
| Freeman, David | Participant | UC Berkeley |
| Fried, Michael D. | Invited Participant | UC Irvine |
| Fuchs, Elena D | Invited Participant/ CLAY | Princeton University |
| Garton, Derek William | Invited Participant/ CLAY | University of Wisconsin |
| Ghitza, Alexandru Edgar | Participant | Colby College |
| Greicius, Aaron | Participant | UC Berkeley |
| Gross, Benedict H. | Speaker/ CLAY | Harvard University |
| Gruendken, Linda Meike | Invited Participant | University of Pennsylvania |
| Guitart, Xavier | Invited Participant/ CLAY | Universitat Politècnica de Catalunya |
| Hagedorn, Tom | Participant | The College of New Jersey |
| Harby, John | Participant | not available |
| Hartshorne, Robert | Participant | UC Berkeley |
| Helm, David | Speaker/ CLAY | University of Texas |
| Ishikawa, Muriel Y. | Participant | not available |
| Jetchev, Dimitar | Participant | UC Berkeley |
| Jones, Nathan C | Participant | CRM, Université de Montréal |
| Katz, Nicholas | Speaker/ CLAY | Princeton University |
| Kedlaya, Kiran Sridhara | Participant | Massachusetts Institute of Technology |
| Kharel, Savan | Participant | Indiana University |

| | | |
|------------------------------------|---------------------------|--|
| Kim, Byungchan | Invited Participant/ CLAY | University of Illinois at Urbana-Champaign |
| Kisin, Mark | Speaker/ CLAY | University of Chicago |
| Kramer, Ken | Participant | Queens College (CUNY) |
| Lan, Kai-Wen | Invited Participant | Harvard University |
| Lang, William E. | Participant | Brigham Young University |
| Lario, Joan-Carles | Participant | Universitat Politècnica de Catalunya |
| Lenstra, Hendrik W. | Speaker/ CLAY | Universiteit Leiden |
| Li, Wen-Ch'ing Winnie | Participant | Penn State University |
| Ling, Jie | Invited Participant | University of Wisconsin - Madison |
| Liu, Tong | Invited Participant/ CLAY | University of Pennsylvania |
| Long Hoelscher, Jing | Participant | University of Arizona |
| Long, Ling | Participant | Iowa State University |
| Luu, Martin | Invited Participant/ CLAY | Princeton University |
| Lyo, Grace | Invited Participant | Massachusetts Institute of Technology |
| Lyons, Christopher | Invited Participant | California Institute of Technology |
| Mantilla, Guillermo Arturo | Participant | University of Wisconsin - Madison |
| Mantovan, Elena | Speaker/ CLAY | California Institute of Technology |
| Mayer, Hartwig | Invited Participant | Humboldt University of Berlin |
| Mazur, Barry | Speaker/ CLAY | Harvard University |
| McCallum, William Gordon | Participant | University of Arizona |
| McMurdy, Ken | Participant | Ramapo College of New Jersey |
| Merel, Loic | Speaker/ CLAY | Institut de mathematiques de Jussieu |
| Mohamed, Moustafa Ibrahim Moustafa | Participant | University of Warwick |
| Molina Blanco, Santiago | Invited Participant | Universitat Politecnica de Catalunya |
| Nicole, Marc-Hubert | Invited Participant | Institut de mathematiques de Jussieu |
| Niziol, Wieslawa | Participant | University of Utah |
| Olsson, Martin | Participant | UC Berkeley |
| Omar, Sami | Participant | University of Tunis |
| Ono, Ken | Participant | University of Wisconsin |
| Ozman, Ekin | Invited Participant | University of Wisconsin |
| Pakingan, Bryan | Participant | UC Berkeley |
| Papaioannou, Athanasios | Invited Participant | University of Chicago |
| Park, Jae-Young | Participant | UC Berkeley |
| Paulin, Alexander | Participant | Imperial College |
| Poonen, Bjorn | Organizer | UC Berkeley |
| Prasad, Dipendra | Invited Participant | Tata Institute of Fundamental Research |
| Raygoza, Isabela | Participant | not available |
| Reeder, Mark | Participant | Boston College |
| Ribet, Kenneth A. | Participant | UC Berkeley |
| Robert, Francesc Creixell | Participant | Universitat Politecnica de Catalunya |
| Satriano, Matt | Participant | Stanford University |
| Schein, Michael M. | Invited Participant | Hebrew University of Jerusalem |
| Schoof, Rene' | Participant | Universita' di Roma |
| Schuett, Matthias | Invited Participant | Harvard University |
| Sengun, Mehmet Haluk | Invited Participant | University of Wisconsin |
| Seo, Soogil | Participant | Yonsei university |
| Shahriyari, Leili | Participant | Johns Hopkins University |
| Shin, Sug Woo | Invited Participant | Harvard University |
| Silverberg, Alice | Invited Participant | UC Irvine |
| Spencer, Mark | Participant | Springer |
| Stein, William Arthur | Speaker/ CLAY | University of Washington |
| Sun, Shenghao | Participant | UC Berkeley |
| Takloo-Bighash, Ramin | Invited Participant | UIC |
| Tan, Fucheng | Invited Participant | Massachusetts Institute of Technology |
| Tate, John | Participant | University of Texas |
| Taylor, Richard Lawrence | Organizer | Harvard University |
| Tornarí, Gonzalo | Participant | Facultad de Ciencias |
| Trifkovic, Mak | Participant | University of Victoria |
| Tsaknias, Panagiotis | Participant | University of Sheffield |
| Turkelli, Seyfi | Invited Participant | University of Wisconsin |
| Upton, Margaret | Participant | Texas A&M University |

| | | |
|----------------------------|---------------------|---|
| Urban, Eric | Speaker/ CLAY | Columbia University |
| Van Luijk, Ronald Martinus | Invited Participant | Warwick University |
| Van Order, Jeanine Marie | Invited Participant | University of Cambridge |
| Varilly, Anthony | Participant | UC Berkeley |
| Vega, Maria Valentina | Invited Participant | Texas A&M University |
| Vigneras, Marie-France | Speaker/ CLAY | Université de Paris 7 (Diderot) |
| Vincent, Christelle | Invited Participant | University of Wisconsin |
| Voight, John Michael | Invited Participant | University of Vermont |
| Walji, Nahid | Participant | California Institute of Technology |
| Weigandt, James Emmanuel | Participant | Purdue University |
| Weinert, Andreas Victor | Invited Participant | University of Edinburgh |
| Weinstein, Jared | Invited Participant | UCLA |
| Wong Kew, Rich | Participant | Presidential Postdoctoral Fellows and Research Scientists |
| Wood, Victoria Y. H. | Participant | UC Berkeley |
| Yazdani, Soroosh | Invited Participant | McMaster University |
| Yoo, Hwajong | Participant | UC Berkeley |
| Zhu, Hui June | Participant | State University of New York (SUNY) at Buffalo |

REPORT FOR MSRI WORKSHOP ON “LOW-DIMENSIONAL TOPOLOGY”

ORGANIZERS: ELISENDA GRIGSBY, ROB SCHNEIDERMAN,
PETER TEICHNER AND KEVIN WALKER

In recent years, low-dimensional topology and geometry has experienced a tremendous new impetus, owing in part to new-found interactions with a broad array of other mathematical areas. These recent developments have shed light on classical topics in low-dimensional topology, including 3-manifold topology, 4-manifold topology, and knot theory. Our workshop at MSRI focused on some of these developments, with special emphasis on following 3 interlocking themes:

4-dimensional manifolds

•

Lefschetz fibrations

•

Heegaard Floer Homology

•

The current vitality of low-dimensional topology was reflected in the enthusiastic turnout for this workshop, with about 200 registered participants, from institutions in 15 countries.

Each morning two lectures were given on the most exciting current research, whereas the afternoon lectures were given in a survey style, followed by panel discussions. These discussions on more focused topics were a successful attempt to let graduate students eavesdrop on a conversation among leading experts on topics that are not yet fully understood.

Some highlights of the results presented include: Cliff Taubes on Seiberg-Witten equations and dynamics of vector fields on 3-manifolds; Mike Freedman’s proposed construction of quantum characteristic classes; work of Vidussi and Friedl relating twisted Alexander polynomials, fibered 3-manifolds, and the Thurston norm; Anna Beliakova’s extension of Witten-Reshetikhin-Turaev invariants to rational homology 3-spheres; applications of sutured Floer homology to the uniqueness question for Seifert surfaces by Andras Juhasz; Slava Krushkal’s update on topological surgery in dimension 4; Cochran, Harvey, and Leidy’s refined applications of higher order Blanchfield duality to the n -solvable filtration of the classical knot concordance group; and several different approaches to the big picture via categorification given by Sergei Gukov, Dror Bar-Natan, Ko Honda, and Mikhail Khovanov.

The topics of the afternoon discussion panels, mirroring the most important developments in each area were as follows.

The first panel, led by Ron Stern, and including Ron Fintushel, Anar Akhmedov, Inanc Baykur, and Jongil Park, focused on “small” 4-manifolds. One fundamental challenge in 4-manifold topology is to find exotic smooth structures on simply-connected 4-manifolds, including the last remaining open case of the Poincaré

conjecture. The first major breakthrough on this problem in recent years was due to Donaldson who, in the mid-eighties, showed that there are exotic smooth structures on the simply-connected 4-manifold $X = \mathbb{C}P^2 \# 9\mathbb{C}P^2$. The second Betti number

1

of X is still quite large (10), and it is an important challenge to find exotic structures on 4-manifolds with smaller second Betti number. The case where $b_2 = 0$ is the smooth 4-dimensional Poincaré conjecture, the last remaining case of the generalized Poincaré conjecture and perhaps the most famous open problem in low-dimensional topology. By the end of the 80's, Donaldson's result was improved to $b_2 = 9$ but, despite dizzying progress in gauge theory and keen interest in the question, $b_2 = 9$ remained the best result until 2004, when the result was improved to $b_2 = 8$, then 7, and then 6. Very recently there have been claims laid to simply-connected examples with $b_2 = 5, 4$ and 3, as well as exotic structures on a non-simply-connected homology $S^2 \times S^2$ ($b_2 = 2$).

A broken Lefschetz fibration is a map from a smooth 4-manifold to S^2 whose only singularities are complex Morse singularities and indefinite fold singularities along circles. Such structures provide a new and promising viewpoint on the study of smooth oriented 4-manifolds. The survey talk of Denis Auroux presented recent existence results, wrinkled fibrations, and the conjectured relations between 3- and 4-manifold invariants and the Lagrangian matching invariants of Tim Perutz. Current avenues of research along these lines was elaborated upon during the panel discussion, which also included David Gay, Yanki Lekili, and Inanc Baykur.

Heegaard Floer homology is an invariant for knots, 3-manifolds, and 4-manifolds which was constructed with the aim of understanding smooth 4-manifold invariants. With the help of 4-dimensional techniques, it is shown that the resulting knot invariant (knot Floer homology) detects the genus of knots in 3-space, as well as the property of a knot of being fibered. It also gives new proofs of the Milnor conjecture (on the minimal genus of surfaces in the 4-ball bounding torus knots) and Donaldson's famous results concerning exotic structures on 4-manifolds. Although the original definition of Heegaard Floer homology is in terms of counts of certain holomorphic disks, we've known for the past year that there are combinatorial descriptions of many aspects of the theory, as well as new connections with contact topology of the 3-sphere.

Peter Ozsvath's afternoon survey talk on Heegaard Floer homology was followed by a series of "elevator statements" (short summaries of current work) given by active researchers in the field:

Andras Stipsicz, Renyi Institute, Budapest, "HF Contact invariant and applications"

Thomas Mark, University of Virginia, "Computing HF 4-manifold invariants"

Robert Lipshitz, Columbia University, "HF for 3-manifolds with parameterized boundary"

Matthew Hedden, MIT, "Applications of HF to Knot concordance questions"

Elisenda Grigsby/Stephan Wehrli, Columbia University, "Relationship to Khovanov homology"

Joan Licata, Stanford University, "HF link homology and the Thurston norm"

There was also a panel on the history of low dimensional topology, including Mike Freedman, Bob Edwards, and Ron Stern, lead by the afternoon speaker (and birthday boy) Rob Kirby, whose talk described the origins and development of the calculus of framed links, which has continued to play a workhorse role in many

2

3-and 4-dimensional constructions. This panel turned out to provide a valuable service to young researchers in the form of well-informed advice, and perspective on the varieties of mathematical experience.

Workshop Summary for Low Dimensional Topology

Held: August 11 to August 15, 2008

The Mathematical Sciences Research Institute

| Participant Information | |
|-------------------------|--|
| 209 participants | |

| Gender (n = 209 participants) | | |
|-------------------------------|--------|-----|
| Male | 79.43% | 166 |
| Female | 20.10% | 42 |
| Declined to state | 0.48% | 1 |

| Ethnicity (n = 186 participants) | | |
|----------------------------------|--------|-----|
| White | 61.72% | 129 |
| Asian | 13.88% | 29 |
| Hispanic | 3.35% | 7 |
| Pacific Islander | 0.96% | 2 |
| Black | 1.91% | 4 |
| Native American | 0.48% | 1 |
| Declined to state | 6.70% | 14 |

Low Dimensional Topology

Invited Speakers

| | |
|-----------------------|---------------------------------------|
| Auroux, Denis | Massachusetts Institute of Technology |
| Bar-Natan, Dror | University of Toronto |
| Beliakova, Anna | University of Zurich |
| Freedman, Michael H | Microsoft |
| Gukov, Sergei | UC Santa Barbara |
| Honda, Ko | University of Southern California |
| Juhasz, Andras | Princeton University |
| Khovanov, Mikhail | Columbia University |
| Krushkal, Slava | University of Virginia |
| Leidy, Constance | Wesleyan University |
| Ozsvath, Peter Steven | Columbia University |
| Stern, Ronald J. | UC Berkeley |
| Szabo, Zoltan I. | Princeton University |
| Taubes, Clifford | Harvard University |

Low Dimensional Topology Schedule

Monday August 11, 2008

| | | |
|-------------------|---------------------------|---|
| 09:30AM - 10:30AM | Michael Freedman | Towards quantum characteristic classes |
| 11:00AM - 12:00PM | Stefano Vidussi | Twisted Alexander polynomials and fibrations of 3-manifolds |
| 01:30PM - 02:30PM | Ronald Stern | 4-manifolds, big and small |
| 02:30PM - 03:00PM | Small 4-Manifold Panel | |
| 04:30PM - 09:00PM | Picnic at Codornices Park | |

Tuesday August 12, 2008

| | | |
|-------------------|--|--|
| 09:30AM - 10:30AM | Sergei Gukov | Knot Homologies via Instanton Counting |
| 11:00AM - 12:00PM | Dror Bar-Natan | Projectivization, W-Knots, Kashiwara-Vergne and Alekseev-Torossian |
| 01:30PM - 02:30PM | Denis Auroux | Broken Lefschetz fibrations on smooth 4-manifolds |
| 02:30PM - 03:00PM | Lefschetz Fibration Panel | |
| 06:00PM - 10:00PM | Pizza, Beer, Poker and Music in 1015 Evans | |

Wednesday August 13, 2008

| | | |
|-------------------|------------------|---|
| 09:30AM - 10:30AM | Ko Honda | Contact structures, Heegaard Floer homology and triangulated categories |
| 11:00AM - 12:00PM | Anna Beliakova | Unified Witten-Reshetikhin-Turaev invariants of rational homology 3-spheres |
| 01:30PM - 02:30PM | Robion Kirby | The origin of the calculus (not Newton's) |
| 02:30PM - 03:00PM | History Panel | |
| 08:00PM - 10:00PM | Rob Schneiderman | Anna's Jazz Island in Berkeley |

Thursday August 14, 2008

| | | |
|-------------------|--------------------------------|---|
| 09:30AM - 10:30AM | Mikhail Khovanov | Categorification of quantum groups |
| 11:00AM - 12:00PM | Andras Juhasz | Sutured Floer homology and Seifert surfaces |
| 01:30PM - 02:30PM | Peter Ozsvath | Heegaard Floer homology |
| 02:30PM - 03:00PM | Heegaard Floer Panel | |
| 06:30PM - 10:30PM | Banquet at International House | |

Friday August 15, 2008

| | | |
|-------------------|-----------------|---|
| 09:00AM - 10:00AM | Slava Krushkal | 4-manifolds and the A-B slice problem |
| 10:15AM - 11:15AM | Constance Leidy | Knot Concordance and Higher-Order Blanchfield Duality |
| 11:30AM - 12:30PM | Clifford Taubes | The Seiberg-Witten equations and dynamics of vector fields in dimension 3 |

Currently Available Videos

- **Michael Freedman** , Towards Quantum Characteristic Classes *August 11,2008, 09:30 AM to 10:30 AM*
- **Stefano Vidussi** , Twisted Alexander Polynomials and Fibrations of 3-manifolds *August 11,2008, 11:00 AM to 12:00 PM*
- **Ronald Stern** , 4-manifolds, Big and Small *August 11,2008, 01:30 PM to 02:30 PM*
- **Sergei Gukov** , Knot Homologies via Instanton Counting *August 12,2008, 09:30 AM to 10:40 AM*
- **Dror Bar-Natan** , Projectivization, W-Knots, Kashiwara-Vergne and Alekseev-Torossian *August 12,2008, 11:00 AM to 12:00 PM*
- **Denis Auroux** , Broken Lefschetz fibrations on smooth 4-manifolds *August 12,2008, 01:30 PM to 02:30 PM*
- **Ko Honda** , Contact structures, Heegaard Floer homology and triangulated categories *August 13,2008, 09:30 AM to 10:30 AM*
- **Anna Beliakova** , Unified Witten-Reshetikhin-Turaev invariants of rational homology 3-spheres *August 13,2008, 11:00 AM to 12:00 PM*
- **Robion Kirby** , The origin of the calculus (not Newton's) *August 13,2008, 01:30 PM to 02:30 PM*
- **Mikhail Khovanov** , Categorification of quantum groups *August 14,2008, 09:30 AM to 10:30 AM*
- **Andras Juhasz** , Sutured Floer homology and Seifert surfaces *August 14,2008, 11:00 AM to 12:00 PM*
- **Peter Ozsvath** , Heegaard Floer homology *August 14,2008, 01:30 PM to 02:30 PM*
- **Slava Krushkal** , 4-manifolds and the A-B slice problem *August 15,2008, 09:00 AM to 10:00 AM*
- **Constance Leidy** , Knot Concordance and Higher-Order Blanchfield Duality *August 15,2008, 10:15 AM to 11:15 AM*
- **Clifford Taubes** , The Seiberg-Witten equations and dynamics of vector fields in dimension 3 *August 15,2008, 11:30 AM to 12:30 PM*

Participant List

MSRI Workshop:

Low Dimensional Topology August 11 to August 15, 2008

at Mathematical Sciences Research Institute, Berkeley California

| Full Name | Role | Primary Institute |
|-------------------------|---------------------|--|
| Adeboye, Ilesanmi | Participant | University of Southern California |
| Agol, Ian | Participant | UC Berkeley |
| Akhmedov, Anar | Participant | Georgia Tech |
| Armond, Cody | Invited Participant | Louisiana State University |
| Atkinson, Christopher | Participant | University of Illinois at Chicago |
| Auroux, Denis | Speaker | Massachusetts Institute of Technology |
| Baker, Kenneth Lee | Participant | University of Miami |
| Baldwin, John | Invited Participant | Princeton University |
| Bar-Natan, Dror | Speaker | University of Toronto |
| Barrera, Carlos | Participant | UC Davis |
| Bauer, Stefan | Participant | Universität Bielefeld |
| Baykur, Refik Inanc | Participant | Columbia University |
| Beliakova, Anna | Speaker | University of Zurich |
| Berke, Allison | Participant | Berkeley/UCSF Joint Graduate Group in Bioengineering |
| Bigelow, Stephen | Participant | UC Santa Barbara |
| Biyogmam, Guy Roger | Invited Participant | New Mexico State University |
| Bloom, Jonathan | Invited Participant | Columbia University |
| Boden, Hans U. | Participant | McMaster University |
| Bodenham, Dean | Invited Participant | University of Cape Town |
| Boerner, Jeffrey | Invited Participant | University of Iowa |
| Bowden, Jonathan | Invited Participant | Ludwig-Maximilians-University |
| Bragazzi, Nicola Luigi | Invited Participant | University of Genoa |
| Brown, Tova | Participant | Massachusetts Institute of Technology |
| Buck, Dorothy | Participant | Imperial College London |
| Burke, John R | Invited Participant | Wesleyan University |
| Cai, Xuanting | Invited Participant | Louisiana State University |
| Celik Onaran, Sinem | Invited Participant | Middle East Technical University |
| Cha, Jae Choon | Participant | POSTECH |
| Cho, Hyunjoo | Invited Participant | University of Rochester |
| Clark, David Allan | Invited Participant | UC San Diego |
| Cochran, Tim | Participant | Rice University |
| Cohen, Moshe | Invited Participant | Louisiana State University |
| Conant, James | Participant | UC San Diego |
| Cornwell, Christopher | Invited Participant | Michigan State University |
| Dasbach, Oliver | Participant | Louisiana State University |
| Davie, Emille Kennae | Note Taker | UC Santa Barbara |
| Deng, Wei | Invited Participant | Washington University in St. Louis |
| Doria, Celso Melchiades | Participant | Michigan State University |
| Dreyer, Renaud | Participant | Apple Inc. |
| Dunfield, Nathan M | Participant | University of Illinois |
| Early, Nicholas Joseph | Invited Participant | Louisiana State University |
| Edmonds, Allan | Participant | Indiana University |
| Edwards, Robert | Participant | UCLA |
| Elliott, Andrew | Invited Participant | Rice University |
| Etgu, Tolga | Participant | Koc University |
| Etienne, Gallais | Invited Participant | Laboratoire de Mathématiques Jean Leray |
| Etnyre, John | Participant | Georgia Institute of Technology |
| Fel'shtyn, Alexander | Participant | University of Szczecin and Boise State University |
| Fintushel, Ronald A. | Participant | Michigan State University |
| Freedman, Michael H | Speaker | Microsoft |
| Friedl, Stefan | Invited Participant | university of Warwick |
| Frohman, Charles | Participant | University of Iowa |
| Fuller, Terry | Participant | California State University, Northridge |
| Gay, David Thomas | Participant | University of Cape Town |

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|-----------------------------------|---------------------|---------------------------------------|
| Ghiggini, Paolo | Participant | California Technical Institute |
| Gilmer, Patrick Meriwether | Participant | Louisiana State University |
| Gilmore, Allison | Invited Participant | Columbia University |
| Gordon, Cameron M. | Participant | University of Texas at Austin |
| Gospodinov, Georgi Donev | Participant | Olin College of Engineering |
| Grigsby, Eli | Organizer | Columbia University |
| Gukov, Sergei | Speaker | UC Santa Barbara |
| Guntel, Brandy | Invited Participant | University of Texas at Austin |
| Habegger, Nathan | Participant | Université de Nantes |
| Hambleton, Ian | Participant | McMaster University |
| Harvey, Shelly | Participant | Rice University |
| Hass, Joel | Participant | UC Davis |
| Haydys, Andriy | Participant | Universitaet Bielefeld |
| Hays, Chris | Invited Participant | Michigan State University |
| Heck, Prudence | Invited Participant | Indiana University |
| Hedden, Matthew Edward | Participant | Massachusetts Institute of Technology |
| Hempel, John P. | Participant | Rice University |
| Henrich, Allison | Invited Participant | Dartmouth College |
| Herald, Christopher | Participant | University of Nevada, Reno |
| Honda, Ko | Speaker | University of Southern California |
| Horn, Peter D | Invited Participant | Rice University |
| Huan, Zhen | Participant | Indiana University |
| Hutchings, Michael L. | Participant | UC Berkeley |
| Jabuka, Stanislav | Participant | University of Nevada, Reno |
| Johnson, Jesse | Participant | Yale University |
| Jubin, Benoit | Participant | UC Berkeley |
| Juhasz, Andras | Speaker | Princeton University |
| Kaestner, Aaron | Invited Participant | University of Illinois at Chicago |
| Kalfagianni, Efstratia | Participant | Michigan State University |
| Kalman, Tamas | Participant | University of Tokyo |
| Kania-Bartoszynska, Joanna | Participant | National Science Foundation |
| Kapovich, Michael | Participant | UC Berkeley |
| Kawamuro, Keiko | Participant | Rice University |
| Kearney, Mary Katherine | Invited Participant | Indiana University |
| Kerckhoff, Steven | Participant | Stanford University |
| Khovanov, Mikhail | Speaker | Columbia University |
| Kim, Hee Jung | Participant | Louisiana State University |
| Kim, Se-Goo | Participant | Kyung Hee University |
| Kim, Taehee | Participant | Konkuk University |
| Kirby, Robion C. | Participant | UC Berkeley |
| Kitayama, Takahiro | Invited Participant | the University of Tokyo |
| Knapp, Adam | Invited Participant | Michigan State University |
| Kojima, Sadayoshi | Participant | Tokyo Institute of Technology |
| Kotschick, Dieter | Participant | LMU University of Munich |
| Krasner, Daniel | Invited Participant | Columbia University |
| Kruglov, Volodymyr Volodymyrovich | Participant | Institute for low temperature physics |
| Krushkal, Slava | Speaker | University of Virginia |
| Kuno, Yusuke | Invited Participant | University of Tokyo |
| Kuperberg, Greg | Participant | UC Davis |
| Kutluhan, Cagatay | Invited Participant | University of Michigan |
| LaFountain, Doug | Invited Participant | SUNY Buffalo |
| Lawson, Terry Curtis | Participant | Tulane University |
| Leidy, Constance | Speaker | Wesleyan University |
| Lekili, Yanki | Invited Participant | Massachusetts Institute of Technology |
| Levine, Adam Simon | Invited Participant | Columbia University |
| Licata, Joan Elizabeth | Participant | Stanford University |
| Lipshitz, Robert | Participant | Columbia University |
| Liu, Yi | Participant | UC Berkeley |
| Livingston, Charles | Participant | Indiana University |
| Lowrance, Adam | Invited Participant | Louisiana State University |
| Macasieb, Melissa L | Participant | University of British Columbia |

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|------------------------------|---------------------|--|
| Maher, Joseph M | Participant | Oklahoma State University |
| Maksymenko, Sergey Ivanovich | Participant | Institute of Mathematics of NAS of Ukraine |
| Manjarrez-Gutierrez, Fabiola | Invited Participant | UC Davis |
| Manolescu, Ciprian | Participant | UC Berkeley |
| Mark, Thomas | Participant | University of Virginia |
| Martinez-Pedroza, Eduardo | Participant | University of Oklahoma |
| Matic, Gordana | Participant | University of Georgia |
| Mattman, Thomas | Participant | California State University Chico |
| McCarty, Ben | Invited Participant | Louisiana State University |
| McDougall, Adam Corey | Invited Participant | University of Iowa |
| McGibbon, Jason | Invited Participant | University of Massachusetts Amherst |
| Medetogullari, Elif | Invited Participant | Columbia University |
| Meier, Fabian | Invited Participant | Universität Bielefeld |
| Melvin, Paul M. | Participant | Bryn Mawr College |
| Meyers, Anna Lynn | Invited Participant | University of Iowa |
| Modami, Babak | Invited Participant | Yale University |
| Molle, Heather Michelle | Invited Participant | University of Iowa |
| Moore, Marion | Invited Participant | UC Davis |
| Morrison, Scott | Participant | UC Santa Barbara |
| Moss, Jason Edward | Invited Participant | Florida State University |
| Naik, Swatee | Participant | University of Nevada, Reno |
| Nakamura, Kei | Invited Participant | UC Davis |
| Neumann, Walter | Participant | Columbia University |
| Ng, Lenny | Participant | Duke University |
| Nogueira, João Miguel | Invited Participant | University of Texas at Austin |
| Obi, Chibueze Nonso | Participant | Gambian Mathematics Society |
| O'Donnol, Danielle | Invited Participant | Rice University |
| Ouyang, Chung-Hsing | Participant | California State University, East Bay |
| Ozbagci, Burak | Participant | Koc University |
| Ozsvath, Peter Steven | Speaker | Columbia University |
| Park, B. Doug | Participant | University of Waterloo |
| Park, Jongil | Participant | Seoul National University |
| Pelayo, Alvaro | Participant | UC Berkeley |
| PERIANAN, THANGAVELU | Participant | Aditanar College |
| Perutz, Tim | Participant | Columbia University |
| Peters, Thomas David | Invited Participant | Columbia University |
| Petersen, Kathleen L | Participant | Queen's University |
| Petit, Jerome | Participant | Tokyo Institute of Technology |
| Petkova, Tsvetelina | Invited Participant | Columbia University |
| Pfeiffer, Hendryk | Participant | The University of British Columbia |
| Radosevich, Mark | Invited Participant | Brandeis University |
| Rathbun, Matthew | Invited Participant | UC Davis |
| Rezazadegan, Reza | Invited Participant | Rutgers University |
| Roberts, Justin | Participant | UC San Diego |
| Roberts, Lawrence Pierce | Participant | Michigan State University |
| Ruberman, Daniel | Participant | Brandeis University |
| Russell, Heather Michelle | Invited Participant | University of Iowa |
| Saito, Toshio | Participant | Nara Women's University |
| Sazdanovic, Radmila Tomislav | Invited Participant | The George Washington University |
| Scharlemann, Martin G. | Participant | UC Berkeley |
| Schleimer, Saul | Participant | University of Warwick |
| Schneiderman, Rob | Organizer | Max Planck Institute for Mathematics |
| Schultens, Jennifer | Participant | UC Davis |
| Seidel, Paul Alfred | Participant | Massachusetts Institute of Technology |
| Shirokova, Nadya | Participant | Stanford University |
| Sibley, Ben | Invited Participant | University of Maryland College Park |
| Spiska, Stefan | Participant | Universität Bielefeld |
| Stern, Ronald J. | Speaker | UC Berkeley |
| Stipsicz, András I. | Participant | Renyi Institute of Mathematics |
| Suarez Serrato, Pablo | Participant | LMU University of Munich |
| Sunukjian, Nathan Sarkis | Invited Participant | Michigan State University |

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|--------------------------|---------------------|---|
| Symington, Margaret Fife | Participant | Mercer University |
| Szabo, Zoltan I. | Speaker | Princeton University |
| Tange, Motoo | Participant | Kyoto University |
| Taubes, Clifford | Speaker | Harvard University |
| Teichner, Peter | Organizer | UC Berkeley |
| Thurston, Dylan | Participant | Barnard College |
| Todd, Robert G. | Participant | University of Nebraska Omaha |
| Torres, Rafael | Invited Participant | Max Planck Institute for Mathematics |
| Tosun, Bulent | Invited Participant | Middle East Technical University |
| Upadhyay, Ashish Kumar | Participant | Birla Institute of Technology and Science, Pilani |
| Usher, Michael J | Participant | University of Georgia |
| Van Cott, Cornelia Anna | Participant | Indiana University |
| Van Horn-Morris, Jeremy | Participant | Universite du Quebec a Montreal |
| Vertesi, Vera | Invited Participant | Alfred Renyi Institute of Mathematics |
| Vidussi, Stefano | Invited Participant | Kansas State University |
| Walker, Kevin M | Organizer | Microsoft |
| Wallace, Steven D. | Invited Participant | Louisiana State University |
| Wang, Jiajun | Participant | California Technical Institute |
| Watson, Liam Thomas | Invited Participant | Univertsité du Québec à Montréal |
| Wehrli, Stephan Martin | Participant | Université de Paris VII (Denis Diderot) |
| Williams, Jonathan | Invited Participant | University of Texas-Austin |
| Williams, Michael John | Participant | UC Santa Barbara |
| Wong Kew, Rich | Participant | Presidential Postdoctoral Fellows and Research Scientists |
| Wong, Helen | Participant | Bowdoin College |
| Wu, Enxin | Invited Participant | University of Western Ontario |
| Yamada, Yuichi | Participant | University of Tokyo |
| Yilmaz, Elif | Invited Participant | Columbia University |
| Yun, Ki-Heon | Participant | Sungshin Women's University |
| Zarev, Rumen | Invited Participant | Columbia University |
| Zentner, Raphael | Participant | Universitaet Bielefeld |
| Zhang, Gengyu | Invited Participant | Tokyo Institute of Technology |
| Zhou, Junjie | Participant | UC Berkeley |

Broader Connections Ergodic Theory and Additive Combinatorics
Held at the MSRI, August 21- 29, 2008

Organized By: Ben Green (University of Cambridge), Bryna Kra (Northwestern University), Emmanuel Lesigne (University of Tours), Anthony Quas (University of Victoria), Mate Wierdl (University of Memphis)

The Broader Connections workshop was held August 21–22 2008 to serve as a pre-introduction to the semester's program on Ergodic Theory and Additive Combinatorics. It was immediately followed by the program's first main workshop: An Introduction to Ergodic Theory and Additive Combinatorics (August 25–29 2008).

The goal of the workshop was to set the stage for the remainder of the program, introducing all participants to the basics. Since the program is aimed at researchers coming from a number of different areas (harmonic analysis, combinatorics and ergodic theory), a key aim of the meeting was to establish a common framework for discussion. As the title of the meeting indicates (“Broader Connections” as opposed to the more traditional “Connections for Women”), the workshop was aimed broadly at graduate students and postdocs in the areas of the workshop and closely related research areas as well as more senior women faculty members in nearby research areas. As in other Connections workshops, an important aspect was providing a format that would encourage the formation of connections between researchers, especially female researchers.

The formal part of the workshop consisted of four two-lecture series given by Vitaly Bergelson of the Ohio State University, Izabella aba of the University of British Columbia, Akshay Venkatesh of Stanford University and Tamar Ziegler of the Technion in Haifa, Israel. Of these, Bergelson and Ziegler were coming from an ergodic perspective while aba's work is in harmonic analysis and Venkatesh works on number theory. Each lecturer gave a one-hour lecture on each of the days.

Bergelson's lectures focused on the philosophy of ergodic Ramsey theory: sufficiently rich combinatorial structures have a tendency to be “unbreakable” in the sense that if the structure is finitely partitioned, one of the pieces inherits large scale pieces of the original structure. aba's lectures gave a detailed introduction to the application of harmonic analysis in the subject, focusing on Roth's theorem (one of the early results in additive combinatorics, stating that every sufficiently dense subset of the integers contains three-term arithmetic progressions) and its generalizations. Venkatesh lectured on group actions on homogeneous spaces and illustrated the theory with a discussion of Pell's equation and counting of lattice structures. Ziegler's lectures were on the role of weak-mixing in nonconventional ergodic averages (the ergodic tools underlying the Furstenberg proof of Szemerédi's theorem on arithmetic progressions in dense sets of integers) and the characteristic factors that govern the behavior of these averages.

On the Thursday afternoon, the workshop featured an informal panel discussion entitled “Three things I wish I had known”. This was aimed at younger women mathematicians, and students and postdocs more generally. The format for the discussion was that the four panelists introduced themselves and shared their thoughts on things they had learned. The floor was then opened for questions. The panel was moderated by H el ene Barcelo of MSRI and the panelists were Tanya Christensen of the University of Missouri (a member of the Analysis of Singular Spaces program), Bryna Kra of Northwestern University, Malabika Pramanik of the University of British Columbia and Ay se  ahin of DePaul University. During the discussion, there was a range of interesting questions from the floor covering issues such as collaboration with senior colleagues; broadening one's research interests; how to pick good research questions. On Thursday evening all the women participants at the conference were invited to dinner at a local Chinese restaurant, and 16 people attended.

Overall the workshop was highly successful. The lectures were very well attended with approximately 60(?) people present. Many people commented to the organizers that the quality of exposition was very high.

Workshop Summary for Broader Connections: Ergodic Theory and Additive Combinatorics

Held: August 21 to August 22, 2008

The Mathematical Sciences Research Institute

| | | |
|--------------------------------|--|--|
| Participant Information | | |
| 81 participants | | |

| | | |
|-------------------------------------|--------|----|
| Gender (n = 81 participants) | | |
| Male | 71.60% | 58 |
| Female | 28.40% | 23 |
| Declined to state | 0.00% | 0 |

| | | |
|--|--------|----|
| Ethnicity (n = 66 participants) | | |
| White | 46.91% | 38 |
| Asian | 20.99% | 17 |
| Hispanic | 1.23% | 1 |
| Pacific Islander | 1.23% | 1 |
| Black | 0.00% | 0 |
| Native American | 1.23% | 1 |
| Declined to state | 9.88% | 8 |

Broader Connections: Ergodic Theory and Additive Combinatorics

Invited Speakers

| | |
|-------------------|--|
| Bergelson, Vitaly | The Ohio State University |
| Laba, Izabella | University of British Columbia |
| Venkatesh, Akshay | New York University, Courant Institute |
| Ziegler, Tamar D | Technion |

Broader Connections: Ergodic Theory and Additive Combinatorics Schedule

Thursday August 21, 2008

| | | |
|-------------------|---|---|
| 09AM - 10:30AM | Vitaly Bergelson | An Introduction to Ideas and Methods of Ergodic Ramsey Theory |
| 10:30AM - 11:00AM | Coffee/Tea | |
| 11:00AM - 12:00PM | Izabella Laba | Harmonic Analysis and Additive Combinatorics |
| 12:00PM - 02:00PM | Lunch | |
| 02:00PM - 03:00PM | Tamar Ziegler | An introduction to characteristic factors in ergodic theory |
| 03:00PM - 03:30PM | Coffee/Tea | |
| 03:30PM - 04:30PM | Akshay Venkatesh | An introduction to homogeneous dynamics |
| 04:30PM - 05:30PM | Panel discussion: Three things I wish I had known | |

Friday August 22, 2008

| | | |
|-------------------|------------------|---|
| 09:30AM - 10:30AM | Vitaly Bergelson | An Introduction to Ideas and Methods of Ergodic Ramsey Theory |
| 10:30AM - 11:00AM | Coffee/Tea | |
| 11:00AM - 12:00PM | Izabella Laba | Harmonic Analysis and Additive Combinatorics |
| 12:00PM - 02:00PM | Lunch | |
| 02:00PM - 03:00PM | Tamar Ziegler | An introduction to characteristic factors in ergodic theory |
| 03:00PM - 04:00PM | Coffee/Tea | |
| 04:00PM - 05:00PM | Akshay Venkatesh | An introduction to homogeneous dynamics |

Currently Available Videos

- **Vitaly Bergelson** , An Introduction to Ideas and Methods of Ergodic Ramsey Theory *August 21,2008, 09:30 AM to 10:30 AM*
- **Izabella Laba** , Harmonic Analysis and Additive Combinatorics *August 21,2008, 11:00 AM to 12:00 PM*
- **Tamar Ziegler** , An introduction to characteristic factors in ergodic theory *August 21,2008, 02:00 PM to 03:00 PM*
- **Akshay Venkatesh** , An introduction to homogeneous dynamics *August 21,2008, 03:30 PM to 04:30 PM*
- **Vitaly Bergelson** , An Introduction to Ideas and Methods of Ergodic Ramsey Theory *August 22,2008, 09:30 AM to 10:30 AM*
- **Izabella Laba** , Harmonic Analysis and Additive Combinatorics *August 22,2008, 11:00 AM to 12:00 PM*
- **Tamar Ziegler** , An introduction to characteristic factors in ergodic theory *August 22,2008, 02:00 PM to 03:00 PM*
- **Akshay Venkatesh** , An introduction to homogeneous dynamics *August 22,2008, 03:30 PM to 04:30 PM*

Participant List

MSRI Workshop:

Broader Connections: Ergodic Theory and Additive Combinatorics

August 21 to August 22, 2008

at Mathematical Sciences Research Institute, Berkeley California

| Full Name | Role | Primary Institute |
|--------------------------|---------------------|---------------------------------------|
| Ahmadi Dastjerdi, Dawood | Participant | University of Guilan |
| Allahbakhshi, Mahnaz | Invited Participant | University of Victoria |
| Beiglböck, Mathias | Participant | Technische Universität Wien |
| Bergelson, Vitaly | Speaker | Ohio State University |
| Borenstein, Evan Scot | Participant | Georgia Tech |
| Breuillard, Emmanue F. | Invited Participant | Ecole Polytechnique |
| Campbell, James T. | Participant | University of Memphis |
| Chan, Siu On | Participant | UC Berkeley |
| Chen, Kuo-Chang | Participant | National Tsing Hua University |
| Cheng, Yuan-You | Participant | Wakeforest University |
| Chu, Qing | Invited Participant | Universite Paris-Est Marne-La-Vallee |
| cook, brian | Participant | University of Georgia |
| Eisner, Tanja | Participant | University of Tuebingen, Germany |
| El Houcein, El Abdalaoui | Participant | LMRS, Univ. Rouen |
| Feldman, Jacob | Participant | UC Berkeley |
| Foreman, Matthew | Participant | UC Irvine |
| Green, Ben Joseph | Organizer | University of Cambridge |
| Hayes, Benjamin Richard | Participant | University of Washington |
| Hochman, Michael Zvi | Participant | Princeton University |
| Holtz, Olga | Participant | UC Berkeley |
| Homma, Kosuke | Participant | University of Texas at Austin |
| Hosseini, Maryam | Participant | University of Guilan |
| Hough, Robert | Participant | Stanford University |
| Hulgan, Jonathan Darren | Participant | University of Memphis |
| Ismael, BACHY | Participant | Cornell Univeristy |
| Jean-Francois, BERTAZZON | Participant | IML |
| Jones, Nathan C | Participant | Universite de Montreal |
| Kim, Meh-Lit | Participant | not available |
| Kleinman, Aaron | Participant | UC Berkeley |
| Kra, Bryna | Organizer | Northwestern University |
| kukshrestha, manjusha s | Participant | Anand agricultural university |
| Laba, Izabella | Speaker | University of British Columbia |
| LaVictoire, Patrick | Participant | UC Berkeley |
| Le, Thai Hoang | Invited Participant | UCLA |
| Lesigne, Emmanuel | Organizer | Université François Rabelais |
| Levin, Alexander | Participant | Massachusetts Institute of Technology |
| Li, Tianhong | Invited Participant | Chinese Academy of Sciences |
| Lim, Seonhee | Invited Participant | Cornell Univeristy |
| Long, Eoin Patrick | Participant | Cambridge University |
| Lovett, Shachar | Invited Participant | Wiemann Institute |
| Lyll, Neil | Participant | University of Georgia |
| Mathe, Andras | Participant | Eotvos University |
| Matthiesen, Lilian | Invited Participant | University of Cambridge |
| McClendon, David Matthew | Participant | Northwestern University |
| Neale, Vicky | Invited Participant | University of Cambridge |
| Nedev, Zhivko | Participant | University of Victoria |
| Oh, Hee | Participant | California Institute of Technology |
| Pete, Gabor | Participant | University of Toronto |
| Potgieter, Paul | Participant | not available |
| Potts, Amanda | Notetaker | Northwestern University |
| Pramanik, Malabika | Invited Participant | University of British Columbia |
| Prasad, Vidhu S. | Participant | University of Massachusetts Lowell |
| Prendiville, Sean | Invited Participant | University of Bristol |
| Quas, Anthony | Organizer | University of Victoria |

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|-------------------------|---------------------|---|
| Ralston, David | Invited Participant | Rice University |
| Reimann, Jan | Participant | UC Berkeley |
| Reyes, Edgar | Participant | Southeastern Louisiana University |
| Rypdal, Martin Wibe | Participant | University of Tromsø |
| Sahin, Ayse Arzu | Invited Participant | DePaul University |
| Senger, Steven Michael | Participant | University of Missouri |
| Shparlinski, Igor | Participant | Macquarie University |
| Simsek, Sevim | Participant | Isik University |
| Skau, Christian Fredrik | Participant | Norwegian University of Science & Technology(NTNU) |
| Smorodinsky, Meir | Participant | Tel-Aviv University |
| So, Wasin | Participant | San Jose State University |
| Son, Younghwan | Participant | Ohio State University |
| Spencer, Craig Valere | Participant | Institute for Advanced Study |
| Stovall, Betsy | Participant | UC Berkeley |
| Towsner, Henry Piers | Participant | Carnegie Mellon University |
| Venkatesh, Akshay | Speaker | New York University |
| Vishe, Pankaj Hemant | Participant | New York University |
| Wallwater, Aya | Invited Participant | Technion |
| Wang, Shouhong | Participant | Indiana University |
| Wang, Zhiren | Participant | Princeton University |
| Wierdl, Mate | Organizer | University of Memphis |
| Wong Kew, Rich | Participant | Presidential Postdoctoral Fellows and Research Scientists |
| Yamazaki, Kazuo | Participant | Stanford University |
| Zheng, Bowei | Participant | UC Berkeley |
| Ziegler, Tamar D | Speaker | Technion |
| Zywina, David | Participant | UC Berkeley |

Introduction to Ergodic Theory and Additive Combinatorics
Held at the MSRI, August 21- 29, 2008

Organized By: Ben Green (University of Cambridge), Bryna Kra (Northwestern University), Emmanuel Lesigne (University of Tours), Anthony Quas (University of Victoria), Mate Wierdl (University of Memphis)

This workshop was organized, in connection with the workshop "Broader Connections: Ergodic Theory and Additive Combinatorics" (held the previous week), as an introduction to the semester long research program of the same name. It was designed to be particularly useful to the most junior members of the workshop and semester program.

The aim of the research program "Ergodic Theory and Additive Combinatorics" is to bring together people of different areas of mathematics that have recently enjoyed substantial interaction. These areas include: Additive Combinatorics, Harmonic Analysis, and Ergodic Theory. The main focus of the workshop was mathematical questions on which these three different areas are effective, and related themes were presented from all perspectives. As was noted by many different speakers, the celebrated theorem of Szemerédi (on the existence of arbitrarily long arithmetic progressions in any positive density subset of integers) is the archetype of the richness of this confrontation-collaboration. Terence Tao speaks of Szemerédi's Theorem as "the Rosetta stone between ergodic theory, combinatorics, and Fourier analysis". Reading three different languages discussing the same problem is extremely instructive and gives insight for solving new problems.

Techniques from Harmonic Analysis, Combinatorial Number Theory, and Ergodic Theory have been successively and successfully utilized to prove Szemerédi's theorem and many of its generalizations and improvements: quantitative results; generalization to other types of progressions (such as "polynomial progressions"); sets of zero density (such as the Green-Tao theorem on long arithmetic progressions in prime numbers); higher dimensional results; study of structures other than the integers (such as graphs). Each of these topics was the subject of lectures during the workshop, at a level which allowed newcomers to the fields to understand the basics.

The workshop was built around four mini-courses (unfortunately Hillel Furstenberg, who was the originator of the ergodic theory view-point in this field, was unable to attend due to health reasons). Mini-courses were given by Bernard Host, Ben Green, and Terence Tao. They gave outstanding talks, going from carefully explained basic facts to recent results, with significant information on the proofs. Bernard Host described the appearance and role of "translations on compact quotients of nilpotent Lie groups" in this field; Ben Green gave a comprehensive and fascinating outlook on the proof of Green-Tao theorem; Terence Tao illustrated several different examples of the bridges between "finitary quantitative" and "abstract qualitative" results on existence of configurations, graph properties, and convergence theorems.

Eight more classical research talks were given by specialists of combinatorial number theory (Endre Szemerédi himself), ergodic theory (Nikos Frantzikinakis, Thierry de la Rue, Vitaly Bergelson, Tamar Ziegler and Benjamin Weiss), Fourier analysis (Izabella Laba, Tom Sanders). Moreover, during two Problem Sessions, ten participants (including two post-docs) took the opportunity to ask open challenging questions.

The total number of registered participants was 109, from 12 different countries, including an important proportion of young mathematicians (male and female), PhD students or post-docs. Since most of the talks were really accessible, we believe that most participants benefited from their participation.

The excellent atmosphere, comfort, and organization of MSRI were of great importance in the success of this meeting. The schedule of the workshop and the building itself gave numerous opportunities for informal encounters and discussions.

SOME MORE DETAILS ON THE CONTENT.

The schedule of the workshop and the abstracts of the talks are accessible on line http://www.msri.org/calendar/workshops/WorkshopInfo/439/show_workshop

Video tapes of the talks were made by the MSRI staff and should be accessible.

We already gave a quick description of the content of mini-courses by Bernard Host, Ben Green and Terence Tao. Complementing these course, new mathematical results related to the background material were announced during the workshop. Endre Szemerédi announced the solution of an Erdős conjecture on sumsets of "not too thin" sets of integers. Nikos Frantzikinakis stated theorems of multiple recurrence along sequences produced by functions from an arbitrary Hardy field. Izabella Laba gave conditions on the fractional dimension of a set of real numbers which guarantee that this set contains an arithmetic progression of length three. Thierry de la Rue gave precise calculations of the rate of exponential growth for random Fibonacci sequences. Vitaly Bergelson presented multiple recurrence theorems for measure preserving actions of general amenable groups, and asked several challenging questions around amenable actions. Tamar Ziegler announced new results on the inverse problems for "ergodic Gowers-Host-Kra seminorms" in the context of products of finite fields actions.

FUNDING GUIDELINES : The budget accorded to the workshop allowed us to support 25 participants with per diem support, and a travel support for one speaker. The complete funding information is included

Workshop Summary for Introduction to Ergodic Theory and Additive Combinatorics

Held: August 25 to August 29, 2008

The Mathematical Sciences Research Institute

| Participant Information | |
|--------------------------------|--|
| 112 participants | |

| Gender (n = 112 participants) | | |
|--------------------------------------|--------|----|
| Male | 78.57% | 88 |
| Female | 21.43% | 24 |
| Declined to state | 0.00% | 0 |

| Ethnicity (n = 90 participants) | | |
|--|--------|----|
| White | 43.75% | 49 |
| Asian | 22.32% | 25 |
| Hispanic | 1.79% | 2 |
| Pacific Islander | 0.89% | 1 |
| Black | 0.00% | 0 |
| Native American | 0.89% | 1 |
| Declined to state | 10.71% | 12 |

Introduction to Ergodic Theory and Additive Combinatorics

Invited Speakers

| | |
|-----------------------|--------------------------------|
| Bergelson, Vitaly | The Ohio State University |
| de la Rue, Thierry | LMR |
| Frantzikinakis, Nikos | University of Memphis |
| Furstenberg, Hillel | Hebrew University |
| Host, Bernard | Université de Marne-la-Vallée |
| Laba, Izabella | University of British Columbia |
| Tao, Terence | UC Berkeley |
| Weiss, Benjamin | Hebrew University |
| Ziegler, Tamar D | Technion |

Introduction to Ergodic Theory and Additive Combinatorics Schedule

Monday August 25, 2008

| | | |
|-------------------|-------------------------|--------------------------------------|
| 09:00AM - 09:30AM | coffee and registration | |
| 09:30AM - 10:30AM | Bernard Host | Nilmanifolds in ergodic theory, I |
| 10:30AM - 11:10AM | morning break | |
| 11:10AM - 12:00PM | Endre Szemerédi | On the sumsets of infinite sequences |
| 12:00PM - 02:00PM | lunch | |
| 02:00PM - 03:00PM | Bernard Host | Nilmanifolds in ergodic theory, II |
| 03:00PM - 04:10PM | afternoon tea | |
| 04:10PM - 05:00PM | Nikos Frantzikinakis | Szemerédi's theorem and Hardy fields |

Tuesday August 26, 2008

| | | |
|-------------------|-------------------|---|
| 09:30AM - 10:30AM | Vitaly Bergelson | An Introduction to Diophantine Dynamics |
| 10:30AM - 11:00AM | morning break | |
| 11:00AM - 12:00PM | Bernard Host | Nilmanifolds in ergodic theory, III |
| 12:00PM - 02:00PM | lunch | |
| 02:00PM - 02:50PM | Izabella Laba | Arithmetic progressions in sets of fractional dimension |
| 03:00PM - 04:10PM | afternoon tea | |
| 04:10PM - 05:00PM | Thierry de la Rue | Random Fibonacci sequences |

Wednesday August 27, 2008

| | | |
|-------------------|------------------|---|
| 09:30AM - 10:30AM | Ben Green | Progressions in primes, I |
| 10:30AM - 11:00AM | morning break | |
| 11:00AM - 12:00PM | Vitaly Bergelson | Ergodic Ramsey theory and amenable groups |

Thursday August 28, 2008

| | | |
|-------------------|-----------------|--|
| 09:30AM - 10:30AM | Terence Tao | Correspondence principle and finitary ergodic theory, I |
| 10:30AM - 11:00AM | morning break | |
| 11:00AM - 12:00PM | Ben Green | Progressions in primes, II |
| 12:00PM - 02:00PM | lunch | |
| 02:00PM - 03:00PM | Terence Tao | Correspondence principle and finitary ergodic theory, II |
| 03:00PM - 03:30PM | afternoon tea | |
| 03:30PM - 04:00PM | Problem session | |
| 04:10PM - 05:00PM | Tom Sanders | Roth's theorem in additive combinatorics |

Friday August 29, 2008

| | | |
|-------------------|---------------|---|
| 09:30AM - 10:30AM | Ben Green | Progressions in primes, III |
| 10:30AM - 11:00AM | morning break | |
| 11:00AM - 12:00PM | Terence Tao | Correspondence principle and finitary ergodic theory, III |

| | | |
|-------------------|-----------------|---|
| 12:00PM - 02:00PM | lunch | |
| 02:00PM - 02:50PM | Tamar Ziegler | An inverse theorem for the uniformity seminorms associated with the action of \mathbb{F}_p^∞ . |
| 02:50PM - 03:30PM | afternoon tea | |
| 03:30PM - 04:00PM | Problem session | |
| 04:10PM - 05:00PM | Benjamin Weiss | Connections between Ramsey theory for trees and Markov processes |

Currently Available Videos

- **Bernard Host** , Nilmanifolds in ergodic theory, I *August 25,2008, 09:30 AM to 10:30 AM*
- **Endre Szemerédi** , On the sumsets of infinite sequences *August 25,2008, 11:10 AM to 12:00 PM*
- **Bernard Host** , Nilmanifolds in ergodic theory, II *August 25,2008, 02:00 PM to 03:00 PM*
- **Nikos Frantzikinakis** , Szemerédi's theorem and Hardy fields *August 25,2008, 04:10 PM to 05:00 PM*
- **Vitaly Bergelson** , An Introduction to Diophantine Dynamics *August 26,2008, 09:30 AM to 10:30 AM*
- **Bernard Host** , Nilmanifolds in ergodic theory, III *August 26,2008, 11:00 AM to 12:00 PM*
- **Izabella Laba** , Arithmetic progressions in sets of fractional dimension *August 26,2008, 02:00 PM to 02:50 PM*
- **Thierry de la Rue** , Random Fibonacci sequences *August 26,2008, 04:10 PM to 05:00 PM*
- **Ben Green** , Progressions in primes, I *August 27,2008, 09:30 AM to 10:30 AM*
- **Vitaly Bergelson** , Ergodic Ramsey theory and amenable groups *August 27,2008, 11:00 AM to 12:00 PM*
- **Terence Tao** , Correspondence principle and finitary ergodic theory, I *August 28,2008, 09:30 AM to 10:30 AM*
- **Ben Green** , Progressions in primes, II *August 28,2008, 11:00 AM to 12:00 PM*
- **Terence Tao** , Correspondence principle and finitary ergodic theory, II *August 28,2008, 02:00 PM to 03:00 PM*
- **Tom Sanders** , Roth's theorem in additive combinatorics *August 28,2008, 04:10 PM to 05:00 PM*
- **Ben Green** , Progressions in primes, III *August 29,2008, 09:30 AM to 10:30 AM*
- **Terence Tao** , Correspondence principle and finitary ergodic theory, III *August 29,2008, 11:00 AM to 12:00 PM*
- **Tamar Ziegler** , An inverse theorem for the uniformity seminorms associated with the action of \mathbb{F}_p^∞ . *August 29,2008, 02:00 PM to 02:50 PM*
- **Benjamin Weiss** , Connections between Ramsey theory for trees and Markov processes *August 29,2008, 04:10 PM to 05:00 PM*

Participant List

MSRI Workshop:

Introduction to Ergodic Theory and Additive Combinatorics

August 25 to August 29, 2008

at Mathematical Sciences Research Institute, Berkeley California

| Full Name | Role | Primary Institute |
|----------------------------|---------------------|---|
| Ahmadi Dastjerdi, Dawood | Participant | University of Guilan |
| Allahbakhshi, Mahnaz | Invited Participant | University of Victoria |
| AlQudah, Mohammad A | Participant | Central Michigan University |
| Auslander, Joseph | Participant | University of Maryland |
| Babson, Eric | Participant | UC Berkeley |
| Batson, Joshua | Participant | Yale |
| Beiglböck, Mathias | Participant | Technische Universität Wien |
| Bergelson, Vitaly | Speaker | Ohio State University |
| Boshernitzan, Michael | Participant | Rice University |
| Breuillard, Emmanue F. | Participant | Ecole Polytechnique |
| Campbell, James T. | Participant | University of Memphis |
| Carron, Gilles | Organizer | University of Nantes |
| Chaika, Jonathan Michael | Participant | Rice University |
| Chan, Siu Man | Participant | UC Berkeley |
| Chan, Siu On | Participant | UC Berkeley |
| Chandee, Vorrapan | Participant | Stanford University |
| Chen, Kuo-Chang | Participant | National Tsing Hua University |
| Cheng, Yuan-You | Participant | Wakeforest University |
| Chu, Qing | Invited Participant | Universite Paris-Est Marne-La-Vallee |
| Das, Tushar | Participant | University of North Texas |
| de la Rue, Thierry | Speaker | LMR |
| De, Anindya | Participant | UC Berkeley |
| Eisner, Tatjana | Participant | Eberhard-Karls-Universität Tübingen |
| El Houcein, El Abdalaoui | Invited Participant | University of Rouen |
| Ferenczi, Sebastien Simon | Participant | CNRS |
| Foreman, Matthew | Participant | UC Irvine |
| Frantzikinakis, Nikos | Speaker | University of Memphis |
| Franze, Craig | Participant | not available |
| Furstenberg, Hillel | Speaker | Israel Academy of Sciences and Humanities |
| Green, Ben Joseph | Organizer | University of Cambridge |
| Hayes, Benjamin Richard | Participant | University of Washington |
| Helfgott, Harald Andres | Participant | University of Montreal |
| Hochman, Michael Zvi | Participant | Princeton University |
| Homma, Kosuke | Participant | University of Texas at Austin |
| Hosseini, Maryam | Participant | University of Guilan |
| Host, Bernard | Speaker | Université de Marne-la-Vallée |
| Hough, Robert | Participant | Stanford University |
| Hulgan, Jonathan Darren | Participant | University of Memphis |
| Ismael, BACHY | Invited Participant | Cornell Univeristy |
| Jones, Nathan C | Invited Participant | University of Montreal |
| Kanduru, Srinivasa Raghava | Participant | Annamalai university |
| Kleinman, Aaron | Participant | UC Berkeley |
| Kra, Bryna | Organizer | Northwestern University |
| Laba, Izabella | Speaker | University of British Columbia |
| LaVictoire, Patrick | Participant | UC Berkeley |
| Le, Thai Hoang | Invited Participant | UCLA |
| Lesigne, Emmanuel | Organizer | Université François Rabelais |
| Li, Tianhong | Invited Participant | Chinese Academy of Sciences |
| Li, Xiannan | Participant | Stanford University |
| Lim, Seonhee | Invited Participant | Cornell Univeristy |
| Long, Eoin Patrick | Participant | Cambridge University |
| Lovett, Shachar | Invited Participant | Weizmann Institute of Science |
| Lyall, Neil | Participant | University of Georgia |
| Maldonado, Juan Pablo | Participant | UMSNH |

| | | |
|----------------------------|---------------------|--|
| Mathe, Andras | Participant | Eotvos University |
| Matthiesen, Lilian | Invited Participant | University of Cambridge |
| McClendon, David Matthew | Participant | Northwestern University |
| Miller, Gay | Participant | University of Illinois |
| Moore, Calvin C. | Participant | UC Berkeley |
| Nathanson, Melvyn B. | Participant | Lehman College (CUNY) |
| Neale, Vicky | Invited Participant | University of Cambridge |
| Nedev, Zhivko | Participant | University of Victoria |
| Nguyen, Hoi Huu | Invited Participant | Rutgers University |
| Oh, Hee | Participant | California Institute of Technology |
| olmez, oktay | Participant | Iowa State University |
| Omar, Sami | Participant | University of Tunis |
| Parrish, Andrew | Participant | University of Memphis |
| Pollington, Andrew | Participant | National Science Foundation |
| Potgieter, Paul | Participant | University of South Africa |
| Potts, Amanda | Notetaker | Northwestern University |
| Pramanik, Malabika | Invited Participant | University of British Columbia |
| Prasad, Vidhu S. | Participant | University of Massachusetts Lowell |
| Prendiville, Sean | Invited Participant | University of Bristol |
| Quas, Anthony | Organizer | University of Victoria |
| Quddus, Safdar | Participant | Washington University |
| Ralston, David | Invited Participant | Rice University |
| Rosenblatt, Joseph M | Participant | National Science Foundation |
| Rubinstein-Salzedo, Simon | Participant | Stanford University |
| Sahin, Ayse Arzu | Invited Participant | DePaul University |
| Sanders, Tom | Participant | Cambridge University |
| Senger, Steven Michael | Participant | University of Missouri |
| Shahriyari, Leili | Participant | Johns Hopkins University |
| Shea, Stephen M. | Participant | Wesleyan University |
| Shen, Jian | Participant | Texas State University |
| Shkredov, Ilya Dmitrievich | Participant | Moscow State University |
| Silva, Cesar | Invited Participant | Williams College |
| Simsek, Sevim | Invited Participant | Isik University |
| Skau, Christian Fredrik | Invited Participant | Norwegian University of Science & Technology(NTNU) |
| So, Wasin | Participant | San Jose State University |
| Son, Younghwan | Participant | Ohio State University |
| Spencer, Craig Valere | Participant | University of Michigan |
| Stovall, Betsy | Participant | UC Berkeley |
| subedi, deepak | Participant | NEHU |
| Szemerédi, Endre | Speaker/ Member | Rutgers University |
| Tao, Terence | Speaker | UC Berkeley |
| Tonchev, Vladimir Dimitrov | Participant | Michigan Technological University |
| Towsner, Henry Piers | Participant | Carnegie Mellon University |
| Trevisan, Luca | Participant | UC Berkeley |
| Tulsiani, Madhur | Participant | UC Berkeley |
| Vishe, Pankaj Hemant | Invited Participant | New York University |
| Vurgun, Demet | Participant | Bogazici University |
| Wallwater, Aya | Invited Participant | Technion |
| Wang, Shouhong | Participant | Indiana University |
| Wang, Zhiren | Participant | Princeton University |
| Weiss, Benjamin | Speaker | Hebrew University |
| Wierdl, Mate | Organizer | University of Memphis |
| Yamazaki, Kazuo | Participant | Stanford University |
| Yu, Gang | Invited Participant | Kent State University |
| Zheng, Bowei | Participant | UC Berkeley |
| Ziegler, Tamar D | Speaker | Technion |
| Zuckerman, David | Participant | University of Texas at Austin |

Broader Connections: Analysis on Singular Spaces

Held At MSRI, August 28, 2008 to August 29, 2008

Organized By: Gilles Carron, Eugénie Hunsicker, Richard Melrose, Michael Taylor, Andras Vasy, and Jared Wunsch

The Broader Connections workshop for the program Analysis of Singular Spaces was held on August 28-29. The Introductory workshop for the program was held the following week, September 2-5. The co-organizers were Jared Wunsch, András Vasy and Eugénie Hunsicker. The Broader Connections workshop was aimed at students and at mathematicians who come from areas of mathematics somewhat outside the main program, and it had three main goals. The first was to provide an overview of the area in a way that emphasized motivations and intuition. The second goal was to prepare participants for the introductory workshop the following week by providing an overview of the main questions and techniques from analysis of singular spaces. The third motivation was to give students, women and younger members a chance to network ahead of the introductory workshop and program.

Approximately 30 mathematicians registered for the workshop, and approximately that many attended. Participants came from a wide range of backgrounds, including master's and PhD students, post docs and full faculty members. Participants attended from all over the US and Europe, with an even greater diversity of national origins. For a majority of participants (14/20 who responded to the survey), this was their first visit to MSRI.

The scientific part of the workshop consisted of eight talks over two days. These were organized into three two-lecture short courses: "Topics in PDE," "The Atiyah-Singer Index Theorem," and "An Introduction to Scattering Theory" and two additional talks, "An Introduction to Pseudodifferential Operators" and "An Introduction to the Hodge Theorem." Lecturers were chosen both for their exceptional expository abilities and as representatives of groups that are often underrepresented in mathematics—three lecturers, Thalia Jeffres, Tanya Christiansen and Eugénie Hunsicker are women and the fourth lecturer, Paul Loya, has native American heritage. The lecturers collaborated ahead of time to create a series of lectures that built on and reinforced each other, and would be interesting and useful for mathematicians from a range of backgrounds. Additionally, the speakers coordinated with speakers from the Introductory workshop to ensure a good transition. The level of presentation in all talks was outstanding. Some comments were: "It was useful for me to see these topics presented together in a way that demonstrated their interconnectedness," "In graduate school, it is often easy to get lost in the theory. The explicit examples the lecturers used were very helpful for building intuition about where it comes from," "The presenters made a real effort to make the material accessible, and succeeded for the most part. This provided an excellent opportunity to learn about topics outside my area of specialization." All participants cited the desire to broaden

and/or deepen their knowledge in the area as a reason for attending the workshop, and found this aspect of the workshop generally successful.

The speakers believe that the best way to become familiar with new and difficult material is to work with it, and for this reason, each lecturer provided a short set of exercises for participants. There was time in the schedule on both afternoons for participants to work on these exercises and to ask the lecturers questions. This worked very well, and aside from giving participants a chance to solidify the content of the lectures, it also gave them a chance to get to know each other as they worked in small groups. Some participants also used this opportunity to discuss how the material in the lectures related to what they had been studying recently, and to ask the speakers questions about that. One student commented that in graduate school, one often gets so involved in theory that one does not do many calculations, and that the calculational questions were very helpful for understanding the material.

Each day there was a lunch break and both a morning and afternoon tea break, which gave participants a chance to get to know each other and also other program members who were not attending the BC workshop. On Thursday evening, we had a women's networking dinner at a local restaurant attended by women at various stages of their careers. Amongst a wide variety of other topics, we discussed having children and taking/negotiating maternity leave and careers at a variety of institutions, from research universities to liberal arts colleges, and overseas universities. Most participants cited networking as an important reason for attending the workshop, and found this aspect of the workshop satisfactory. One participant said she identified a potential future collaborator. Another participant commented that it was useful to be able to talk to experts, but even more useful to have a chance to talk to peers just a bit more advanced in the field.

Of the participants who responded to this part of the survey, 11 had received direct invitations, 5 had heard of the workshop through word of mouth, 6 had seen the workshop on the MSRI webpage, and one had seen a poster. This is indicative of the effort the organizers put into directly identifying potential participants, and informally advertising the workshop through word of mouth. Recruitment did suffer through lack of timely advertising: for instance, the advertisement in the AWM newsletter did not appear until after the funding deadline had already passed and most funding had already been committed. Moreover, a problem with the online application system resulted in at least seven applications being misplaced just before the funding decisions were to be made. These applications only came to the attention of the organizers when they were contacted directly by baffled applicants waiting in vain for a response. We thus fear that additional applications may have been lost altogether. We have discussed the issues facing MSRI's advertising protocols and web interface with the new Deputy Director, who assures us that both will be updated.

As recruitment was done primarily by the organizers, the participants in the workshop were mostly mathematicians with some prior knowledge of the subject. The area of analysis on singular spaces is, however, sufficiently well-developed and broad (ranging

from topology to hard analysis) that even participants well-versed in some areas of emphasis found novelty in lectures on others. Of the participants who responded to the survey, 13/20 thus found the introduction to the area “very useful” and all but one of the remainder found it “moderately useful.” The networking aspects of the program were even more favorably received, with 18/20 finding the networking “very useful” with the rest finding it “moderately useful”. Finally, 15/20 respondents found the workshop a “very useful” preparation for the introductory workshop, with the rest finding it “moderately useful.” We thus judge the workshop to have successfully achieved all three of its goals.

Workshop Summary for Broader Connections: Analysis on Singular Spaces

Held: August 28 to August 29, 2008

The Mathematical Sciences Research Institute

| Participant Information | |
|-------------------------|--|
| 41 participants | |

| Gender (n = 41 participants) | | |
|------------------------------|--------|----|
| Male | 63.41% | 26 |
| Female | 36.59% | 15 |
| Declined to state | 0.00% | 0 |

| Ethnicity (n = 29 participants) | | |
|---------------------------------|--------|----|
| White | 43.90% | 18 |
| Asian | 19.51% | 8 |
| Hispanic | 4.88% | 2 |
| Pacific Islander | 0.00% | 0 |
| Black | 0.00% | 0 |
| Native American | 0.00% | 0 |
| Declined to state | 2.44% | 1 |

Broader Connections: Analysis on Singular Spaces

Invited Speakers

| | |
|---------------------------|----------------------------------|
| Christiansen, Tanya Julie | University of Missouri, Columbia |
| Hunsicker, Eugenie | Loughborough University |
| Jeffres, Thalia David | Wichita State |
| Loya, Paul | SUNY Binghamton |

Broader Connections: Analysis on Singular Spaces Schedule

Thursday August 28, 2008

| | | |
|-------------------|--------------------------------|--|
| 09:00AM - 10:00AM | Thalia Jeffres | Differential operators |
| 10:00AM - 10:30AM | Tea | |
| 10:30AM - 11:30AM | Thalia Jeffres | Differential Operators |
| 11:30AM - 12:30PM | Eugenie Hunsicker | Introduction to pseudodifferential operators |
| 12:30PM - 02:00PM | Lunch | |
| 02:00PM - 03:00PM | Paul Loya | Index theory I |
| 03:00PM - 03:30PM | Tea | |
| 04:10PM - 05:10PM | UCB Colloqium (Evans Hall) | |
| 06:30PM - 08:00PM | Dinner, Great China restaurant | |

Friday August 29, 2008

| | | |
|-------------------|-------------------------|-------------------|
| 09:00AM - 10:00AM | Paul Loya | Index Theory II |
| 10:00AM - 10:30AM | Tea | |
| 10:30AM - 12:30PM | Tanya Christiansen | Scattering theory |
| 12:30PM - 02:00PM | Lunch | |
| 02:00PM - 03:00PM | Problem Session/Q and A | |
| 03:00PM - 03:30PM | Tea | |
| 03:30PM - 04:30PM | Eugenie Hunsicker | Hodge theory |

Currently Available Videos

- **Thalia Jeffres** , Differential operators *August 28,2008, 09:00 AM to 10:00 AM*
- **Thalia Jeffres** , Differential operators *August 28,2008, 10:30 AM to 11:30 AM*
- **Eugenie Hunsicker** , Introduction to pseudodifferential operators *August 28,2008, 11:30 AM to 12:30 PM*
- **Paul Loya** , Index theory I *August 28,2008, 02:00 PM to 03:00 PM*
- **Paul Loya** , Index theory II *August 29,2008, 09:00 AM to 10:00 AM*
- **Tanya Christiansen** , Scattering theory *August 29,2008, 10:30 AM to 12:30 PM*
- **Eugenie Hunsicker** , Hodge theory *August 29,2008, 03:30 PM to 04:30 PM*

Participant List

MSRI Workshop:

Broader Connections: Analysis on Singular Spaces

August 28 to August 29, 2008

at Mathematical Sciences Research Institute, Berkeley California

| Full Name | Role | Primary Institute |
|-------------------------------|---------------------|---|
| Aldana Dominguez, Clara Lucia | Invited Participant | Universität Bonn |
| Altali, Rajaa | Participant | Northeastern University |
| Azimian, Amin | Invited Participant | Islamic Azad University, South Tehran |
| Bahuaud, Eric C | Participant | University of Washington |
| Baskin, Dean | Participant | Stanford University |
| Carron, Gilles | Organizer | University of Nantes |
| Christiansen, Tanya Julie | Participant | University of Missouri, Columbia |
| Christianson, Hans P | Participant | Massachusetts Institute of Technology |
| Djordjevic, Jasmina | Participant | not available |
| Dryden, Emily B. | Participant | Bucknell University |
| Eswarathasan, Suresh | Invited Participant | University of Rochester |
| Ford, Austin | Invited Participant | Northwestern University |
| Fritzsche, Karsten | Invited Participant | Universität Oldenburg |
| Huang, Xiaoling | Invited Participant | UC Santa Barbara |
| Hunsicker, Eugenie | Organizer | Loughborough University |
| Jeffres, Thalia David | Participant | Wichita State |
| Lebedeva, Nina | Invited Participant | University of Muenster |
| Li, Jinghao | Invited Participant | Binghamton University |
| Lodha, Yash | Participant | SUNY-Binghamton |
| Lotay, Jason | Participant | University College Oxford |
| Loya, Paul | Participant | SUNY Binghamton |
| Melrose, Richard Burt | Organizer | Massachusetts Institute of Technology |
| Minemyer, Barry Francis | Participant | SUNY Binghamton |
| Pham, Ha Ngoc | Participant | Stanford University |
| Pokorny, Florian Till | Invited Participant | University of Edinburgh |
| Rezazadegan, Reza | Invited Participant | Rutgers University |
| Rochon, Frederic | Participant | University of Toronto |
| Roidos, Nikolaos | Invited Participant | Loughborough University |
| Sandoval, Mary R. | Participant | Trinity College |
| Sher, David Alexander | Participant | Stanford University |
| Stanhope, Elizabeth | Participant | Lewis & Clark |
| Stewart, Deedee | Invited Participant | Wichita State |
| Taylor, Michael | Organizer | University of North Carolina, Chapel Hill |
| Uski, Ville | Invited Participant | Loughborough University |
| VanValkenburgh, Michael | Invited Participant | University of California |
| Vasy, Andrés | Organizer | Stanford University |
| Wang, Fang | Invited Participant | Massachusetts Institute of Technology |
| Weilandt, Martin | Invited Participant | Humboldt-Universität zu Berlin |
| Wunsch, Jared | Organizer | Northwestern University |
| Xu, Feng | Notetaker | Duke University |
| Zhou, Ting | Invited Participant | University of Washington |

FINAL REPORT: INTRODUCTORY WORKSHOP ANALYSIS OF SINGULAR SPACES PROGRAM, FALL 2008

The Introductory Workshop for the Analysis on Singular Spaces semester program was held at MSRI, from Sept. 2 to Sept. 5. The workshop only lasted four days as the week was curtailed by Labor Day; in recompense, there was no half-day, and the daily schedule was slightly longer than might otherwise have been considered ideal. The principal workshop organizers were Eugénie Hunsicker, András Vasy, and Jared Wunsch.

The scientific program consisted primarily of a linked collection of minicourses, as follows:

Richard Melrose, *Real blow-ups*

Michael Taylor, *Pseudodifferential operators on singular spaces*

Maciej Zworski and Tanya Christiansen, *Spectral and scattering theory*

Gerd Grubb, *Introduction to index theory*

Paul Loya, *Index theory on singular spaces*

Gilles Carron, L^2 *cohomology*

These courses were designed to give background material suitable for advanced students and postdocs (as well as more senior researchers from allied fields) to be able to take part in the main thrust of the semester's program. The mini-courses proved by and large quite well-integrated, with core material on pseudodifferential operators being covered very efficiently by Taylor in the early part of the week, and thus available to other speakers; likewise, key ideas from spectral and scattering theory were introduced quickly by Zworski and Christiansen and played recurrent roles in the other courses.

In addition to the mini-courses, three half-hour slots were allocated to short lectures on open problems, given by Jochen Brüning, Werner Müller, and Rafe Mazzeo. These lectures were organized at the last minute to fill in slots that had been left open at the discretion of the organizers; initially they had been intended for last-minute short presentations on background material that the lecturers were assuming and the students felt they lacked. The speakers turned out to have such a firm grasp of appropriate level and pace, however, that such

remedial classes did not seem warranted. (This was borne out in

conversations with individual participants; additionally, the input of all participants was solicited in deciding what to do with these lecture slots.) Instead, these problem sessions provided a lively counterpoint to the main courses, reminding the participants that notwithstanding all that is known about analysis on singular spaces, it remains a vital field, with much left unknown.

The program was preceded by a “Broader Connections” workshop that was intended to give underprepared participants or those from remote fields of mathematics a chance to fill in fundamental background material. The Broader Connections program, organized by Eugénie Hunsicker, featured more elementary lectures, and assigned homework problems designed to help the students learn through concrete exercises. Two lecturers, Loya and Christiansen, taught in both programs.

73 participants registered for the workshop, of whom 14 were female. Only five participants responded to the survey, which was conducted online. (The organizers have suggested that MSRI find a way to administer the survey so as to boost response rates.) Of these five respondents, two were new to MSRI. All said that the workshop had been very useful. Four of the five had heard about the workshop by word of mouth; there had been very little print-or web-based advertising for the workshop, and attendance probably did suffer as a result. Anecdotal evidence gathered by the organizers suggests that the students who participated felt that the program exposed them to many facets of a diverse field. In the ordinary course of a mathematical education, one might learn one or two of these areas, but the more synoptic point of view of the workshop was both unusual and successful.

Workshop Summary for Introductory Workshop on Analysis on Singular Spaces

Held: September 2 to September 5, 2008
The Mathematical Sciences Research Institute

| Participant Information | |
|-------------------------|--|
| 69 participants | |

| Gender (n = 69 participants) | | |
|------------------------------|--------|----|
| Male | 79.71% | 55 |
| Female | 20.29% | 14 |
| Declined to state | 0.00% | 0 |

| Ethnicity (n = 46 participants) | | |
|---------------------------------|--------|----|
| White | 42.03% | 29 |
| Asian | 17.39% | 12 |
| Hispanic | 2.90% | 2 |
| Pacific Islander | 0.00% | 0 |
| Black | 0.00% | 0 |
| Native American | 0.00% | 0 |
| Declined to state | 4.35% | 3 |

Introductory Workshop on Analysis on Singular Spaces

Invited Speakers

| | |
|---------------------------|---|
| Bruning, Jochen | Humboldt-Universitaet zu Berlin |
| Carron, Gilles | University of Nantes |
| Christiansen, Tanya Julie | University of Missouri, Columbia |
| Grubb, Gerd | Københavns Universitet |
| Loya, Paul | SUNY Binghamton |
| Melrose, Richard Burt | Massachusetts Institute of Technology |
| Taylor, Michael | University of North Carolina, Chapel Hill |
| Zworski, Maciej | UC Berkeley |

Introductory Workshop on Analysis on Singular Spaces Schedule

Tuesday September 2, 2008

| | | |
|-------------------|---------------------------|--|
| 09:00AM - 10:00AM | Michael Taylor | Pseudodifferential Operators on Singular Spaces I |
| 10:00AM - 10:30AM | Coffee, Tea in the Atrium | |
| 10:30AM - 11:00AM | Discussion | |
| 11:00AM - 12:00PM | Richard Melrose | Real Blow-ups I |
| 12:00PM - 01:30PM | Lunch | |
| 01:30PM - 02:30PM | Maciej Zworski | Spectral and Scattering Theory I |
| 02:30PM - 03:30PM | Michael Taylor | Pseudodifferential Operators on Singular Spaces II |
| 03:30PM - 04:00PM | Coffee, Tea in the Atrium | |
| 04:00PM - 05:00PM | Gerd Grubb | Introduction to Index Theory |
| 05:00PM - 06:00PM | Evening reception | |

Wednesday September 3, 2008

| | | |
|-------------------|---------------------------|---|
| 09:00AM - 10:00AM | Michael Taylor | Pseudodifferential Operators on Singular Spaces III |
| 10:00AM - 10:30AM | Coffee, tea in the Atrium | |
| 10:30AM - 11:00AM | Jochen Bruning | Open problems |
| 11:00AM - 12:00PM | Richard Melrose | Real Blow-ups II |
| 12:00PM - 01:30PM | Lunch | |
| 01:30PM - 02:30PM | Tanya Christiansen | Spectral and Scattering theory, II |
| 02:30PM - 03:30PM | Gilles Carron | Cohomology, I |
| 03:30PM - 04:00PM | Coffee, Tea in the Atrium | |
| 04:00PM - 05:00PM | Gerd Grubb | Intro to Index Theory, II |

Thursday September 4, 2008

| | | |
|-------------------|----------------------------------|---|
| 09:00AM - 10:00AM | Michael Taylor | Pseudodifferential Operators on Singular Spaces |
| 10:00AM - 10:30AM | Coffee, Tea in the Atrium | |
| 10:30AM - 11:00AM | Open problems (Werner Mueller) | |
| 11:00AM - 12:00PM | Richard Melrose | Real Blowups, III |
| 12:00PM - 01:30PM | Lunch | |
| 01:30PM - 02:30PM | Gilles Carron | Cohomology, II |
| 02:30PM - 03:30PM | Gerd Grubb | Intro to Index Theory, III |
| 03:30PM - 04:00PM | Coffee, Tea in the Atrium | |
| 04:10PM - 05:10PM | Lecture/Colloquium at Evans Hall | |

Friday September 5, 2008

| | | |
|-------------------|-----------------------------|-------------------------------------|
| 09:00AM - 10:00AM | Maciej Zworski | Spectral and Scattering theory, III |
| 10:00AM - 10:30AM | Coffee, Tea in the Atrium | |
| 10:30AM - 11:00AM | Open problems (Rafe Mazzeo) | |
| 11:00AM - 12:00PM | Paul Loya | Index Theory on Singular Spaces, I |
| 12:00PM - 01:30PM | Lunch | |

| | | |
|-------------------|---------------------------|-------------------------------------|
| 01:30PM - 02:30PM | Tanya Christiansen | Spectral and Scattering theory, IV |
| 02:30PM - 03:30AM | Gilles Carron | Cohomology, III |
| 03:30PM - 04:00PM | Coffee, Tea in the Atrium | |
| 04:00PM - 05:00PM | Paul Loya | Index Theory on Singular Spaces, II |

Currently Available Videos

- **Michael Taylor** , Pseudodifferential Operators on Singular Spaces I *September 2,2008, 09:00 AM to 10:00 AM*
- **Richard Melrose** , Real Blow-ups I *September 2,2008, 11:00 AM to 12:00 PM*
- **Maciej Zworski** , Spectral and Scattering Theory I *September 2,2008, 01:30 PM to 02:30 PM*
- **Michael Taylor** , Pseudodifferential Operators on Singular Spaces II *September 2,2008, 02:30 PM to 03:30 PM*
- **Gerd Grubb** , Introduction to Index Theory *September 2,2008, 04:00 PM to 05:00 PM*
- **Michael Taylor** , Pseudodifferential Operators on Singular Spaces III *September 3,2008, 09:00 AM to 10:00 AM*
- **Richard Melrose** , Real Blow-ups II *September 3,2008, 11:00 AM to 12:00 PM*
- **Tanya Christiansen** , Spectral and Scattering theory, II *September 3,2008, 01:30 PM to 02:30 PM*
- **Gilles Carron** , Cohomology, I *September 3,2008, 02:30 PM to 03:30 PM*
- **Gerd Grubb** , Introduction to Index Theory II *September 3,2008, 04:00 PM to 05:00 PM*
- **Michael Taylor** , Pseudodifferential Operators on Singular Spaces *September 4,2008, 09:00 AM to 10:00 AM*
- **Richard Melrose** , Real Blow-ups III *September 4,2008, 11:00 AM to 12:00 PM*
- **Gilles Carron** , Cohomology, II *September 4,2008, 01:30 PM to 02:30 PM*
- **Gerd Grubb** , Introduction to Index Theory III *September 4,2008, 02:30 PM to 03:30 PM*
- **Maciej Zworski** , Spectral and Scattering theory, III *September 5,2008, 09:00 AM to 10:00 AM*
- **Paul Loya** , Index Theory on Singular Spaces, I *September 5,2008, 11:00 AM to 12:00 PM*
- **Tanya Christiansen** , Spectral and Scattering theory, IV *September 5,2008, 01:30 PM to 02:30 PM*
- **Gilles Carron** , Cohomology, III *September 5,2008, 02:30 PM to 03:30 PM*
- **Paul Loya** , Index Theory on Singular Spaces, II *September 5,2008, 04:00 PM to 05:00 PM*

Participant List

MSRI Workshop:

Introductory Workshop on Analysis on Singular Spaces

September 2 to September 5, 2008

at Mathematical Sciences Research Institute, Berkeley California

| Full Name | Role | Primary Institute |
|-------------------------------|---------------------|--|
| Aldana Dominguez, Clara Lucia | Invited Participant | University Bonn |
| Altalli, Rajaa | Participant | Northeastern University |
| Azimian, Amin | Invited Participant | Islamic Azad University |
| Babson, Eric | Participant | UC Berkeley |
| Bahuaud, Eric C | Participant | University of Washington |
| Baskin, Dean | Participant | Stanford University |
| Bruning, Jochen | Participant | Humboldt-Universitaet zu Berlin |
| Carron, Gilles | Organizer | University of Nantes |
| Christ, Michael | Participant | UC Berkeley |
| Christiansen, Tanya Julie | Participant | University of Missouri, Columbia |
| Datcher, Kiril | Participant | UC Berkeley |
| Der, Ricky | Invited Participant | University of Pennsylvania |
| Diaz, Rafael | Participant | Universidad Politecnica de las Fuerzas Armadas |
| Dryden, Emily B. | Participant | Bucknell University |
| Eswarathasan, Suresh | Invited Participant | University of Rochester |
| Ettinger, Boris | Participant | UC Berkeley |
| Feng, Renjie | Invited Participant | John Hopkins University |
| Ferreira, Carla Maria | Participant | University of Minho |
| Ford, Austin | Invited Participant | Northwestern University |
| Fowler, Jim A. | Participant | University of Chicago |
| Francsics, Gabor | Participant | Michigan State University |
| Fritzsich, Karsten | Invited Participant | Universität Oldenburg |
| Gaffney, Terence J | Participant | Northeastern University |
| Gell-Redman, Jesse David | Participant | Stanford University |
| Ghosh, Subhroshekhar | Participant | UC Berkeley |
| Gimperlein, Heiko | Participant | Leibniz Universität Hannover |
| Grandjean, Vincent Jean Henri | Participant | University of Bath |
| Grubb, Gerd | Participant | Københavns Universitet |
| Holman, Sean | Invited Participant | University of Washington |
| Huang, Xiaoling | Invited Participant | UC Santa Barbara |
| Hunsicker, Eugenie | Organizer | Loughborough University |
| Jeffres, Thalia David | Participant | Wichita State University |
| Kantor, Joshua Matthew | Invited Participant | University of Washington |
| Li, Hui | Participant | University of Luxembourg |
| Li, Jinghao | Participant | Binghamton University |
| Liu, Hongyu | Invited Participant | University of Washington |
| Loya, Paul | Participant | SUNY Binghamton |
| Melrose, Richard Burt | Organizer | Massachusetts Institute of Technology |
| Nartey, Samuel | Participant | University of Cambridge |
| Parsons, Todd Lawrence | Invited Participant | University of Pennsylvania |
| Pham, Ha Ngoc | Participant | Stanford University |
| Piazza, Paolo | Participant | Università di Roma "La Sapienza" |
| Pokorny, Florian Till | Invited Participant | University of Edinburgh |
| Qian, Randy Zhigang | Invited Participant | Northwestern University |
| Rezazadegan, Reza | Invited Participant | Rutgers University |
| Rochon, Frederic | Participant | University of Toronto |
| Roidos, Nikolaos | Invited Participant | Loughborough University |
| Royer, Julien | Invited Participant | Université de Nantes |
| Sandoval, Mary R. | Participant | Trinity College |
| Sher, David Alexander | Participant | Stanford University |
| Singer, Michael A. | Participant | University of Edinburgh |
| Stewart, Deedee | Invited Participant | Wichita State University |
| Sverdlov, Roman Markovich | Participant | University of Michigan |
| Taylor, Michael | Organizer | University of North Carolina, Chapel Hill |

| | | |
|-------------------------|---------------------|---|
| Thomann, Laurent | Invited Participant | Université de Nantes, laboratoire J. Lerray |
| Tohaneanu, Mihai Horia | Participant | UC Berkeley |
| Trotman, David J.A. | Participant | l'Université de la Méditerranée |
| Uski, Ville | Invited Participant | Loughborough University |
| VanValkenburgh, Michael | Invited Participant | UC Berkeley |
| Vasy, András | Organizer | Stanford University |
| Vertman, Boris | Participant | University Bonn |
| Wang, Fang | Invited Participant | Northwestern University |
| Weilandt, Martin | Invited Participant | Humboldt-Universitaet zu Berlin |
| Wunsch, Jared | Organizer | Northwestern University |
| Xu, Feng | Notetaker | Duke University |
| Yamazaki, Kazuo | Participant | Stanford University |
| Zhou, Ting | Invited Participant | University of Washington |
| Zoghi, Masrour | Invited Participant | University of Toronto |
| Zworski, Maciej | Participant | UC Berkeley |

Final Report: Workshop on Topology of Stratified Spaces Fall 2008

The MSRI workshop "Topology of Stratified Spaces" was held on September 8-12. This was the week following the Introductory workshop for the Analysis of Singular Spaces program and was chosen to promote interaction between the topology workshop and the analysis program. The co-organizers were Greg Friedman, Eug'enie Hunsicker, Anatoly Libgober and Laurentiu Maxim. The Topology of Stratified Spaces workshop was aimed at mathematicians from four areas within topology of stratified spaces, as well as at mathematicians from the program on Analysis of Singular Spaces with interests in relations to topology. The workshop had four main goals. The first was to provide introductions to each of the research areas represented at the workshop, the second was to present current research together with current questions in each field, the third was to promote networking among mathematicians from these related areas, and the final was to produce a proceedings volume that would serve as a good introduction to various topics in the topology of stratified spaces and include suggestions for research problems.

Approximately fifty mathematicians registered online for the workshop, and about that number attended, mostly the same as those who registered. Participants included mathematicians at various stages of their careers from graduate students to senior researchers, and also from many places, including all over the US, Germany, France, UK, Luxembourg, Canada and Japan. For many participants, especially younger ones, this was their first visit to MSRI. At least 12 of the participants had primary ties to the Analysis of Singular Spaces program. As funding was limited, the organizers decided to provide only per diem local expenses in an effort to fund as many participants as possible. Priority was given to younger mathematicians and mathematicians who did not have grants. Twenty participants received at least partial funding for the workshop.

The scientific part of the workshop consisted of talks over four and a half days (with Wednesday afternoon free) and a final open problem session. These were organized into four basic areas: L^2 -cohomology and Hodge and signature theorems, topology of complex algebraic varieties, topological signature theory on singular spaces, and mixed Hodge theory and singularities. The various topics corresponded roughly to the days of the conference and each started with an introductory lecture. Speakers were chosen for their prominence in

their areas and for their expository abilities, with additional half hour spots reserved for talks by students and recent graduates. The level of presentation was generally excellent. Speakers made a point of putting their work in the context of previous work and main problems of their subdisciplines which made their talks accessible to those from different subdisciplines. There was quite active discussion during question periods as well as during daily lunch breaks and both a morning and afternoon tea breaks, On Tuesday evening there was a reception for the workshop. All of these informal times were also chances for participants from the workshop to meet and talk to members from the analysis program.

The workshop generated many new connections, including:

M. Kerr and G. Pearlstein have begun a collaboration and plan to write a paper on normal functions for the proceedings volume.

S. Weinberger and J. Schuerman both report a useful discussion about the relationship between Schuerman's work with Yokura on the motivic integration perspective on characteristic classes of singular varieties and the work of Weinberger, Friedman, Banagl and Maxim on surgery and L-class theory. A collaboration has come out of this between Schuerman and Maxim.

E. Hunsicker had useful discussions about perverse signatures with M. Banagl and G. Friedman. She and Friedman have begun a collaboration to extend her work on these from the analytic to the topological setting.

S. Weinberger and P. Piazza had useful discussions about finding common ground in the study of higher signature for manifolds with boundary from the analytic and topological viewpoints. Piazza learned from Weinberger something that will significantly extend the scope of his current project with Mazzeo and Albin from the Analysis of Singular Spaces program.

L. Saper had useful conversations with mathematicians from both analytic and topological backgrounds, including G. Pearlstein, E. Hunsicker, P. Piazza, C. McCrory and S. Weinberger. Hunsicker believes some of his techniques from Hodge theorems on locally symmetric spaces may have bearing on Hodge theorems for monopole moduli spaces. S. Weinberger was interested to learn about L. Saper's astonishing result about globally self-dual sheaves that are not locally self-dual, but get their global self-duality as a result of vanishing theorems a la Vogan-Zuckerman.

C. McCrory reported several useful interactions. He learned from G. Friedman about his work refining work from McCrory's thesis on stratified general position. He was reminded by David Trotman about the usefulness of bi-Lipschitz homeomorphisms and about work by Trotman's student Vallette related to McCrory's work and he learned from Trotman that one of the problems he had suggested for the session appears to have been solved by Kuiper. Trotman also made an interesting conjecture about the

2

weight filtration McCrory discussed in his lecture (see the problem list). McCrory also learned from B. Williams about a serious mistake in Daniel Biss' 2003 Annals paper on the MacPhersonian (a combinatorial model of the Grassmannian), which he had not heard about before.

On the whole, the workshop was considered very stimulating by participants. It succeeded extremely well in its goals of increasing networking and collaboration among mathematicians from related, but often mutually isolated

areas of topology and analysis of stratified spaces, as is evidenced by the number of new collaborations and useful conversations reported. The organizers are all staying on as editors for the proposed proceedings volume, which will be published through the MSRI book series. There are already commitments from five speakers as well as the four introductory speakers to contribute to the volume, which will also include an expanded list of research problems that were proposed in the problem session. It promises to be a useful reference for future work in the field.

Workshop Summary for Topology of Stratified Spaces

Held: September 8 to September 12, 2008

The Mathematical Sciences Research Institute

| Participant Information | |
|-------------------------|--|
| 54 participants | |

| Gender (n = 54 participants) | | |
|------------------------------|--------|----|
| Male | 92.59% | 50 |
| Female | 7.41% | 4 |
| Declined to state | 0.00% | 0 |

| Ethnicity (n = 39 participants) | | |
|---------------------------------|--------|----|
| White | 48.15% | 26 |
| Asian | 5.56% | 3 |
| Hispanic | 0.00% | 0 |
| Pacific Islander | 0.00% | 0 |
| Black | 0.00% | 0 |
| Native American | 0.00% | 0 |
| Declined to state | 18.52% | 10 |

Topology of Stratified Spaces

Invited Speakers

| | |
|--------------------------|--|
| Cappell, Sylvain E. | New York University, Courant Institute |
| Carron, Gilles | University of Nantes |
| Katzarkov, Ludmil | UC Irvine |
| Mazzeo, Rafe | Stanford University |
| McCrary, Clinton Graydon | University of Georgia |
| Saper, Les | Duke University |
| Weinberger, Shmuel | University of Chicago |
| Yokura, Shoji | Kagoshima University |

Topology of Stratified Spaces Schedule

Monday September 8, 2008

| | | |
|-------------------|---------------------------|--|
| 09:00AM - 10:00AM | Xianzhe Dai | An introduction to L^2 cohomology |
| 10:00AM - 10:30AM | Coffee, Tea in the Atrium | |
| 10:30AM - 11:30AM | Gilles Carron | L^2 cohomology of QALE space |
| 11:30AM - 01:15PM | Lunch | |
| 01:15PM - 02:15PM | Les Saper | Cohomology of compactifications of locally symmetric spaces |
| 02:30PM - 03:30PM | Paolo Piazza | The signature operator on Witt spaces (joint work with Pierre Albin, Eric Leichtnam and Rafe Mazzeo) |
| 03:30PM - 04:00PM | Coffee, Tea in the Atrium | |
| 04:00PM - 05:30PM | Evans lecture | |

Tuesday September 9, 2008

| | | |
|-------------------|---------------------------|--|
| 09:00AM - 10:00AM | Markus Banagl | "The Signature of Singular Spaces and its Refinements to Generalized Homology Theories." |
| 10:00AM - 10:30AM | Coffee, tea in the Atrium | |
| 10:30AM - 11:30AM | Sylvain Cappell | Replacement of fixed points of group actions |
| 11:30AM - 01:15PM | Lunch | |
| 01:15PM - 02:15PM | Shmuel Weinberger | Stratified Surgery and Functoriality |
| 02:30PM - 03:30PM | Clinton McCrory | The weight filtration for real algebraic varieties |
| 03:30PM - 04:00PM | coffee, Tea in the Atrium | |
| 04:00PM - 04:30PM | Jim Fowler | Lattices with torsion and rational homology manifolds |
| 04:30PM - 05:00PM | Filipp Levikov | The (intersection) homology groups of a fibre bundle over a sphere |
| 05:00PM - 08:00AM | Welcome Reception | |

Wednesday September 10, 2008

| | | |
|-------------------|-----------------------|---|
| 09:00AM - 10:00AM | Anatoly Libgober | Elliptic genus of singular varieties. |
| 10:00AM - 10:30AM | Coffee, Tea in Atrium | |
| 10:30AM - 11:00AM | Daniel Matei | Cohomology of complements to algebraic plane curves |
| 11:00AM - 11:30AM | Lee McEwan | Monodromy of curves and quasi-ordinary surfaces |
| 11:30AM - 12:00PM | Mikhail Mazin | Leray-type Operators for Stratified Spaces and the Parshin's Reciprocity Law for Residues |

Thursday September 11, 2008

| | | |
|-------------------|---------------------------|--|
| 09:00AM - 10:00AM | Robert Waelder | Singular Elliptic Genus of Normal Surfaces |
| 10:00AM - 10:30AM | Coffee, Tea in the Atrium | |
| 10:30AM - 11:30AM | Sandor Kovacs | Singularities in the Minimal Model Program |
| 11:30AM - 01:15PM | Lunch | |

| | | |
|----------------------------------|---------------------------|--|
| 01:15PM - 02:15PM | Shoji Yokura | Motivic Characteristic classes of singular varieties |
| 02:30PM - 03:30PM | Mark de Cataldo | the perverse filtration and the Lefschetz Hyperplane Theorem |
| 03:30PM - 04:00PM | Coffee, tea in the Atrium | |
| 04:00PM - 05:30PM | Berkeley Colloquium | |
| Friday September 12, 2008 | | |
| 09:00AM - 10:00AM | Ludmil Katzarkov | Hodge Structures and Homological Mirror Symmetry |
| 10:00AM - 10:30AM | Coffee, Tea in the Atrium | |
| 10:30AM - 11:30AM | Gregory Pearlstein | Singularities of Normal Functions |
| 11:30AM - 01:15PM | Lunch | |
| 01:15PM - 02:15PM | Joerg Schuermann | Characteristic classes of mixed Hodge modules |
| 02:30PM - 03:30PM | Matt Kerr | Hodge theory of Calabi-Yau fibrations |
| 03:30PM - 04:00PM | Coffee, tea in the Atrium | |
| 04:00PM - 05:00PM | Eugenie Hunsicker | Problem Session |

Currently Available Videos

- **Xianzhe Dai** , An introduction to L^2 cohomology *September 8, 2008, 09:00 AM to 10:00 AM*
- **Gilles Carron** , L^2 cohomology of QALE space *September 8, 2008, 10:30 AM to 11:30 AM*
- **Les Saper** , Cohomology of compactifications of locally symmetric spaces *September 8, 2008, 01:15 PM to 02:15 PM*
- **Paolo Piazza** , The signature operator on Witt spaces (joint work with Pierre Albin, Eric Leichtnam and Rafe Mazzeo) *September 8, 2008, 02:30 PM to 03:30 PM*
- **Markus Banagl** , The Signature of Singular Spaces and its Refinements to Generalized Homology Theories. *September 9, 2008, 09:00 AM to 10:00 AM*
- **Sylvain Cappell** , Replacement of fixed points of group actions *September 9, 2008, 10:30 AM to 11:30 AM*
- **Shmuel Weinberger** , Stratified Surgery and Functoriality *September 9, 2008, 01:15 PM to 02:15 PM*
- **Clinton McCrory** , The weight filtration for real algebraic varieties *September 9, 2008, 02:30 PM to 03:30 PM*
- **Jim Fowler** , Lattices with torsion and rational homology manifolds *September 9, 2008, 04:00 PM to 04:30 PM*
- **Filipp Levikov** , The (intersection) homology groups of a fibre bundle over a sphere *September 9, 2008, 04:30 PM to 05:00 PM*
- **Anatoly Libgober** , Elliptic genus of singular varieties *September 10, 2008, 09:00 AM to 10:00 AM*

- **Daniel Matei** , Cohomology of complements to algebraic plane curves *September 10,2008, 10:30 AM to 11:00 AM*
- **Lee McEwan** , Monodromy of curves and quasi-ordinary surfaces *September 10,2008, 11:00 AM to 11:30 AM*
- **Mikhail Mazin** , Leray-type Operators for Stratified Spaces and the Parshin's Reciprocity Law for Residues *September 10,2008, 11:30 AM to 12:00 PM*
- **Robert Waelder** , Singular Elliptic Genus of Normal Surfaces *September 11,2008, 09:00 AM to 10:00 AM*
- **Sandor Kovacs** , Singularities in the Minimal Model Program *September 11,2008, 10:30 AM to 11:30 AM*
- **Shoji Yokura** , Motivic Characteristic classes of singulare varieties *September 11,2008, 01:15 PM to 02:15 PM*
- **Mark de Cataldo** , The perverse filtration and the Lefschetz Hyperplane Theorem *September 11,2008, 02:30 PM to 03:30 PM*
- **Ludmil Katzarkov** , Hodge Structures and Homological Mirror Symmetry *September 12,2008, 09:00 AM to 10:00 AM*
- **Gregory Pearlstein** , Singularities of Normal Functions *September 12,2008, 10:30 AM to 11:30 AM*
- **Joerg Schuermann** , Characteristic classes of mixed Hodge modules *September 12,2008, 01:15 PM to 02:15 PM*
- **Matt Kerr** , Hodge theory of Calabi-Yau fibrations *September 12,2008, 02:30 PM to 03:30 PM*

Participant List

MSRI Workshop:

Topology of Stratified Spaces

September 8 to September 12, 2008

at Mathematical Sciences Research Institute, Berkeley California

| Full Name | Role | Primary Institute |
|-------------------------------|---------------------|--|
| Avramidi, Grigori I | Invited Participant | University of Chicago |
| Bahuaud, Eric C | Participant | University of Washington |
| Banagl, Markus | Invited Participant | University of Heidelberg |
| Bhandari, Ganesh Raj | Participant | Tribhuvan University |
| Caibar, Mirel | Participant | The Ohio State University |
| Cappell, Sylvain E. | Speaker | New York University, Courant Institute |
| Carron, Gilles | Speaker | University of Nantes |
| Dai, Xianzhe | Invited Participant | UC Santa Barbara |
| de Cataldo, Mark Andrea | Invited Participant | Stony Brook University |
| Diaz, Rafael | Participant | Universidad Politecnica de las Fuerzas Armadas |
| Dryden, Emily B. | Participant | Bucknell University |
| Eddin, Dan | Participant | University of Missouri, Columbia |
| Fischer, Aron | Invited Participant | not available |
| Fowler, Jim A. | Participant | University of Chicago |
| Francsics, Gabor | Participant | Michigan State University |
| Friedman, Greg | Organizer | Texas Christian University |
| Gaffney, Terence J | Participant | Northeastern University |
| Gaisendrees, Florian J | Participant | University of Heidelberg |
| Ganter, Nora | Participant | Brooks Coop |
| Gasparovic, Ellen | Participant | University of North Carolina at Chapel Hill |
| Gimperlein, Heiko | Participant | Universität Hannover |
| Grandjean, Vincent Jean Henri | Member | University of Bath |
| Hunsicker, Eugenie | Organizer | Loughborough University |
| Iqbal, Sohail | Participant | University of Warwick |
| Katzarkov, Ludmil | Speaker | UC Irvine |
| Kennedy, Gary | Participant | Ohio State University |
| Kerr, Matt | Invited Participant | Durham University |
| Kovacs, Sandor J. | Invited Participant | University of Washington |
| Levikov, Filipp | Invited Participant | University of Heidelberg |
| Libgober, Anatoly S. | Organizer | University of Illinois |
| Matei, Daniel | Invited Participant | Institute of Mathematics of the Romanian Academy |
| Maxim, Laurentiu George | Organizer | University of Illinois at Chicago |
| Mazin, Mikhail | Invited Participant | University of Toronto |
| Mazzeo, Rafe | Speaker | Stanford University |
| McCrary, Clinton Graydon | Speaker | University of Georgia |
| McEwan, Lee J. | Participant | The Ohio State University |
| Pearlstein, Gregory James | Invited Participant | Michigan State University |
| Piazza, Paolo | Participant | Università di Roma "La Sapienza" |
| Pike, Brian | Participant | University of North Carolina at Chapel Hill |
| Rochon, Frederic | Participant | University of Toronto |
| Saper, Les | Speaker | Duke University |
| Schaedler, Konstantin | Invited Participant | University of Heidelberg |
| Schuermann, Joerg | Invited Participant | Muenster |
| Scott, Richard Allan | Participant | Santa Clara University |
| Straser, Oliver | Invited Participant | University of heidelberg |
| Tang, Xiang | Participant | Washington University |
| Trotman, David J.A. | Participant | Universite aix Marseille |
| Waelder, Robert | Invited Participant | University of Illinois at Chicago |
| Weinberger, Shmuel | Speaker | University of Chicago |
| Williams, Edward Bruce | Invited Participant | University of Notre Dame |
| Wunsch, Jared | Member | Northwestern University |
| Yamazaki, Kazuo | Participant | Stanford University |
| Yokura, Shoji - | Speaker | Kagoshima University |
| Yuzvinsky, Sergey | Invited Participant | University of Oregon |

Workshop Summary for Elliptic and Hyperbolic Equations on Singular Spaces

Held: October 27 to October 31, 2008

The Mathematical Sciences Research Institute

| Participant Information | |
|-------------------------|--|
| 87 participants | |

| Gender (n = 87 participants) | | |
|------------------------------|--------|----|
| Male | 78.16% | 68 |
| Female | 19.54% | 17 |
| Declined to state | 2.30% | 2 |

| Ethnicity (n = 76 participants) | | |
|---------------------------------|--------|----|
| White | 59.77% | 52 |
| Asian | 9.20% | 8 |
| Hispanic | 8.05% | 7 |
| Pacific Islander | 1.15% | 1 |
| Black | 0.00% | 0 |
| Native American | 1.15% | 1 |
| Declined to state | 8.05% | 7 |

Elliptic and Hyperbolic Equations on Singular Spaces

Invited Speakers

| | |
|---------------------------|--|
| Bunke, Ulrich | Georg-August-Universität |
| Burq, Nicolas | Université de Paris 11 (Sud) |
| Hassell, Andrew W. | Australian National University |
| Mitrea, Marius | University of Missouri, Columbia |
| Rodnianski, Igor | Princeton University |
| Saper, Les | Duke University |
| Schulze, Bert-Wolfgang | Universität Potsdam |
| Stern, Mark | Duke University |
| Tataru, Daniel I. | UC Berkeley |
| Zworski, Maciej | UC Berkeley |
| Christiansen, Tanya Julie | University of Missouri, Columbia |
| Guillarmou, Colin | Centre National de la Recherche Scient |
| Lebeau, Giles | École Polytechnique |
| Mazzeo, Rafe | Stanford University |
| Ivrii, Victor | University of Toronto |
| Zelditch, Steven | Johns Hopkins University |

Elliptic and Hyperbolic Equations on Singular Spaces Schedule

Monday October 27, 2008

| | | |
|-------------------|---------------------------|---|
| 09:30AM - 10:30AM | Giles Lebeau | a probabilistic view point |
| 10:30AM - 11:00AM | Coffee, Tea in the Atrium | |
| 11:00AM - 12:00PM | Andrew Hassell | Restriction theorems on asymptotically conic manifolds |
| 12:00PM - 02:00PM | Lunch | |
| 02:00PM - 03:00PM | Gregory Eskin | Inverse hyperbolic problems and optical(acoustic)black holes. |
| 03:00PM - 03:30PM | Coffee, tea in the atrium | |
| 03:30PM - 04:30PM | Steven Zelditch | The Cauchy problem for the homogeneous Monge Ampere geodesic equation |

Tuesday October 28, 2008

| | | |
|-------------------|---------------------------|---|
| 09:30AM - 10:30AM | Daniel Tataru | Global parametrices and decay for wave and Schroedinger evolutions on asymptotically flat backgrounds |
| 10:30AM - 11:00AM | Coffee, Tea in the atrium | |
| 11:00AM - 12:00PM | Nicolas Burq | "The resonant Hermite-Schrödinger equation on the sphere" |
| 12:00PM - 02:00PM | LUNCH | |
| 02:00PM - 03:00PM | Mark Stern | Minimal Energy Yang-Mills connections and interpolating instantons. |
| 03:00PM - 03:30PM | Coffee, tea in the atrium | |
| 03:30PM - 04:30PM | Tanya Christiansen | "The scattering matrix, sojourn times, and an inverse problem for planar waveguide |
| 04:45PM - 06:00PM | Reception in the atrium | |

Wednesday October 29, 2008

| | | |
|-------------------|---------------------------|--|
| 09:30AM - 10:30AM | Colin Guillarmou | Millson formula for infinite volume case, and the odd zeta Selberg function. |
| 10:30AM - 11:00AM | Coffee, Tea in the atrium | |
| 11:00AM - 12:00PM | Rafe Mazzeo | "Flexibility of singular Einstein metrics" |

Thursday October 30, 2008

| | | |
|-------------------|---------------------------|--|
| 09:30AM - 10:30AM | Marius Mitrea | Boundary Problems for the Laplacian in Convex Domains |
| 10:30AM - 11:00AM | Coffee, Tea in the atrium | |
| 11:00AM - 12:00PM | Robin Graham | 11 dimensional supergravity and asymptotically hyperbolic scattering |
| 12:00PM - 02:00PM | LUNCH | |
| 02:00PM - 03:00PM | Bert-Wolfgang Schulze | "The Iterative Structure of Corner Operators" |
| 03:00PM - 03:30PM | Coffee, Tea in the atrium | |

Friday October 31, 2008

| | | |
|-------------------|---------------------------|---|
| 09:30AM - 10:30AM | András Vasy | "Wave propagation and high energy resolvent estimates for De Sitter - Schwarzschild space |
| 10:30AM - 11:00AM | Coffee, tea in the atrium | |
| 11:00AM - 12:00PM | Maciej Zworski | Quantization on fractal trapped sets in scattering by convex obstacles |
| 12:00PM - 01:00PM | Victor Ivrii | Dynamics and Spectral Asymptotics |

Currently Available Videos

- **Giles Lebeau** , A Probabilistic Viewpoint *October 27,2008, 09:30 AM to 10:30 AM*
- **Andrew Hassell** , Restriction theorems on asymptotically conic manifolds *October 27,2008, 11:00 AM to 12:00 PM*
- **Gregory Eskin** , Inverse hyperbolic problems and optical(acoustic)black holes. *October 27,2008, 02:00 PM to 03:00 PM*
- **Steven Zelditch** , The Cauchy problem for the homogeneous Monge Ampere geodesic equation *October 27,2008, 03:30 PM to 04:30 PM*
- **Daniel Tataru** , Global parametrices and decay for wave and Schroedinger evolutions on asymptotically flat backgrounds *October 28,2008, 09:30 AM to 10:30 AM*
- **Nicolas Burq** , "The resonant Hermite-Schrödinger equation on the sphere" *October 28,2008, 11:00 AM to 12:00 PM*
- **Mark Stern** , Minimal Energy Yang-Mills connections and interpolating instantons. *October 28,2008, 02:00 PM to 03:00 PM*
- **Tanya Christiansen** , "The scattering matrix, sojourn times, and an inverse problem for planar waveguide *October 28,2008, 03:30 PM to 04:30 PM*
- **Colin Guillarmou** , Millson formula for infinite volume case, and the odd zeta Selberg function. *October 29,2008, 09:30 AM to 10:30 AM*
- **Rafe Mazzeo** , "Flexibility of singular Einstein metrics" *October 29,2008, 11:00 AM to 12:00 PM*
- **Marius Mitrea** , Boundary Problems for the Laplacian in Convex Domains *October 30,2008, 09:30 AM to 10:30 AM*
- **Robin Graham** , 11 dimensional supergravity and asymptotically hyperbolic scattering *October 30,2008, 11:00 AM to 12:00 PM*
- **Bert-Wolfgang Schulze** , "The Iterative Structure of Corner Operators" *October 30,2008, 02:00 PM to 03:00 PM*
- **András Vasy** , "Wave propagation and high energy resolvent estimates for De Sitter - Schwarzschild space *October 31,2008, 09:30 AM to 10:30 AM*
- **Maciej Zworski** , Quantization on fractal trapped sets in scattering by convex obstacles *October 31,2008, 11:00 AM to 12:00 PM*
- **Victor Ivrii** , Dynamics and Spectral Asymptotics *October 31,2008, 12:00 PM to 01:00 PM*

Participant List

MSRI Workshop:

Elliptic and Hyperbolic Equations on Singular Spaces

October 27 to October 31, 2008

at Mathematical Sciences Research Institute, Berkeley California

| Full Name | Role | Primary Institute |
|-------------------------------|---------------------|--|
| Albin, Pierre | Organizer | Massachusetts Institute of Technology |
| Aldana Dominguez, Clara Lucia | Participant | Universität Bielefeld |
| Bahuaud, Eric C | Participant | University of Washington |
| Baskin, Dean | Participant | Stanford University |
| Blair, Matthew David | Participant | University of New Mexico |
| Bouclet, Jean-Marc | Member | Université de Lille I |
| Brezina, Jan | Participant | Czech Academy of Sciences |
| Bunke, Ulrich | Speaker | Universität Regensburg |
| Burq, Nicolas | Speaker | Université de Paris 11 (Sud) |
| Carron, Gilles | Organizer | University of Nantes |
| Christiansen, Tanya Julie | Speaker | University of Missouri, Columbia |
| Dryden, Emily B. | Participant | Bucknell University |
| Eskin, Gregory | Invited Participant | UCLA |
| Felea, Raluca | Invited Participant | Rochester Institute of Technology |
| Filippakis, Michael E | Participant | National Technical University of Athens |
| Fogwell, Thomas | Participant | Weiss Associates |
| Ford, Austin | Invited Participant | Northwestern University |
| Francsics, Gabor | Participant | Michigan State University |
| Galstyan, Anahit Ann | Participant | University of Texas Pan American |
| Gimperlein, Heiko | Participant | Universität Hannover |
| Gornet, Ruth | Invited Participant | University of Texas at Arlington |
| Graham, Robin | Invited Participant | University of Washington |
| Grandjean, Vincent Jean Henri | Participant | University of Bath |
| Guillarmou, Colin | Speaker | Centre National de la Recherche Scientifique |
| hall, Michael | Participant | UCLA |
| Hassell, Andrew W. | Speaker | Australian National University |
| Hengesbach, Conrad | Member | UC Berkeley |
| Hillairet, Luc | Participant | Universite de Nantes |
| Hilsum, Michel | Participant | Centre National de la Recherche Scientifique |
| Hitrik, Michael | Participant | UC Berkeley |
| Hunsicker, Eugenie | Participant | Loughborough University |
| Ivanovici, Oana Danela | Invited Participant | Paris Sud University |
| Ivrii, Victor | Speaker | University of Toronto |
| Jasiczak, Michal J | Participant | Adam Mickiewicz University |
| Karmarkar, Sharada | Participant | Applied Geometries, LLC |
| Kottke, Christopher | Invited Participant | Massachusetts Institute of Technology |
| Krainer, Thomas | Participant | Pennsylvania State University |
| Krupchyk, Katsiaryna | Participant | University of Helsinki |
| Lebeau, Giles | Speaker | École Polytechnique |
| Lee, Jeonghun | Participant | University of Minnesota |
| Lee, John M. | Participant | University of Washington |
| Liu, Baoping | Participant | UC Berkeley |
| Loya, Paul | Participant | SUNY Binghamton |
| Marazzi, Leonardo | Invited Participant | Purdue University |
| Masamune, Jun | Invited Participant | Worcester Polytechnic Institute |
| Mazzeo, Rafe | Speaker | Stanford University |
| Mazzucato, Anna Laura | Participant | Pennsylvania State University |
| McDonald, Patrick T. | Participant | New College of Florida |
| Melrose, Richard Burt | Organizer | Massachusetts Institute of Technology |
| Mitrea, Marius | Speaker | University of Missouri, Columbia |
| Muller, Jorn | Participant | Universität Bielefeld |
| Nistor, Victor | Participant | Pennsylvania State University |
| Planchon, Fabrice | Participant | Université Paris 13 |
| Ponge, Raphael S. | Participant | University of Toronto |

| | | |
|---------------------------|---------------------|---|
| Qian, Randy Zhigang | Participant | Northwestern University |
| Rochon, Frederic | Participant | University of Toronto |
| Rochon, Frederic | Participant | University of Toronto |
| Rodnianski, Igor | Speaker | Princeton University |
| Rowlett, Julie Marie | Notetaker | UC Berkeley |
| Saez Trumper, Mariel Ines | Participant | Pontificia Universidad Catolica de Chile |
| Sandoval, Mary R. | Participant | Trinity College |
| Santoro, Bianca | Participant | Duke University |
| Saper, Les | Speaker | Duke University |
| Schulze, Bert-Wolfgang | Speaker | universitat potsdam |
| Sene, Abdoulaye | Participant | Cheikh Anta DIOP University |
| Singer, Michael A. | Participant | University of Edinburgh |
| Stanhope, Elizabeth | Participant | Lewis & Clark University |
| Stefanov, Plamen | Participant | Purdue University |
| Steinhauer, Dustin | Participant | UC Berkeley |
| Stern, Mark | Speaker | Duke University |
| Stern, Mark | Participant | Duke University |
| Tataru, Daniel I. | Speaker | UC Berkeley |
| Taylor, Michael | Organizer | University of North Carolina, Chapel Hill |
| Trotman, David J.A. | Participant | Universite aix Marseille |
| Uhlmann, Gunther | Participant | University of Washington |
| VanValkenburgh, Michael | Participant | UC Berkeley |
| Vasy, András | Organizer | Stanford University |
| Vertman, Boris | Invited Participant | University Bonn |
| Viola, Joseph | Participant | UC Berkeley |
| Voight, John Michael | Invited Participant | University of Vermont |
| Wang, Fang | Invited Participant | Massachusetts Institute of Technology |
| Witt, Ingo | Invited Participant | University of Goettingen |
| Wrii, Victor | Participant | not available |
| Wunsch, Jared | Organizer | Northwestern University |
| Xu, Feng | Participant | Duke University |
| Zelditch, Steven | Speaker | Johns Hopkins University |
| Zworski, Maciej | Speaker | UC Berkeley |

MSRI Workshop on Discrete Rigidity Phenomena November 3rd-7th 2008

Organized by Bryna Kra and Ben Green (chief organizers) with Maté Wierdl, Anthony Quas and Emmanuel Lesigne.

Overview. The term “Discrete Rigidity Phenomena” was invented specially for this workshop, which was one of the highlights of the special semester on Ergodic Theory and Additive Combinatorics. Although many of the invited speakers were bemused (or occasionally amused) by the title, they all gave talks very much within the intended spirit of the workshop. This strongly suggests that the time was ripe for such a meeting.

What, then, are Discrete Rigidity Phenomena? Organizer Ben Green gave the opening lecture at the conference, outlining his interpretation of one aspect of this area of study. He mentioned various types of approximate objects arising in additive combinatorics, specifically approximate groups, approximate homomorphisms, and approximate polynomials. He asked a number of questions about such objects, many of which demanded that approximate objects be described more explicitly, perhaps in terms of their exact algebraic analogs or closely related structures. In the talk Green showed that some of the key questions of additive combinatorics, specifically Freiman’s theorem and the inverse conjectures for the Gowers norms, may be understood within this context.

Over the course of the week the notion of a Discrete Rigidity Phenomenon, as delineated by the participants’ lectures, grew considerably from this rather focussed core.

The program. The week consisted largely of lectures, with four or five on each day except Wednesday when, as is the custom at MSRI, a free afternoon was declared. Monday afternoon included an MSRI/Evans lecture by Fields Medalist Tim Gowers down the hill at Berkeley on the subject of “What is Quadratic Fourier Analysis.” In this lecture Gowers discussed some of the ideas from his famous 1998 work on Szemerédi’s theorem, regarded by many as the place where modern additive combinatorics was born. He proceeded to bring those ideas thoroughly up to date whilst carefully staying within the brief of this series of talks, designed for a general audience.

Back up the hill, one common theme was the interplay between ergodic theory and additive combinatorics. This was exemplified by the talks of Bernard Host, who discussed joint work with Kra and Maass on nilsequences from an analyst’s viewpoint, and Terry Tao, who discussed his joint work with Green on “discrete” dynamics on nilmanifolds and its applications to prime number theory. Tamar Ziegler presented very interesting recent joint work with Trevor Wooley on finding progressions with “arithmetic” common differences in certain sets, and Hillel Furstenberg gave a talk connecting additive combinatorics with so-called piecewise Bohr sets, objects which have recently been studied on the “ergodic” side. The purer ergodic side of the subject was represented by Manfred Einsiedler’s talk on issues related to Ratner’s measure rigidity theorem (the name of which was the inspiration for the present workshop). Meanwhile, the interplay between dynamics and number theory was brought to the fore by Adrian Ubis’ discussion of his recent joint work with Peter Sarnak on the horocycle flow at prime times.

A second major theme centered around the Gowers norms, introduced by Gowers in his work on Szemerédi’s theorem and now rather central to additive combinatorics. Hamed Hatami gave a talk about some very general kinds of norms arising in graph

theory which, in a certain sense, generalize Gowers's construction. Julia Wolf talked about her joint work with Tim Gowers clarifying exactly which Gowers norms are necessary to control specific types of linear expressions related to arithmetic progressions. Her talk featured a certain "decomposition" theorem in which a function is split into structured and random parts. Luca Trevisan spoke about a very similar type of result that he was able to approach using language from game theory and computer science. Ryan O'Donnell gave a highly entertaining and polished account of the importance of Gowers-type norms, and in particular the inverse questions associated with them, in theoretical computer science.

A third big theme was a discussion of approximate groups from various perspectives. Harald Helfgott presented his new result on the approximate subgroups of $SL_3(F_p)$, whilst Emmanuel Breuillard gave a wonderfully accessible account of his so-called Uniform Tits Alternative, a result about a certain notion of approximate subgroups in $GL_n(k)$. Tom Sanders presented a very general result about approximate groups, valid in quite unstructured settings, and in particular for all solvable groups and all torsion-free groups. James Lee gave a talk establishing quantitative information on sets with a certain precise type of polynomial growth, establishing that they have a nontrivial image inside reasonably small matrix groups over C . Mei-Chu Chang discussed sum-product theorems and their applications to classical character sum estimates, but concluded with some intriguing speculations about approximate subgroups of $SL_3(C)$. Alexander Gamburd described his work with Bourgain in which the aforementioned additive-combinatorial type results are applied to yield spectacular results about the existence of expanders as Cayley graphs associated to matrix groups. Elon Lindenstrauss gave some hints of his very impressive work joint with Bourgain, Furman and Mozes. In this work they prove, under quite general conditions, that if one takes a walk on the d -dimensional torus T^d by acting using a randomly selected integer matrix from some finite set, this walk becomes uniformly distributed with advancing time.

Lastly (and most welcome) there were a few talks by major experts which did not fit so easily into the above categories, but which amply demonstrated the power of "discrete rigidity phenomenological" modes of thinking in other areas. Avi Wigderson gave a fascinating talk on extractors in computer science and their link with additive combinatorics. Jean Bourgain discussed his amazing work with Gamburd and Sarnak locating almost primes in very strange places – orbits of linear groups. Finally, Akshay Venkatesh hinted at his tour-de-force work with Einsiedler and Margulis on quantitative versions of Ratner's theorem, and went on to discuss how more can be said in number-theoretical situations, where one may benefit from the presence of Hecke operators.

Participants. There were a total of 85 registered participants, as well as numerous other participants from the members in the semester long program at MSRI on additive combinatorics and ergodic theory. This included 23 graduate students.

Funding. The conference was funded by grants from the National Security Agency and from the National Science Foundation.

Workshop Summary for Discrete Rigidity Phenomena in Additive Combinatorics

Held: November 3 to November 7, 2008
The Mathematical Sciences Research Institute

| Participant Information | |
|-------------------------|--|
| 87 participants | |

| Gender (n = 87 participants) | | |
|------------------------------|--------|----|
| Male | 80.46% | 70 |
| Female | 19.54% | 17 |
| Declined to state | 0.00% | 0 |

| Ethnicity (n = 78 participants) | | |
|---------------------------------|--------|----|
| White | 52.87% | 46 |
| Asian | 19.54% | 17 |
| Hispanic | 6.90% | 6 |
| Pacific Islander | 0.00% | 0 |
| Black | 2.30% | 2 |
| Native American | 0.00% | 0 |
| Declined to state | 8.05% | 7 |

Discrete Rigidity Phenomena in Additive Combinatorics

Invited Speakers

| | |
|-----------------------------|--|
| Bourgain, Jean | Institute for Advanced Study |
| Breuillard, Emmanue F. | Ecole Polytechnique |
| Chang, Mei-Chu | UC Riverside |
| Einsiedler, Manfred Leopold | The Ohio State University |
| Frantzikinakis, Nikos | University of Memphis |
| Furstenberg, Hillel | Hebrew University |
| Gamburd, Alexander | Northwestern University |
| Gowers, Timothy | Cambridge University |
| Helfgott, Harald Andres | University of Montreal |
| Host, Bernard | Université de Marne-la-Vallée |
| Lee, James | University of Washington |
| Lindenstrauss, Elon Bruno | Hebrew University |
| O'Donnell, Ryan William | Microsoft Corp. |
| Sanders, Tom | Cambridge University |
| Sarnak, Peter C. | Princeton University |
| Tao, Terence | UC Berkeley |
| Trevisan, Luca | UC Berkeley |
| Ubis, Adrian | not available |
| Venkatesh, Akshay | New York University, Courant Institute |
| Wigderson, Avi | Hebrew University |
| Wolf, Julia | Institute for Advanced Study |
| Ziegler, Tamar D | Technion |

Discrete Rigidity Phenomena in Additive Combinatorics Schedule

Monday November 3, 2008

| | | |
|-------------------|--------------------------------------|---|
| 09:00AM - 09:45AM | Ben Green | Discrete Rigidity Phenomena |
| 10:15AM - 11:00AM | Alexander Gamburd | Uniform spectral gap bounds |
| 11:15AM - 12:00PM | Bernard Host | How does one recognize a nilsequence? |
| 02:15PM - 03:00PM | Mei-Chu Chang | Sum-Product and Character Sums in finite fields |
| 04:00PM - 05:00PM | MSRI/Evans Lecture by Timothy Gowers | |

Tuesday November 4, 2008

| | | |
|-------------------|--------------------|--|
| 10:00AM - 10:45AM | Emmanue Breuillard | A strong Tits Alternative and Arithmetic Heights |
| 11:15AM - 12:00PM | Harald Helfgott | Growth in SL_3 |
| 01:45PM - 02:30PM | Avi Wigderson | Randomness Extractors |
| 02:35PM - 03:20PM | Tom Sanders | Freiman-type theorems in nonabelian groups |
| 03:45PM - 04:30PM | Tamar Ziegler | Multiple recurrence along the shifted primes |

Wednesday November 5, 2008

| | | |
|-------------------|-------------------------|--|
| 09:00AM - 09:45AM | Manfred Einsiedler | Rigidity of unipotent joinings in positive characteristic. |
| 10:15AM - 11:00AM | Ryan O'Donnell | Inverse Theorems and Inapproximability |
| 11:15AM - 12:00PM | Elon Lindenstrauss | On stationary measures and random walks on tori. |
| 12:00PM - 06:00PM | No talks this afternoon | |

Thursday November 6, 2008

| | | |
|-------------------|--------------------|---|
| 10:00AM - 10:45AM | James Lee | Eigenvalue multiplicity and growth of groups |
| 11:15AM - 12:00PM | Adrian Ubis | The horocycle flow and primes |
| 01:45PM - 02:30PM | Jean Bourgain | Extension of Selberg's theorem and sieving in |
| 02:35PM - 03:20PM | Akshay Venkatesh | Uniform distribution and Hecke Operators |
| 04:00PM - 04:45PM | Hillel Furstenberg | Additive Combinatorics and Piecewise Bohr Subsets of \mathbb{N} |

Friday November 7, 2008

| | | |
|-------------------|---------------|---|
| 10:00AM - 10:45AM | Julia Wolf | Decompositions into polynomial phase functions |
| 11:15AM - 12:00PM | Luca Trevisan | A "Boosting" proof of the weak regularity lemma |
| 01:45PM - 02:30PM | Hamed Hatami | Graph norms and Sidorenko's conjecture |
| 02:35PM - 03:20PM | Terence Tao | Distribution of Orbits on Nilmanifolds |

Currently Available Videos

- **Ben Green** , Discrete Rigidity Phenomena *November 3,2008, 09:00 AM to 09:45 AM*
- **Alexander Gamburd** , Uniform spectral gap bounds *November 3,2008, 10:15 AM to 11:00 AM*

- **Bernard Host** , How does one recognize a nilsequence? *November 3,2008, 11:15 AM to 12:00 PM*
- **Mei-Chu Chang** , Sum-Product and Character Sums in finite fields *November 3,2008, 02:15 PM to 03:00 PM*
- **Emmanue Breuillard** , A strong Tits Alternative and Arithmetic Heights *November 4,2008, 10:00 AM to 10:45 AM*
- **Harald Helfgott** , Growth in SL_3 *November 4,2008, 11:15 AM to 12:00 PM*
- **Avi Wigderson** , Randomness Extractors *November 4,2008, 01:45 PM to 02:30 PM*
- **Tom Sanders** , Freiman-type theorems in nonabelian groups *November 4,2008, 02:35 PM to 03:20 PM*
- **Tamar Ziegler** , Multiple recurrence along the shifted primes *November 4,2008, 03:45 PM to 04:30 PM*
- **Manfred Einsiedler** , Rigidity of unipotent joinings in positive characteristic *November 5,2008, 09:00 AM to 09:45 AM*
- **Ryan O'Donnell** , Inverse Theorems and Inapproximability *November 5,2008, 10:15 AM to 11:00 AM*
- **Elon Lindenstrauss** , On stationary measures and random walks on tori. *November 5,2008, 11:15 AM to 12:00 PM*
- **James Lee** , Eigenvalue multiplicity and growth of groups *November 6,2008, 10:00 AM to 10:45 AM*
- **Adrian Ubis** , The horocycle flow and primes *November 6,2008, 11:15 AM to 12:00 PM*
- **Jean Bourgain** , Extension of Selberg's theorem and sieving in *November 6,2008, 01:45 PM to 02:30 PM*
- **Akshay Venkatesh** , Uniform distribution and Hecke Operators *November 6,2008, 02:35 PM to 03:20 PM*
- **Hillel Furstenberg** , Additive Combinatorics and Piecewise Bohr Subsets of \mathbb{N} *November 6,2008, 04:00 PM to 04:45 PM*
- **Julia Wolf** , Decompositions into polynomial phase functions *November 7,2008, 10:00 AM to 10:45 AM*
- **Luca Trevisan** , A Boosting proof of the weak regularity lemma *November 7,2008, 11:15 AM to 12:00 PM*
- **Hamed Hatami** , Graph norms and Sidorenko's conjecture *November 7,2008, 01:45 PM to 02:30 PM*
- **Terence Tao** , Distribution of Orbits on Nilmanifolds *November 7,2008, 02:35 PM to 03:20 PM*

Participant List

MSRI Workshop:

Discrete Rigidity Phenomena in Additive Combinatorics

November 3 to November 7, 2008

at Mathematical Sciences Research Institute, Berkeley California

| Full Name | Role | Primary Institue |
|------------------------------|---------------------|--|
| Allahbakhshi, Mahnaz | Invited Participant | University of Victoria |
| Atingabunor, George Amoah | Participant | Khomanani Business College |
| Austin, Tim | Invited Participant | UC Berkeley |
| Beame, Paul | Participant | University of Washington |
| Bourgain, Jean | Speaker | Institute for Advanced Study |
| Breillard, Emmanue F. | Speaker | Ecole Polytechnique |
| Bukh, Boris | Invited Participant | Princeton University |
| Bystron, Jakub | Participant | Karlovy (Charles) University |
| Campbell, James T. | Participant | University of Memphis |
| Chan, Siu Man | Participant | UC Berkeley |
| Chan, Siu On | Participant | UC Berkeley |
| Chang, Mei-Chu | Speaker | UC Riverside |
| Chism, Lyrial Marie | Participant | University of Mississippi |
| Chu, Qing | Invited Participant | Universite Paris-Est Marne-La-Vallee |
| Coronel, Alvaro | Invited Participant | Universidad de Chile |
| Cruz Morales, John Alexander | Participant | Universidad Nacional de Colombia |
| De, Anindya | Participant | UC Berkeley |
| Einsiedler, Manfred Leopold | Speaker | Ohio State University |
| Eisner, Tatjana | Invited Participant | Eberhard-Karls-Universität Tübingen |
| Ferenczi, Sebastien Simon | Participant | National Council for Scientific Research |
| Frantzikinakis, Nikos | Speaker | University of Memphis |
| Furstenberg, Hillel | Speaker | The Hebrew University of Jerusalem |
| Gamburd, Alexander | Speaker | Northwestern University |
| Gill, Nick | Participant | University of Cambridge |
| Gowers, Timothy | Speaker | University of Cambridge |
| Green, Ben Joseph | Organizer | University of Cambridge |
| Hatami, Hamed | Invited Participant | University of Toronto |
| Helfgott, Harald Andres | Speaker | University of Montreal |
| Hochman, Michael Zvi | Participant | Princeton University |
| Host, Bernard | Speaker | Université de Marne-la-Vallée |
| Jean-Francois, Bertazzon | Participant | Universite aix Marseille |
| Jones, Nathan C | Invited Participant | Universite de Montreal |
| Kaufman, Tali | Invited Participant | Massachusetts Institute of Technology |
| Kra, Bryna | Organizer | Northwestern University |
| Kurlberg, Par Martin | Participant | Royal Institute of Technology |
| Le, Anh Vinh | Invited Participant | Harvard University |
| Le, Thai Hoang | Invited Participant | UCLA |
| Lee, Jaewoo | Invited Participant | City University of New York (CUNY) |
| Lee, James | Speaker | University of Washington |
| Lesigne, Emmanuel | Organizer | Université François Rabelais |
| Lind, Douglas | Participant | University of Washington |
| Lindenstrauss, Elon Bruno | Speaker | Hebrew University |
| Lu, Guangshi | Participant | Stanford University |
| Maass, Alejandro Eduardo | Participant | University of Chile |
| Matthiesen, Lilian | Invited Participant | University of Cambridge |
| McClendon, David Matthew | Participant | Northwestern University |
| McCutcheon, Randall G | Participant | University of Memphis |
| Nedev, Zhivko | Participant | University of Victoria |
| Nguyen, Hoi Huu | Invited Participant | Rutgers University |
| O'Donnell, Ryan | Participant | Carnegie-Mellon University |
| O'Donnell, Ryan William | Speaker | Microsoft Corp. |
| Oliveira e Silva, Diogo | Participant | UC Berkeley |
| Omar, Sami | Participant | University of Tunis |
| Packer, Judy | Participant | University of Colorado |

| | | |
|------------------------------|---------------------|--|
| Pete, Gabor | Participant | University of Toronto |
| Pitassi, Toniann | Participant | University of Toronto |
| Potgieter, Paul | Participant | University of South Africa |
| Potts, Amanda | Invited Participant | Northwestern University |
| Pramanik, Malabika | Invited Participant | Univ of British Columbia |
| Prendiville, Sean | Invited Participant | University of Bristol |
| Quas, Anthony | Organizer | University of Victoria |
| Roy, Emmanuel | Participant | Institut Galilée |
| Sanders, Tom | Speaker | University of Cambridge |
| Sarnak, Peter C. | Speaker | Princeton University |
| Schraudner, Michael Heinrich | Participant | University of Chile |
| Shkredov, Ilya Dmitrievich | Participant | Moscow State University |
| Shmerkin, Pablo S | Participant | University of Jyväskylä |
| Sisask, Olof | Invited Participant | University of Cambridge |
| So, Wasin | Participant | San Jose State University |
| Solomyak, Boris | Invited Participant | University of Washington |
| Solyosi, Jozsef | Invited Participant | University of British Columbia |
| subedi, deepak | Participant | North-Eastern Hill University |
| Tao, Terence | Speaker | UC Berkeley |
| Towsner, Henry Piers | Participant | Carnegie Mellon University |
| Trevisan, Luca | Speaker | UC Berkeley |
| Tulsiani, Madhur | Participant | UC Berkeley |
| Ubis, Adrian | Speaker | not available |
| Venkatesh, Akshay | Speaker | New York University, Courant Institute |
| Wang, Yonghui | Participant | Stanford University |
| Wang, Zhiren | Invited Participant | Princeton University |
| Wierdl, Mate | Organizer | University of Memphis |
| Wigderson, Avi | Speaker | Hebrew University |
| Wolf, Julia | Speaker | Institute for Advanced Study |
| Xu, Zhe | Participant | Northwestern University |
| Yekhanin, Sergey | Invited Participant | Microsoft Corp. |
| Zelenyuk, Yuliya | Participant | University of the Witwatersrand |
| Ziegler, Tamar D | Speaker | Technion |

Workshop Summary for International Conference on Cluster Algebras and Related Topics

Held: December 8 to December 20, 2008
The Mathematical Sciences Research Institute

| Participant Information | |
|-------------------------|--|
| 17 participants | |

| Gender (n = 17 participants) | | |
|------------------------------|--------|----|
| Male | 76.47% | 13 |
| Female | 23.53% | 4 |
| Declined to state | 0.00% | 0 |

| Ethnicity (n = 15 participants) | | |
|---------------------------------|--------|---|
| White | 35.29% | 6 |
| Asian | 29.41% | 5 |
| Hispanic | 5.88% | 1 |
| Pacific Islander | 0.00% | 0 |
| Black | 5.88% | 1 |
| Native American | 0.00% | 0 |
| Declined to state | 11.76% | 2 |

Participant List

MSRI Workshop:

International Conference on Cluster Algebras and Related Topics

December 8 to December 20, 2008

at Mathematical Sciences Research Institute, Berkeley California

| Full Name | Role | Primary Institute |
|-------------------|---------------------|---------------------------------------|
| Appel, Andrea | Invited Participant | Northeastern University |
| Salvatore, Stella | Invited Participant | Northeastern University |
| Carrol, Andrew | Invited Participant | Northeastern University |
| Labardini, Daniel | Invited Participant | Northeastern University |
| Lam, Thomas | Invited Participant | Harvard |
| Fei, Jarui | Invited Participant | Ann Arbor |
| Gautam, Sachin | Invited Participant | Northeastern University |
| Musiker, Gregg | Invited Participant | Massachusetts Institute of Technology |
| Pylyavskyy, Pavlo | Invited Participant | Ann Arbor |
| Serrano, Luis | Invited Participant | Ann Arbor |
| Speyer, David | Invited Participant | Massachusetts Institute of Technology |
| Sutar, Kavita | Invited Participant | Northeastern University |
| Talaska, Kelli | Invited Participant | Ann Arbor |
| Thind, Jaimal | Invited Participant | SUNY Stony Brook |
| Tran, Tao | Invited Participant | Northeastern University |
| Williams, Lauren | Invited Participant | Harvard |

Algebraic Statistics

Final Report for the MSRI workshop, December 15-18, 2008
Organizers: Serkan Hoşten, Lior Pachter and Bernd Sturmfels

Algebraic statistics is a maturing discipline focused on the applications of algebraic geometry and its computational tools in the study of statistical models. Initial results in the area were related to specific problems in categorical data analysis and experimental design, however a flurry of activity during the past several years has greatly increased the scope of the subject. Areas of interest now include graphical models, maximum likelihood estimation and Bayesian methods. Moreover, a strong connection has developed to applications in the physical and biological sciences. The field draws its tools not only from computational algebraic geometry but also from tropical, convex, and information geometry. Moreover, research in algebraic statistics has led to new directions in those fields. The highly successful workshop provided a unique focal point for students, postdocs and leaders in the field.

There was a total number of 77 registered participants, most of which were either graduate students or young mathematicians or statisticians, within three years of their PhD. There was a strong contingent of Berkeley students, since Bernd Sturmfels had just finished teaching a one-semester introductory course on Algebraic Statistics down the hill. Particular attention was paid to creating synergy with – and complementarity to – the one-year program 2008-09 on *Algebraic methods in Statistics and Systems Biology* at SAMSI.

The four-day workshop had a total number of 23 talks. Nine of them were one-hour talks, delivered in the mornings by senior researchers, while the other 14 talks lasted 40 minutes, and all the latter speakers were either postdocs or graduate students. The series of morning talks started with Milan Studený who gave an overview on conditional independence structures and recent research with Raymond Hemmecke on polyhedral methods. Aleksandra Slavkovich presented the use of Markov bases as a tool for statistical problems in disclosure limitation and privacy, important area of societal concern. Applications to the life sciences were in the foreground in the presen-

tations by Marta Casanellas, who spoke on recent advances on phylogenetic invariants, and Ruriko Yoshida, who laid out a geometrical framework for addressing problems about cophylogenies in evolutionary biology. Her lively presentation energized the graduate students, as it included the offer of a cash prize of 10,000 Yen for the solution to a relevant mathematics question.

The connection between algebraic statistics and information geometry was highlighted in the presentations by Nihat Ay, who introduced the natural geometry of exponential families, and Giovanni Pistone, who demonstrated the use of Gröbner basis techniques for inference in information geometry. Particular highlights of the conference were the lectures by two senior statisticians from Japan. Akimichi Takemura persuasively showed how the representation theory of finite groups can be applied to determine the invariance of hierarchical models and thus facilitate the computation of their Markov bases. Sumio Watanabe spoke about the real log-canonical threshold of a parametrized statistical model. His fascinating work demonstrates the practical use of resolution of singularities in the asymptotic evaluation of marginal likelihood integrals in Bayesian statistics. Last but not least, Seth Sullivant surveyed recent advances on finiteness theorems in algebraic statistics, with particular emphasis on his breakthrough with Chris Hillar on finite generation of symmetric ideals in polynomial rings with countably many unknowns.

The 14 talks by students and postdocs were all extremely well-presented, and they demonstrated an amazing range of high-level research in algebraic statistics and related fields of inquiry. The presenters and their topics were:

Risi Kondor: Non-commutative harmonic analysis in machine learning
Sonja Petrovic: Markov basis for p_1 random graph models
Vilho Csizsar: Hierarchical models for random permutations
Lek-Heng Lim: Algebraic models for higher-order correlations
Piotr Zwiernik: Semialgebraic description of conditional independ. models
Luke Oeding: Towards the salmon conjecture
Serguei Norine: Markov bases of binary graph models
Alexander Schoenhuth: Equations for hidden Markov and related models
Shaowei Lin: Asymptotic approximation of marginal likelihood integrals
Han Xiao: Finiteness of small factor analysis models
Daniel Bates: Exactness in numerical algebraic computations
Philipp Rostalski: Moment matrices and real root finding
Ahmad Yasamin: The Wishart distribution over a Lorentz cone
Caroline Uhler: Commuting birth-and-death processes

Algebraic Statistics

December 15, 2008 to December 18, 2008

Schedule

| Monday December 15, 2008 | | |
|----------------------------------|---|--|
| 9:15AM - 9:30AM | Welcome From MSRI Director, Robert Bryant | |
| 09:30AM - 10:30AM | Milan Studeny | Conditional independence structures and polyhedra |
| 10:30AM - 11:00AM | coffee, tea in the atrium | |
| 11:00AM - 12:00PM | Aleksandra Slavkovich | Algebraic Statistics and Disclosure Limitation Problems |
| 12:00PM - 1:30AM | Lunch | |
| 1:30PM - 2:10PM | Risi Kondor | Non-commutative harmonic analysis in machine learning |
| 2:20PM - 3:00PM | Sonja Petrovic | Markov basis for p_1 random graph models |
| 3:00PM - 3:30PM | Coffe, tea in the atrium | |
| 3:30PM - 4:10PM | Villo Csizsar | Hierarchical models for random permutations |
| 4:20PM - 5:00PM | Lek-Heng Lim | Algebraic models for higher-order correlations |
| Tuesday December 16, 2008 | | |
| 9:30AM - 10:30AM | Akimichi takemura | Perturbation method for determining group of invariance of hierarchical models |
| 10:30AM - 11:00AM | Coffee, tea in the atrium | |
| 11:00AM - 12:00PM | Marta Casanellas | Phylogenetic invariants |
| 12:00PM - 1:30PM | Lunch | |
| 1:30PM - 2:10PM | Piotr Zwiernik | Semi-algebraic description of conditional independence tree models for binary data |
| 2:20PM - 3:00PM | Luke Oeding | Towards the salmon conjecture |
| 3:00PM - 3:30PM | Coffee, tea in the atrium | |
| 3:30PM - 4:10PM | Serguei Norine | Markov bases of binary graph models |
| 4:20PM - 5:00PM | Alexander Schoenhuth | Equations for hidden Markov and related models |
| 5:00PM - 7:00PM | Reception in the atrium | |

Wednesday December 17, 2008

| | | |
|-------------------|---------------------------|---|
| 9:30AM - 10:30AM | Nihat Ay | On the natural geometry of exponential families |
| 10:30AM - 11:00AM | Coffee, tea in the atrium | |
| 11:00AM - 12:00PM | Sumio Watanabe | Algebraic Geometrical Method in Singular Statistical Estimation |
| 12:00PM - 1:30PM | Lunch | |
| 1:30PM - 2:10PM | Shaowei Lin | Asymptotic Approximation of Marginal Likelihood Integrals |
| 2:20PM - 3:00PM | Han Xiao | Finiteness of small factor analysis models |
| 3:00PM - 3:30PM | Coffee, tea in the atrium | |
| 3:30PM - 4:10PM | Daniel Bates | Exactness in numerical algebraic computations |
| 4:20PM - 5:00PM | Philipp Rostalski | Moment matrices and real root finding |
| 6:00PM - 8:00PM | Dinner TBD | |

Thursday December 18, 2008

| | | |
|-------------------|---------------------------|--|
| 9:30AM - 10:30AM | Ruriko Yoshida | First steps toward the geometry of cophylogeny |
| 10:30AM - 11:00AM | Coffee, tea in the atrium | |
| 11:00AM - 12:00PM | Giovanni Pistone | Algebraic statistics in non-parametric information geometry" |
| 12:00PM - 1:30PM | Lunch | |
| 1:30PM - 2:10PM | Ahmad Yasamin | Hypothesis Testing For The Wishart Distributions Over A Lorentz Cone |
| 2:20PM - 3:00PM | Caroline Uhler | Commuting birth-and-death processes |
| 3:00PM - 3:30PM | Coffee, tea in the atrium | |
| 3:30PM - 4:30PM | Seth Sullivant | Finiteness theorems in algebraic statistics |

Current Available Videos

- **Milan Studeny** , [Conditional independence structures and polyhedra](#) December 15,2008, 09:30 AM to 10:30 AM
- **Aleksandra Slavkovich** , [Algebraic Statistics and Disclosure Limitation Problems](#) December 15,2008, 11:00 AM to 12:00 PM
- **Risi Kondor** , [Non-commutative harmonic analysis in machine learning](#) December 15,2008, 01:30 PM to 02:10 PM
- **Sonja Petrovic** , [Markov basis for p1 random graph models](#) December 15,2008, 02:20 PM to 03:00 PM
- **Villo Csizsar** , [Hierarchical models for random permutations](#) December 15,2008, 03:30 PM to 04:10 PM
- **Lek-Heng Lim** , [Algebraic models for higher-order correlations](#) December 15,2008, 04:20 PM to 05:00 PM
- **Akimichi takemura** , [Perturbation method for determining group of invariance of hierarchical models](#) December 16,2008, 09:30 AM to 10:30 AM
- **Marta Casanellas** , [Phylogenetic invariants](#) December 16,2008, 11:00 AM to 12:00 PM
- **Piotr Zwiernik** , [Semi-algebraic description of conditional independence tree models for binary data](#) December 16,2008, 01:30 PM to 02:10 PM
- **Luke Oeding** , [Towards the salmon conjecture](#) December 16,2008, 02:20 PM to 03:00 PM
- **Serguei Norine** , [Markov bases of binary graph models](#) December 16,2008, 03:30 PM to 04:10 PM
- **Alexander Schoenhuth** , ["Equations for hidden Markov and related models"](#) December 16,2008, 04:20 PM to 05:00 PM
- **Nihat Ay** , [On the natural geometry of exponential families](#) December 17,2008, 09:30 AM to 10:30 AM

- **Sumio Watanabe** , [Algebraic Geometrical Method in Singular Statistical Estimation](#) *December 17,2008, 11:00 AM to 12:00 PM*
- **Shaowei Lin** , [Asymptotic Approximation of Marginal Likelihood Integrals](#) *December 17,2008, 01:30 PM to 02:10 PM*
- **Han Xiao** , [Finiteness of small factor analysis models](#) *December 17,2008, 02:20 PM to 03:00 PM*
- **Daniel Bates** , [Exactness in numerical algebraic computations](#) *December 17,2008, 03:30 PM to 04:10 PM*
- **Philipp Rostalski** , [Moment matrices and real root finding](#) *December 17,2008, 04:20 PM to 05:00 PM*
- **Ruriko Yoshida** , [First steps toward the geometry of cophylogeny](#) *December 18,2008, 09:30 AM to 10:30 AM*
- **Giovanni Pistone** , [Algebraic statistics in non-parametric information geometry"](#) *December 18,2008, 11:00 AM to 12:00 PM*
- **Ahmad Yasamin** , [Hypothesis Testing For The Wishart Distributions Over A Lorentz Cone](#) *December 18,2008, 01:30 PM to 02:10 PM*
- **Caroline Uhler** , [Commuting birth-and-death processes](#) *December 18,2008, 02:20 PM to 03:00 PM*
- **Seth Sullivant** , [Finiteness theorems in algebraic statistics](#) *December 18,2008, 03:30 PM to 04:30 PM*

Speaker List
MSRI Workshop
Algebraic Statistics
December 15, 2008 to December 18, 2008
at Mathematical Sciences Research Institute, Berkeley California

| Full Name | Role | Primary Institute |
|------------------------|-------------|--|
| Ay, Nihat | Speaker | Max Planck Institute for Colloids and Interfaces |
| Casanellas, Marta | Speaker | Universitat Politècnica de Catalunya |
| Csiszar, Villo | Speaker | Eötvös Loránd University (ELTE) |
| Hower, Valerie Marie | Speaker | Georgia Institute of Technology |
| Kondor, Risi | Speaker | University College |
| Petrovic, Sonja | Speaker | University of Illinois |
| Pistone, Giovanni | Speaker | Politecnico Di Torino |
| Slavkovich, Aleksandra | Speaker | Pennsylvania State University |
| Studený, Milan | Speaker | Institute of information theory and Automation |
| Sullivan, Seth Michael | Speaker | UCB - University of California, Berkeley |
| takemura, Akimichi | Speaker | The University of Tokyo |
| Uhler, Caroline | Speaker | University of California |
| Watanabe, Sumio | Speaker | Tokyo Institute of Technology |
| Yoshida, Ruriko | Speaker | University of Kentucky |
| Zwiernik, Piotr | Speaker | University of Warwick |

Participant List
 MSRI Workshop
Algebraic Statistics
 December 15, 2008 to December 18, 2008
 at Mathematical Sciences Research Institute, Berkeley California

| Full Name | Role | Primary Institute |
|-----------------------------|-----------------------|---|
| Amini, Omid | Participant | Institut Max-Planck MPIL, Allemagne & Université McGill |
| Arcak, Murat | Participant | University of California |
| Ay, Nihat | Speaker | Max Planck Institute for Colloids and Interfaces |
| Bates, Daniel J | Participant | Colorado State University |
| Beck, Matthias | Participant | San Francisco State University |
| Bi, Shuchau | Participant | University of California |
| Birkner, René | Participant | Freie Universität Berlin, Institut für Mathematik |
| Canepa, Bogdan | Participant | University of Bucharest |
| Canepa, Elena Cristina | Participant | Carnegie-Mellon University |
| Capobianco, Enrico | Participant | Center for Research and Development in Sardinia, Bioinformatics Laboratory, Science and Technology Park |
| Cartwright, Dustin | Participant | Department of Mathematics |
| Casanellas, Marta | Speaker | Universitat Politècnica de Catalunya |
| Chan, Melody | Participant | University of California |
| Coleman, Deidra Andrea | Participant/Notetaker | North Carolina State University |
| Csiszar, Villo | Speaker | Eötvös Loránd University (ELTE) |
| Cueto, Maria Angelica | Notetaker | University of California |
| Di, Yanming | Participant | University of Washington |
| Eisenbud, David | Participant | UC Berkeley Math Faculty |
| Engström, Alexander | Participant | Royal Institute of Technology (KTH) |
| Fernández-Sánchez, Jesús | Participant | Polytechnical University of Cataluña (Barcelona) |
| Fink, Alex | Participant | UC Berkeley |
| Friedrich, Thomas | Participant | Freie Universität Berlin |
| Gupta, Shuva | Participant | Florida State University |
| Haase, Christian Alexander | Participant | Math Dept, FU Berlin |
| Hara, Hisayuki | Participant | University of Tokyo |
| Harris, Kelley | Participant | Harvard University |
| Haws, David | Participant | University of California |
| Hemmecke, Raymond | Participant | TU Darmstadt |
| Hillar, Christopher Jacques | Participant | Texas A & M University |
| Hodge, Terrell L. | Participant | Western Michigan University |
| Hosten, Serkan | Organizer | San Francisco State University |
| Hower, Valerie Marie | Speaker | Georgia Institute of Technology |
| Karmarkar, Sharada | Participant | Applied Geometries, LLC |
| Kim, Edward | Participant | Department of Mathematics, UC Davis |
| Koeppe, Matthias | Participant | University of California |
| Kondor, Risi | Speaker | University College |
| Kornilov, Petr | Participant | N/A |
| Korsan, Bob | Participant | Decisions, Decisions! |
| Lee, Tong | Participant | Hunter College, CUNY |
| Leykin, Anton | Participant | University of Illinois |

| | | |
|-------------------------|-------------|---|
| Li, Qunhua | Participant | University of California |
| Lim, Lek-Heng | Participant | University of California, Berkeley |
| Lin, Shaowei | Participant | University of California |
| Malagò, Luigi | Participant | Politecnico di Milano |
| Matsen, Frederick | Participant | University of California |
| Merberg, Adam | Participant | University of California |
| Miranda, Eric Douglas | Participant | San Francisco State University |
| Morton, Jason | Participant | Stanford University |
| Norine, Serguei | Participant | Princeton University |
| Oeding, Luke | Participant | Texas A & M University |
| Ovchinnikov, Sergei | Participant | San Francisco State University |
| Pachter, Lior | Organizer | UCB - University of California, Berkeley |
| Pardue, Keith | Participant | Department of Defense |
| Petrovic, Sonja | Speaker | University of Illinois |
| Pistone, Giovanni | Speaker | Politecnico Di Torino |
| Rau, Johannes | Participant | Technische Universitaet Kaiserslautern |
| Reyhani, Nima | Participant | University of California |
| Rostalski, Philipp | Participant | Automatic Control Laboratory |
| Sagnol, Guillaume | Participant | Centre de Mathématiques Appliquées de l'école Polytechnique |
| Schoenhuth, Alexander | Participant | Simon Fraser University |
| Seceleanu, Alexandra | Participant | University of Illinois at Urbana-Champaign |
| Sei, Tomonari | Participant | University of Tokyo |
| Shiu, Anne J. | Participant | University of California |
| Slavkovich, Aleksandra | Speaker | Pennsylvania State University |
| Studený, Milan | Speaker | Institute of information theory and Automation |
| Sturfels, Bernd | Organizer | UCB - University of California, Berkeley |
| Sullivant, Seth Michael | Speaker | UCB - University of California, Berkeley |
| takemura, Akimichi | Speaker | The University of Tokyo |
| Tannor, David | Participant | Western Michigan University |
| Uhler, Caroline | Speaker | University of California |
| Vinzant, Cynthia | Participant | University of California |
| Watanabe, Sumio | Speaker | Tokyo Institute of Technology |
| Xiao, Han | Participant | The University of Chicago |
| Yamazaki, Kazuo | Participant | Stanford University |
| Yarahmadian, Shantia | Participant | Indiana Molecular Biology Institute |
| Yasamin, Ahmad Saeid | Participant | University of North Carolina |
| Yoshida, Ruriko | Speaker | University of Kentucky |
| Yu, Josephine T. | Participant | Massachusetts Institute of Technology |
| Zheng, Wenjing | Participant | University of California |
| Zuk, Or | Participant | Massachusetts Institute of Technology |
| Zwiernik, Piotr | Speaker | University of Warwick |

Workshop Summary for Algebraic Statistics

Held: December 15 to December 18, 2008

The Mathematical Sciences Research Institute

| Participant Information | |
|-------------------------|--|
| 82 participants | |

| Gender (n = 82 participants) | | |
|------------------------------|--------|----|
| Male | 74.39% | 61 |
| Female | 24.39% | 20 |
| Declined to state | 1.22% | 1 |

| Ethnicity (n = 81 participants) | | |
|---------------------------------|--------|----|
| White | 62.20% | 51 |
| Asian | 23.17% | 19 |
| Hispanic | 4.88% | 4 |
| Pacific Islander | 0.00% | 0 |
| Black | 2.44% | 2 |
| Native American | 0.00% | 0 |
| Declined to state | 6.10% | 5 |

**REPORT FOR THE WORKSHOP ON *CONNECTIONS*
FOR WOMEN: ALGEBRAIC GEOMETRY AND
*RELATED FIELDS***

ANGELA GIBNEY, BRENDAN HASSETT, SÁNDOR KOVÁCS, DIANE
MACLAGAN, JESSICA SIDMAN AND RAVI VAKIL

Twenty-first century algebraic geometry is a broad subject, with mathematicians on different frontiers sharing little background. In addition there is a lot of exciting work happening at the interfaces of algebraic geometry with neighboring fields. This workshop was aimed to extend the "Connections" theme to connecting mathematicians, particularly graduate students and postdocs from differing areas and from neighboring fields, to each other.

The workshop ran for three days, from Thursday to Saturday. Taking the nontraditional measure of extending the workshop into the weekend allowed broader participation, especially from those at colleges with higher teaching loads. When we asked for a show of hands, over 27 (out of approximately 70) people indicated that this was indeed helpful in allowing their attendance.

The workshop consisted of six hour-long plenary talks given by established mathematicians, four half-hour talks by postdocs, a panel discussion on professional development issues, and a poster session.

Lucia Caporaso, from Rome III, opened the conference with a modern update of the classical Torelli theorem and its interpretation for curves and abelian varieties. Tara Holm, from Cornell, gave an introduction of symplectic techniques in algebraic geometry. For the final talk of the day, conference participants attended the UC Berkeley Colloquium which was given by Karen Smith, from the University of Michigan. Sara Billey, from the University of Washington, gave the opening talk of the second day of the workshop, giving an introduction to affine Grassmannians. The second and third talks were half hour presentations by postdocs Sonja Petrović, from UIC, and Rebecca Lehman, from Bar Ilan/and Tulane. Petrović talked about Graver bases of varieties of minimal degree and Lehman talked about branch curves of singular surfaces. The last hour talk was given by Linda Chen, from Swarthmore College, who gave an introduction to enumerative geometry, Gromov-Witten theory, and orbifolds. On the last day of the workshop, hour long talks were given by Rachel Pries, from Colorado

State University and Rekha Thomas, from the University of Washington. Pries spoke about the boundary of the moduli space of curves and arithmetic applications. Thomas gave an introduction to problems in convex algebraic geometry. Amanda Knecht, from the University of Michigan gave a half-hour talk on weak approximation for degree 2 del Pezzo surfaces. The final half-hour talk was given by Kelly McKinnie, from Rice University, who gave an introduction to indecomposable classes in the Brauer group of $\mathbb{Q}_p(t)$.

For the panel discussion, we wanted to give the participants an opportunity to have useful group discussions about issues related to academic life of concern to them. We set up a panel in the morning of the first day with the hope that the discussions started there would continue throughout the workshop. We asked panelists to give very brief self-introductions highlighting experiences that they thought might be of interest to the audience. We did not set a formal topic because we did not want to presuppose that we knew what participants wanted to hear. The focus of participants' questions was overwhelmingly directed towards searching for temporary and permanent academic positions.

We selected a panel of people representing a wide range of experiences including serving on hiring committees, writing grants, getting tenure, teaching at a liberal arts college, applying for jobs, serving as the editor of a journal, and having children. The panel consisted of Jessica Sidman (Mount Holyoke College), Susan Sierra (University of Washington), Elham Izadi (University of Georgia), and Karen Smith (University of Michigan).

We encouraged participants to put additional questions in writing as the workshop progressed. We had a time slot for these questions on Saturday afternoon. We fielded questions regarding the journal submission process, collaborators, getting letters of recommendation, knowing when to graduate, publication patterns, and job searches.

These aspects of the conference were clearly appreciated by the participants. One graduate student participant wrote afterwards "The conference was very different from many I've attended. It had a unique focus on not only math, but how to be a mathematician, which I've never encountered before. For those of us just starting in the profession that's very useful, and it's something I have not gotten from other sources."

On Friday afternoon there was a very successful poster session accompanied by a reception in which the participants (whose names, titles and abstracts are listed in Section 1) discussed their work with both workshop participants and visitors to MSRI. The posters were displayed in the atrium for the remainder of the conference.

We wanted our funding decisions to be consistent and transparent. We devised the following criteria: We gave \$396 per diem + \$250 for travel for people who presented posters as we felt that their commitment to participate at this higher level should be recognized. We allotted \$396 per diem for postdocs who were not giving posters. We gave \$198 per diem for graduate students beyond their first year who were not giving posters. Postdocs received more than graduate students in this system because we felt graduate students might have extra funding resources available to them through their advisors.

1. POSTER PARTICIPANTS, TITLES AND ABSTRACTS

Sami Assaf, Massachusetts Institute of Technology

Cores, quotients and the affine Grassmannian

We describe how the combinatorics of core partitions can be used to describe the homology classes of the affine Grassmannian, and we make connections with the related combinatorics of quotients of partitions. This is based on joint work with Sara Billey.

Silvia Brannetti, Terza Università di Roma

A combinatorial approach to Alexander-Hirschowitz's theorem based on toric degenerations

We present an alternative proof of Alexander-Hirschowitz's Theorem in dimension 3 using degenerations of toric varieties.

Susan Cooper, University of Nebraska

Hilbert Functions of Fat Points

Certain data about a finite set of distinct, reduced points in projective space can be obtained from its Hilbert function. It is well known what these Hilbert functions look like, and it is natural to try to generalize this characterization to non-reduced schemes. In particular, we consider a fat point scheme determined by a set of distinct points (called the support) and non-negative integers (called the multiplicities)

In general, it is not yet known what the Hilbert functions are for fat points with fixed multiplicities as the support points vary. However, if the points are in projective 2-space and the number of support points is 8 or less, we can write down all of the possible Hilbert functions for any given set of multiplicities (due to Guardo-Harbourne and Geramita-Harbourne-Migliore). We will focus on what can be said, in projective 2-space, given information about what collinearities occur among the

support points. Using this information we obtain upper and lower bounds for the Hilbert function of the fat point scheme.

Moreover, we give a simple criterion for when the bounds coincide yielding a precise calculation of the Hilbert function in this case. This is joint work with B. Harbourne and Z. Teitler.

Milena Hering, University of Utah

Some topics in toric Geometry and the Hilbert scheme of points in the plane

I will present some results about equations and syzygies of toric varieties, in particular a class of toric varieties arising as a toric degeneration of the moduli space of weighted points on the projective line; positivity properties of toric vector bundles; the graph of zero- and one-dimensional torus orbits of the Hilbert scheme of points in the plane.

Val Hower, Georgia Tech

Comparing the topology of real and complex toric varieties

By definition, a real algebraic variety X is maximal if the sum of the $\mathbb{Z}/2\mathbb{Z}$ Betti numbers of the real points $X(\mathbb{R})$ is equal to the sum of the $\mathbb{Z}/2\mathbb{Z}$ Betti numbers of the complex points $X(\mathbb{C})$. In 2004 Bihan, Franz, McCrory, and van Hamel conjectured that every toric variety is maximal. Their conjecture was based in part on the numerous examples of maximal toric varieties (including all nonsingular projective toric varieties). After giving some examples of the Betti numbers for real and complex toric varieties, we establish the maximality of a large class of singular toric varieties using polyhedral duality and the language of cosheaves on fans. In addition, we give some counterexamples to the conjecture.

DongSeon Hwang, Korea Advanced Institute of Science and Technology

The maximum number of singular points on rational homology projective planes

A normal projective complex surface is called a rational homology projective plane if it has the same Betti numbers with the complex projective plane $\mathbb{C}P^2$. It is known that a rational homology projective plane with quotient singularities has at most 5 singular points. So far all known examples have at most 4 singular points. Recently, Belousov

proved that every log del Pezzo surface of Picard number one has at most 4 singular points. In this poster, after a brief introduction to rational homology projective planes with quotient singularities, we will present a sketch of a proof of the following theorem: every rational homology projective plane S with quotient singularities such that K_S is nef has at most 4 singular points except one case. The exceptional case comes from Enriques surfaces with a configuration of 9 smooth rational curves whose Dynkin diagram is of type $3A_1 \oplus 2A_3$. This is a joint work with JongHae Keum.

Enka Lakuriqi, University of Utah

Mirror Symmetry for Enriques Surfaces

Mirror symmetry is a duality between type II string theories. Mathematically, it can be translated as a duality between Calabi-Yau varieties. As the duality is natural, it carries over symmetries and one could thus naturally ask what the mirror of Enriques surfaces are. In this poster, I will present some recent work on how to find mirrors of Enriques surfaces using the Hori-Vafa ansatz and more general methods.

Sonja Mapes, Columbia University

LCM lattices and cellular resolutions of monomial ideals

The minimal free resolution of a monomial ideal can be expressed entirely in terms of the LCM lattice of the ideal. It has also been shown that given a finite atomic lattice L one can construct certain monomial ideals M whose LCM lattice is L . I explore the relationship between LCM lattices whose associated monomial ideals have similar resolutions.

Margarida Melo, Terza Universit di Roma

Compactified Picard stacks over the moduli space of stable curves with marked points

Let $\mathcal{P}ic_{d,g,n}$ be the stack parametrizing degree d line bundles over smooth curves of genus g with n marked points. We will explain how to give a construction of smooth and irreducible algebraic stacks yielding a modular compactification of $\mathcal{P}ic_{d,g,n}$ over the moduli stack of n -pointed stable curves, $\overline{\mathcal{M}}_{g,n}$. By this we mean an algebraic stack with a proper (or at least universally closed) map onto $\overline{\mathcal{M}}_{g,n}$, containing $\mathcal{P}ic_{d,g,n}$ as a dense open substack.

These stacks parametrize what we will call balanced line bundles over n -pointed quasistable curves, generalizing L. Caporaso's compactification of the universal degree d Picard variety over $\overline{\mathcal{M}}_g^0$. In fact, for $n = 0$, we just give a stack theoretical description of Caporaso's compactification and then, following the lines of Knudsen's construction of $\overline{\mathcal{M}}_{g,n}$, we go on by induction on the number of points.

Elizabeth Sell, Millersville University

Universal abelian covers for surface singularities $\{z^n = f(x, y)\}$

The splice quotients are an interesting class of normal surface singularities with rational homology sphere links, defined by W. Neumann and J. Wahl. The universal abelian cover of a splice quotient is a complete intersection surface singularity of a certain type. If Γ is a tree of rational curves that satisfies certain combinatorial conditions, then there exist splice quotients with resolution graph Γ . Suppose the equation $z^n = f(x, y)$ defines a surface $X_{f,n}$ with an isolated singularity at the origin in \mathbb{C}^3 . For f irreducible, we completely characterize, in terms of n and a variant of the Puiseux pairs of f , those $X_{f,n}$ for which the resolution graph satisfies the combinatorial conditions that are necessary for splice quotients.

Susan Sierra, University of Washington

The geometry of birationally commutative surfaces

Given a projective surface X over a field k and an automorphism σ of X , as well as other data, one may construct a number of families of graded rings, which are known as *birationally commutative (projective) surfaces*. Because these rings are all subrings of the skew polynomial ring $k(X)[z; \sigma]$, they are noncommutative. The geometry of the underlying data controls the algebraic properties of the various rings, but these connections are often quite unexpected, and the geometric properties that one must consider are rather subtle. We explore some of these connections, and show how they lead to a classification of all birationally commutative surfaces. We also mention recent joint results with Bell and Rogalski that relate dynamics on complex surfaces to noncommutative algebra.

Kaisa Taipale, University of Minnesota Twin Cities*The Abelian/Nonabelian Correspondence*

The abelian/nonabelian correspondence suggests a relationship between the Gromov-Witten theory of Grassmannians $G(k, n)$ and the twisted Gromov-Witten theory of products of projective space $(\mathbb{P}^{n-1})^k$. My poster introduces Gromov-Witten invariants, outlines the geometric meaning of the conjectured correspondence, and presents results for the special case $k = 2$.

Chelsea Walton, University of Michigan*Point Parameter Rings*

We introduce the point parameter ring B , a generalized twisted homogeneous coordinate ring associated to a degenerate analogue of the three-dimensional Sklyanin algebra. The surprising geometry of these algebras yields a result similar to one of Artin-Tate-van den Bergh, namely that B is generated in degree one and thus is a factor of the corresponding degenerate Sklyanin algebra.

Kirsten Wickelgren, Stanford University*Universal Covering Spaces and Fundamental Groups in Algebraic Geometry as Schemes*

This poster is on joint work with Ravi Vakil. In topology, the notions of the fundamental group and the universal cover are closely intertwined. In algebraic geometry, the traditional development of the étalé fundamental group is somewhat different, reflecting a perceived lack of a good universal cover. However, we show that usual notions from topology carry over directly to the algebraic and arithmetic setting without change, leading to a fundamental group family which is a scheme.

Josephine Yu, Massachusetts Institute of Technology*Tropical approach to the “Implicitization Challenge”*

The tropicalization of a subvariety of a torus is a polyhedral fan which carries some information about the original variety. If the original variety is a hypersurface, then the Newton polytope of the defining equation can be recovered from the tropical hypersurface. Tropical

methods may give us some invariants of unknown varieties. As an example, we give a partial solution to the “Implicitization Challenge” in the book *Lectures on Algebraic Statistics* by Drton, Sturmfels, and Sullivant. We compute some vertices of the Newton polytope of a hypersurface in \mathbb{P}^{15} which arise from a graphical statistical model with four observed and two hidden binary variables.

This is based on joint work with Angelica Cueto.

Cornelia Yuen, SUNY Potsdam

A minimal reduction of Ferrers ideals

In their study on the content of the product of two polynomials, A. Corso, V. Vasconcelos and R. Villarreal found a minimal reduction of a particular class of Ferrers ideals. Inspired by their work and the work of A. Corso and U. Nagel, we find a minimal reduction of an arbitrary Ferrers ideal using a different approach. We will give an introduction to Ferrers ideals and minimal reductions, explain the motivating result, and present our generalization. This is joint work with Sonja Petrović.

Connections for Women: Algebraic Geometry and Related Fields Schedule

Thursday January 22, 2009

| | | |
|-------------------|--------------------------------|--|
| 09:00AM - 09:15AM | Opening Remarks | |
| 09:15AM - 10:15AM | Lucia Caporaso | From curves to abelian varieties: updating the classical Torelli theorem |
| 10:15AM - 11:00AM | Coffee, Tea in the atrium | |
| 11:00AM - 12:00PM | Panel Discussion | |
| 12:00PM - 02:00PM | Lunch | |
| 02:00PM - 03:00PM | Tara Holm | Symplectic techniques in algebraic geometry |
| 03:00PM - 04:00PM | Coffee, tea in the atrium | |
| 04:00PM - 05:00PM | Smith (UC Berkeley Colloquium) | |

Friday January 23, 2009

| | | |
|-------------------|---------------------------|--|
| 09:15AM - 10:15AM | Sara Billey | An introduction to Affine Grassmannians |
| 10:00AM - 10:30AM | Coffee, tea in the atrium | |
| 10:30AM - 11:00AM | Sonja Petrovic | Graver bases of varieties of minimal degree |
| 11:00AM - 11:30AM | Break | |
| 11:30AM - 12:00PM | Rebecca Lehman | Branch Curves of Singular Surfaces |
| 12:00PM - 02:00PM | Lunch | |
| 02:00PM - 03:00PM | Linda Chen | Enumerative geometry, Gromov-Witten theory, and orbifolds. |
| 03:00PM - 05:00PM | Poster Session | |
| 03:00PM - 03:30PM | Coffee Tea in the atrium | |
| 06:00PM - 08:00PM | Group Dinner | |

Saturday January 24, 2009

| | | |
|-------------------|---------------------------|--|
| 09:15AM - 10:15AM | Rachel Pries | Boundary of the moduli space of curves and arithmetic applications |
| 10:15AM - 11:00AM | Coffee, tea in the atrium | |
| 11:00AM - 12:00PM | Rekha Thomas | Convex Algebraic Geometry |
| 12:00PM - 02:00PM | Lunch | |
| 02:00PM - 02:30PM | Amanda Knecht | Weak Approximation for Degree 2 del Pezzo Surfaces |
| 02:30PM - 03:00PM | Break | |
| 03:00PM - 03:30PM | Kelly McKinnie | Indecomposable classes in the Brauer group of $\mathbb{Q}_p(t)$ |
| 03:30PM - 04:00PM | Coffee, tea in the atrium | |
| 04:00PM - 05:00PM | Panel/Group discussion | |

**Connections for Women:
Algebraic Geometry and Related Fields
Invited Speakers**

| | |
|-----------------|-----------------------------------|
| Billey, Sara | University of Washington |
| Caporaso, Lucia | University of Rome III |
| Chen, Linda | Swarthmore |
| Holm, Tara | Cornell |
| Knecht, Amanda | University of Michigan |
| Lehman, Rebecca | Tulane University |
| McKinnie, Kelly | Rice University |
| Petrovic, Sonja | University of Illinois at Chicago |
| Pries, Rachel | Colorado State |
| Smith, Karen | University of Michigan |
| Thomas, Rekha | University of Washington |

Participant List
 MSRI Workshop:
Connections for Women: Algebraic Geometry and Related Fields
January 22 to January 24, 2009

at Mathematical Sciences Research Institute, Berkeley California

| Full Name | Role | PrimInst |
|-------------------------------|-------------|--|
| Abramovich, Dan | Participant | Brown University |
| Agarwala, Susama | Participant | Johns Hopkins University |
| Araujo, Carolina B. | Participant | IMPA |
| Assaf, Sami H | Participant | Massachusetts Institute of Technology |
| Babson, Eric | Participant | University of California |
| Bayer, Arend | Participant | University of Utah |
| Berkesch, Christine M. | Participant | Purdue University |
| Billey, Sara C. | Speaker | University of Washington |
| Bobkova, Irina | Participant | Northwestern University |
| Booher, Adam | Participant | University of California |
| Brannetti, Silvia | Participant | Terza Università di Roma |
| Brown, Morgan | Participant | University of California |
| Buczynska, Weronika Julia | Participant | Texas A & M University |
| Caporaso, Lucia | Speaker | Università Roma TRE |
| Cartwright, Dustin Alexander | Participant | University of California |
| Casanellas, Marta | Participant | Universitat Politècnica de Catalunya |
| Castravet, Ana-Maria | Participant | University of Arizona |
| Chamberlain, Erin Eleen | Participant | Brigham Young University |
| Chan, Melody | Participant | University of California |
| Chen, Linda | Speaker | Swarthmore College |
| Chowdhury, Atoshi | Participant | Stanford University |
| Cooper, Susan Marie | Participant | University of Nebraska |
| Cooper, Yaim | Participant | Princeton University |
| Crissman, Charley | Participant | University of California |
| Cueto, Maria Angelica | Participant | University of California |
| Docampo Alvarez, Roi | Participant | University of Illinois |
| Dundon, Ariana | Participant | University of Washington |
| Dyachkova Trnkova, Maria | Participant | Palacky University (UP) |
| edwige, Maléka | Participant | Université Cheikh Anta Diop de Dakar (UCAD) |
| Erman, Daniel Max | Participant | University of California |
| Gibney, Angela C | Organizer | University of Georgia |
| Goldstein, Ellen | Participant | Tufts University |
| Greb, Daniel | Participant | Mathematisches Institut der Universitaet zu Koeln |
| Gujarathi, Pritam Rajendralal | Participant | North Maharashtra University, Jalgaon |
| Harada, Megumi | Participant | McMaster University |
| Hassett, Brendan Edward | Organizer | Rice University |
| Hering, Milena | Participant | University of Connecticut |
| Hernandez, Daniel Jesus | Participant | University of Michigan |
| Ho, Wei | Participant | Princeton University |
| Holm, Tara Suzanne | Speaker | Cornell University |
| Hower, Valerie Marie | Participant | Georgia Institute of Technology |
| Huang, Yuhao | Participant | University of California |
| Hwang, DongSeon | Participant | Korea Advanced Institute of Science and Technology (KAIST) |
| Im, Mee Seong | Participant | University of Illinois Urbana-Champaign |
| Iqbal, Sohail | Participant | University of Warwick |
| Joshi, Janhavi | Participant | Ohio State University |
| Kaloghiros, Anne-Sophie | Participant | University of Cambridge |
| Karaali, Gizem | Participant | Pomona College |
| Kim, Jin-Hong | Participant | KAIST |
| Knecht, Amanda | Speaker | University of Michigan |
| Kovacs, Sandor J. | Organizer | University of Washington |
| Lakuriqi, Enkeleida K | Participant | University of Utah, Department of Mathematics |
| Lee, Hwayoung | Participant | University of California, Riverside |
| Lee, Yongnam | Participant | Sogang University |

| | | |
|-----------------------------------|-------------|--|
| Lehman, Rebecca | Speaker | Bar-Ilan University |
| Li, Shuijing | Participant | Rice University |
| Luengo, Ignacio M | Participant | Universidad Complutense de Madrid |
| Maclagan, Diane | Organizer | Warwick University |
| Malaspina, Francesco | Participant | Politecnico di Torino |
| Manolache, Cristina | Participant | SISSA/ISAS |
| Mapes, Sonja | Participant | Columbia University |
| Margulies, Susan | Participant | Rice University |
| Mathewkutty, Habel K. | Participant | Habel Math |
| Matusevich, Laura Felicia | Participant | Texas A&M University |
| McKinnie, Kelly L | Speaker | Rice University |
| Melo, Ana Margarida | Participant | Terza Università di Roma |
| Mendes Lopes, Margarida M.N.S.R.M | Participant | Instituto Superior Tecnico, Universidade Tecnica de Lisboa |
| Mezzetti, Emilia | Participant | University of Trieste |
| Morrison, Ian | Participant | Fordham University |
| O'Keefe, Augustine | Participant | Tulane University |
| Omar, Saad Omar | Participant | planet transit |
| Osserman, Brian David | Participant | University of California, Davis |
| Ottaviani, Giorgio | Participant | Università di Firenze |
| Pardini, Rita | Participant | Università di Pisa |
| Petrovic, Sonja | Speaker | University of Illinois |
| Pisolkar, Supriya Arvind | Participant | Harish-Chandra Research Institute |
| Pons Llopis, Juan Francisco | Participant | University of Barcelona |
| Popa, Mihnea | Participant | University of Illinois |
| Prager, Amy Beth | Participant | Hunter College, CUNY |
| Pries, Rachel Justine | Speaker | Colorado State University |
| Rahmati, Mohammad Reza | Participant | IPM |
| Rizzardo, Alice | Participant | Columbia University |
| Ross, Kiana | Participant | University of Washington |
| Ruiz, Amanda | Participant | Binghamton University (SUNY) |
| Rydh, David | Participant | Royal institute of technology |
| Schwede, Karl Earl | Participant | University of Michigan |
| Seceleanu, Alexandra | Participant | University of Illinois at Urbana-Champaign |
| Sell, Elizabeth | Participant | Millersville University |
| shabbir, dr khurram | Participant | GU University lahore |
| Shahriyari, Laili | Participant | Johns Hopkins University |
| Sheshmani, Artan | Participant | University of Illinois at Urbana-Champaign |
| Shiu, Anne J. | Participant | University of California |
| Sidman, Jessica Soon-Hae | Organizer | University of Massachusetts, Amherst |
| Sierra, Susan J. | Participant | University of Washington |
| Smith, Gregory G. | Participant | Queen's University |
| Smith, Karen E. | Speaker | MIT |
| Stiller, Peter F. | Participant | Texas A&M University |
| Stillman, Mike | Participant | Cornell University |
| Taipale, Kaisa | Participant | University of Minnesota Twin Cities |
| Talaska, Kelli | Participant | University of Michigan |
| Tarizadeh, Abolfazl | Participant | IASBS |
| Thomas, Rekha Rachel | Speaker | University of Washington |
| Torogh, R S | Participant | University of Poona |
| Vakil, Ravi | Organizer | Stanford University |
| Vazirani, Monica Joy | Participant | University of California |
| Vinzant, Cynthia | Participant | University of California |
| Viray, Bianca | Participant | University of California |
| Walton, Chelsea | Participant | University of Michigan |
| Wickelgren, Kirsten | Participant | Stanford University |
| Williams, Lauren | Participant | Harvard University |
| Witt, Emily Elspeth | Participant | University of Michigan |
| Wood, Melanie Matchett | Participant | Princeton University |
| Wulcan, Elizabeth | Participant | University of Michigan |
| Xie, Yu | Participant | Purdue University |
| Xu, Fei | Participant | Rice University |

| | | |
|------------------|-------------|-------------------------------------|
| Yang, Stephanie | Participant | Royal Institute of Technology (KTH) |
| Yu, Josephine T. | Participant | MIT |
| Yuen, Cornelia | Participant | SUNY Potsdam, Dept of Math |

Connections for Women: Algebraic Geometry and Related Fields

Held: January 22 to January 24, 2009

The Mathematical Sciences Research Institute

| Officially Registered Participant Information | |
|---|--|
| 118 participants | |

| Gender (n = 118 participants) | | |
|-------------------------------|--------|----|
| Male | 29.66% | 35 |
| Female | 70.34% | 83 |
| Declined to state | 0.00% | 0 |

| *Ethnicity (n = 118 participants) | | |
|-----------------------------------|--------|----|
| White | 50.39% | 64 |
| Asian | 23.62% | 30 |
| Hispanic | 3.94% | 5 |
| Pacific Islander | 0.00% | 0 |
| Black | 0.79% | 1 |
| Native American | 0.00% | 0 |
| Declined to state | 21.26% | 27 |

**Some participants selected more than one ethnicity*

REPORT ON THE MSRI WORKSHOP “CLASSICAL
ALGEBRAIC GEOMETRY TODAY” JANUARY 26–30, 2009

Organizers

- Lucia Caporaso (University of Rome III)
- Brendan Hassett (Rice University)
- James M^cKernan (MIT)
- Mircea Mustață (University of Michigan)
- Mihnea Popa (University of Illinois at Chicago)

1. Scientific description

Algebraic Geometry is one of the most diverse fields of research in mathematics. It has had an incredible evolution over the past century, with new subfields constantly branching off. For this reason, the core of the field is now universally called Classical Algebraic Geometry, an exciting area itself full of fundamental unsolved problems and at the same time providing a theoretical foundation for new areas that have developed in recent years.

Due to the breadth of the subject it is often a challenge for graduate students and people from other fields to get a global view on current developments in Algebraic Geometry. The main theme of the workshop was to explore modern approaches to problems originating in Classical Algebraic Geometry, and at the same time offer an introduction to various subfields to the younger participants in the semester-long program. Many of the talks had a large didactic component, as the speakers were encouraged to provide ample background and motivation for their results. A large part of the audience consisted in graduate students and postdoctoral fellows.

The workshop was intended to reflect this diversity of Algebraic Geometry and its most fascinating recent developments. As such, it covered a wide range of topics, such as birational geometry, geometry of curves and surfaces, abelian varieties, rational curves on algebraic varieties, moduli spaces, derived categories, and enumerative geometry. A number of talks were surveys describing entire areas of research, introducing the participants to a wide array of techniques that are visibly becoming essential in dealing with the fundamental problems still unsolved.

One of the remarkable features of the workshop was the interaction with the *Connections for Women* workshop, hosted by MSRI the week immediately

before the *Classical Algebraic Geometry Today* workshop. This highlighted the research accomplishments of young women in Algebraic Geometry. Our committee coordinated offers of financial support with the organizing committee of the Connections workshop, hence many participants at their meeting received funding and stayed on for ours. In addition, one of the main guidelines in making funding decision was to offer support to participants in earlier stages of their careers, with little access to personal or institutional grants, while encouraging more senior participants to use such grants for their expenses whenever possible. As a result, women and young mathematicians were more heavily represented at our workshop than at typical conferences in Algebraic Geometry, thus contributing to an excitingly diverse week.

Overall, the workshop seems to have established new MSRI records in participation, proof of the enormous popularity of the field, and of its influence on neighboring areas. Many of the speakers discussed important open problems in their respective areas of interest. These are bound to be among the main engines driving the development of the field in the next few years. We received wonderful input at the end, especially from younger participants who most commonly called the lectures “extremely inspiring”.

2. Highlights of presentations

The workshop started with the talk of Joe Harris (Harvard) on the interpolation problem. It gave a nice overview of open questions of a classical flavor, having to do with the geometry of sets of points in projective spaces, that so far have defied modern tools. The second lecture, of Yuri Tschinkel (NYU), presented a surprising connection between classical and modern Algebraic Geometry. It described the use of the axiomatic framework for projective geometry in questions in birational and non-abelian geometry.

Several talks dealt with the geometry of abelian varieties. Sam Grushevsky (Princeton) gave a beautiful survey of results on the Schottky problem, from several points of view, including the recent solution of the Trisecant Conjecture due to Krichever. The talk of Giuseppe Pareschi (Rome II) presented applications of derived categories techniques (more precisely, of the Fourier-Mukai transform) to the study of abelian varieties, and more generally, of irregular varieties. Applications included an extension to arbitrary dimension of a classical inequality for surfaces due to Castelnuovo-de Franchis. Martin Olsson (Berkeley) gave a nice introduction to log geometry, explaining how this can be used to single out the main component in the compactification of the moduli space of abelian varieties.

Another topic that was emphasized was birational geometry. Christopher Hacon (Utah) gave a talk on deformations of canonical pairs and Fano varieties. It described how birational geometry varies in smooth families of Fano varieties, building on the recent advances in the Minimal Model Program, due to Birkar,

Cascini, Hacon and McKernan. Burt Totaro (Cambridge) talked about the nef cone of Calabi-Yau pairs of dimension two. Using the action of the automorphism group of such a pair on the hyperbolic plane, he explained the proof of a conjecture of Kawamata and Morrison in this context. Rob Lazarsfeld (Michigan) gave the MSRI Evans talk (held on the UC Berkeley campus). This attracted a large crowd, both from the workshop and from the Berkeley math department. The talk described the state-of-the-art on various positivity notions for subvarieties of codimension one, a topic that has attracted a huge amount of interest in recent years. The case of higher codimension is much more difficult, and the speaker described some interesting and promising open questions in this direction. Jun-Muk Hwang (KIAS) gave the concluding talk of the workshop. He discussed the variety of minimal rational tangents on a Fano Variety, in particular whether one can recover birationally the Fano variety from the local information given by the variety of minimal rational tangents.

There were three talks on transcendental aspects in the study of algebraic varieties. Olivier Debarre (ENS) gave a survey on periods and moduli, presenting the Hodge-theoretic approach to the study of certain moduli spaces via the period map, and describing Torelli-type results on when this map is injective or an immersion. Mark de Cataldo (Stony Brook) gave an introduction to some deep results in the topology of algebraic varieties, and applied them in a very concrete setting. More precisely, he used the Decomposition Theorem, in its precise form for the Hitchin fibration featured in recent work of Ngô Bao Châu on the Fundamental Lemma, to study the Hodge theory of certain moduli spaces. The talk of Kieran O'Grady (Rome I) presented recent progress towards an analogue of the Torelli theorem for holomorphic symplectic fourfolds.

The rest of the talks treated various other topics of current interest. Rita Pardini (Pisa) gave a nice survey of old and recent results on the geography of irregular surfaces, concentrating on inequalities satisfied by the numerical invariants of such surfaces, and on the construction of interesting surfaces with prescribed invariants. János Kollár (Princeton) discussed a fundamental question: how to reconstruct an algebraic variety from its normalization, or more generally, how to construct the quotient by a finite equivalence relation? This is a basic issue, with important applications to constructing compactifications of moduli spaces. The talk of Richard Thomas (Imperial College) gave an overview of several approaches to defining invariants counting curves on Calabi-Yau threefolds, presenting recent progress towards relating these theories. Daniel Huybrechts (Bonn) talked about derived categories and Chow groups of K3 surfaces. It is an important problem to understand the group of autoequivalences of the derived category of such a surface, and the talk dealt with the action of this group on the cohomology and on the Chow group of the surface. Alina Marian (UIC) presented some new connections between algebraic geometry and representation theory, via constructions of interesting Lie algebra actions on the cohomology of hyperquot schemes. David Eisenbud (Berkeley) talked about recent results and

open problems on syzygies of algebraic varieties. In particular, he described the solution of the Boij-Söderberg Conjectures, describing the cone of all possible Betti diagrams of Cohen-Macaulay modules.

Classical Algebraic Geometry Today

January 26, 2009 to January 30, 2009

Schedule

Monday January 26, 2009

| | | |
|-------------------|---------------------------|---|
| 9:15AM - 9:30AM | MSRI Welcome | |
| 9:30AM - 10:30AM | Speaker #1 | The Interpolation Problem |
| 10:30AM - 11:00AM | Coffee, Tea in the atrium | |
| 11:00AM - 12:00PM | Speaker #2 | Applications of projective geometry to birational geometry |
| 12:00PM - 2:00PM | Lunch | |
| 2:00PM - 3:00PM | Speaker #3 | The geography of irregular surfaces. |
| 3:00PM | Coffee, Tea in the atrium | |
| 4:00PM - 5:00PM | Speaker #4 | Positivity Properties of Divisors and Higher Codimension Cycles |

Tuesday January 27, 2009

| | | |
|-------------------|---------------------------|--|
| 9:30AM - 10:30AM | Speaker #5 | Deformations of canonical pairs and Fano varieties |
| 10:30AM - 11:00AM | Coffee, tea in the atrium | |
| 11:00AM - 12:00PM | Speaker #6 | Quotients by finite equivalence relations |
| 12:00PM - 2:00PM | Lunch | |
| 2:00PM - 3:00PM | Speaker #7 | "Periods and Moduli" |
| 3:00PM | Coffee, tea in the atrium | |
| 4:00PM - 5:00PM | Speaker #8 | The Hodge theory of character varieties |
| 5:00PM - 6:00PM | Reception in the atrium | |

Wednesday January 28, 2009

| | | |
|-------------------|---------------------------|---|
| 09:30AM - 10:30AM | Speaker #9 | Counting curves in 3-folds |
| 10:30AM - 11:00AM | Coffee, Tea in the atrium | |
| 11:00AM - 12:00PM | Speaker #10 | Derived categories and Chow groups of K3 surfaces |

Thursday January 29, 2009

| | | |
|-------------------|---------------------------|--|
| 9:30AM - 10:30AM | Speaker #11 | The Schottky problem |
| 10:30AM - 11:00AM | Coffee, Tea in the atrium | |
| 11:00AM - 12:00PM | Speaker #12 | Refined generic vanishing |
| 12:00PM - 2:00PM | Lunch | |
| 2:00PM - 3:00PM | Speaker #13 | Main components of moduli spaces and log geometry. |
| 3:00PM | Coffee, tea in the atrium | |
| 4:00PM - 5:00PM | Speaker #14 | Algebraic surfaces and hyperbolic geometry |

Friday January 30, 2009

| | | |
|-------------------|---------------------------|---|
| 9:30AM - 10:30AM | Speaker #15 | Lie algebra actions on the cohomology of hyperquot schemes. |
| 10:30AM - 11:00AM | Coffee, Tea in the atrium | |
| 11:00AM - 12:00PM | Speaker #16 | Four-dimensional analogues of K3 surfaces. |
| 12:00PM - 2:00PM | Lunch | |
| 2:00PM - 3:00PM | Speaker #17 | Syzygies and Geometry |
| 3:00PM | Coffee, Tea in the atrium | |
| 4:00PM - 5:00PM | Speaker #18 | Equivalence problem for minimal rational curves |

Classical Algebraic Geometry Today

Invited Speakers

| | |
|-------------------------|---------------------------------|
| Andrea de Cataldo, Mark | SUNY, Stony Brook |
| Debarre, Olivier | University of Strasbourg |
| Eisenbud, David | UC Berkeley |
| Grushevsky, Samuel | Princeton University |
| Hacon, Christopher | University of Utah |
| Harris, Joe | Harvard University |
| Huybrechts, Daniel | University of Bonn |
| Hwang, Jun-Muk | KIAS, Seoul |
| Lazarsfeld, Robert | Univeristy of Michigan |
| Kollar, Jason | Princeton University |
| Marian, Alina | University of Illinois, Chicago |
| O'Grady, Kieran | University of Rome I |
| Olsson, Martin | UC Berkeley |
| Pardini, Rita | University of Pisa |
| Pareschi, Giuseppe | University of Rome II |
| Thomas, Richard | Imperial College, London |
| Totaro, Burt | Cambridge University |
| Tschinkel, Yuri | New York University |

Participant List

MSRI Workshop:

Classical Algebraic Geometry Today

January 26 to January 30, 2009

at Mathematical Sciences Research Institute, Berkeley California

| Name | Institution |
|---------------------------|--|
| Agarwala, Susama | Johns Hopkins University |
| Anderson, David E | University of California, Berkeley |
| Antieau, David Benjamin | University of Illinois |
| Arap, Maxim | University of Georgia |
| Assaf, Sami H | Massachusetts Institute of Technology |
| Bakker, Benjamin Thomas | Princeton University |
| Barja, Miguel Angel | Universitat Politècnica de Catalunya |
| Berkesch, Christine M. | Purdue University |
| Bhatt, Bhargav | Princeton University |
| Blickle, Manuel | Univ Duisburg-Essen, Standort Essen |
| Bobkova, Irina | Northwestern University |
| Boralevi, Ada | Texas A & M University |
| Brannetti, Silvia | Terza Università di Roma |
| Brown, Morgan | University of California, Berkeley |
| Buczynski, Jaroslaw Artur | Texas A & M University |
| Budur, Nero | Univ. of Notre Dame |
| Caporaso, Lucia | Universita Roma TRE |
| Casanellas, Marta | Universitat Politecnica de Catalunya |
| Castravet, Ana-Maria | University of Arizona |
| Castro, Alex | UCSC |
| Chaudhuri, Chitrabhanu | Northwestern University, Mathematics Department |
| Cheltsov, Ivan | University of Edinburgh |
| Chen, Yifei | Johns Hopkins University |
| Chiecchio, Alberto | University of Washington |
| Choe, Insong | Konkuk University |
| Choi, Jinwon | Not Available |
| Choi, Sung Rak | University of California |
| Chou, Chih-Chi | Not Available |
| Colombo, Elisabetta | Università di Milano |
| Cooper, Yaim | Princeton University |
| Coskun, Izzet | University of Illinois |
| Costa, Laura | UB |
| Cueto, Maria Angelica | University of California |
| Davis, Christopher James | MIT |
| de Cataldo, Mark Andrea | SUNY Brook University |
| de Fernex, Tommaso | University of Utah |
| Debarre, Olivier | Ecole Normale Superieure |
| DeLand, Matthew | Columbia University |
| Docampo Alvarez, Roi | University of Illinois |
| Dries, Bart van den | Utrecht University |
| Dundon, Ariana | University of Washington |
| Eckl, Thomas | University of Liverpool |
| Ein, Lawrence M. H. | University of Illinois, Chicago |
| Eisenbud, David | University of California, Berkeley |
| Erman, Daniel Max | University of California |
| Fedorchuk, Maksym | Columbia University |
| Frediani, Paola | Università di Pavia |
| Fuji, Hiroyuki | Nagoya University |
| Furukawa, Katsuhisa | Department of Mathematics, School of Fundamental Science and Engineering, Waseda University, |
| Geraschenko, Anton | University of California, Berkeley |
| Gharahbeigi, Sara | Washington University |
| Ginensky, Adam | Not Available |

| | |
|---------------------------|--|
| Gongopadhyay, Krishnendu | Tata Institute of Fundamental Research |
| Gonzalez, Jose Luis | University of Michigan |
| Gorla, Elisa Alda | Universität Zürich |
| Greb, Daniel | Albert-Ludwigs Universitaet |
| Grieve, Nathan M | Queen's University |
| Grushevsky, Samuel | Princeton University |
| Hacon, Christopher D | University of Utah |
| Harris, Joseph D. | Harvard University |
| Hassett, Brendan Edward | Rice University |
| Hering, Milena | University of Connecticut |
| Hernandez, Daniel Jesus | University of Michigan |
| Ho, Wei | Princeton University |
| Hogadi, Amit Pratap | Tata Institute of Fundamental Research |
| Huang, Jonathan | University of Maryland |
| Huybrechts, Daniel | University of Bonn |
| Hwang, DongSeon | Korea Advanced Institute of Science and Technology (KAIST) |
| Hwang, Jun-Muk | Korea Institute for Advanced Study (KIAS) |
| Hyun, Yoonsuk | Massachusetts Institute of Technology |
| Ikeda, Atsushi | Osaka University |
| Im, Mee Seong | University of Illinois Urbana-Champaign |
| Izadi, Elham | University of Georgia |
| Jensen, David H | University of Texas |
| Jiang, Xiaodong | University of Utah |
| Jow, Shin-Yao | University of Michigan |
| Kaloghiros, Anne-Sophie | University of Cambridge |
| Kang, Ning | Not Available |
| Keel, Sean | University of Texas |
| Kelly, Sean James | University of Maryland |
| Kim, Jin-Hong | KAIST |
| Kirson, Antonio | University of Washington |
| Kissounko, Veniamine | University of Toronto |
| Kitadai, Yukinori | Hiroshima University |
| Knecht, Amanda | University of Michigan |
| Kollár, János | Princeton University |
| Kopp, Travis J | University of Washington |
| Kotov, Vladimir | Northwestern University |
| Lahoz, Marti | Universitat Politecnica de Catalunya |
| Lai, Mijia | Not Available |
| LAI, CHING-JUI | University of Utah |
| Lazarsfeld, Robert K. | University of Michigan |
| Lazic, Vladimir | University of Cambridge |
| Le, Anh Vinh | Harvard University |
| Lee, Yongnam | Sogang University |
| Lee, Hwayoung | University of California |
| Lehman, Rebecca | Bar-Ilan University |
| Lehmann, Brian | Massachusetts Institute of Technology |
| Li, Shuijing | Rice University |
| Libgober, Anatoly S. | University of Illinois |
| Lo, Jason | Stanford University |
| Logvinenko, Timothy | University of Liverpool |
| Lombardi, Luigi | University of Illinois |
| Maguire, Stephen Joseph | University of Illinois at Urbana-Champaign |
| Malaspina, Francesco | Politecnico di Torino |
| Mapes, Sonja | Columbia University |
| Marchisotto, Elena Anne | CSUN |
| Marcus, Steffen | Brown University |
| Marian, Alina | Yale University |
| Markman, Eyal | University of Massachusetts |
| Martens, Johan Andre | University of Toronto |
| Matusevich, Laura Felicia | Harvard University |

| | |
|-----------------------------------|--|
| McKernan, James | MIT |
| McKinnie, Kelly L | Rice University |
| Melo, Margarida | Terza Università di Roma |
| Mendes Lopes, Margarida M.N.S.R.M | Instituto Superior Tecnico, Universidade tecnica de Lisboa |
| Mezzetti, Emilia | University of Trieste |
| Mishra, Chayan Kumar | Avadh University |
| Moeller, Martin | Max-Planck-Institut fuer Mathematik |
| Mojiri, Ahmad | Texas A&M University - Texarkana |
| Morrison, Ian | Fordham University |
| Mulase, Motohico | UC Davis |
| Mustata, Mircea | University of Michigan |
| Naranjo, Juan Carlos | Universitat de Barcelona |
| Nasu, Hirokazu | Tokyo Denki University |
| Neves, Jorge | University of Coimbra |
| Niu, Wenbo | University of Illinois |
| Nunez, Luis Cristobal | Wesleyan University |
| Nyström, David Witt | Matematiska vet., Chalmers University of Technology |
| O'Grady, Kieran G. | Harvard University |
| O'Grady, Kieran Gregory | Università di Roma ``La Sapienza'' |
| O'Keefe, Augustine | Tulane University |
| Oblomkov, Alexei A | 607 Fine Hall |
| Ohashi, Hisanori | Kyoto University |
| Okada, Takuzo | Kyoto University |
| Olsson, Martin | University of California |
| Ookawa, Shinnosuke | University of Tokyo |
| Osserman, Brian David | MIT |
| Ottaviani, Giorgio | Universita di Firenze |
| Pardini, Rita | Università di Pisa |
| Pareschi, Giuseppe | Università di Roma |
| Patakfalvi, Zsolt | University of Washington |
| Petrovic, Sonja | University of Illinois |
| Pham, Tuan Dang Anh | University of California |
| Pignatelli, Roberto | Università di Trento |
| Pisolkar, Supriya Arvind | Harish-Chandra Research Institute |
| Pons Llopis, Juan Francisco | University of Barcelona |
| Popa, Mihnea | University of Illinois |
| Pugin, Thibaut | Columbia University |
| Quallbrunn, Federico | University of Buenos Aires |
| Quddus, Safdar | Washington University |
| ran, ziv | UC Riverside |
| Ranestad, Kristian | University of Oslo |
| Reid, Miles | University of Warwick |
| Rezazadegan, Reza | Rutgers University |
| Rizzardo, Alice | Columbia University |
| Ross, Joe | Columbia University |
| Ruiz, Amanda | Binghamton University (SUNY) |
| Rydh, David | University of California, Berkeley |
| Salihu, Armend | Sudan University for Science & Technology |
| Scala, Luca | University of Chicago |
| Schappacher, Norbert | Université de Strasbourg |
| Schwede, Karl Earl | University of Michigan |
| SHEN, MINGMIN | Columbia University |
| Sheshmani, Artan | University of Illinois at Urbana Champaign |
| Singh, Vijaykumar Haribansh | Claude Shannon Institute |
| Smith, James T | San Francisco State University |
| Smith, Roy Campbell | University of Georgia |
| Smith, Gregory G. | Columbia University |
| Srinivas, Vasudevan | Tata Institute of Fundamental Research |
| Stapledon, Alan Michael | University of Michigan |
| Starr, Jason Michael | SUNY |

| | |
|------------------------------|--|
| Stiller, Peter F. | Texas A&M University |
| Szendroi, Balazs | University of Oxford |
| Tanimoto, Sho | New York University |
| Tarasca, Nicola | Humboldt-Universität |
| Tarizadeh, Abolfazl | IASBS |
| Teixidor, Montserrat | Tufts University |
| Testa, Damiano | University of Oxford |
| Thomas, Richard Paul Winsley | Imperial College London |
| To, Jinhung | University of Illinois at Urbana-Champaign |
| Totaro, Burt | University of Chicago |
| Treisman, Zachary David | University of British Columbia |
| Tschinkel, Yuri | New York University |
| Tucker, Kevin Francis | University of Michigan |
| Ulfarsson, Henning Arnor | Brown University |
| Urbinati, Stefano | University of Utah |
| Urzua, Giancarlo | University of Michigan |
| Varilly, Anthony | University of California |
| Venkatram, Kartik | Massachusetts Institute of Technology |
| Viray, Bianca | University of California |
| Walton, Chelsea | University of Michigan |
| Werner, Caryn | Allegheny College |
| Wood, Melanie Matchett | Princeton University |
| Xu, Chenyang | Princeton University |
| Xu, Fei | Rice University |
| Yang, Stephanie | Royal Institute of Technology (KTH) |
| Yoshioka, Kota | Kobe |
| Yu, Josephine T. | MIT |
| Zhan, Cheng | University of Houston |
| Zhang, Ziyu | Stanford University |

Classical Algebraic Geometry Today
Held: January 26 to January 30, 2009
The Mathematical Sciences Research Institute

| Officially Registered Participant Information | |
|--|--|
| 226 participants | |

| Gender (n = 193 participants) | | |
|--------------------------------------|--------|-----|
| Male | 70.98% | 137 |
| Female | 26.42% | 51 |
| Declined to state | 2.59% | 5 |

| Ethnicity (n = 221 participants) | | |
|---|--------|-----|
| White | 54.75% | 121 |
| Asian | 26.70% | 59 |
| Hispanic | 4.52% | 10 |
| Pacific Islander | 0.00% | 0 |
| Black | 0.90% | 2 |
| Native American | 0.45% | 1 |
| Declined to state | 12.67% | 28 |

Introductory Workshop

Did the various topics within the workshop integrate into a coherent picture?

- Agree
- Somewhat agree
- Disagree

Was your background adequate to access a reasonable portion of the material?

- Agree
- Somewhat agree
- Disagree

Did the workshop increase your interest in the subject?

- Yes
- No

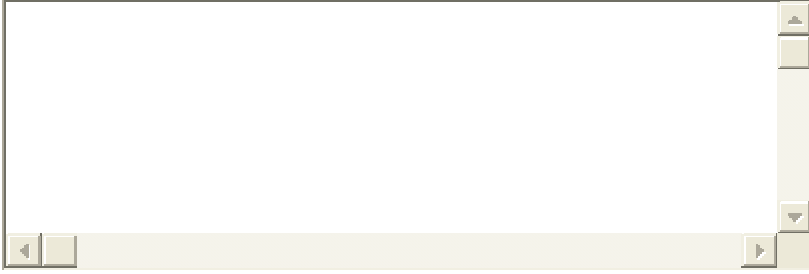
Was there adequate time between lectures for discussion?

- Not Satisfactory
- Satisfactory
- Above satisfactory

Were the speakers generally clear and well organized in their presentation?

- Not satisfactory
- Satisfactory
- Very satisfactory

Please explain

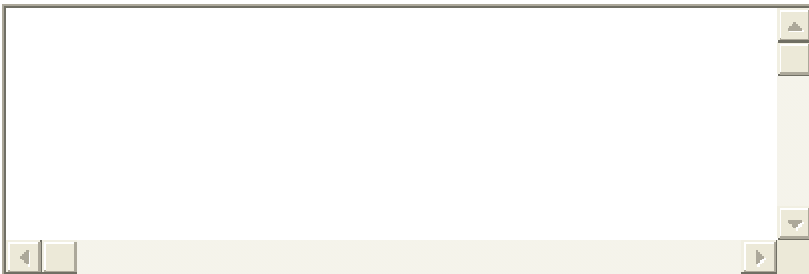


Was the workshop worth your time and effort?

Yes

No

Please explain



Your overall experience at MSRI

1 2 3 4 5

Not satisfactory Above satisfactory

The assistance provided by the MSRI staff

1 2 3 4 5

Not satisfactory Above satisfactory

The overall atmosphere of MSRI

1 2 3 4 5

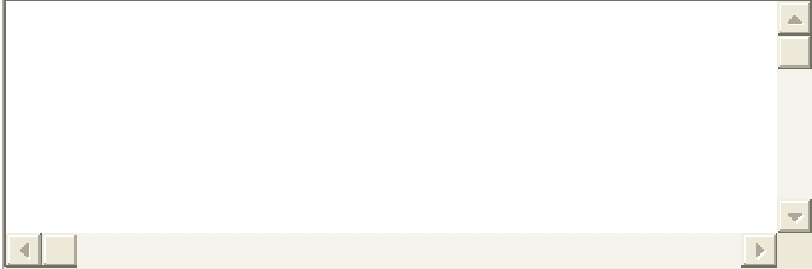
Not satisfactory Above satisfactory

The physical surroundings

1 2 3 4 5

Not satisfactory Above satisfactory

Thank you for completing this survey. We welcome any additional comments or suggestions you may have to improve the overall experience for future participants.



REPORT ON THE MODERN MODULI WORKSHOP FEBRUARY 23-27

Organizers

- Izzet Coskun (University of Illinois at Chicago), chair
- Sheldon Katz (University of Illinois at Urbana-Champaign)
- Alina Marian (University of Illinois at Chicago)
- Rahul Pandharipande (Princeton University)
- Ravi Vakil (Stanford University)
- Richard Thomas (Imperial College, London)
- Hsian-Hua Tseng (University of Wisconsin-Madison)

1. SCIENTIFIC PROGRAM

The last few years have witnessed very exciting developments in algebraic geometry, for example, in the minimal model program (MMP) and the area of derived categories. The purpose of this workshop was to give researchers working in moduli theory a global view of recent developments and to explore the implications of these developments to moduli theory.

The workshop convened graduate students, young researchers and leading experts specializing on the minimal model program, derived categories and moduli spaces in an informal environment to facilitate the flow of ideas across these different fields of algebraic geometry. Our main goals were to foster collaborations among experts working in very different branches of algebraic geometry, to encourage further exploration of the new developments for moduli theory and to inform the young participants about the new developments, open problems and new directions in the field. The workshop received wide-spread interest and became one of the largest workshops in moduli theory ever organized. There were over two hundred participants, many of whom were graduate students, young researchers and women.

The four main themes of the workshop were:

- (1) New developments in Brill-Noether Theory and the minimal model program for the moduli space of curves.
- (2) The interactions and connections between Gromov-Witten theory, Donaldson-Thomas theory and stable-pair theory.
- (3) Moduli of objects in derived categories and their relations to counting invariants.

(4) The moduli of higher dimensional varieties.

Each day of the workshop was devoted to one of these themes. The workshop lasted five days from Monday morning February 23 to Friday evening February 27, with 17 participants delivering one hour lectures. Wednesday afternoon was designated as a free afternoon to encourage discussions and collaborations among the participants. On the remaining days, the schedule included four talks per day (except Monday), with substantial periods of time devoted to discussions and informal working groups.

Given the large number of participants, it was not possible to fully fund everyone. We aimed to offer as much money as possible to every eligible applicant who needed funding in order to be able to attend the workshop. The amount of funding for a participant depended on three factors: the type of funding requested by the participant (travel, lodging or both), the proximity of the participant's home-institution and the participant's likelihood of finding other sources of support. For example, we decided to fund postdoctoral fellows at a slightly higher rate than graduate students believing that graduate students can obtain support from their institutions. Participants from California or nearby states were offered a small travel allowance, participants from other parts of North America were offered a larger travel allowance and participants coming from Europe and Asia were offered the largest travel allowance.

The workshop was a great success in achieving its goals. Several of the participants, such as Rahul Pandharipande and James McKernan, gave widely accessible talks that surveyed recent developments in the field. A young participant characterized the talks as "inspiring" and "informative". Several participants reported that the workshop was a great opportunity for them to start new projects.

2. HIGHLIGHTS OF THE PRESENTATIONS

Monday was devoted to recent developments in classical questions about moduli theory. Rahul Pandharipande (Princeton University) delivered a lecture entitled "The top Chern class of the Hodge bundle," where he discussed three enumerative problems for the top Chern class of the Hodge bundle. These problems are closely related to the Faber-Pandharipande conjecture on the tautological ring of curves of compact type and the recent developments in the Gromov-Witten Theory of K3 surfaces and relative Gromov-Witten theory. His subject beautifully linked many of widely different moduli spaces of interest to the

workshop, such as the moduli spaces of curves, abelian varieties, stable maps, stable pairs, and maps to \mathbb{P}^1 (Hurwitz spaces). Brendan Hassett (Rice University) in his talk “Rational curves on K3 surfaces and their higher-dimensional analogs” discussed new methods for proving that a projective K3 surface has infinitely many irreducible rational curves using deformation and characteristic p techniques. David Smyth (Harvard University) in his lecture “Modular compactifications of the moduli space of curves” described how to give new modular compactifications of the moduli spaces of pointed smooth curves by allowing singularities other than nodes. These new modular compactifications are closely related to spaces that arise while running the Minimal Model Program for the moduli space of curves.

Tuesday was devoted to recent developments in Gromov-Witten Theory and invariants counting objects in derived categories. The strong theme running through all four talks was the phenomenon of wall crossing – when a change in parameters leads to a change in invariants in an interesting and calculable way. Mark Gross (UC San Diego) spoke about “The tropical vertex”, an elementary algebraic gadget originally appearing in work of Kontsevich-Soibelman. He explained how it encodes counts of tropical curves in $(\mathbb{C}^*)^2$, or actual curves and Gromov-Witten invariants of toric surface compactifications. Tom Bridgeland (Sheffield) then talked on “Hall algebras and curve-counting”, explaining how the wall crossing theories of Joyce and Kontsevich-Soibelman can be used to prove the conjectural equivalence of the Donaldson-Thomas and Pandharipande-Thomas curve counting invariants on a Calabi-Yau 3-fold. The lecture of Yukinobu Toda (Oxford) on “Stability conditions and Donaldson-Thomas type invariants” used similar techniques, and his new notion of a weak stability condition, to relate the curve counting invariants on birational (and even noncommutative) Calabi-Yau manifolds. Finally Dominic Joyce (Oxford) explained how to strengthen his wall crossing theory to incorporate the Behrend function and so the virtual class into his invariants, thus vastly generalising the Donaldson-Thomas invariants.

On Wednesday, Barbara Fantechi (SISSA) spoke about “The inertia stack of $M_{g,n}$ ”, with a view toward extending the (partly conjectural) structure of the tautological ring of $M_{g,n}$. She discussed work in progress on the cohomology of the inertia stack of $M_{g,n}$, in particular classifying the connected components of the inertia stack in all genera. She also described the related Chen-Ruan cohomology of $M_{g,n}$ for low values of the genus, as an algebra over the ordinary cohomology of

$M_{g,n}$. Yuan-Pin Lee (University of Utah) subsequently talked on “K-equivalence in Gromov-Witten theory”, addressing the functoriality of Gromov-Witten theory under ordinary and Mukai flops of the target variety. He explained that Gromov-Witten theory is invariant (up to an analytic continuation) under Mukai flops as well as *simple* ordinary flops.

In Thursday’s talks a variety of topics were discussed. Dragos Oprea (UC San Diego) spoke about “The Verlinde bundles in higher genus”, which was about how to express the Verlinde bundle in terms of some naturally defined vector bundles on the Jacobian of a smooth curve. The results he discussed suggest an approach to understanding strange duality statements for curves and perhaps higher dimensional geometry. Davesh Maulik (Clay Mathematics Institute and MIT) spoke about “Gromov-Witten theory of K3 surfaces”. He discussed the famous Yau-Zaslow formula relating enumeration of rational curves on K3 surfaces to modular forms. He reviewed several previous approaches to the Yau-Zaslow formula for counting rational curves in primitive classes, and he discussed recent joint work with A. Klemm, R. Pandharipande, and E. Scheidegger proving the full Yau-Zaslow formula for non-primitive classes. He also briefly discussed some recent results (joint work with R. Pandharipande and R. Thomas) on modularity of higher genus Gromov-Witten invariants of K3 surfaces. The talk of Carel Faber (KTH) consisted of two parts, the common theme of which is the cohomology of moduli spaces of curves. In the first part he discussed conjectures and results on the structure of tautological rings of the moduli space $M_{g,n}$ of pointed curves and its variants, such as $M_{g,n}^{rt}$, $M_{g,n}^{ct}$, and $\overline{M}_{g,n}$. In particular he explained some relations among these conjectures. In the second part he discussed recent work with G. van der Geer and J. Bergström concerning the Σ_n -equivariant Euler characteristics of $\overline{M}_{g,n}$ for $g = 2, 3$. As an addition to the workshop we also had a special seminar given by Bumsig Kim (KIAS). He explained a new way to compactify the spaces of maps from smooth curves to projective varieties. His construction allows the target variety to degenerate when the domain curve becomes nodal. The target variety is allowed to degenerate in a way identical to the one that occurred in the Fulton-MacPherson configuration spaces. The main result is a compactification which still admits a perfect obstruction theory that can be used to define a virtual fundamental class and Gromov-Witten type invariants. Two versions of such compactifications were discussed in the talk (one due to B. Kim-A. Kresch-Y. Oh and the other due to

B. Kim). In genus 1 he explained that one of his compactifications provides a desingularization of the main component of the moduli space of stable maps to projective spaces. He concluded with several interesting conjectures relating the invariants defined using his compactifications and standard Gromov-Witten invariants.

Friday was devoted to the moduli spaces of higher dimensional varieties. János Kollár (Princeton University) spoke about the relationship between log canonical (LC) and Du Bois singularities. The main result was that semi LC implies Du Bois. As a consequence, he proved that the Cohen-Macaulay condition was open and closed in the moduli space of surfaces of general type. The talk reflected joint work with S. Kovács. James McKernan (MIT) gave a very nice survey of the current state of the minimal model program. The goal of the program is to replace a variety with a birational model where either the canonical divisor is nef or there is a fibration whose fibers which are Fano varieties. The process has a number of contractions and flips. The remaining step is to prove that there is no infinite sequence of flips. McKernan gave an overview of some of the results and conjectural approaches to proving that flips terminate. Valery Alexeev (University of Georgia) spoke about explicit compactifications of the moduli spaces of Campedelli and Burniat surfaces. These are the first interesting examples where the entire (compact) moduli spaces can be fully described. The method involves studying compact parameter spaces of configurations of lines in the plane. The talk reflected joint work with R. Pardini. Eckardt Viehweg (Essen) spoke about Kobayashi geodesics in the moduli space of abelian varieties A_g . These are algebraic curves that are totally geodesic submanifolds for the Kobayashi metric. Viehweg showed that Kobayashi geodesics can be characterized as those curves whose logarithmic tangent bundle splits as a subbundle of the logarithmic tangent bundle of A_g . Both Shimura curves and Teichmüller curves are examples of Kobayashi geodesics, but there are other examples. Viehweg showed that non-compact Kobayashi geodesics always map to the locus of real multiplication and that the \mathbb{Q} -irreducibility of the induced variation of Hodge structures implies that they are defined over a number field. The talk reflected joint work with M. Moeller.

Modern Moduli Theory

February 23, 2009 to February 27, 2009

Schedule

| Monday, February 23, 2009 | | |
|------------------------------------|---------------------------|---|
| 9:30AM - 10:30AM | Rahul Pandharipande | The top Chern class of the Hodge bundle. |
| 10:30AM - 11:00AM | Coffee, Tea in the atrium | |
| 11:00AM - 12:00PM | Brendan Hassett | Rational curves on K3 surfaces and their higher-dimensional analogs |
| 12:00PM - 2:00PM | Lunch | |
| 2:00PM - 3:00PM | David Smyth | Modular compactifications of the moduli space of curves |
| Tuesday February 24, 2009 | | |
| 09:30AM - 10:30AM | Mark Gross | The tropical vertex |
| 10:30AM - 11:00AM | Coffee, tea in the atrium | |
| 11:00AM - 12:00PM | Tom Bridgeland | Hall algebras and curve-counting |
| 12:00PM - 1:30PM | Lunch | |
| 1:30PM - 2:30PM | Yukinobu Toda | Stability conditions and Donaldson-Thomas type invariants |
| 2:30PM - 3:00PM | Coffee, tea in the atrium | |
| 3:00PM - 4:00PM | Dominic Joyce | A theory of generalized Donaldson-Thomas invariants |
| 4:00PM - 5:00PM | Reception in the atrium | |
| Wednesday February 25, 2009 | | |
| 9:30AM - 10:30AM | Barbara Fantechi | TBD |
| 10:30AM - 11:00AM | Coffee, tea in the atrium | |
| 11:00AM - 12:00PM | Yuan-Pin Lee | K-equivalence in Gromov--Witten theory |
| | | |

Thursday February 26, 2009

| | | |
|-------------------|---------------------------|--|
| 09:30AM - 10:30AM | Dragos Oprea | The Verlinde bundles in higher genus |
| 10:30AM - 11:00AM | Coffee, tea in the atrium | |
| 11:00AM - 12:00PM | Davesh Maulik | Gromov-Witten theory on K3 surfaces |
| 12:00PM - 2:00PM | Lunch | |
| 2:00PM - 3:00PM | Carel Faber | A remark on a conjecture of Hain and Looijenga |

Friday February 27, 2009

| | | |
|-------------------|---------------------------|--|
| 9:30AM - 10:30AM | János Kollár | Log canonical implies Du Bois |
| 10:30AM - 11:00AM | Coffee, tea in the atrium | |
| 11:00AM - 12:00PM | James McKernan | Termination of flips |
| 12:00PM - 2:00PM | Lunch | |
| 2:00PM - 3:00PM | Valery Alexeev | Explicit compactifications of moduli spaces of Campedelli and Burniat surfaces |
| 3:00PM - 4:00PM | Coffee, tea in the atrium | |
| 4:00PM - 5:00PM | Eckart Viehweg | Kobayashi geodesics in A_g |

Currently Available Videos

- **Rahul Pandharipande** , [The top Chern class of the Hodge bundle](#) February 23,2009, 09:30 AM to 10:30 AM
- **Brendan Hassett** , [Rational curves on K3 surfaces and their higher-dimensional analogs](#) February 23,2009, 11:00 AM to 12:00 PM
- **David Smyth** , [Modular compactifications of the moduli space of curves](#) February 23,2009, 02:00 PM to 03:00 PM
- **Mark Gross** , ["The tropical vertex"](#) February 24,2009, 09:30 AM to 10:30 AM
- **Tom Bridgeland** , [Hall algebras and curve-counting](#) February 24,2009, 11:00 AM to 12:00 PM
- **Yukinobu Toda** , [Stability conditions and Donaldson-Thomas type invariants](#) February 24,2009, 01:30 PM to 02:30 PM
- **Dominic Joyce** , [A theory of generalized Donaldson-Thomas invariants](#) February 24,2009, 03:00 PM to 04:00 PM
- **Barbara Fantechi** , ["Intertia stack of \$M_{g,n}\$ "](#) February 25,2009, 09:30 AM to 10:30 AM
- **Yuan-Pin Lee** , [K-equivalence in Gromov--Witten theory](#) February 25,2009, 11:00 AM to 12:00 PM
- **Dragos Oprea** , [The Verlinde bundles in higher genus](#) February 26,2009, 09:30 AM to 10:30 AM
- **Davesh Maulik** , ["Gromov-Witten theory on K3 surfaces](#) February 26,2009, 11:00 AM to 12:00 PM
- **Carel Faber** , [A remark on a conjecture of Hain and Looijenga](#) February 26,2009, 02:00 PM to 03:00 PM
- **János Kollár** , [Log canonical implies Du Bois](#) February 27,2009, 09:30 AM to 10:30 AM
- **James McKernan** , [Termination of flips](#) February 27,2009, 11:00 AM to 12:00 PM
- **Valery Alexeev** , [Explicit compactifications of moduli spaces of Campedelli and Burniat surfaces](#) February 27,2009, 02:00 PM to 03:00 PM
- **Eckart Viehweg** , [Kobayashi geodesics in \$A_g\$](#) February 27,2009, 04:00 PM to 05:00 PM

Speaker List

MSRI Workshop:

Modern Moduli Theory

February 23 to February 27, 2008

at Mathematical Sciences Research Institute, Berkeley California

| Full Name | Role | Primary Institute |
|------------------------|---------|---------------------------------------|
| Dragos Oprea | Speaker | University of California, San Diego |
| Carel Faber | Speaker | Royal Institute of Technology (KTH) |
| Valery Alexeev | Speaker | University of Georgia |
| Brendan Edward Hassett | Speaker | Rice |
| Yuan-Pin Lee | Speaker | University of Utah |
| David Ishii Smyth | Speaker | Harvard University |
| Barbara Fantechi | Speaker | Sissa |
| James McKernan | Speaker | MIT |
| Dominic David Joyce | Speaker | University of Oxford |
| Yukinobu Toda | Speaker | University of Tokyo |
| Eckart Viehweg | Speaker | Universitaet Duisburg-Essen |
| Tom Andrew Bridgeland | Speaker | University of Sheffield |
| Joseph D. Harris | Speaker | Harvard University |
| János Kollár | Speaker | Princeton University |
| Davesh Maulik | Speaker | Massachusetts Institute of Technology |
| Andrew Critch | Speaker | University of California |
| Mark W. Gross | Speaker | University of California, San Diego |

Participant List
MSRI Workshop:
Modern Moduli Theory
February 23 to February 27, 2008

at Mathematical Sciences Research Institute, Berkeley California

| Full Name | Role | Primary Institute |
|------------------------------------|----------------|--|
| Abramovich, Dan | Participant | University of Utah |
| Addington, Nicolas | Participant | University of Wisconsin |
| Alexeev, Valery | Participant | conseatoire national des arts et métiers |
| Alvarez-Consul, Luis | Participant | California Institute of Technology |
| Araujo, Carolina B. | Participant | Max-Planck-Institute for Mathematics |
| Bakker, Benjamin Thomas | Participant | Institut Mittag-Leffler |
| Baranovsky, Vladimir | Participant | UC Davis |
| Bauer-Catanese, Ingrid Claudia | Organizer | University of Illinois |
| Bayer, Arend | Organizer | University of Connecticut |
| Beheshti Zavareh, Roya | Organizer | University of Illinois |
| Behrend, Kai | Organizer | Princeton University |
| Beil, Charles | Organizer | Imperial College London |
| Bernardara, Marcello | Organizer | University of Wisconsin |
| Bertram, Aaron | Organizer | Stanford University |
| Bohm, Janko | Participant | SISSA |
| Boocher, Adam | Participant | Kyoto university |
| Borrelli, Giuseppe | Participant | American University |
| Bradlow, Steven | Participant | Univ. of Notre Dame |
| Brannetti, Silvia | Participant | University of Georgia |
| Brav, Christopher Ira | Participant | University of California |
| Bridgeland, Tom Andrew | Participant | University of Trieste |
| Brown, Morgan | Participant | University of California |
| Budur, Nero | Participant | University of Michigan |
| Casagrande, Cinzia | Participant | SUNY |
| Casalaina-Martin, Sebastian | Participant | proline soccer academy |
| Castravet, Ana-Maria | Participant | Universita' degli Studi di Milano |
| Catanese, Fabrizio Marcello Enrico | Participant | Department of Mathematics, Imperial College London |
| Cautis, Sabin | Participant | University of Utah |
| Chang, Hua-Liang | Participant | Tyler Foster |
| Cheung, Rex | Participant | Harvard University |
| Choi, Jinwon | speaker/member | University of Illinois |
| Ciocan-Fontanine, Ionut | Speaker/Member | Royal Institute of Technology (KTH) |
| Cooper, Yaim | Speaker | University of Georgia |
| Coskun, Izzet | Speaker/member | University of Illinois |
| cotignoli, giulio | Speaker/Member | University of Utah |
| Cotterill, Ethan Guy | Speaker | Harvard University |
| Craw, Alastair | Participant | University of Liverpool |
| Critch, Andrew | Speaker/Member | University of California |
| Cueto, Maria Angelica | speaker/member | University of California |
| Dalakov, Peter | Speaker | University of Oxford |
| Davison, Nicholas David James | Speaker | University of Tokyo |
| de Fernex, Tommaso | speaker/member | University of Utah |
| Debarre, Olivier | Speaker/Member | University of Sheffield |
| Derenthal, Ulrich | Speaker/Member | University of Zurich |
| Docampo Alvarez, Roi | Speaker/member | Princeton University |
| Donovan, Will | Speaker/Member | Massachusetts Institute of Technology |
| Dundon, Ariana | Participant | SISSA/ISAS |
| Ein, Lawrence M. H. | Participant | Princeton University |
| Elezi, Artur | Participant | SEYTUNSCOM RESOURCES |
| Erman, Daniel Max | Participant | Rheinische Friedrich-Wilhelms-Universität Bonn |

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|------------------------------|-------------|---|
| Esnault, Helene I | Participant | International School for Advanced Studies (SISSA/ISAS), Trieste |
| Esteves, Eduardo de Sequeira | Participant | University of Utah |
| Faber, Carel | Participant | SUNY |
| Faenzi, Daniele | Participant | University of Salamanca |
| Fantechi, Barbara | Participant | Sissa |
| Farajzadeh Tehrani, Mohammad | Participant | Princeton University |
| Farkas, Gavril | Participant | Math Dept |
| Fedorchuk, Maksym | Participant | Albert-Ludwigs-Universitaet Mathematisches Institut |
| Finkel, Dan | Participant | University of Arizona |
| Foster, Tyler Stephen | Participant | Rheinische Friedrich-Wilhelms-Universität Bonn |
| Frantzen, Kristina | Participant | Ruhr-Universität Bochum |
| Fuji, Hiroyuki | Participant | University of Bonn, Germany |
| Fulghesu, Damiano | Participant | Scuola Normale Superiore |
| Fusi, Davide | Participant | Terza Università di Roma |
| Geraschenko, Anton | Participant | Stanford University |
| Gholampour, Amin | Participant | Princeton University |
| Giansiracusa, Noah | Participant | Department of Mathematics |
| Greb, Daniel | Participant | Humboldt University of Berlin |
| Gross, Mark W. | Participant | University of Salamanca |
| Grushevsky, Samuel | Participant | University of Missouri |
| Grzegorzcyk, Ivona | Participant | Terza Università di Roma |
| Guerra, Stefano | Participant | Rheinische Friedrich-Wilhelms-Universität Bonn |
| Hacking, Paul Anthony | Participant | University of Illinois at Urbana-Champaign |
| Hadian-Jazi, Majid | Participant | Università di Pavia |
| Hain, Richard Martin | Participant | Tokyo Institute of Technology |
| Hall, Jack K | Participant | Terza Università di Roma |
| Harris, Joseph D. | Participant | Columbia University |
| Hartmann, Heinrich | Participant | Stanford University |
| Hartshorne, Robin | Participant | University of California |
| Hassett, Brendan Edward | Participant | University of Salamanca |
| Helminck, Aloysius Gerardus | Participant | North Carolina State University |
| Hering, Milena | Participant | University of Washington |
| Hernandez-Ruiperez, Daniel | Participant | Department of Mathematics, Duke University |
| Ho, Wei | Participant | Princeton University |
| Hogadi, Amit Pratap | Participant | Politecnico di Milano |
| Hoskins, Vicky | Participant | Oxford |
| Huang, Yuhao | Participant | University of California |
| Hyeon, Donghoon | Participant | University of Illinois |
| Hyun, Yoonsuk | Participant | Massachusetts Institute of Technology |
| Im, Mee Seong | Participant | University of Utah |
| Iritani, Hiroshi | Participant | Princeton University |
| Jiang, Yunfeng | Participant | University of Illinois |
| Johnson, Paul | Participant | University of Texas |
| Joyce, Dominic David | Participant | University of British Columbia |
| Kaloghiros, Anne-Sophie | Participant | Kyungpook National University |
| Kamnitzer, Joel | Participant | American Institute of Mathematics |
| Kang, Ning | Participant | University Of Texas, Austin |
| Karp, Dagan Joshua | Participant | Imperial College London |
| Kass, Jesse | Participant | University of Massachusetts |
| kasule, yasin buwembo | Participant | IMPA |
| Katz, Sheldon | Participant | University of Oxford |
| Kebekus, Stefan | Participant | University of Wisconsin |
| Kelly, Tyler | Participant | N/A |
| Kim, Hoil | Participant | Kyungpook National University |
| Kimura, Takashi | Participant | University of Salamanca |
| Kollár, János | Participant | International School for Advanced Studies (SISSA/ISAS) |

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|------------------------------|-------------|---|
| Kool, Martijn | Participant | University of Washington |
| Kopp, Travis J | Participant | Mathematics Department |
| Krawitz, Marc | Participant | Boston University |
| LAI, CHING-JUI | Participant | University of California |
| Lang, William E. | Participant | Instituto de Ciencias Matemáticas (CSIC-UAM-UCM-UC3M) |
| Langer, Adrian | Participant | Université de Pau et des Pays de l'Adour |
| Larsson, Daniel | Participant | Columbia University |
| Lee, Yuan-Pin | Participant | Johannes-Gutenberg-University Mainz |
| Lehn, Christian | Participant | Johannes-Gutenberg-Universität Mainz |
| Li, Jun | Participant | Institute of Mathematics "Simion Stoilow" of the Romanian Academy |
| LI, LI | Participant | University of Illinois at Urbana-Champaign |
| Li, Weiping | Participant | Princeton University |
| Liu, Chiu-Chu Melissa | Participant | Princeton University |
| Lo, Jason | Participant | University of Michigan |
| Logvinenko, Timothy | Participant | University of Liverpool |
| López-Martín, Cristina | Participant | University of Michigan |
| Lowrey, Parker | Participant | University of Texas |
| Maclagan, Diane | Participant | University of Illinois at Urbana-Champaign |
| Macri, Emanuele | Participant | University of Oxford |
| Manolache, Cristina | Participant | SISSA/ISAS |
| Marcus, Steffen | Participant | University of Toronto |
| Marian, Alina | Participant | University of California |
| Markwig, Hannah | Participant | Universität Hannover |
| Matusevich, Laura Felicia | Participant | Harvard University |
| Maulik, Daves | Participant | Nagoya University |
| McKernan, James | Participant | University of Illinois at Urbana-Champaign |
| Mehrotra, Sukhendu | Participant | University of Utah |
| Meinhardt, Sven | Participant | University of Illinois at Urbana-Champaign |
| Melo, Margarida | Participant | Max-Planck-Institut für Mathematik |
| Mezzetti, Emilia | Participant | University of Tokyo |
| More, Yogesh | Participant | University of Tokyo |
| Morrison, David R. | Participant | University of Minnesota Twin Cities |
| Morrison, Ian | Participant | Texas A&M University |
| Mulase, Motohico | Participant | University of Georgia |
| Mustata, Andrei Dragos | Participant | Università di Roma "La Sapienza" |
| Nagao, Kentaro | Participant | Princeton University |
| NAKAOKA, Hiroyuki | Participant | University of Tokyo |
| Neeman, Amnon | Participant | University of California |
| Nevins, Thomas A. | Participant | IMPA |
| Niu, Wenbo | Participant | North Carolina State University |
| Oblomkov, Alexei A | Participant | University of California |
| Oblomkov, Alexei A | Participant | Columbia University |
| Ohkawa, Ryo | Participant | University of Warwick |
| OLUWATOYIN, AYENI RUTH | Participant | Brigham Young University |
| Oprea, Dragos | Participant | University of California |
| Osserman, Brian David | Participant | University of Connecticut |
| Pagani, Nicola Tito | Participant | University of California |
| Pandharipande, Rahul | Participant | Hong Kong University of Science and Technology |
| Pasarescu, Ovidiu-Florin | Participant | University of Minnesota Twin Cities |
| Payne, Sam | Participant | Marshall University |
| Pham, Tuan Dang Anh | Participant | University of Glasgow |
| philippe, durand | Participant | University of Massachusetts |
| Plaza Martín, Francisco José | Participant | Scuola Normale Superiore |
| Popa, Mihnea | Participant | Brandeis University |
| Pugin, Thibaut | Participant | University of Warsaw |
| Reid, Miles | Participant | California State University Channel Islands |
| Ross, Joe | Participant | University of Utah |

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|------------------------------|-------------|--|
| Rydh, David | Participant | University of California |
| S, M | Participant | Princeton University |
| Sacca', Giulia | Participant | Princeton University |
| Sanchez-Gomez, Dario | Participant | Stanford University |
| Sano, Taro | Speaker | University of California |
| Satriano, Matt | Participant | uiuc |
| Sawon, Justin | Participant | Chaminade University |
| Schlesinger, Enrico | Participant | École Normale Supérieure |
| Schlueter, Dirk Christopher | Participant | Texas A & M University |
| Schuerg, Timo | Speaker | University of California, San Diego |
| Schwede, Karl Earl | Notetaker | University of Illinois Urbana-Champaign |
| Sengupta, Tathagata | Participant | Brandeis University |
| Setayesh, Iman | Participant | Ruhr-Universität Bochum |
| Shende, Vivek | Participant | Scuola Normale Superiore |
| Sheshmani, Artan | Participant | University of California |
| Smith, Gregory G. | Participant | Australian National University |
| Smith, Roy Campbell | Participant | University of Georgia |
| Smyth, David Ishii | Participant | University of California |
| Sosna, Pawel | Participant | Rheinische Friedrich-Wilhelms-Universität Bonn |
| Srinivas, Vasudevan | Participant | Albert-Ludwigs-Universität Freiburg |
| Stapledon, Alan Michael | Participant | Queen's University |
| Starr, Jason Michael | Participant | SUNY |
| Steinberg, David | Participant | University of British Columbia |
| Stellari, Paolo | Participant | University of Georgia |
| Stiller, Peter F. | Participant | University of California |
| Stillman, Mike | Participant | Massachusetts Institute of Technology |
| Swinarski, David Joseph | Participant | University of Georgia |
| Szendroi, Balazs | Participant | University of British Columbia |
| Taipale, Kaisa | Participant | Universität Duisburg-Essen |
| Thomas, Richard Paul Winsley | Participant | University of Utah |
| Tian, Zhiyu | Participant | Washington University |
| To, Jinhyung | Participant | Princeton University |
| Toda, Yukinobu | Participant | Tata Institute of Fundamental Research |
| Todorov, Joro | Participant | Max-Planck-Institut für Mathematik |
| Tommasi, Orsola | Participant | Universität Hannover |
| Tseng, Hsian-Hua | Participant | Harvey Mudd College |
| Tucker, Kevin Francis | Participant | Universität Bayreuth |
| Tyler, Eiko Nakayama | Participant | University of Warwick |
| Tzeng, Yu-Jong | Participant | Duke University |
| Ulfarsson, Henning Arnor | Participant | American Institute of Mathematics |
| Urbinati, Stefano | Participant | Universidade Federal de Pernambuco |
| Vakil, Ravi | Participant | University of Utah |
| Van der Wyck, Fred | Participant | Brown University |
| Venkatram, Kartik | Participant | Stanford University |
| Viehweg, Eckart | Participant | Stanford University |
| Viray, Bianca | Participant | Universitaet Bayreuth |
| Vistoli, Angelo | Participant | University of Oxford |
| Viviani, Filippo | Participant | University of California |
| Wood, Melanie Matchett | Participant | University of Colorado |
| Xu, Chenyang | Participant | Tata Institute of Fundamental Research |
| Yuan, Yao | Participant | SISSA/ISAS |
| Zhang, Ziyu | Participant | Georg-August-Universität zu Göttingen |
| Zhu, Yi | Participant | University of Connecticut |
| Zinger, Aleksey | Participant | Colorado State University |

Modern Moduli Theory Workshop
Held: February 23 to February 27, 2009
The Mathematical Sciences Research Institute

| Participant Information | |
|--|--|
| 149 officially registered participants | |

| Gender (n = 149 participants) | | |
|--------------------------------------|--------|-----|
| Male | 75.84% | 113 |
| Female | 24.16% | 36 |
| Declined to state | 0.00% | 0 |

| Ethnicity (n = 107 participants) | | |
|---|--------|----|
| White | 70.09% | 75 |
| Asian | 20.56% | 22 |
| Hispanic | 0.00% | 0 |
| Pacific Islander | 0.00% | 0 |
| Black | 0.00% | 0 |
| Native American | 0.00% | 0 |
| Declined to state | 9.35% | 10 |

Report on the MSRI workshop Combinatorial, Enumerative, and Toric Geometry 23–27 March 2009

Organizers

Michel Brion (Université de Grenoble)
Anders Buch (Rutgers University)
Linda Chen (Swarthmore College)
William Fulton (University of Michigan)
Frank Sottile (Texas A & M University)
Harry Tamvakis (University of Maryland)
Burt Totaro (University of Cambridge)

Scientific Description

Algebraic geometry has long been a central subject within mathematics, enjoying deep and substantial connections to other parts of mathematics. In particular, the interplay between algebraic geometry and algebraic combinatorics has led to many important developments for both fields. One reason is that tools from both fields can be used to study their common objects. Another reason is that varieties with special combinatorial structures often arise in other mathematical fields, which leads to great interest in understanding these structures. Some of these algebraic varieties with important combinatorial structures include toric varieties, flag varieties and their Schubert subvarieties, degeneracy loci, and many moduli spaces. Powerful tools have been developed coming from algebraic topology, combinatorics, commutative and computational algebra, complex and symplectic geometry, and representation theory, to study the objects in this field. The last 15 years have seen an explosion of interest in these topics, in particular the Schubert Calculus, toric varieties, and quantum cohomology have consistently attracted many leading younger researchers. This workshop highlighted this part of modern algebraic geometry within the context of the broader parent program at MSRI. It presented, at a very high level, the state of the art in combinatorial, enumerative, and toric algebraic geometry.

Need here a paragraph on the statistics of the workshop: Number of people who attended, etc.

Organizational Structure

The workshop was organized using the standard five-day workshop format at MSRI. There were four 60 minute talks each day except on Wednesday, when there were two 60 minute talks in the morning. The structure left ample time for informal discussion between and after talks.

Funding of participants was undertaken with the guideline of funding as many graduate students and recent PhDs (three years or less) as possible. An effort was made to also accommodate more established researchers in the field who do not have other sources of support, including those from underrepresented groups.

Program of Talks

We give a short description of each of the 18 invited talks, in chronological order.

Mircea Mustata, University of Michigan. *On asymptotic invariants of graded sequences of ideals.*

Mustata discussed examples of sequences of ideals, including symbolic powers, base loci of powers of line bundles, and ideals defined by divisorial valuations, and how interest is in the asymptotic behavior of certain invariants of such sequences, such as log canonical thresholds and multiplier ideals. For monomial ideals, these invariants often reduce to convex geometry. The main object of the talk was asymptotic multiplier ideals, which produce another sequence of ideals but with many natural inclusions reversed (as compared to the behavior of the original sequence of ideals). Often, this new sequence of ideals is asymptotically better behaved, but close enough to the original ideals to give useful information. This was illustrated for monomial ideals and Mustata posed a number of open questions.

Lev Borisov, University of Wisconsin. *Strong exceptional collections of line bundles on Fano toric Deligne-Mumford stacks.*

In joint work with Hua, Borisov described how the derived category of a Fano toric Deligne-Mumford stack is generated by line bundles with vanishing pairwise Ext-groups, generalizing the well-known example of projective spaces (The derived category of P^n is generated by $\mathcal{O}(i)$ for $i=0, \dots, n$ and $\text{Ext}^a(\mathcal{O}(i), \mathcal{O}(j))=0$ for all $0 \leq i, j \leq n$ and $a > 0$.)

Nicolas Perrin, Universität Bonn. *Towards a Littlewood-Richardson rule in the Kac-Moody setting.*

Perrin presented joint work with Chaput, generalizing work of Thomas and Yong, giving a rule for some structure constants of flag manifolds. Specifically, when u, v, w are all Λ -minuscule, the structure constant $c_{u,v}^w$ is given by the jeu de taquin on a poset associated to w (the heap of w). Their proof holds for all G/P when G is finite-dimensional, as well as in some cases when G is an infinite-dimensional Kac-Moody group, in which case a technical condition of slant-finite is required.

Izzet Coskun, University of Illinois at Chicago. *Geometric positivity in the cohomology of homogeneous varieties.*

After recalling his geometric Littlewood-Richardson rule for type A flag varieties, Coskun defined restriction varieties, which are generalizations of Schubert varieties in orthogonal flag varieties. These are restrictions of Schubert varieties from type A flag varieties. Coskun described a positive geometric-combinatorial formula for computing the class of a restriction variety as a sum of Schubert classes. This is the start of his program for a geometric Littlewood-Richardson rule for orthogonal Grassmannians.

Ezra Miller, Duke University. *Equivariant transversality and K-theoretic positivity.*

Miller describes joint work with Anderson and Griffeth, which gives a proof of conjectures by Griffeth-Ram and Graham-Kumar regarding the signs of Schubert structure constants in the equivariant K-theory of homogeneous spaces. They showed that these signs alternate with codimension, which generalizes Brion's result that the structure constants in ordinary K-theory have alternating signs, as well as Graham's theorem that the structure constants in equivariant cohomology are non-negative.

Kiumars Kaveh, University of Toronto. *Convex bodies, semi-groups of integral points, algebras of finite type, and geometry of linear series on varieties.*

Kaveh describes joint work with Khovanskii, in which it is proved that if $S \subset \mathbb{Z}^n$ is a semi-group and $C(S) \subset \mathbb{R}^n$ is the cone it generates, then all points of $C(S) \cap \mathbb{Z}^n$ that are sufficiently far from the boundary $\partial C(S)$ are also in S . This result is applied to recover some theorems from

algebraic geometry and convex geometry, and also to obtain new results on the asymptotical behavior of linear series on complete varieties.

David Speyer, Massachusetts Institute of Technology. *What can we learn about matroids from K-theory?*

A (representable) matroid M records which sets of d columns in a $d \times n$ matrix are linearly independent. The row span of the same matrix defines a point x in the Grassmannian $Y = Gr(d, n)$ of d -planes in C^n . Speyer exploits this to define invariants of matroids in the K -theory of Grassmannians. Specifically, fix a vector bundle V on Y , and consider the toric variety $X = (C^*)^n \cdot x \subset Y$. Speyer shows that the sheaf Euler characteristic $\sum_{i \geq 0} (-1)^i \dim H^i(X, V|_x)$ depends

only on M and not on the choice of representing point x in Y . General (non-representable) matroids are handled by constructing a class in the K -theory of Y that represents O_x when M is representable. The hope is that all isomorphism classes of matroids can be distinguished by invariants of this type.

Diane MacLagan, Warwick University. *Tropical bounds on nef cones.*

MacLagan explains joint work with Angela Gibney, in which the nef cone of a projective variety Y is bounded below using methods from tropical algebraic geometry. The idea is to embed Y in a suitably chosen toric variety $X(\Delta)$ and exploit the explicitly known intersection theory on this variety.

Sam Payne, Stanford University. *Boundary complexes of varieties.*

The boundary complex of an algebraic variety is the dual complex of the boundary divisor in a log resolution of a compactification. Payne proved that the homotopy type of this complex is independent of the choice of resolution and compactification, and gave relations between these complexes, weight filtrations, and tropicalizations. He also discussed relations with dual complexes of resolutions of singularities, and posed some open problems and conjectures.

Jenia Tevelev, University of Massachusetts Amherst. *Compactifications of Subvarieties of Tori.*

Tevelev reported on joint work with Hacking and Keel, developing an analogy between submanifolds of abelian varieties and subvarieties of tori. For sufficiently nice subvarieties, compactifications are well described by fan structures on the tropicalization. The example of moduli of del Pezo surfaces was explained in detail.

Leonardo Mihalcea, Duke University. *Quantum K-theory of Grassmannians.*

Mihalcea describes joint work with Buch, which gives a way to compute the quantum K -theory of Grassmannians. The main result is a formula stating that the equivariant K -theoretic Gromov-Witten invariants on a Grassmannian are equal to Euler characteristics computed on a two-step flag variety. This was proved earlier by Buch-Kresch-Tamvakis in the special case of non-equivariant cohomological Gromov-Witten invariants. The obtained Gromov-Witten invariants can be used to compute the equivariant quantum K -theory ring of Givental and Lee.

Kai Behrend, University of British Columbia. *The virtual Betti numbers of the Hilbert scheme of points on a Calabi-Yau threefold.*

Let Y be a Calabi-Yau manifold and $Y^{[n]}$ the Hilbert scheme of n points in Y . Behrend describes joint work with Bryan and Szendroi that aims to define virtual Betti numbers encoding certain Euler characteristics of $Y^{[n]}$. One question that arises is if there exists a map $f: M \rightarrow C$ such that $Y^{[n]}$ is (locally) equal to the zero section $Z(df)$ of the differential of f . If so, then the Betti

numbers can be constructed if there exist a global object Φ glued together by objects Φ_f defined by these maps. It is conjectured that this is the case.

Thomas Lam, Massachusetts Institute of Technology. *K-theoretic Schubert calculus for the affine Grassmannian*

Lam described joint work with Schilling and Shimozono, which gives a GKM-style representation of the K-theory of an affine Grassmannian as a subring of a large power of the representation ring of the maximal torus. Lam also gave a simple identity that uniquely identifies the Hopf algebra structure of the K-theory ring.

Tara Holm, Cornell University. *The K-theory of symplectic orbifolds.*

Holm described how symplectic techniques can be used to compute stringy invariants of orbifolds. The main examples include weighted projective spaces and symplectic toric orbifolds, where it is shown that the orbifold K-theory is torsion free. The main theorem (with Goldin, Knutson, Harada, and Kimura) gives a surjective map from a direct sum of ordinary K-theory rings of manifolds to the orbifold K-theory of a symplectic quotient.

Bernd Sturmfels, University of California at Berkeley. *The Hilbert scheme of the diagonal in a product of projective spaces.*

Sturmfels explained a connectedness result for multigraded Hilbert schemes, and also presented a result with Dustin Cartwright stating that all points in a particular Hilbert scheme are radical ideals.

Nicolas Ressayre, Université Montpellier II. *GIT-cones and applications.*

The cone of possible eigenvalues of three Hermitian matrices with zero sum can be generalized by looking for non-zero tensor products multiplicities for representations of linear algebraic groups. Other generalizations come from the theories of quiver representations and geometric invariant theory. Ressayre explained a common generalization of these viewpoints, involves the cone generated by G -equivariant line bundles (with a non-zero section) on a projective G -variety. **Prakash Belkale**, University of North Carolina. *Horn and saturation conjectures for the symplectic and odd orthogonal groups.*

The Horn cone of eigenvalues of triples of hermitian matrices that sum to zero is generalized to the eigencone of an arbitrary simple simply connected complex algebraic group, which is a rational polyhedral cone defined by inequalities from the Schubert calculus. A map between groups gives rise to a map between eigencones, suggesting a relation between the Schubert calculus on different groups. Belkale explains his joint work with Kumar giving such a relation for embeddings of symplectic and odd-orthogonal groups into the special linear group. **Allen Knutson**, Cornell University. *Frobenius splitting of matrix Schubert varieties and positroid varieties, with applications to juggling.*

Knutson began his talk with a minicourse on Frobenius splitting, illustrating it with a very simple proof that Fulton's matrix Schubert varieties are compatibly split and therefore reduced, normal, Cohen-Macaulay and have rational singularities. Matrix Schubert varieties are subvarieties of $n \times n$ matrices; extending his proof to $k \times (n-k)$ matrices and the Grassmannian of k -planes in n -space gives a collection of compatibly split subvarieties of the Grassmannian, called positroid varieties which are reduced, normal, Cohen-Macaulay and have rational singularities. These give a stratification of the Grassmannian with the strata naturally indexed by juggling patterns of length n involving k balls with maximal height n .

Combinatorial, Enumerative and Toric Geometry

March 23, 2009 to March 27, 2009

Schedule

Monday March 23, 2009

| | | |
|-------------------|---------------------------|--|
| 09:30AM - 10:30AM | Mircea Mustata | On asymptotic invariants of graded sequences of ideals |
| 10:30AM - 11:00AM | Coffee, tea in the atrium | |
| 11:00AM - 12:00PM | Lev Borisov | Strong exceptional collections of line bundles on Fano toric DM stacks |
| 12:00PM - 02:00PM | LUNCH | |
| 02:00PM - 03:00PM | Nicolas Perrin | Towards a Littlewood-Richardson rule in the Kac-Moody setting. |
| 03:00PM - 03:30PM | Coffee, Tea in the atrium | |
| 03:30PM - 04:30PM | Izzet Coskun | Geometric positivity in the cohomology of homogeneous varieties |

Tuesday March 24, 2009

| | | |
|-------------------|--|--|
| 09:30AM - 10:30AM | Ezra Miller | Equivariant transversality and K-theoretic positivity |
| 10:30AM - 11:00AM | Coffee, Tea in the atrium | |
| 11:00AM - 12:00PM | Kiumars Kaveh | Convex bodies, semi-groups of integral points, algebras of finite type, and geometry of linear series on varieties |
| 12:00PM - 01:30PM | LUNCH | |
| 01:30PM - 02:30PM | David Speyer | TBD |
| 02:30PM - 03:00PM | Coffee, Tea in the atrium | |
| 03:00PM - 04:00PM | Diane Maclagan | Tropical bounds on nef cones |
| 04:00PM - 05:00PM | Piano performance by Harry Tamvakis in the Simons Auditorium | |
| 05:00PM - 06:00PM | Reception in the atrium | |

Wednesday March 25, 2009

| | | |
|-------------------|---------------------------|--|
| 09:30AM - 10:30AM | Sam Payne | Boundary complexes of varieties (See Abstract) |
| 10:30AM - 11:00AM | Coffee, Tea in the atrium | |
| 11:00AM - 12:00PM | Jenia Tevelev | Compactifications of Subvarieties of Tori |

Thursday March 26, 2009

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|-------------------|------------------------------|--|
| 09:30AM - 10:30AM | Constantin Leonardo Mihalcea | Quantum K theory of Grassmannians |
| 10:30AM - 11:00AM | Coffee, Tea in the atrium | |
| 11:00AM - 12:00PM | Kai Behrend | The virtual Betti numbers of the Hilbert scheme of points on a Calabi- Yau threefold |
| 12:00PM - 01:30PM | LUNCH | |
| 01:30PM - 02:30PM | Thomas Lam | K-theoretic Schubert calculus on the affine Grassmannian |
| 02:30PM - 03:00PM | Coffee, tea in the atrium | |
| 03:00PM - 04:00PM | Tara Holm | The K-Theory of Symplectic Orbifolds |

Friday March 27, 2009

| | | |
|-------------------|---------------------------|---|
| 09:30AM - 10:30AM | Bernd Sturmfels | The Hilbert scheme of the diagonal in a product of projective spaces |
| 10:30AM - 11:00AM | Coffee, Tea in the atrium | |
| 11:00AM - 12:00PM | Nicolas Ressayre | GIT-cones and applications |
| 12:00PM - 01:30PM | LUNCH | |
| 01:30PM - 02:30PM | Prakash Belkale | Horn and saturation conjectures for the symplectic and odd orthogonal groups. |
| 02:30PM - 03:00PM | Coffee, Tea in the atrium | |
| 03:00PM - 04:00PM | Allen Knutson | Frobenius splitting of matrix Schubert varieties and positroid varieties, with applications to juggling |

Currently Available Videos

- **Mircea Mustata** , [On asymptotic invariants of graded sequences of ideals](#) March 23, 2009, 09:30 AM to 10:30 AM
- **Lev Borisov** , [Strong exceptional collections of line bundles on Fano toric DM stacks](#) March 23, 2009, 11:00 AM to 12:00 PM
- **Nicolas Perrin** , [Towards a Littlewood-Richardson rule in the Kac-Moody setting.](#) March 23, 2009, 02:00 PM to 03:00 PM
- **Izzet Coskun** , [Geometric positivity in the cohomology of homogeneous varieties](#) March 23, 2009, 03:30 PM to 04:30 PM
- **Ezra Miller** , [Equivariant transversality and K-theoretic positivity](#) March 24, 2009, 09:30 AM to 10:30 AM
- **Kiumars Kaveh** , [Convex bodies, semi-groups of integral points, algebras of finite type, and geometry of linear series on varieties](#) March 24, 2009, 11:00 AM to 12:00 PM
- **David Speyer** , [What can we learn about matroids from K-theory?](#) March 24, 2009, 01:30 PM to 02:30 PM
- **Diane Maclagan** , [Tropical bounds on nef cones](#) March 24, 2009, 03:00 PM to 04:00 PM
- **Sam Payne** , [Boundary complexes of varieties](#) March 25, 2009, 09:30 AM to 10:30 AM

- **Evgueni Tevelev** , [Compactifications of Subvarieties of Tori](#) *March 25,2009, 11:00 AM to 12:00 PM*
- **Constantin Leonardo Mihalcea** , [Quantum K theory of Grassmannians](#) *March 26,2009, 09:30 AM to 10:30 AM*
- **Kai Behrend** , [The virtual Betti numbers of the Hilbert scheme of points on a Calabi- Yau threefold](#) *March 26,2009, 11:00 AM to 12:00 PM*
- **Thomas Lam** , [K-theoretic Schubert calculus on the affine Grassmannian](#) *March 26,2009, 01:30 PM to 02:30 PM*
- **Tara Holm** , [The K-Theory of Symplectic Orbifolds](#) *March 26,2009, 03:00 PM to 04:00 PM*
- **Bernd Sturmfels** , [The Hilbert scheme of the diagonal in a product of projective spaces](#) *March 27,2009, 09:30 AM to 10:30 AM*
- **Nicolas Ressayre** , [GIT-cones and applications](#) *March 27,2009, 11:00 AM to 12:00 PM*
- **Prakash Belkale** , [Horn and saturation conjectures for the symplectic and odd orthogonal groups.](#) *March 27,2009, 01:30 PM to 02:30 PM*
- **Allen Knutson** , [Frobenius splitting of matrix Schubert varieties and positroid varieties, with applications to juggling](#) *March 27,2009, 03:00 PM to 04:00 PM*

Speaker List

MSRI Workshop:

Combinatorial, Enumerative and Toric Geometry

March 23 to March 27, 2008

at Mathematical Sciences Research Institute, Berkeley California

| Full Name | Role | Primary Institute |
|-------------------|---------|---|
| Allen Knutson | Speaker | University of California, San Diego |
| Anders S Buch | Speaker | Rutgers University |
| Bernd Sturmfels | Speaker | UCB - University of California, Berkeley |
| Burt Totaro | Speaker | University of Cambridge |
| David Speyer | Speaker | Massachusetts Institute of Technology |
| Diane Maclagan | Speaker | University of Warwick |
| Evgueni Tevelev | Speaker | University of Massachusetts |
| Ezra Miller | Speaker | University of Minnesota |
| Frank Sottile | Speaker | Texas A & M University |
| Harry Tamvakis | Speaker | University of Maryland |
| Izzet Coskun | Speaker | University of Illinois |
| Kai Behrend | Speaker | University of British Columbia |
| Kiumars Kaveh | Speaker | University of Toronto |
| Lev A. Borisov | Speaker | University of Wisconsin, Madison |
| Linda Chen | Speaker | Ohio State University |
| Michel Brion | Speaker | Université Joseph Fourier |
| Mircea Mustata | Speaker | University of Michigan |
| Nicolas Perrin | Speaker | Universität Bonn |
| Nicolas Ressayre | Speaker | Institut de Mathématiques et de Modélisation de Montpellier |
| Prakash Belkale | Speaker | University of North Carolina |
| Ravi Vakil | Speaker | Stanford University |
| Sam Payne | Speaker | Stanford University |
| Sandor J Kovacs | Speaker | University of Washington |
| Tara Suzanne Holm | Speaker | Cornell University |
| Thomas Lam | Speaker | Harvard University |
| William Fulton | Speaker | University of Michigan |

Participant List
 MSRI Workshop:
Combinatorial, Enumerative and Toric Geometry
March 23 to March 27, 2008

at Mathematical Sciences Research Institute, Berkeley California

| Full Name | Role | Primary Institute |
|------------------------------|-------------|---|
| Abhinav Kumar | Participant | Massachusetts Institute of Technology |
| Abraham Martin del Campo | Participant | Texas A&M University |
| Ahmad Saeid Yasamin | Participant | University of North Carolina |
| Alan Michael Stapledon | Participant | University of Michigan |
| Alastair Craw | Participant | University of Glasgow |
| Alberto Lopez | Participant | Universität Zürich |
| Alex Fink | Participant | UC Berkeley |
| Alexander Woo | Participant | St. Olaf College |
| Alicia M. Dickenstein | Participant | University of Buenos Aires |
| Allen Knutson | Speaker | University of California, San Diego |
| Amin Gholampour | Participant | California Institute of Technology |
| Amy Ksir | Participant | U.S. Naval Academy |
| Ana-Maria Castravet | Participant | University of Arizona |
| Andrew Critch | Participant | University of California |
| Andrzej Weber | Participant | University of Warsaw |
| Anthony Varilly | Participant | University of California |
| Anton Leykin | Participant | University of Illinois |
| Arend Bayer | Participant | University of Connecticut |
| Atsushi Ito | Participant | University of Tokyo |
| Benjamin Thorsten Nill | Participant | Mathematical Institute, Freie Universitaet Berlin |
| Bernd Sturmfels | Speaker | UCB - University of California, Berkeley |
| Bianca Viray | Participant | University of California |
| Boris Pasquier | Participant | Hausdorff Center for Mathematics, Villa Maria |
| Brian David Osserman | Participant | UC Davis |
| Bryant Mathews | Participant | University of California |
| Burt Totaro | Participant | University of Cambridge |
| Carolina B. Araujo | Participant | IMPA |
| Charley Crissman | Participant | University of California |
| Chenyang Xu | Participant | Massachusetts Institute of Technology |
| Chirag Manmohan Lakhani | Participant | North Carolina State University |
| Christine M. Berkesch | Participant | Department of Mathematics |
| Christopher Jacques Hillar | Participant | University of California |
| Christopher James Davis | Participant | Massachusetts Institute of Technology |
| Cinzia Casagrande | Participant | Università di Pavia |
| Clinton Graydon McCrory | Participant | University of Georgia |
| Constantin Leonardo Mihalcea | Participant | Duke University |
| Craig Desjardins | Participant | Massachusetts Institute of Technology |
| Damiano Fulghesu | Participant | Scuola Normale Superiore |
| Damiano Testa | Participant | University of Oxford |
| Dan Abramovich | Participant | Brown University |
| | | Albert-Ludwigs-Universitaet Mathematisches Institut |
| Daniel Greb | Participant | |
| Daniel Max Erman | Participant | University of California |
| David desJardins | Participant | UC Berkeley |
| David E Anderson | Note taker | University of Michigan |

| | | |
|-------------------------------|-------------|---|
| David R. Morrison | Participant | University of California |
| David Speyer | Speaker | Massachusetts Institute of Technology |
| Davide Fusi | Participant | University of Utah |
| Dennis Westera | Participant | Universität Wien |
| Dennis Ochse | Participant | TU Kaiserslautern |
| Diane Maclagan | Speaker | University of Warwick |
| Dumitru Ioan Stamate | Participant | Romanian Academy of Sciences |
| Dustin Alexander Cartwright | Participant | University of California |
| Edward Grant Clifford | Participant | University of Maryland |
| Edward Richmond | Participant | University of Oregon |
| Eleonore Faber | Participant | Universität Wien |
| Elizabeth Victoria McLaughlin | Participant | University of Maryland |
| Elizabeth Wolcan | Participant | University of Michigan |
| Emilia Mezzetti | Participant | University of Trieste |
| Eric Douglas Miranda | Participant | San Francisco State University |
| Eric Edward Katz | Participant | University of Texas |
| Eva Maria Feichtner | Participant | Universität Bremen |
| Evgueni Tevelev | Speaker | University of Massachusetts |
| Ezra Miller | Speaker | University of Minnesota |
| Federico Ardila | Participant | San Francisco State University |
| Filippo Viviani | Participant | Terza Università di Roma |
| Frank Sottile | Participant | Texas A & M University |
| Frederic Bihan | Participant | Université de Savoie (Chambéry) |
| Gergely Berczi | Participant | University of Oxford |
| Gregg Musiker | Participant | MIT, Department of Mathematics |
| Gueorgui Tomov Todorov | Participant | University of Utah |
| Gwyneth Rose Whieldon | Participant | Cornell University |
| Harry Tamvakis | Organizer | University of Maryland |
| Henning Arnor Ulfarsson | Participant | Brown university |
| Hiroshi Iritani | Participant | Imperial College London |
| Hsian-Hua Tseng | Participant | University of Wisconsin |
| Ignacio M Luengo | Participant | N/A |
| Izzet Coskun | Speaker | University of Illinois |
| Jack K Hall | Participant | Stanford University |
| James B. Carrell | Participant | University of British Columbia |
| Jamie Pommersheim | Participant | Reed College |
| Jarod Alper | Participant | Columbia University |
| Jaroslav Artur Buczynski | Participant | Texas A & M University |
| Jason Cornelius Brunson | Participant | Virginia Polytechnic Institute and State University |
| Jenya Soprunova | Participant | Kent State University |
| Jose Luis Gonzalez | Participant | University of Michigan |
| Joseph Gubeladze | Participant | San Francisco State University |
| Joseph Rusinko | Participant | Winthrop University |
| Josephine T. Yu | Participant | Massachusetts Institute of Technology |
| Josh Russell Whitney | Participant | University of California |
| Kai Behrend | Speaker | University of British Columbia |
| Kaisa Taipale | Participant | University of Minnesota Twin Cities |
| Kelli Talaska | Participant | University of Michigan |
| Kevin Francis Tucker | Participant | N/A |
| Kiumars Kaveh | Speaker | University of Toronto |

| | | |
|---------------------------|-------------|--|
| Klaus Altmann | Participant | Free University Berlin |
| kristine Jones | Participant | Cornell University |
| Kyungyong Lee | Participant | Purdue University |
| Laura Felicia Matusevich | Participant | Texas A&M University |
| Lawrence M. H. Ein | Participant | N/A |
| Lev A. Borisov | Speaker | University of Wisconsin, Madison |
| Lev Konstantinovskiy | Participant | University of Georgia |
| LI LI | Participant | University of Illinois at Urbana-Champaign |
| Linda Chen | Organizer | Ohio state university |
| Luca Moci | Participant | University of Roma Tre |
| Luis David Garcia-Puente | Participant | Sam Houston State University |
| Mahir Bilen Can | Participant | Tulane University |
| Manoj Kummini | Participant | Purdue University |
| Marcus Bodo Zibrowius | Participant | University of Cambridge |
| Margarida Melo | Participant | Terza Università di Roma |
| Maria Angelica Cueto | Participant | University of California |
| Mark Walker | Participant | University of Nebraska |
| Mauricio Fernando Velasco | Participant | University of California |
| May Shu-mei Shaw | Participant | Northcentral Technical College |
| Mehdi Garroubian | Participant | University of Western Ontario |
| Milena Dorota Pabiniak | Participant | Cornell University |
| Miles Reid | Participant | University of Warwick |
| Mircea Mustata | Speaker | University of Michigan |
| Morgan Brown | Participant | University of California |
| Mounir Nisse | Participant | Université Pierre et Marie Curie - Paris 6 |
| Nicholas James Proudfoot | Participant | University of Oregon |
| Nicolas Perrin | Speaker | Universität Bonn |
| Nicolas Ressayre | Speaker | N/A |
| Ning Hao | Participant | SUNY |
| Olivier Debarre | Participant | École Normale Supérieure |
| Orsola Tommasi | Participant | Universität Hannover |
| Paolo Aluffi | Participant | Florida State University |
| Paramasamy Karuppuchamy | Participant | University of Virginia |
| Peter F. Stiller | Participant | Texas A & M University |
| Prakash Belkale | Speaker | University of North Carolina |
| Qendrim Gashi | Participant | Max-Planck-Institut für Mathematik |
| Ragni Piene | Participant | University of Oslo |
| Raman Sanyal | Participant | University of California |
| Ravi Vakil | Speaker | Stanford University |
| Richard Martin Hain | Participant | Duke University |
| Richard Rimanyi | Participant | University of North Carolina |
| Robert Edman | Participant | University of Minnesota Twin Cities |
| Roi Docampo Alvarez | Participant | University of Illinois |
| Roman Zrotowski | Participant | Thomas M. Cooley Law School |
| Sam Payne | Speaker | Stanford University |
| Sami H Assaf | Participant | Massachusetts Institute of Technology |
| Sandor J Kovacs | Participant | University of Washington |
| Sandra Di Rocco | Participant | K.T.H. |
| Sebastian Zwicknagl | Participant | University of California |
| Serkan Hosten | Participant | San Francisco State University |
| Shihoko Ishii | Participant | Tokyo Institute of Technology |

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|---------------------------|-------------|---------------------------------------|
| Shizuo Kaji | Participant | Fukuoka University |
| Shuchau Bi | Participant | University of California |
| shuichi iida | Participant | University of Tokyo |
| Silvia Brannetti | Participant | Terza Università di Roma |
| Steffen Marcus | Participant | Brown University |
| Stephen Ames Mitchell | Participant | University of Washington |
| Tai Huy Ha | Participant | Tulane University |
| Takehiko Yasuda | Participant | Kagoshima University |
| Tara Suzanne Holm | Speaker | Cornell University |
| Thomas Lam | Speaker | Harvard University |
| Tom C. Braden | Participant | University of Massachusetts, Amherst |
| Tommaso de Fernex | Participant | University of Utah |
| Tomohide Terasoma | Participant | University of Tokyo |
| Travis J Kopp | Participant | University of Washington |
| Trygve Johnsen | Participant | University of Tromso |
| Ulrich Derenthal | Participant | Albert-Ludwigs-Universität Freiburg |
| Valerie Marie Hower | Participant | Georgia Institute of Technology |
| Veronica Crispin Quinonez | Participant | University of Oregon |
| Wenchuan Hu | Participant | Massachusetts Institute of Technology |
| Weronika Julia Buczynska | Participant | Texas A & M University |
| William Fulton | Organizer | University of Michigan |
| Y.P. Lee | Participant | University of Utah |
| Yogesh More | Participant | University of Missouri |
| Yoonsuk Hyun | Participant | Massachusetts Institute of Technology |
| Yunfeng Jiang | Participant | University of Utah |
| Zach Teitler | Participant | Texas A & M University |

Combinatorial, Enumerative and Toric Geometry Workshop

Held: March 23 to March 27, 2009

The Mathematical Sciences Research Institute

| Participant Information | |
|--|--|
| 172 officially registered participants | |

| Gender (n = 172 participants) | | |
|-------------------------------|--------|-----|
| Male | 72.67% | 125 |
| Female | 27.33% | 47 |
| Declined to state | 0.00% | 0 |

| Ethnicity (n = 172 participants) | | |
|----------------------------------|--------|-----|
| White | 65.64% | 107 |
| Asian | 19.02% | 31 |
| Hispanic | 6.75% | 11 |
| Pacific Islander | 0.00% | 0 |
| Black | 0.00% | 0 |
| Native American | 0.61% | 1 |
| Declined to state | 7.98% | 13 |

Economic Games and Mechanisms to Address Climate Change
Sea Change Foundation Grant
Final Report

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Final Report

Responding to climate change takes strategy. How much greenhouse gas should each nation allow itself to emit? Will cap-and-trade or a carbon tax be more effective at reducing emissions? What policies will best spur innovative renewable energy technologies?

Mathematics is critical for answering questions like these. Game theory is the field of mathematics devoted to analyzing strategic interactions, and mathematical models are a key predictive tool. To make informed decisions, policymakers need mathematicians and economists to quantitatively analyze the likely results of different policies.

But until recently, only a few mathematicians have been working on climate change, and those few have been sprinkled around, working largely in isolation. Climate change is too critical and complex a problem for such a scattershot approach to be sufficient.

So recently, mathematicians have been coming together to share their expertise and encourage others to enter the field. The latest effort along these lines was a workshop the Mathematical Sciences Research Institute this May on Economic Games and Mechanisms to Address Climate Change sponsored by the National Science Foundation and the Sea Change Foundation. It brought together leaders in this emerging field to share ideas, exchange results, and plan future research.

This report summarizes the presentations of the speakers at the conference.

Rene Carmona, mathematical finance professor at Princeton University: How do we design an effective cap-and-trade system?

The U.S. will almost certainly implement a cap-and-trade system to control carbon emissions soon. President Obama has expressed his commitment to such a scheme, even going so far as to count on \$78.7 billion in revenue in 2012 from the sale of greenhouse-gas emission permits (which he promises to return to taxpayers through a tax cut). Japan, Canada, Australia and New Zealand are designing cap-and-trade systems of their own.

In a cap-and-trade system, regulators set a target level for emissions (the “cap”) and issue credit certificates which are sold or distributed for free to the installations participating in the program. In order to ensure that the emission target is complied with, a penalty is levied for each unit of pollutant emitted outside the limits of a given compliance period. Firms may either reduce their own pollution or purchase emission credits in anticipation

Economic Games and Mechanisms to Address Climate Change
Sea Change Foundation Grant
Final Report

of potentially significant penalties. This transfer of allowances by trading is considered to be the core principle leading to the minimization of the costs caused by regulation; firms that can easily reduce emissions will do so, while those for which it is harder buy credits. The cap can then be lowered over time, forcing producers to adopt increasingly clean technologies.

A cap-and-trade scheme is only a market mechanism, not a panacea, and policy makers need to develop a comprehensive approach to tackle the challenges of climate change. While many environmentalists hail the increasing political will toward an aggressive emission control policy, proponents of cap-and-trade systems still face tough resistance from influential pundits favoring a carbon tax. This heated debate is too often driven by political and populist arguments, anecdotally based on scientific methods or quantitative analysis. Princeton financial mathematics professor Rene Carmona proposes to anchor this debate in equilibrium economics theory and base the comparison of the multitude of designs on rigorous mathematical analysis and realistic numerical simulations.

The European Union established the largest mandatory carbon dioxide cap-and-trade program in 2005. It ran into problems that illustrate how critical careful design is. Typical criticisms of the EU ETS first phase, whether in the popular press or in congressional hearings, include: 1) fragility of the markets as evidenced by the price collapse of carbon emission certificates in April 2005, 2) emissions targets were missed, 3) prices paid by the end consumers for electricity shot up, while energy companies reaped enormous windfall profits. All in all, not a pretty picture! However, these mistakes do not have to be repeated, and Carmona is quick to emphasize that new measures have been taken to avoid them, for example changes in the allocation procedure and significant reduction of the number and the form of the allocation.

Regulators control several levers affecting the outcome of these climate change policies. Clearly, choosing the level of the cap and the penalty are important factors impacting the economic outcomes of the scheme. But the hot button is without the shadow of a doubt the way the emission permits are allocated: Should they be given away for free? Which installations should be endowed? Should these permits be auctioned? Grandfathered? Etc. And these questions barely form the tip of the iceberg. Powerful lobbyists point to excessive costs to the end consumers and to the loss of jobs due to production fleeing a taxing emission legislation.

Carmona's model provides a quantitative foundation to address these questions by predicting the prices of emissions permits and goods. Carmona assumes a classical competitive equilibrium model, where each company chooses the strategy that will bring in the highest earnings, independent of everyone else's actions. Each firm's earnings consist of the profit for each good they produce, plus anything they earn on the carbon market, less any penalties they have to pay. By predicting the strategy that is in the individual interest of each firm, Carmona can then find the aggregate effect of everyone's actions on the carbon market as a whole.

Economic Games and Mechanisms to Address Climate Change
Sea Change Foundation Grant
Final Report

Here's an example of how his analysis works. To predict the cost of electricity, Carmona considers the strategy for each individual company. A company will begin by producing electricity using the technology that has the lowest overall cost, once the cost of permits is factored in. That might be, for example, nuclear, so each company with nuclear plants will fire them up. When all the nuclear plants are all producing at full capacity, power plants using the next most expensive technology, say hydropower, will be turned on. When that capacity is exhausted, the next most expensive technology will be used, and so on, until the demand is met. Suppose, with a cap-and-trade system in place, that the most expensive technology used is natural gas. Then the price to consumers, Carmona says, will be determined by the amount it costs companies to produce electricity using natural gas.

Based on a series of numerical experiments, Carmona's work shows that properly designed cap-and-trade systems do work. First, it quantifies the impact of the cap and the penalty on prices. It also confirms that in a competitive environment, allocation schemes do not affect prices, only the distribution of the costs. But most importantly, it offers numerical tools to quantify the differences between climate change policies, for example carbon taxes and cap-and-trade schemes based on a wide variety of allocation schemes. Obviously, a climate change policy comes at a cost, and this cost will be passed to the end-consumer if the regulation does not include provisions to return revenues from the taxes or the allowance auctions in the form of income tax reliefs or refunds to parties most affected by the price increases. While this "return of the proceeds of the policy" is not part of the model, the statistical distributions of the costs to the consumers and the producers can be tracked within the model. In fact, one can use this model to argue that a properly designed cap-and-trade system is a better solution than a carbon tax, the primary alternative. Indeed, because of the inelasticity of the demand for goods like electricity and the stochastic nature of demand for commodities, a carbon tax would need to be higher than economically necessary to reach the same emissions targets.

One of the main criticisms of the EU ETS was the excessive windfall profits of electricity producers. Unfortunately, some of these profits are very difficult to avoid, and the proposed auctions of permits do not offer a real solution. It seems fair to force producers to pay for allowances having a market value, but it does not change the equilibrium prices which the consumers will have to paid eventually. While windfall profits cannot be eliminated completely, regardless of whether permits are sold or given away, some allocation schemes can help the regulator control them, at least in a statistical sense. The thrust of the research results presented by Professor Carmona is the analysis of allocation schemes where emissions permits are distributed dynamically proportionally to production. These allocation procedures are reminiscent of some of the "output based" allocations proposed by some economists to minimize the leakage effect. While this effect was only mentioned, the impact on windfall profits was analyzed in great detail. However, simply comparing how much money a company would have made with and without a cap-and-trade system isn't appropriate, because the mix of energy sources will be inevitably different in the two situations. For an apples-to-apples comparison, one should compare profits to those made by producers without the cap-and-

Economic Games and Mechanisms to Address Climate Change
Sea Change Foundation Grant
Final Report

trade legislation, should they had used the same mix of fuels they used with cap-and-trade. With this definition, Carmona and his collaborators noticed that auctioning permits rather than giving them away had little impact on windfall profits. However schemes with proportional allocations offer a better control of these profits. In fact the latter can be lowered significantly when the scheme design involves properly crafted incentives.

On one hand, the versatility of these schemes makes them very attractive because of the extensive control they provide to the regulators. But on the other hand, their complexity appears as a serious shortcoming, and there is little hope that they will ever be adopted by policy makers: regulations need to be articulated in simple terms in order to be embraced. This is a clear advantage of the carbon tax over some of the most sophisticated forms of cap-and-trade: even though they were regarded as “political suicide” by most US politicians not so long ago, they recently gained in appeal because of their transparency. The increased suspicion for some of the practices of the financial market did not help the cause of policies involving an intrinsic trading component.

Carmona emphasizes, however, that all these results are preliminary. The mathematical theory of cap-and-trade is still in its infancy and he wishes more mathematicians could be involved. This new research avenue offers exciting challenges and opportunities to mathematicians interested in climate change and willing to bring their mathematical expertise to bear with important environmental economics problems in shaping new financial markets.

Suzanne Scotchmer, economist at UC Berkeley: Will cap-and-trade spur innovation?

Any scheme that makes it expensive to emit carbon should, it seems, encourage the development of lower-emissions technologies. Producers can profit by emitting less carbon, and therefore have an incentive to license cleaner technologies. The licenses create a reward to innovation. But according to Suzanne Scotchmer, this story is a bit too simplistic when the price of carbon emissions is set in a cap-and-trade system.

Her analysis doesn't involve a complex model, as Carmona's does. Instead, she started with the observation that a cleaner technology will not lead to lower emissions under cap-and-trade, because emissions are set by the cap. Instead, it will lead to more electricity for the same carbon emissions.

Of course, that's a good thing. But this increase in supply means that that electricity will get cheaper, which reduces the profit for the producer – hardly what the producers are looking for. Furthermore, the new technology will reduce the demand for emissions permits, making their price fall. This reduces producers' willingness to pay for licenses

Economic Games and Mechanisms to Address Climate Change
Sea Change Foundation Grant
Final Report

on the cleaner technology. This creates a negative feedback, decreasing the reward for finding a lower-emissions technology.

“How important is this feedback effect? We don’t know,” she says. “But we need game-changing innovations, and game-changing innovations are much more likely to create it.”

Scotchmer is now developing ways to quantify this effect and designing mechanisms to deal with it. One way of making the carbon market more able to provide continuing pressure toward innovation is to make the unify the markets for different forms of carbon emissions, for example, electricity generation, aluminum manufacturing and cement production. A single new technology is unlikely to lower the price of emissions permits if the market covers many industries in many states.

Scotchmer's research suggests that a carbon tax might be preferable to cap-and-trade. With a tax on carbon emissions, emissions are not fixed. Instead, the tax rate is fixed. Thus the new technology won’t create as much of an oversupply of electricity. The fixed tax rate may create a larger incentive for innovation, because it avoids the negative feedback that occurs when the emissions price falls under a cap-and-trade system.

However, Scotchmer points out that her analysis is far from complete and that she’s only looked at the simplest scenario, where the cap is fixed. In cap-and-trade systems, however, lawmakers can reduce the cap over time. This has the potential to restore the incentive to innovate. If a decreasing cap can keep up the pressure to innovate, the question then becomes figuring out the rate at which the cap should go down.

Scotchmer says she doesn’t yet know whether carbon taxes or cap-and-trade systems are the better way to go, but her research shows that the effect of cap-and-trade on innovation needs to be studied much more carefully.

Robert Pindyck, economist at MIT’s Solan School of Management: Should governments act now?

How much money should governments be spending to reduce carbon emissions?

Massive uncertainty makes that a very hard question to answer. What will the climate be like if we continue with business as usual? What economic impacts will the changes have? How much would it cost to significantly reduce future global warming? What technological improvements will come along that will make it cheaper to reduce emissions? No one has solid answers to any of those questions.

Nevertheless, governments have to decide how much they’re going to spend on climate change now. They can’t wait until the uncertainties have resolved themselves. Climate

Economic Games and Mechanisms to Address Climate Change
Sea Change Foundation Grant
Final Report

scientists say that the very existence of life on Earth hangs in the balance, but to act, governments need quantitative analyses showing investment in averting climate change will pay off.

Most economic analyses to date, with the exception of Britain's controversial *Stern Review*, have suggested that governments shouldn't spend large amounts now to abate global warming and that the advantages of waiting for improved technology and greater knowledge will outweigh the benefits of immediate action. Many climate scientists have responded to such analyses with incredulity.

But Pindyck noted that these assessments, called "Integrated Assessment Models," all focus on the most probable outcomes based on current scientific knowledge, while ignoring the even worse outcomes that may happen but aren't especially likely. He wanted to know if by paying attention to these low-probability outcomes, he might be able to produce an economically justified, careful study that *would* justify spending a lot now to reduce climate change and its future impacts.

He built a model that estimated the fraction of current and future GDP a government would be willing to sacrifice to keep the increase in average global temperature below a given threshold. To understand the full range of possible increases in temperature and not just the most likely ones, he used a probability distribution that closely matched the ranges given in the studies used by the International Panel on Climate Change (IPCC) in its 2007 assessment. He also needed to know what the economic impacts of a given rise in temperature would be. Many might argue that that's simply beyond knowing, especially at the higher ends of the range that are not only outside human experience but also outside anything ice cores indicate have occurred in the Earth's history, and Pindyck doesn't disagree. But he points out that this intense uncertainty hasn't stopped economists from making their best guesses in Integrated Assessment Models. So he treated the results of those models in the same way that he treated the results of the studies used in the IPCC reports, finding a probability distribution that most closely matches the range of their estimates.

To tilt the field in favor of action, he used the direst predictions from the IPCC assessment and the Integrated Assessment Models. He also assumed that the "discount rate" is zero. The discount rate is effectively the interest charged on loans, and assuming a zero discount rate means that governments would be equally willing to spend a dollar now as to spend a dollar a hundred years from now.

Even so, he was unable to justify high rates of government spending, above, say 3 percent of GDP. Instead, his analysis supports a policy of small expenditures while waiting for technology to improve and for the science to become more definitive.

Pindyck says that these results point out that current studies suggest that truly terrible disasters just aren't all that likely. For example, the distribution he derived from the IPCC reports suggests that there is a 21 percent probability of an increase in temperature that is

Economic Games and Mechanisms to Address Climate Change
Sea Change Foundation Grant
Final Report

greater than 4.5 degrees Celsius in 100 years. In that case, if the economic impact were at the high end of the range predicted by the Integrated Assessment Models, GDP could fall by 5.7 percent, which would be pretty bad. But the probability of such a drop in GDP is only 3.6 percent.

But the science about those unlikely events is particularly uncertain. For example, if the West Antarctic ice sheet were to collapse, sea levels would rise by 5 meters. Most experts agree that's not very likely, but they know little about just how unlikely it is. Such events could have extraordinarily high costs, and if there were solid enough information about them to include them in economic analyses, their outcomes might be different. Pindyck urges that more science be focused on trying to understand this.

Another difficulty is that economic analyses like these have little way of dealing with low-probability, truly terrible outcomes. If there's even a small chance that life on Earth will end, then an economic analysis would indicate that governments spend 100 percent of GDP on climate change. This is an unhelpful recommendation from an economist, however, because it is obviously politically and practically unfeasible.

Furthermore, Pindyck pointed out that he assumed that the costs of climate change are linear with temperature change, so that the economic impact of a six degree rise is double that of a three degree rise. But larger temperature rises may well be much worse than that, and modifying Pindyck's model to reflect that could also change the recommendation to policymakers.

Inez Fung, an eminent climate scientist at UC Berkeley, said, "This just shows that Pindyck can't help us." But Pindyck is an economist who is deeply concerned about climate change. The failure of his study to support strong action on climate change shows the need for more research to translate the urgency climate scientists feel from the analysis of their data into the quantitative language politicians can understand and act upon.

Prajit Dutta, economist at Columbia University: How can a global carbons-emission treaty be effective without world-wide cops?

Climate change is the biggest tragedy of the commons the world has ever seen. Nearly everyone acknowledges that global greenhouse gas emissions need to go down, but if a single country decides to reduce its emissions alone, it's not going to work. The reduced emissions won't be enough to stave off global warming, and the country's economy is likely to suffer to boot. The only solution is if everyone joins together.

The original tragedy of the commons – the overgrazing of public lands – can be solved with laws regulating cattle. At a global scale, though, there's no cop to enforce the laws.

Economic Games and Mechanisms to Address Climate Change
Sea Change Foundation Grant
Final Report

The only solution, says Prajit Dutta, is to devise a treaty so that it's in everyone's self-interest to abide by it. And game theory is just the tool to develop and analyze such a treaty.

Dutta has researched a proposal in which the treaty would be designed to explode if anyone violates it. Everyone would agree to a strategy of emissions cuts, and if any country fails to meet its obligations under the treaty, none of the countries would be bound by it anymore. The environmental and economic consequences of the "business as usual" scenario are so bad, he says, that the very possibility of reverting to it can be used as a punishment to keep everyone in line. Dutta's analysis shows that in theory, it is possible with this scheme to get large emission cuts.

Dutta is continuing his research to look for punishments other than "business as usual" that would be more effective. His research indicates that there are, but he points out that for any treaty to have a chance of ratification, it is critical that it be simple enough that people can readily understand and implement it. So he's not inclined to study any very complex scenarios. Indications are, however, that some reasonably simple and effective threats may exist.

David Zetland, economist at UC Berkeley: How well do theories hold up to the real world?

Theories are all well and good, but real life doesn't always behave. Since David Zetland does most of his work in the muck and hubbub of the real world, he wanted to ground the discussion in reality by performing an experiment. The conference participants negotiated Kyoto II on the spot.

Zetland assigned the participants to four groups. The treaty was bilateral, to be negotiated between America and China. The bureaucrats formed a third group, and they gathered information and administered agreements. The final group was the rest of the world.

China presented the first proposal: a global cap-and-trade system, with emissions permits allocated according to population. In addition, China wanted to receive permits for projects done in other parts of the world with clean technology it develops. America responded with its own proposal, a global carbon tax, with the revenues divided according to gross domestic product.

Negotiations played out with small moves on either side until the US came up with a radical proposal: It offered a bribe to five of the eight countries constituting the rest of the world for the purposes of the experiment. If they voted for the US proposal, they'd receive annual international aid of 30 percent of their GDP.

Bribery worked. The US won.

Economic Games and Mechanisms to Address Climate Change
Sea Change Foundation Grant
Final Report

While the game was played with tongue in cheek, Zetland had a serious purpose, which turned out to be beautifully illustrated by the game's unexpected conclusion. Human beings behave in unpredictable ways. To be useful guides, mathematical models have to allow for human uncertainty.

Conclusion

The field of the mathematics of climate change is just beginning to emerge, and it is desperately needed. One area mathematics has great potential to make a contribution is in modeling the many economic questions climate change raises. So far, economists and mathematicians have been working in significant isolation, forging the quantitative tools needed. While they've been making important progress, more people need to get involved and researchers' work needs to be better coordinated. Workshops like this one have the potential to increase the speed of development significantly by bringing key players together and bringing greater attention to mathematical climate change issues.

Economic Games and Mechanisms to Address Change May 04, 2009 to May 06, 2009

| Monday May 4, 2009 | | |
|------------------------------|--|---|
| 09:00AM - 09:40AM | Rene Carmona | Cap-and-Trade Market Models, Tutorial I |
| 09:45AM - 10:25AM | Rene Carmona | Cap-and-Trade Market Models, tutorial II |
| 10:30AM - 11:00AM | Tea, Coffee in the atrium | |
| 11:00AM - 11:40AM | Michael Ludkovski | Optimal Switching to play Games with the Environment |
| 11:45AM - 12:25PM | Suzanne Scotchmers "Cap and Trade: The problem of diffusion" | |
| 12:30PM - 02:00PM | Lunch | |
| 02:00PM - 02:40PM | James Bushnell (TBA) | |
| 02:45PM - 03:25PM | Ronnie Sircar | Differential Games and Exhaustible Resources |
| 03:30PM - 04:00PM | tea, Coffee in the atrium | |
| 04:00PM - 05:30PM | Panel Discussion: Market Mechanisms as Solutions of Green House Gas Emissions: Interaction between Policy Makers, Economists and Mathematicians. (what will the Role of Mathematics be?) | |
| 07:00PM - 09:00PM | The Roda Theatre in downtown Berkeley: The Math Behind the Cath: the Inside Story of Stents, Pacemakers, and other Medical Miracles | |
| Tuesday May 5, 2009 | | |
| 09:00AM - 09:40AM | Prajit Dutta | Game Theory and Climate Treaties, Tutorial I |
| 09:45AM - 10:25AM | Prajit Dutta | Game Theory and Climate Treaties, Tutorial II |
| 10:30AM - 11:00AM | Tea, Coffee in the atrium | |
| 11:00AM - 12:00PM | Robert Pindyck | Uncertainty, Extreme Outcomes, and Climate Change Policy. |
| 12:30PM - 02:00PM | Lunch | |
| 02:00PM - 02:30PM | Svetlana Pevnitskaya | An Experimental Investigation of Economic Incentives in Environmental Conservation, Sustainability and Renewable Energy |
| 02:30PM - 03:00PM | Katerina Sherstyuk | Games with Dynamic Externalities and Climate Change Experiments |
| 03:00PM - 03:30PM | Bard Harstad | The Dynamics of Climate Agreements |
| 03:30PM - 04:00PM | Tea, Coffee in the atrium | |
| 04:00PM - 05:30PM | David Zetland | Discussion: Climate change dynamics in theory, experiments and reality |
| 05:30PM - 07:00PM | Reception in the Atrium | |
| Wednesday May 6, 2009 | | |
| 09:00AM - 09:55AM | Max Auffhammer | TBD |
| 10:00AM - 10:30AM | Tea, Coffee in the atrium | |
| 10:30AM - 12:00PM | Richard Gilman, David Housman, David Mond, Stephen Schecter | Panel Discussion: Game theory in the math curriculum (motivated by climate change!) (See Abstract) |
| 12:00PM - 01:30PM | Lunch | |
| 01:30PM - 03:00PM | Final discussion and future planning. | |

Currently Available Videos

- **Rene Carmona** , [Cap-and-Trade Market Models, Tutorial I](#) *May 4,2009, 09:00 AM to 09:40 AM*
- **Rene Carmona** , [Cap-and-Trade Market Models, Tutorial I I](#) *May 4,2009, 09:45 AM to 10:25 AM*
- **Michael Ludkovski** , [Optimal Switching to play Games with the Environment](#) *May 4,2009, 11:00 AM to 11:40 AM*
- **Suzanne Scotchmer** , [Cap and Trade: The problem of diffusion](#) *May 4,2009, 11:45 AM to 12:25 PM*
- **James Bushnell** , [Bushnell"s Talk](#) *May 4,2009, 02:00 PM to 02:40 PM*
- **Ronnie Sircar** , [Differential Games and Exhaustible Resources](#) *May 4,2009, 02:45 PM to 03:25 PM*
- **Prajit Dutta** , [Game Theory and Climate Treaties, Tutorial I](#) *May 5,2009, 09:00 AM to 09:40 AM*
- **Prajit Dutta** , [Game Theory and Climate Treaties, Tutorial II](#) *May 5,2009, 09:45 AM to 10:25 AM*
- **Robert Pindyck** , [Uncertainty, Extreme Outcomes, and Climate Change Policy](#) *May 5,2009, 11:00 AM to 12:00 PM*
- **Svetlana Pevnitskaya** , [An Experimental Investigation of Economic Incentives in Environmental Conservation, Sustainability and Renewable Energy](#) *May 5,2009, 02:00 PM to 02:30 PM*
- **Katerina Sherstyuk** , [Games with Dynamic Externalities and Climate Change Experiments](#) *May 5,2009, 02:30 PM to 03:00 PM*
- **Bard Harstad** , [The Dynamics of Climate Agreements](#) *May 5,2009, 03:00 PM to 03:30 PM*
- **David Zetland** , [Climate change dynamics in theory, experiments and reality](#) *May 5,2009, 04:00 PM to 05:30 PM*
- **Max Auffhammer** , [Auffhammer"s Talk](#) *May 6,2009, 09:00 AM to 09:55 AM*
- **Richard Gilman, David Housman, David Mond, Stephen Schecter** , [Panel Discussion: Game theory in the math curriculum \(motivated by climate change!\)](#) *May 6,2009, 10:30 AM to 12:00 PM*
- **Richard Gilman, David Housman, David Mond, Stephen Schecter** , [Panel Discussion: Game theory in the math curriculum \(motivated by climate change!\)](#) *May 6,2009, 10:30 AM to 12:00 PM*
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- **Richard Gilman, David Housman, David Mond, Stephen Schecter** , [Panel Discussion: Game theory in the math curriculum \(motivated by climate change!\)](#) *May 6,2009, 10:30 AM to 12:00 PM*

Speaker List

MSRI Workshop:

Economic Games and Mechanisms to Address Climate Change

May 04, 2009 to May 06, 2009

at Mathematical Sciences Research Institute, Berkeley California

| Full Name | Role | Primary Institute |
|--------------------|---------|--------------------------|
| Auffhammer, Max | Speaker | University of California |
| Scotchmer, Suzanne | Speaker | Univ of California |

Participant List
 MSRI Workshop:
Economic Games and Mechanisms to Address Climate Change
May 04, 2009 to May 06, 2009

at Mathematical Sciences Research Institute, Berkeley California

| Full Name | Role | Primary Institute |
|-----------------------------|---------------------------|--|
| Adams, Malcolm | Participant | University of Georgia |
| Adams, Ronald | Participant | Florida Atlantic University |
| Auffhammer, Max | Speaker | University of California |
| Carmona, Rene | Lecture/Tutorial-org ctte | Princeton University |
| Champion, Richard Anthony | Participant | US Geological Survey |
| Chu, Uran W. | Participant | Oregon State University |
| Chueh, Emily | Participant | University of California |
| Creutzig, Felix | Participant | University of California |
| Dutta, Prajit | Lecture/Tutorial-org ctte | Columbia University |
| Eggert, Anthony | Panel | University of California |
| Eрман, Daniel Max | Participant | University of California |
| Ezzedine, Souheil M. | Participant | LLNL |
| Fielden, Tom | Participant | Portland State University |
| Fischer, Marc L. | Participant | Lawrence Berkeley Laboratory |
| Gao, WeiZheng | Notetaker | Florida Atlantic University |
| Giansiracusa, Noah | Participant | Brown University |
| Gilman, Richard | Panel | N/A |
| Goldberg, Lisa Robin | Participant | MSCI Barra |
| Golombek, Rolf | Participant | University of Oslo |
| Harstad, Bard | Lecture/Panel | Northwestern University |
| Hoffman, Chris Eric | Participant | University of Washington |
| Housman, David | Panel | MIT |
| Hubenthal, Mark | Participant | University of Washington |
| Imanyuel, Larens | Participant | Universal System of Natural Units |
| Jones, Christopher R. T. | Organizer | University of North Carolina, Chapel Hill |
| Judd, Kenneth | Participant | Stanford University |
| Knittel, Chris | Panel | University of California |
| Korsan, Bob | Participant | N/A |
| Lee, C | Participant | N/A |
| Lemoine, Derek | Participant | University of California |
| Ludkovski, Michael | Lecture /Panel | University of California |
| Mihnea, Amalya | Participant | N/A |
| Mond, David Michael Quentin | Panel | University of Warwick |
| Nachbaur, James | Participant | UC Santa Barabara |
| NanTie, Gary | Participant | University of New York |
| Ovchinnikov, Sergei | Participant | San Francisco State University |
| Pevnitskaya, Svetlana | Lecture/Panel | Department of Economics, FSU |
| Pindyck, Robert | Lecture | Massachusetts Institute of Technology |
| Rong, Ying | Participant | N/A |
| Roth, Ilan | Participant | Space Sciences, UC Berkeley |
| Rutherford, Vermont Charles | Participant | Florida Atlantic University |
| Saad, Katherine | Participant | Pacific Gas & Electric |
| Schecter, Stephen | Panel | North Carolina State University |
| Schein, Andrew Ribet | Participant | Stanford University |
| Scotchmer, Suzanne | Speaker | Univ of California |
| Sherstyuk, Katerina | Panel | Department of Economics, University of Hawaii at Manoa |
| Sircar, Ronnie | Lecture/Panel | Princeton University |
| Stine, Alexander | Participant | University of California |
| Traeger, Christian Peter | Participant | University of California at Berkeley |
| Wang, Shouhong | Participant | Indiana University |
| Xu, Feng | Participant | University of California, Riverside |
| Zetland, David Jason | Organizer | N/A |

Workshop: Economic Games and Mechanisms to Address Climate Change

Held: Spring 2009

The Mathematical Sciences Research Institute

| Participant Information | |
|--------------------------------|--|
| 52 participants | |

| Gender (n = 52 participants) | | |
|-------------------------------------|--------|----|
| Male | 90.38% | 47 |
| Female | 9.62% | 5 |
| Declined to state | 0.00% | 0 |

| Ethnicity (n = 62 participants) | | |
|--|--------|----|
| White | 50.00% | 26 |
| Asian | 13.46% | 7 |
| Hispanic | 0.00% | 0 |
| Pacific Islander | 0.00% | 0 |
| Black | 0.00% | 0 |
| Native American | 0.00% | 0 |
| Declined to state | 36.54% | 19 |

The MSRI summer graduate workshop on Toric Varieties

Organized by

David Cox (Amherst College) and Hal Schenck (University of Illinois at Urbana-Campaign)

Held at MSRI in Berkeley, CA June 15-26

The summer graduate workshop brought together a diverse group of 45 participants, ranging from first through fifth year graduate students, with backgrounds in combinatorics, algebraic and symplectic geometry, and commutative algebra. Toric varieties are a class of algebraic varieties (roughly speaking, objects which look locally like the zeroes of a system of polynomial equations) which lie at the interface of geometry, combinatorics and algebra. The class of toric varieties is both large enough to include a wide range of phenomena and concrete enough to provide an excellent computational environment. This atypical combination leads to applications in many other fields including string theory, coding theory, approximation theory and statistics. Toric varieties also provide a wonderful vehicle for teaching algebraic geometry.

Geometrically, a toric variety is an irreducible algebraic set in which an algebraic torus forms a dense open subset, such that the action of the torus on itself extends to an action on the entire set. Combinatorially, a normal toric variety is determined by a fan; the cones in the fan yield affine varieties and the intersection of cones provide gluing data needed assemble these affine pieces together. Algebraically, an embedded toric variety corresponds to a prime binomial ideal in a polynomial ring. More generally, a toric variety can be described by a multi-graded ring together with an irrelevant ideal. The importance of toric varieties comes from this dictionary between algebraic spaces, discrete geometric objects such as cones and polytopes, and multi-graded commutative algebra.

Because of the wide range of backgrounds, the workshop had a very intense schedule. In the evenings, there were background lectures on basic material in algebraic geometry (ranging, for example, from valuation rings to vector bundles to sheaf cohomology). Each morning, there were two one hour lectures on interpreting algebro-geometric concepts in the toric setting. After lunch, participants were presented with several different sets of problems, ranging from very computational (compute the Picard group of a Hirzebruch surface) to more theoretical (prove a lemma stated during the morning lecture). Participants broke up into small groups of six or seven people, helped when needed by the organizers and two very able TAs (Dustin Cartwright and Daniel Erman) from Berkeley. At the end of the afternoon, the groups presented their results to the whole workshop.

During the latter part of the second week, three guest speakers spoke on topics related to toric geometry: David Eisenbud on the cone of betti tables; Matthias Beck on normality and semigroups; and Sam Payne on toric vector bundles. Participants really enjoyed seeing research talks on topics they had just studied. Among other participant comments:

- * The workshop was a truly amazing experience. The only way it to improve it is to make it longer!
- * I can't believe how much I learned in these short two weeks.
- * Excellent workshop. The problem session/presentation setup was very conducive to working together and understanding the material. Intensive but also fun.

* The format of the workshop, although incredibly intensive, was very effective. Although there was no way for me to have digested everything, I learned a lot. Also, I really enjoyed the problem sessions because it encouraged us to meet each other and socialize.

* The morning lectures gave us the big picture. The afternoon problem sessions filled in the details of the picture; I particularly enjoyed the group work. The evening lectures helped prepare us for the next day's topics. This was an awesome experience.

Lectures from the workshop are available on streaming video at the MSRI website, http://www.msri.org/calendar/sgw/WorkshopInfo/455/show_sgw, and a draft version of the forthcoming AMS book "Toric Varieties" by Cox, Little and Schenck is available at <http://www.cs.amherst.edu/~dac/toric.html>

Toric Varieties

Invited Speakers

| | |
|------------------------|--------------------------------|
| Cox, David | Amherst College |
| Schenck, Hal | Texas A&M University |
| Beck, Matthias | San Francisco State University |
| Eisenbud, David | UC Berkeley |
| Payne, Sam | Stanford University |
| Aragon, Cecilia | UC Berkeley |

Participant List

MSRI Workshop:

Toric Varieties

June 15 - 26, 2009

at Mathematical Sciences Research Institute, Berkeley California

| Name | Institution |
|---------------------------|---|
| Beaudry, Agnes | Northwestern University |
| Brannetti, Silvia | Terza Università di Roma |
| Chan, Melody | University of California |
| chavez, Anastasia maria | San Francisco State University |
| Chowdhury, Atoshi | Stanford University |
| Chung, KiRyong | Seoul National University |
| Contois, Mark | Washington University |
| Diemer, Colin | University of Pennsylvania |
| Dover, James | University of Oklahoma |
| Duncan, Alex | University of British Columbia |
| Dutle, Aaron | University of South Carolina |
| Escobar, Laura | San Francisco State University |
| Gibbins, Aliska | Ohio State University |
| Gudmundsson, Hilmar | Reykjavik University |
| Hardarson, Marteinn | Reykjavik University |
| Hinkelmann, Franziska | Virginia Polytechnic Institute and State University |
| Hsiao, Jen-Chieh | Purdue University |
| Kang, Ning | University of Texas |
| Kazanova, Anna | University of Massachusetts |
| Kodgis, Lisa | University of Hawaii |
| Kositwattanarek, wittawat | Clemson University |
| Li, Zhiyuan | Rice University |
| Lin, Jan-Li | Indiana University |
| Lin, Kuei-Nuan | Purdue University |
| Mahmood, Fatima | Cornell University |
| Malmkog, Elizabeth | Colorado State University |
| Mathews, Bryant | University of California |
| Miller, Jason | Ohio State University |
| Mondal, Pinaki | University of Toronto |
| Mukhopadhyay, Swarna | University of North Carolina |
| Novoseltsev, Andrey | University of Alberta |
| O'Keefe, Augustine | Tulane University |
| Pabiniak, Milena Dorota | Cornell University |
| Pham, Vinh An | University of Missouri |
| Ravikumar, Vijay | Rutgers University |
| Sachitano, David | California State University |
| Seceleanu, Alexandra | University of Illinois at Urbana-Champaign |
| Shao, Yijun | University of Arizona |
| Slawinski, Mike | University of California |
| Sweet, Ross | Boston University |
| Tian, Zhiyu | SUNY |
| Trentacoste, Catherin | Clemson University |
| Wechter, Matthew | University of Illinois |
| Whitney, Josh Russell | University of California |
| Williams, Harold | University of California |
| Xie, Yu | Purdue University |
| Yaggie, Jon | San Francisco State University |
| Zhong, Changlong | University of Southern California |
| Zhu, Yi | Math Dept |

Toric varieties

Held: June 15-26, 2009

The Mathematical Sciences Research Institute

| |
|--|
| Officially Registered Participant Information |
| 49 participants |

| | | |
|-------------------------------------|--------|----|
| Gender (n = 49 participants) | | |
| Male | 59.18% | 29 |
| Female | 40.82% | 20 |
| Declined to state | 0.00% | 0 |

| | | |
|--|--------|----|
| Ethnicity (n = 49 participants) | | |
| White | 52.94% | 27 |
| Asian | 37.25% | 19 |
| Hispanic | 3.92% | 2 |
| Pacific Islander | 0.00% | 0 |
| Black | 0.00% | 0 |
| Native American | 1.96% | 1 |
| Declined to state | 3.92% | 2 |

Evaluating MSRI's Postdoctoral Fellowship Program

A Survey of 2004-2009 Postdoctoral Fellows

Background

MSRI's Postdoctoral Fellowship Program encompasses two distinct types of fellowships. The programmatic fellows are associated to MSRI's scientific programs, which last one to two semesters; these positions have existed as long as MSRI has. In addition, a new program supports external fellows for one to two years at a host institution (not MSRI). Both types of postdoctoral fellowship are intended for recent recipients of a Ph.D. in mathematics, and are carefully structured to incorporate the supervision of a research mentor. Fellows of both types are expected to spend their time on research activities, including paper writing, giving presentations at national conferences, and preparing an NSF grant proposal. External fellows may also teach one course per year and mentor students, with the assistance of their own mentors. Since Fall 2004, MSRI has granted 145 postdoctoral fellowship awards.

The MSRI directorate is in the process of formulating a plan for evaluating the impact of its postdoctoral fellowship programs. In order to have a preliminary indication of the impact of the programs, and to gain critical insight into the process of measuring that impact, MSRI has surveyed the postdoctoral fellows who received their awards during the five program years from Fall 2004 to Spring 2009. This report presents an analysis of the responses to that survey and related demographic data which were independently available from program records.

Methods

Deputy Director Barcelo designed a survey which addressed the current occupation of the fellows, the impact of the fellowship on fellows' careers, and research outcomes based on the work fellows conducted at MSRI. These questions were formulated mostly for multiple choice or numeric responses. Two scaled-answer questions and two open-ended questions allowed respondents to offer general feedback on the program. (A printed version of the survey is provided in Appendix A to this report.)

The survey was implemented as an anonymous online survey in Google docs. All postdoctoral fellows from academic years 2004-05 to 2008-09 were contacted by email and requested to complete the survey. The request was repeated once (by email) during the three weeks the survey was open. To preserve anonymity and to encourage responses by keeping the survey short, demographic data was not collected as part of the survey.

The most difficult issue in evaluating impact is to determine what would have happened to postdoctoral fellows had they not received the award. Critical here is finding

appropriate comparison groups and obtaining data on them. Given the limited time and other resources available for this study, it was only feasible (and only in certain cases) to compare data on MSRI fellows with data on the general population of cohort U.S. Ph.D.'s. Such data were obtained from the Annual Surveys of the Mathematical Sciences published by the AMS ([1]).

Findings

I. Description of the Population of Fellows

Since the Fall semester of 2004, MSRI has granted 145 postdoctoral fellowship awards, with cohorts ranging from 26 fellows in 2004-05 and 2006-07 to 34 fellows in 2008-09. The Institute has collected data on these fellows from both their fellowship applications, from a check-in form that all fellows complete upon arrival, and from a check-out form they complete upon departure.

Of these 145 fellows, 42 (29%) were women (Figure 1). Sixty-six (46%) identified themselves as White, and 10 (7%) identified themselves as members of non-Asian minority groups. Thirty-five fellows (24%) explicitly declined to specify their race or ethnicity; 21 (14%) did not specify race or ethnicity and also did not select the "decline to specify" option (Figure 2). One hundred and three (71%) fellows received their Ph.D. from a Group I public or private US institution; 34 (23%) received their Ph.D. from a foreign institution (Figure 3).

FIGURE 1

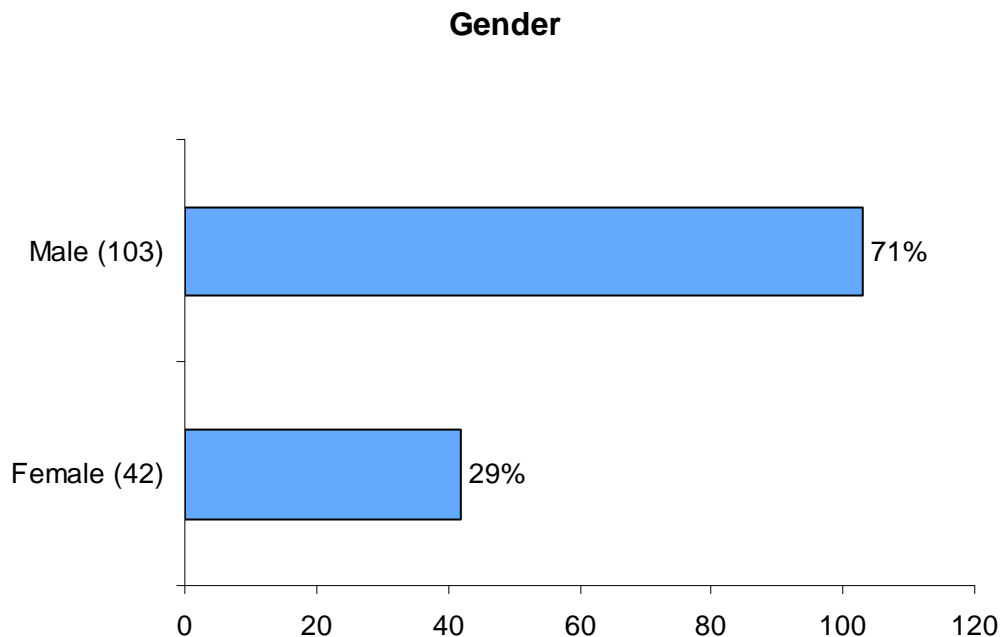


FIGURE 2

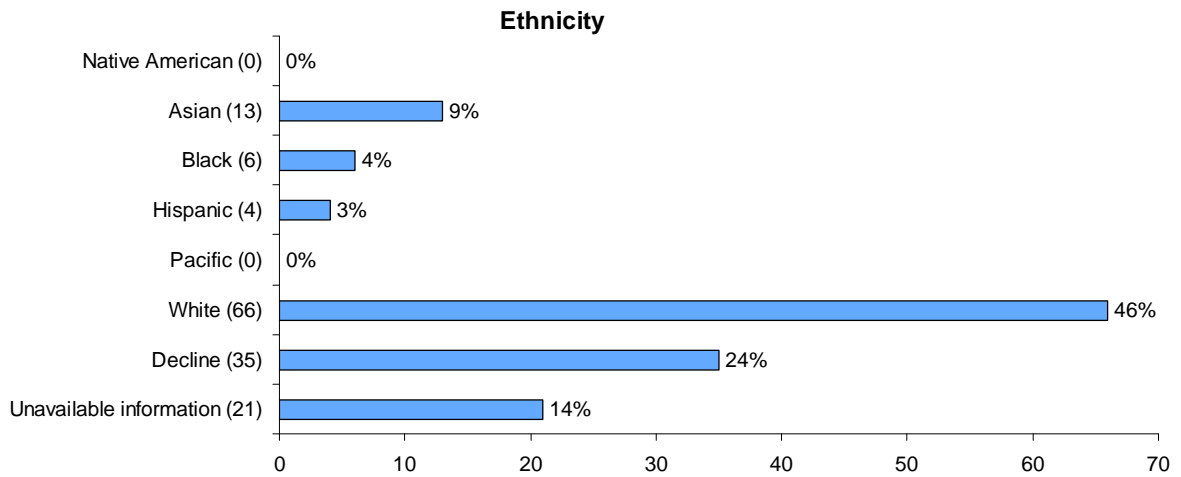
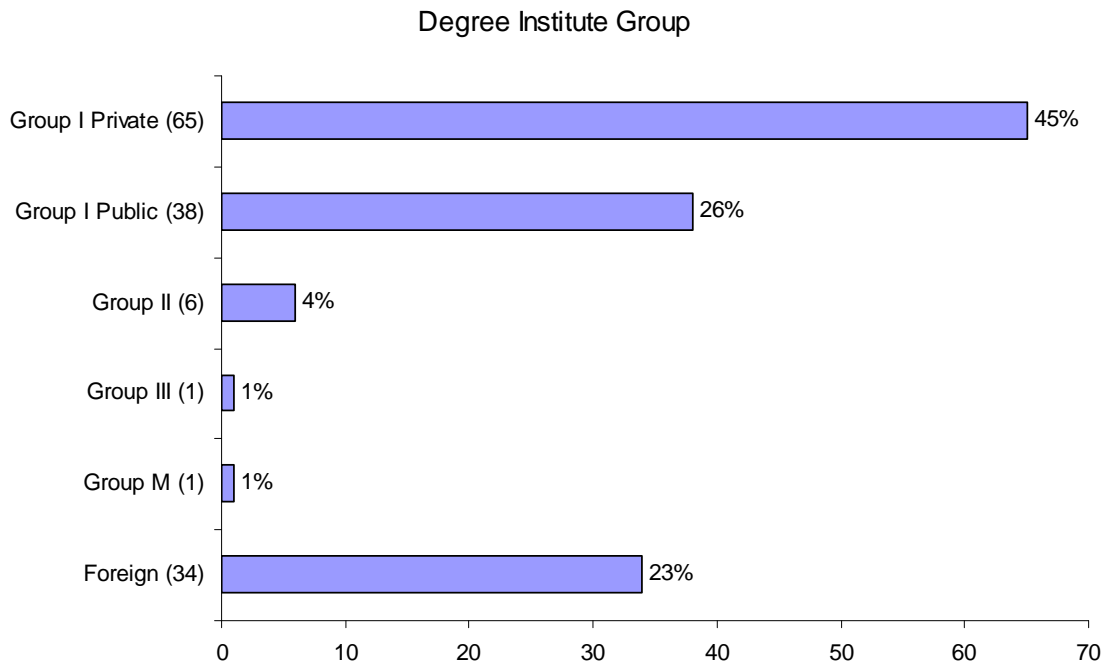


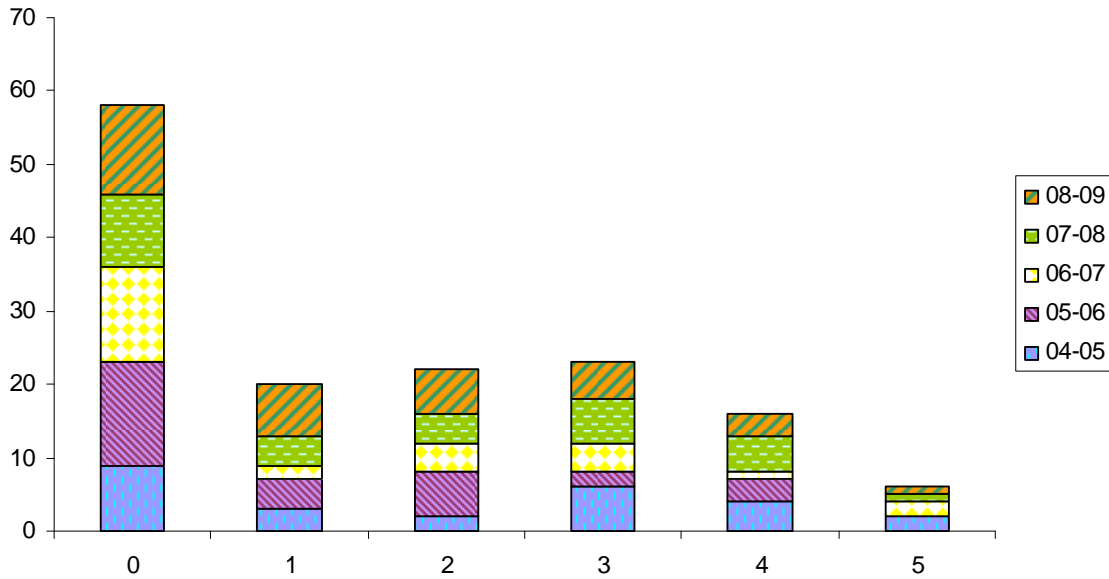
FIGURE 3



Fully 40% of fellows come to MSRI directly from graduate school; on average, fellows begin their fellowships 1.6 years after receiving their Ph.D (Figure 4).

FIGURE 4

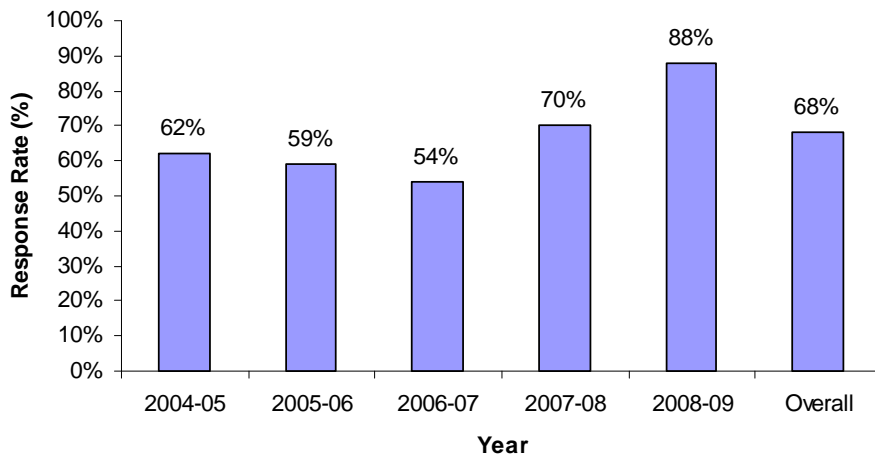
Postdoctoral Fellows 2004-2009 Years Since Ph.D. at Time of Arrival



Of the 145 fellows surveyed, 98 submitted responses during the three weeks the survey was open, for an overall response rate of 68%. Instructively, the response rate was highest (88%) for the most recent cohort, with rates dropping below 60% after two years (Figure 5).

FIGURE 5

Survey Response Rates

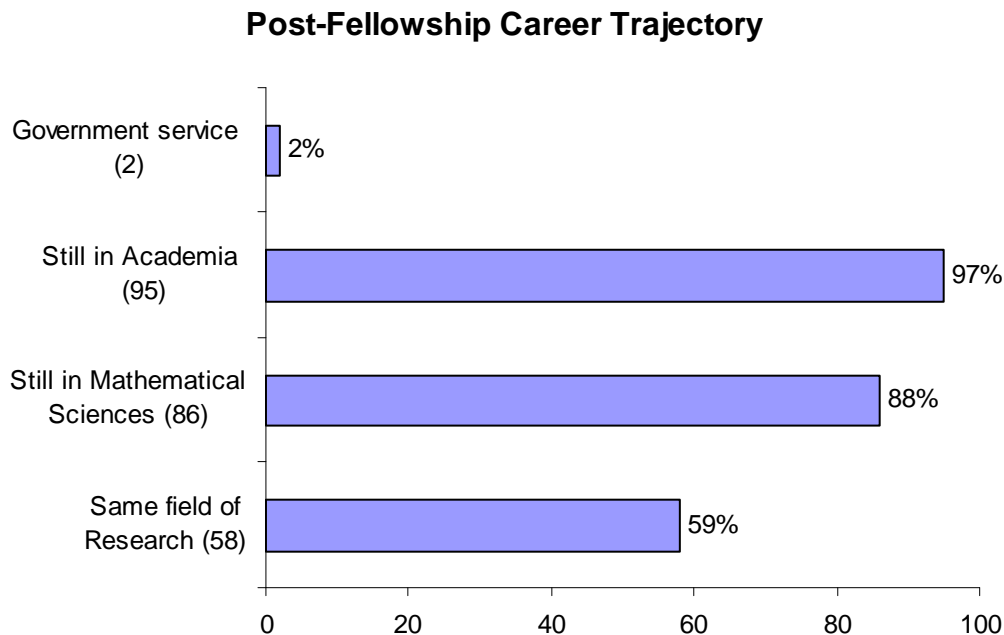


II. Current Occupations of Respondents

Two survey questions addressed the current occupation of the fellows, with the goal of determining to what extent fellows tend to persist in mathematical research and in academics in general. To some extent these questions can also gauge the time it takes fellows who remain in academia to find permanent employment.

Of the 98 respondents, 95 (97%) are still in academia, and 86 of those (91%) are still in the mathematical sciences (Figure 6). By contrast, the percentage of employed new doctoral recipients whose immediate post-degree job was in academia (including research institutes and other non-profits, and institutions outside the U.S.) for the years 2004-2008 ranges from 84% for 2004 degree recipients to 75% for 2008 degree recipients ([1, Table 2D]).

FIGURE 6

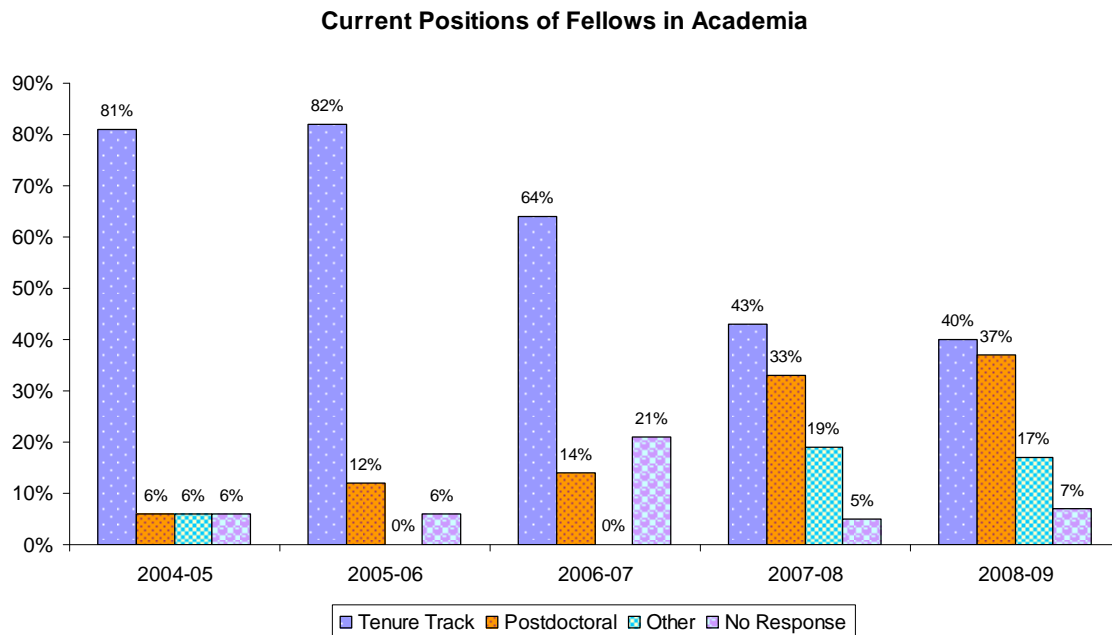


(Note: there appears to have been some difficulty in the interpretation of the survey questions, as several respondents identified themselves as assistant professors without indicating that they are still in academia, or gave their current position as a member of a mathematics department without indicating that they are still in the mathematical sciences, or indicated that they are still in the same field of research but not still in the mathematical sciences. The numbers in Figure 6 were obtained by inferring that respondents with academic positions are still in academia, etc.)

The percentage of respondents who report currently holding tenured or tenure-track positions varies by cohort, increasing from 40% for 2008-09 (the youngest cohort) to

over 80% for the 2005-06 and 2004-05 cohort (Figure 7). Over 30% of recent fellows (from program years 2007-08 and 2008-09) described their current employment as “postdoctoral”. (The “Other” category contains responses such as “lecturer”, “instructor”, “visiting assistant professor”, and “adjunct”.)

FIGURE 7



Another way to assess the impact of the fellowship program is to compare the type of fellows' PhD-granting institution with the type of their immediate post-MSRI or current institution. (These figures come from MSRI's database of members.)

TABLE 1

Post Fellowship Employment 2004-2008

| Type of Employer | Type of Doctoral Degree-Granting Institution | | | | | |
|------------------|--|----------------|----------|-----------|----------|-----------|
| | Group I Private | Group I Public | Group II | Group III | Group M | Foreign |
| Group I Private | 22 | 8 | 1 | | | 6 |
| Group I Public | 16 | 12 | | 1 | 1 | 3 |
| Group II | 8 | 4 | 2 | | | 2 |
| Group III | | 2 | 1 | | | |
| Group IV | | | | | | 1 |
| Group M | 2 | 2 | 1 | | | |
| Industry | | | | | | 1 |
| Non Group | 8 | 3 | | | | |
| Foreign | 8 | 6 | 1 | | | 21 |
| Unknown | 1 | 1 | | | | |
| Total | 65 | 38 | 6 | 1 | 1 | 34 |

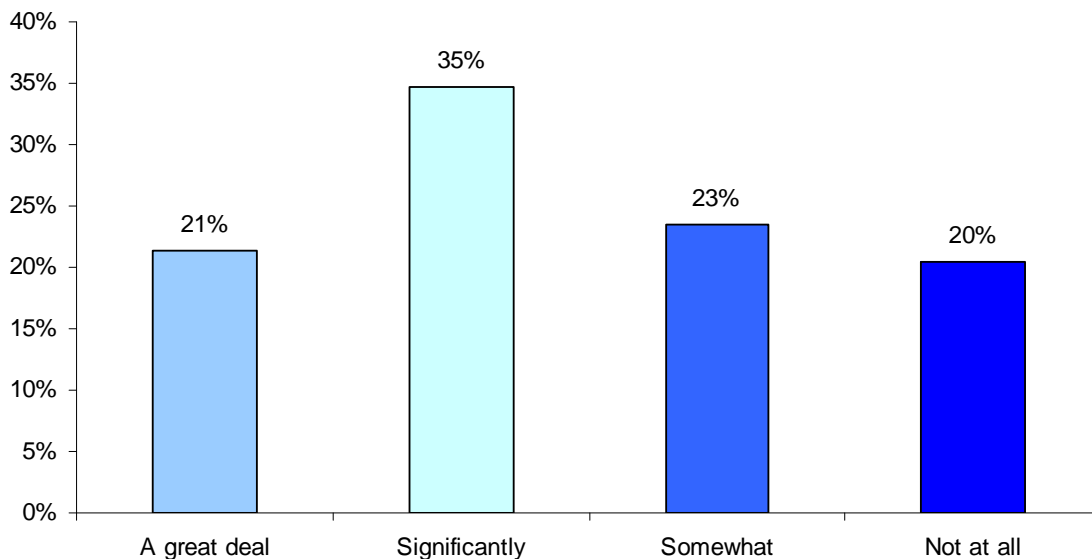
So, for example, 61 of the 111 fellows (55%) found post-Fellowship employment at Group I institutions, including 3 of the 8 fellows (38%) whose degree came from a US non-Group I institution. By comparison, only 12% of new (US) doctoral recipients in 2007-08 found immediate employment at Group I institutions, and only 5% of those whose degree came from a non-Group I institution did ([1, Table 2B]).

III. Impact of Fellowship Awards on Fellows' Careers

Four survey questions solicited subjective evaluations of the impact fellowship awards had on fellows' subsequent careers. When asked to what extent their fellowship helped them secure a new or better position, 56% of respondents answered either 'significantly' or 'a great deal' (Figure 8).

FIGURE 8

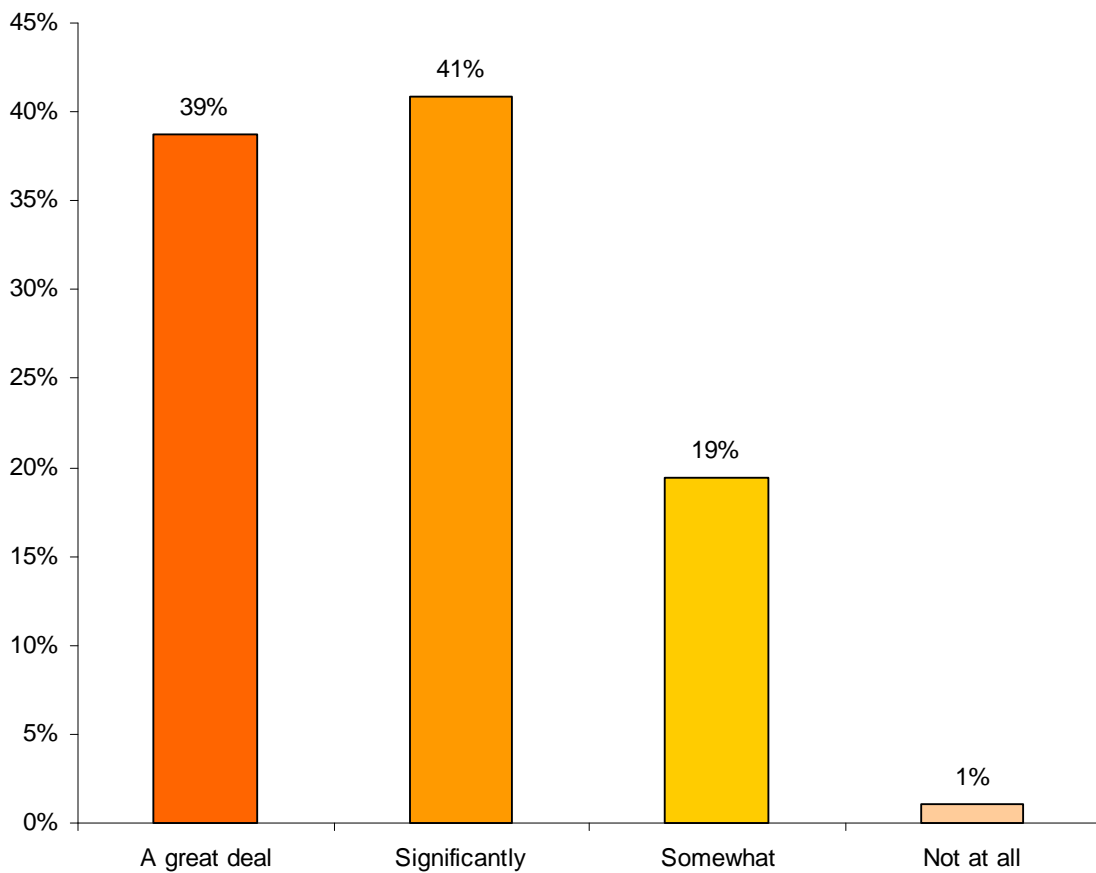
To what extent do you believe your fellowship at MSRI helped you secure a new or better position?



To assess the degree to which the postdoctoral experience aided in the development of new research, fellows were asked to what extent their experience at MSRI helped them develop new research directions. 80% of respondents answered either 'significantly' or 'a great deal' (Figure 9).

FIGURE 9

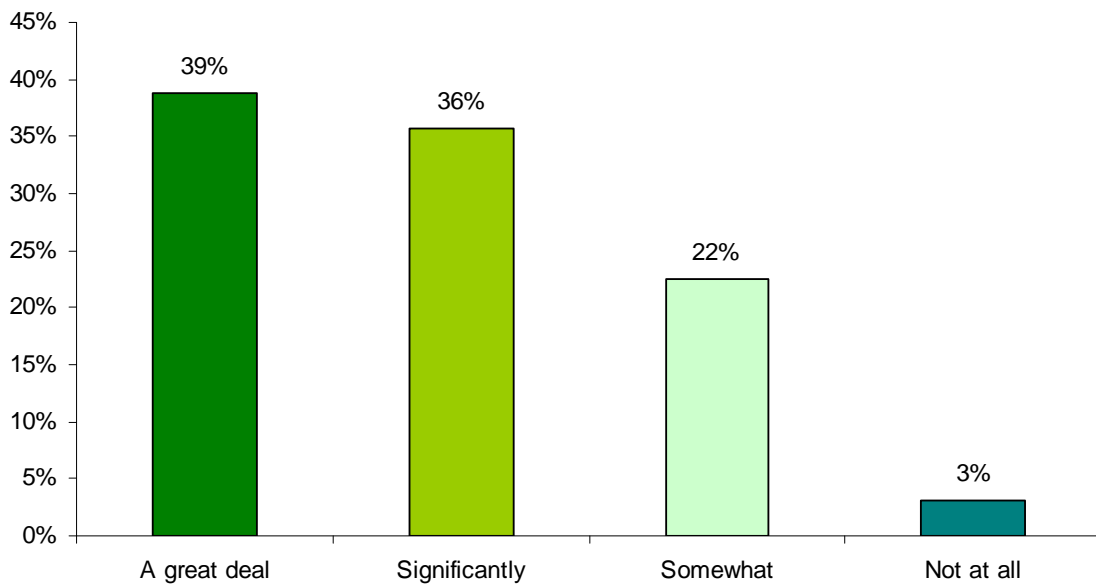
To what extent did your experience at MSRI help you develop new research directions?



In order to gauge the effect of the postdoctoral fellowships on fellows' research capability, the survey asked about the extent to which fellows use knowledge and skills developed at MSRI in their current position. 75% of respondents answered either 'significantly' or 'a great deal' (Figure 10).

FIGURE10

To what extent do you use the knowledge and skills you developed at MSRI in your current position?



IV. Outcomes from Research Conducted as Fellows

The survey solicited data on standard measures of research productivity such as the number of publications, the number of presentations given, and the amount of funding obtained. Fellows were asked to limit their responses to outcomes based at least partly on work done during their time at MSRI.

TABLE 2 Survey Responses for the question *"Estimate the number of papers you have published which resulted from your work at MSRI?"*

| Year | Number of Respondents | Published papers related to the work at MSRI | Range Per Respondent | Average Per Respondent |
|---------|-----------------------|--|----------------------|------------------------|
| 2004-05 | 16 | 30 | 0 - 4 | 1.88 |
| 2005-06 | 17 | 44 | 0 - 7 | 2.59 |
| 2006-07 | 14 | 21 | 0 - 3 | 1.50 |
| 2007-08 | 21 | 50 | 1 - 5 | 2.38 |
| 2008-09 | 30 | 49 | 0 - 4 | 1.63 |

TABLE 3 Survey Responses for the question *"Estimate the number of presentations you have given based on your work at MSRI."*

| Year | Number of Respondents | Total Number of Presentations | Range Per Respondent | Average Per Respondent |
|---------|-----------------------|-------------------------------|----------------------|------------------------|
| 2004-05 | 16 | 102 | 0 - 15 | 6.38 |
| 2005-06 | 15 | 101 | 1 - 20 | 6.73 |
| 2006-07 | 12 | 50 | 0 - 10 | 4.17 |
| 2007-08 | 21 | 135 | 2 - 15 | 6.43 |
| 2008-09 | 29 | 70 | 0 - 10 | 2.41 |

TABLE 4 *Funding Obtained Post - Fellowship*

| Year | Total Funds | Numbers of Grants | Number of Funded Individuals |
|---------|-------------|-------------------|------------------------------|
| 2004-05 | \$1,033,000 | 29 | 13 |
| 2005-06 | \$1,936,000 | 17 | 14 |
| 2006-07 | \$598,000 | 6 | 5 |
| 2007-08 | \$666,563 | 17 | 11 |
| 2008-09 | \$80,200 | 8 | 8 |

A MathSciNet search was also performed to count, for each fellow, the number of peer-reviewed articles that have been published to date. Although not all publications listed will have been directly relevant to the fellows' postdoctoral work, these data provide an independent means of assessing fellows' research productivity during and after their time at MSRI. If similar data were available for a comparison population, the figures could give an indication as to the effect the postdoctoral experience has on fellows' research productivity.

| Selected Post-Fellowship Research Grants |
|---|
| <i>NSF CAREER Award (2 fellows)</i> |
| <i>Mathematical Association of America Tensor-SUMMA Grant</i> |
| <i>NSERC Discovery Grant</i> |

TABLE 5 Number of Publications in MathSciNet

| Year | Total Number of Publications in MathSciNet | Range Per Respondent | Average Per Postdoc |
|---------|--|----------------------|---------------------|
| 2005-06 | 221 | 0 - 20 | 6.50 |
| 2006-07 | 128 | 0 - 13 | 4.27 |
| 2007-08 | 136 | 1 - 13 | 5.23 |
| 2008-09 | 152 | 1-19 | 5.24 |

Due to delays in publication time, the MathSciNet counts may not accurately represent the productivity of fellows less than a few years out of their postdoctoral position. To get an idea of the possible discrepancy, a comparison of arXiv.org preprint postings to MathSciNet listings was performed for a random sample of ten fellows from the 2005-06 cohort. However, it is difficult to draw any firm conclusions from the results.

| TABLE 6 ArXiv vs. MathSciNet | | | | | | | | | | |
|-------------------------------------|----|----|----|----|---|----|---|---|---|----|
| <i>10 Fellows from 2005-06</i> | | | | | | | | | | |
| # of Arxiv postings | 13 | 0 | 16 | 2 | 1 | 7 | 4 | 2 | 9 | 11 |
| # MathSciNet postings | 11 | 19 | 11 | 17 | 7 | 12 | 3 | 6 | 8 | 9 |

From this sample, it is evident that use of MathSciNet is more appropriate than the arXiv for evaluation of long-term productivity: people do not consistently post to the arXiv, and those that do often post non-publishable materials (such as lecture notes) or multiple versions of the same manuscript (as separate postings). More detailed study is called for, as it is possible that careful examination of arXiv postings may provide a useful metric of productivity for the one or two years following a postdoctoral position, when work performed has not yet had time to appear in the published record.

In order to evaluate the extent to which the MSRI postdoctoral fellowships have enhanced fellows' professional networking, the survey asked fellows to estimate the number of new professional contacts and the number of new coauthors they gained as a result of their experience at MSRI.

TABLE 7 Survey Responses for the question *"Estimate the number of professional contacts you have gained as a result of your experience at MSRI."*

| Year | Number of Respondents | Total Number of Professional Contacts | Range Per Respondent | Average Per Respondent |
|---------|-----------------------|---------------------------------------|----------------------|------------------------|
| 2004-05 | 16 | 177 | 0 - 50 | 11.06 |
| 2005-06 | 17 | 131 | 3 - 10 | 7.71 |
| 2006-07 | 14 | 118 | 1 - 30 | 8.43 |
| 2007-08 | 21 | 263 | 2 - 30 | 12.52 |
| 2008-09 | 30 | 254 | 2 - 25 | 8.47 |

TABLE 8 Survey Responses for the question *"Estimate the number of new coauthors you have gained as a result of your experience at MSRI."*

| Year | Number of Respondents | Total Number of New Coauthors | Range Per Respondent | Average Per Respondent |
|---------|-----------------------|-------------------------------|----------------------|------------------------|
| 2004-05 | 16 | 18 | 0 - 4 | 1.13 |
| 2005-06 | 15 | 27 | 0 - 4 | 1.80 |
| 2006-07 | 13 | 20 | 0 - 3 | 1.54 |
| 2007-08 | 21 | 37 | 0 - 4 | 1.76 |
| 2008-09 | 29 | 41 | 0 - 4 | 1.41 |

A qualitative indicator of the fellowships' impact is provided by the number and quality of research awards won by fellows. MSRI fellows also go on to receive other prestigious postdoctoral fellowships.

| <i>Selected Post-Fellowship Awards</i> |
|---|
| <i>University of Washington Faculty Fellowship Award</i> |
| <i>Rollo Davidson Prize, 2008</i> |
| <i>Krill Prize for Excellence in Scientific Research</i> |
| <i>Prix Joliot-Curie</i> |
| <i>California Mathematics Council Student Activity Trust Award, 2007</i> |
| <i>Best Technical Paper, Sisters in Science: A One-Day Symposium of African American Women Architects, Engineers, Mathematicians, and Scientists, Museum of African American Technology Science Village, 2007</i> |

| <i>Selected Post-MSRI Fellowships</i> |
|--|
| <i>SAMSI New Researcher Fellowship</i> |
| <i>Hellman Fellowship</i> |
| <i>NSERC Postdoctoral Fellowship</i> |
| <i>Marie Curie Incoming International Fellowship</i> |
| <i>EPSRC Research Fellowship</i> |
| <i>Sloan Research Fellowship</i> |

V. Feedback

The survey asked fellows for feedback on MSRI's postdoctoral fellowship program. Two questions solicited ratings on a scale of 1 (terrible) to 6 (excellent), with 3 representing 'average'; these questions addressed the quality of the mentoring received and the quality of the fellows' overall experience in MSRI's postdoctoral fellowship program. When asked to rate the quality of the mentoring they received while at MSRI, 61% of respondents chose 'very good' or 'excellent' (Figure 11). When asked to rate their overall experience in MSRI's postdoctoral fellowship program, 84% of respondents chose 'very good' or 'excellent' (Figure 12).

FIGURE 11

Rate the quality of the mentoring you received while at MSRI

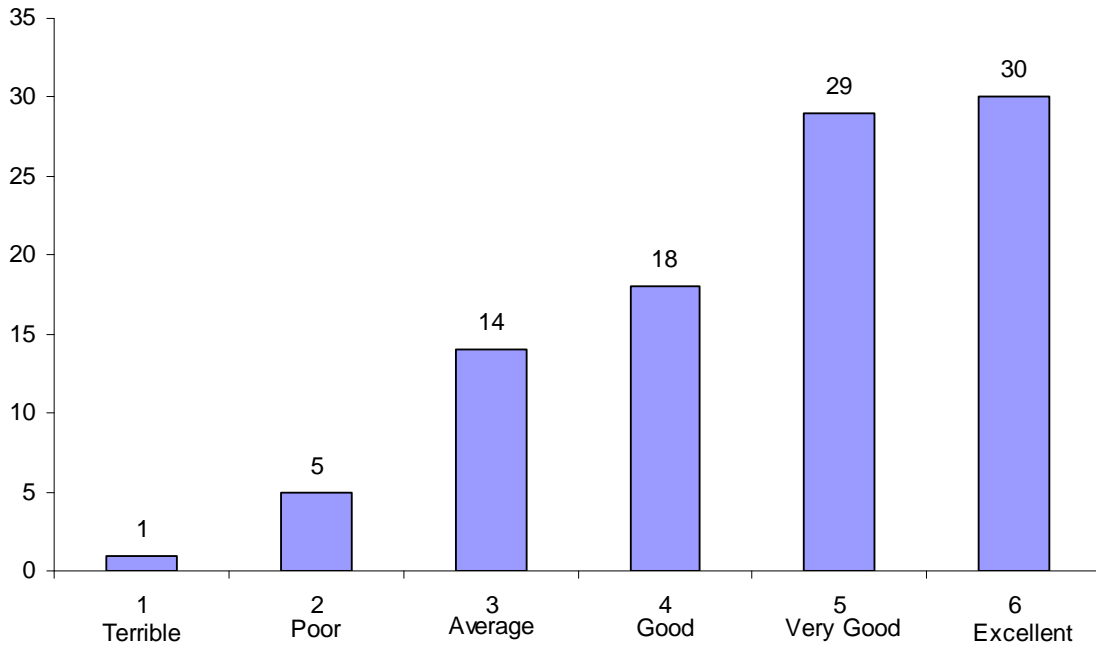
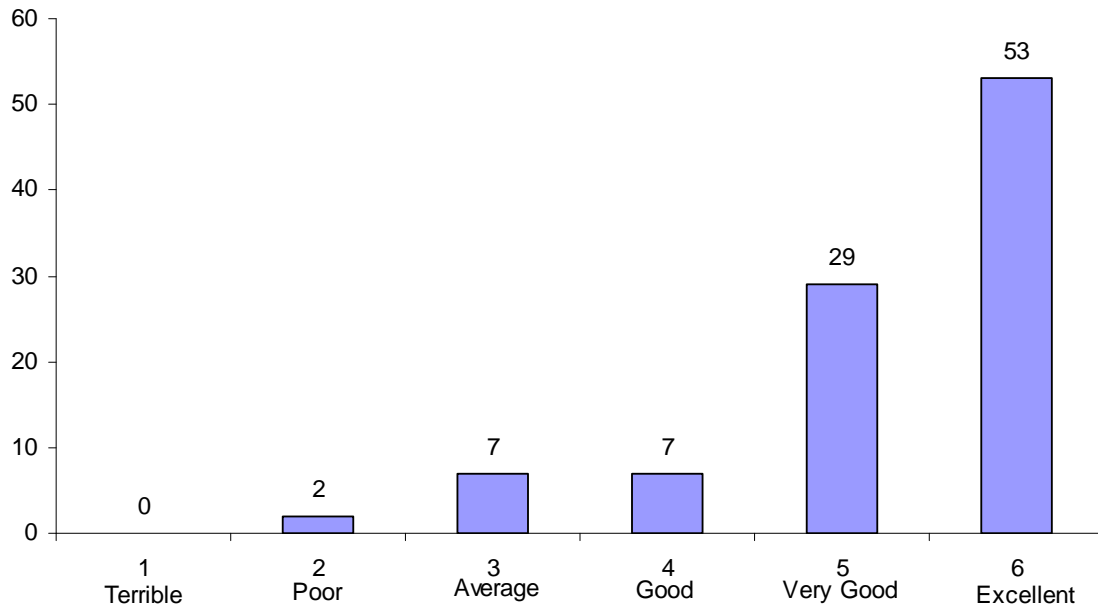


FIGURE 12

Please rate your overall experience in MSRI's Postdoctoral Fellowship Program



In addition, two open-ended questions were posed: the first asked for feedback on the strengths of the program, and the second asked for suggestions for improvement. (The complete set of responses can be found in Appendix B below.)

The most commonly-cited strength of the program was the presence of leading experts for extended periods. As one respondent put it: "My experience there was transformative. Being in the room as 5 of the most brilliant senior mathematicians in my field argued about fundamental ideas was worth at least as much as my graduate work. In fact, it provided big picture context of the challenges of the field that would have been impossible to provide in graduate school." Other respondents emphasized that not only are the experts present, they are accessible; and that this is due to the open format of the scientific programs and the collaborative atmosphere at the Institute.

Another widely praised strength of the program was the opportunity it provides for forming collaborations and making professional contacts. Such connections are especially vital to building the careers of postdoctoral fellows.

Suggestions for improvement centered mostly around the length of the program (too short) and the implementation of mentoring (somewhat sporadic). One respondent pointed out the difficulty of meshing a half-year appointment with prior and subsequent employment, and suggested somehow integrating the MSRI fellowships with postdoctoral appointments at associated institutions to create one- or two-year positions. (This fellow's term at MSRI pre-dated the program for external fellows.)

Feedback about the mentoring of postdocs was less specific. Some respondents reported interacting very little with, or even being unaware of, their mentors; others found their mentors to be a poor match mathematically or personally.

| Commonly-Cited Strengths of the Program |
|---|
| <i>Provides extended exposure to leading experts in a field</i> |
| <i>Greatly aids formation of collaborations and professional networking</i> |
| <i>Broadens participants' knowledge and perspective</i> |
| <i>Provides access to cutting-edge research in a field</i> |
| <i>Increases interdisciplinary connections and awareness</i> |
| <i>Facilitates uninterrupted periods of research for maximum productivity</i> |

References

[1] Polly Phipps, James W. Maxwell, and Colleen A. Rose, 2008 Annual Survey of the Mathematical Sciences in the United States (Second Report), Notices of the AMS (56) no. 7, August 2008.

Demographic Data of MSRI 5 Years Study

Summary: Visits by Year:

| Year | Workshops | SGW | Members | Postdoc | Total |
|------------------|-------------|------------|-------------|------------|--------------|
| 1999-00 | 1176 | 150 | 361 | 36 | 1723 |
| 2000-01 | 1822 | 124 | 344 | 31 | 2321 |
| 2001-02 | 1141 | 119 | 353 | 30 | 1643 |
| 2002-03 | 1456 | 96 | 306 | 31 | 1889 |
| 2003-04 | 1476 | 116 | 220 | 28 | 1840 |
| 1999-2004 | 7071 | 605 | 1584 | 156 | 9416 |
| 2004-05 | 1817 | 63 | 140 | 27 | 2020 |
| 2005-06 | 1496 | 257 | 231 | 29 | 1984 |
| 2006-07 | 1493 | 134 | 168 | 26 | 1795 |
| 2007-08 | 1888 | 134 | 266 | 30 | 2288 |
| 2008-09 | 1913 | 158 | 315 | 34 | 2386 |
| 2004-2009 | 8607 | 746 | 1120 | 146 | 10473 |

Workshop People vs Visits (2005-09)

| Year | Visits | People |
|----------------|-------------|-------------|
| 2004-05 | 1817 | 1434 |
| 2005-06 | 1496 | 1214 |
| 2006-07 | 1493 | 1304 |
| 2007-08 | 1888 | 1319 |
| 2008-09 | 1913 | 1512 |
| 2005-09 | 8607 | 5743 |

Member People vs. Visits (Database) since 1986

| | | |
|---------------|----|---------------|
| People | vs | Visits |
| 4919 | | 7058 |

of Workshops

| Year | Outreach & Diversity | | | Total |
|--------------|----------------------|-----------|-----------|------------|
| | Scientific | Diversity | SGW | |
| 2005-06 | 17 | 3 | 5 | 25 |
| 2006-07 | 25 | 4 | 5 | 34 |
| 2007-08 | 18 | 4 | 4 | 26 |
| 2008-09 | 25 | 10 | 6 | 41 |
| Total | 85 | 21 | 20 | 126 |

Workshop Participant Summary

| Year | Outreach & Diversity | | | Total |
|--------------|----------------------|-------------|------------|-------------|
| | Scientific | Diversity | SGW | |
| 2005-06 | 1230 | 266 | 188 | 1684 |
| 2006-07 | 1248 | 245 | 174 | 1667 |
| 2007-08 | 1527 | 361 | 177 | 2065 |
| 2008-09 | 1468 | 445 | 194 | 2107 |
| Total | 5473 | 1317 | 733 | 7523 |

Five years Demographic Summary 2004-2009 (Visits)

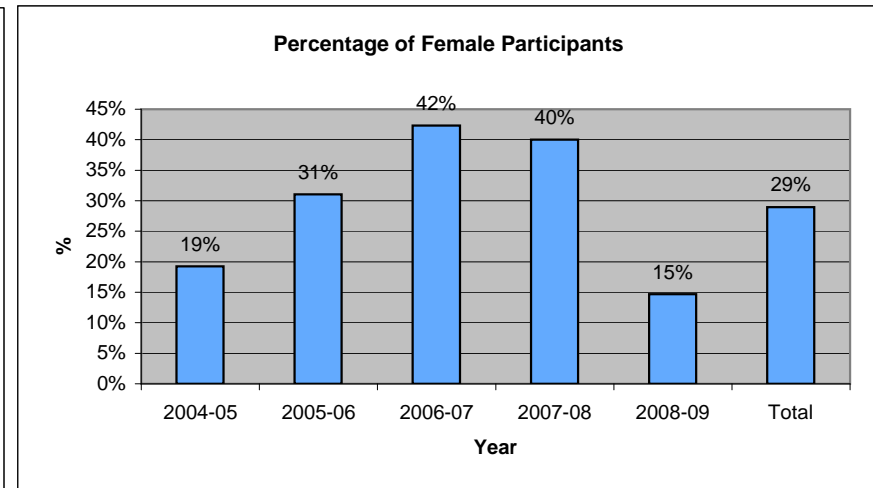
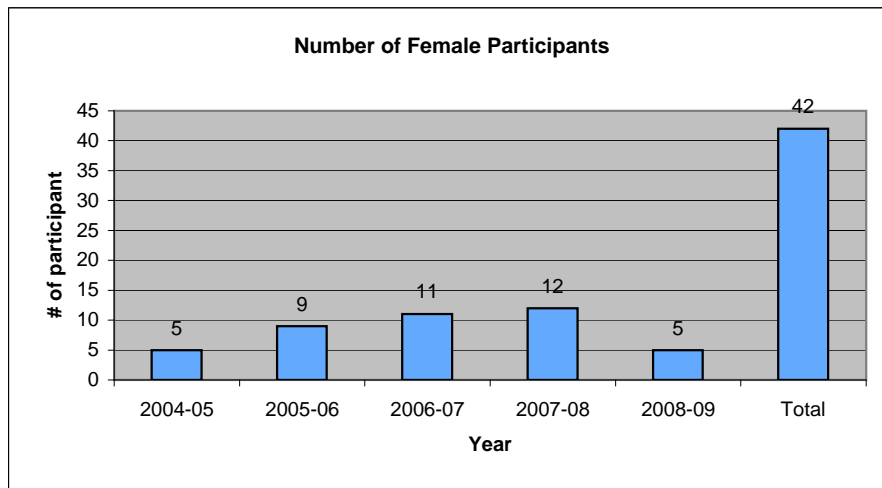
| 2004-05 | Female | Home Institute in the US | Citizens & Perm. Residents | Foreign | Minorities | Native American | Asian | Black | Hispanic | Pacific | White |
|----------------------|---------------|---------------------------------|--|----------------|-------------------|------------------------|--------------|--------------|-----------------|----------------|--------------|
| Program Participant | 12% | 62% | 52% | 48% | 6% | 0% | 15% | 6% | 2% | 0% | 77% |
| Workshop Participant | 25% | 81% | 64% | 36% | 9% | 1% | 18% | 5% | 3% | 0% | 73% |
| Postdoctoral Fellow | 19% | 27% | 50% | 50% | 10% | 0% | 0% | 12% | 6% | 0% | 82% |
| 2005-06 | Female | Home Institute in the US | # of Citizens & Perm. Residents | Foreign | Minorities | Native American | Asian | Black | Hispanic | Pacific | White |
| Program Participant | 17% | 56% | 38% | 62% | 7% | 0% | 31% | 3% | 7% | 0% | 59% |
| Workshop Participant | 27% | 82% | 49% | 51% | 12% | 1% | 26% | 7% | 4% | 0% | 63% |
| Postdoctoral Fellow | 31% | 66% | 24% | 76% | 17% | 0% | 18% | 6% | 6% | 0% | 71% |
| 2006-07 | Female | Home Institute in the US | # of Citizens & Perm. Residents | Foreign | Minorities | Native American | Asian | Black | Hispanic | Pacific | White |
| Program Participant | 18% | 62% | 52% | 48% | 3% | 0% | 22% | 3% | 0% | 0% | 75% |
| Workshop Participant | 30% | 85% | 57% | 43% | 8% | 1% | 20% | 4% | 4% | 0% | 72% |
| Postdoctoral Fellow | 42% | 81% | 38% | 62% | 13% | 0% | 15% | 15% | 0% | 0% | 69% |
| 2007-08 | Female | Home Institute in the US | # of Citizens & Perm. Residents | Foreign | Minorities | Native American | Asian | Black | Hispanic | Pacific | White |
| Program Participant | 17% | 59% | 50% | 50% | 6% | 1% | 12% | 1% | 3% | 0% | 83% |
| Workshop Participant | 30% | 75% | 57% | 43% | 10% | 1% | 15% | 2% | 6% | 0% | 76% |
| Postdoctoral Fellow | 40% | 70% | 39% | 61% | 11% | 0% | 18% | 9% | 0% | 0% | 73% |
| 2008-09 | Female | Home Institute in the US | # of Citizens & Perm. Residents | Foreign | Minorities | Native American | Asian | Black | Hispanic | Pacific | White |
| Program Participant | 21% | 54% | 48% | 52% | 8% | 0% | 10% | 0% | 6% | 0% | 85% |
| Workshop Participant | 33% | 76% | 58% | 42% | 10% | 0% | 20% | 3% | 5% | 0% | 71% |
| Postdoctoral Fellow | 15% | 65% | 44% | 56% | 9% | 0% | 19% | 0% | 6% | 0% | 74% |

| Summer Graduate Workshops | Female | Home Institute in the US | # of Citizens & Perm. Residents | Foreign | Minorities | Native American | Asian | Black | Hispanic | Pacific | White |
|----------------------------------|---------------|---------------------------------|--|----------------|-------------------|------------------------|--------------|--------------|-----------------|----------------|--------------|
| 2004 | 35% | 84% | 67% | 33% | 12% | 0% | 9% | 7% | 7% | 0% | 77% |
| 2005 | 32% | 79% | 35% | 65% | 5% | 0% | 41% | 4% | 0% | 1% | 54% |
| 2006 | 32% | 85% | 44% | 56% | 21% | 0% | 33% | 3% | 2% | 0% | 62% |
| 2007 | 25% | 84% | 45% | 55% | 7% | 0% | 18% | 6% | 0% | 0% | 76% |
| 2008 | 29% | 91% | 67% | 33% | 11% | 0% | 31% | 6% | 6% | 1% | 56% |
| 2009 | 31% | 89% | 36% | 64% | 4% | 0% | 32% | 1% | 5% | 0% | 62% |

**Statistic Calculation based on participants that replied to each categories.

MSRI Postdocs 2004 - 2009 Female Participation

| Postdocs | | | |
|--------------|-----------|------------|------------|
| | Female | Total | % |
| 2004-05 | 5 | 26 | 19% |
| 2005-06 | 9 | 29 | 31% |
| 2006-07 | 11 | 26 | 42% |
| 2007-08 | 12 | 30 | 40% |
| 2008-09 | 5 | 34 | 15% |
| Total | 42 | 145 | 29% |

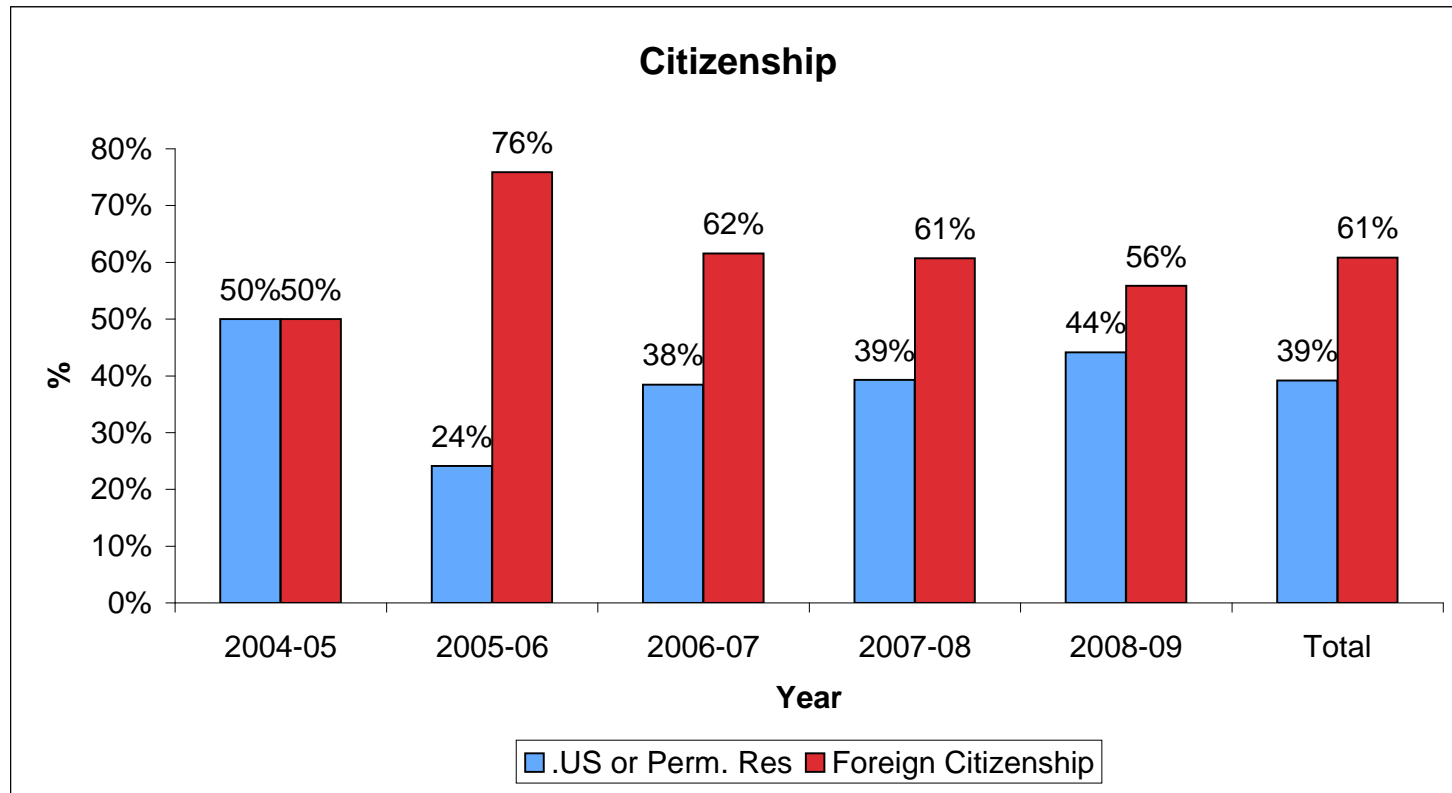


MSRI Postdocs 2004 - 2009 Ethnicity

| | 2004-05 | | 2005-06 | | 2006-07 | | 2007-08 | | 2008-09 | | Total | |
|-------------------------------------|-----------|-----|-----------|-----|-----------|-----|-----------|-----|-----------|-----|------------|-----|
| Native American | | 0% | | 0% | | 0% | | 0% | | 0% | 0 | 0% |
| Asian | | 0% | 3 | 18% | 2 | 15% | 2 | 18% | 6 | 19% | 13 | 15% |
| Black | 2 | 12% | 1 | 6% | 2 | 15% | 1 | 9% | | 0% | 6 | 7% |
| Hispanic | 1 | 6% | 1 | 6% | | 0% | | 0% | 2 | 6% | 4 | 4% |
| Pacific | | 0% | | 0% | | 0% | | 0% | | 0% | 0 | 0% |
| White | 14 | 82% | 12 | 71% | 9 | 69% | 8 | 73% | 23 | 74% | 66 | 74% |
| Decline | 7 | | 12 | | 13 | | 1 | | 2 | | 35 | |
| Unavailable information | 2 | | | | | | 18 | | 1 | | 21 | |
| Grand Total | 26 | | 29 | | 26 | | 30 | | 34 | | 145 | |
| | | | | | | | | | | | | |
| | 2004-05 | | 2005-06 | | 2006-07 | | 2007-08 | | 2008-09 | | Total | |
| Minorities Among US Citizens | 1 | 10% | 1 | 17% | 1 | 13% | 1 | 11% | 1 | 9% | 5 | 11% |

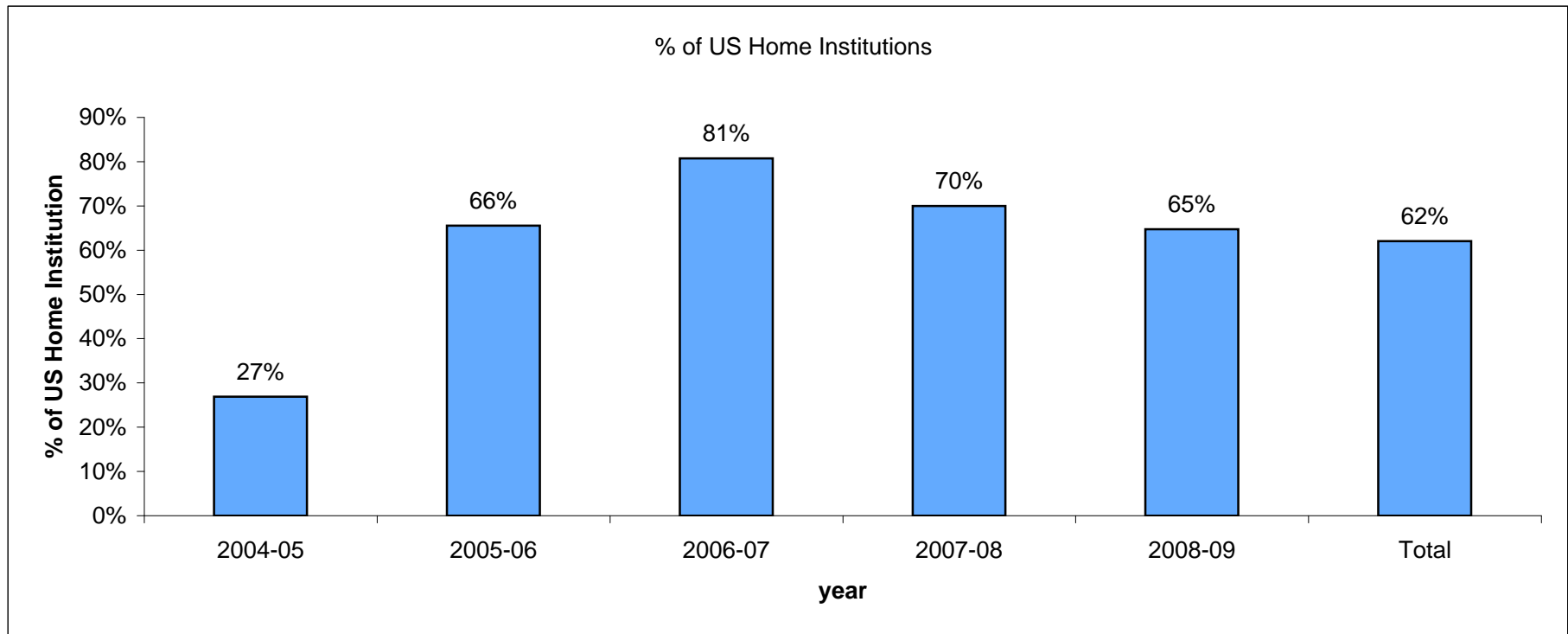
MSRI Postdocs 2004 - 2009 Citizenship

| | 2004-05 | % | 2005-06 | % | 2006-07 | % | 2007-08 | % | 2008-09 | % | Total | % |
|-------------------------|---------|-----|---------|-----|---------|-----|---------|-----|---------|-----|-------|-----|
| USA | 10 | | 6 | | 8 | | 9 | | 11 | | 44 | |
| US Permanent Resident | 3 | | 1 | | 2 | | 2 | | 4 | | 12 | |
| US or Perm. Res. | 13 | 50% | 7 | 24% | 10 | 38% | 11 | 39% | 15 | 44% | 56 | 39% |
| Foreign Citizenship | 13 | 50% | 22 | 76% | 16 | 62% | 17 | 61% | 19 | 56% | 87 | 61% |
| Unavailable information | 0 | | 0 | | 0 | | 2 | | 0 | | 2 | |
| Total # of postdocs | 26 | | 29 | | 26 | | 30 | | 34 | | 145 | |

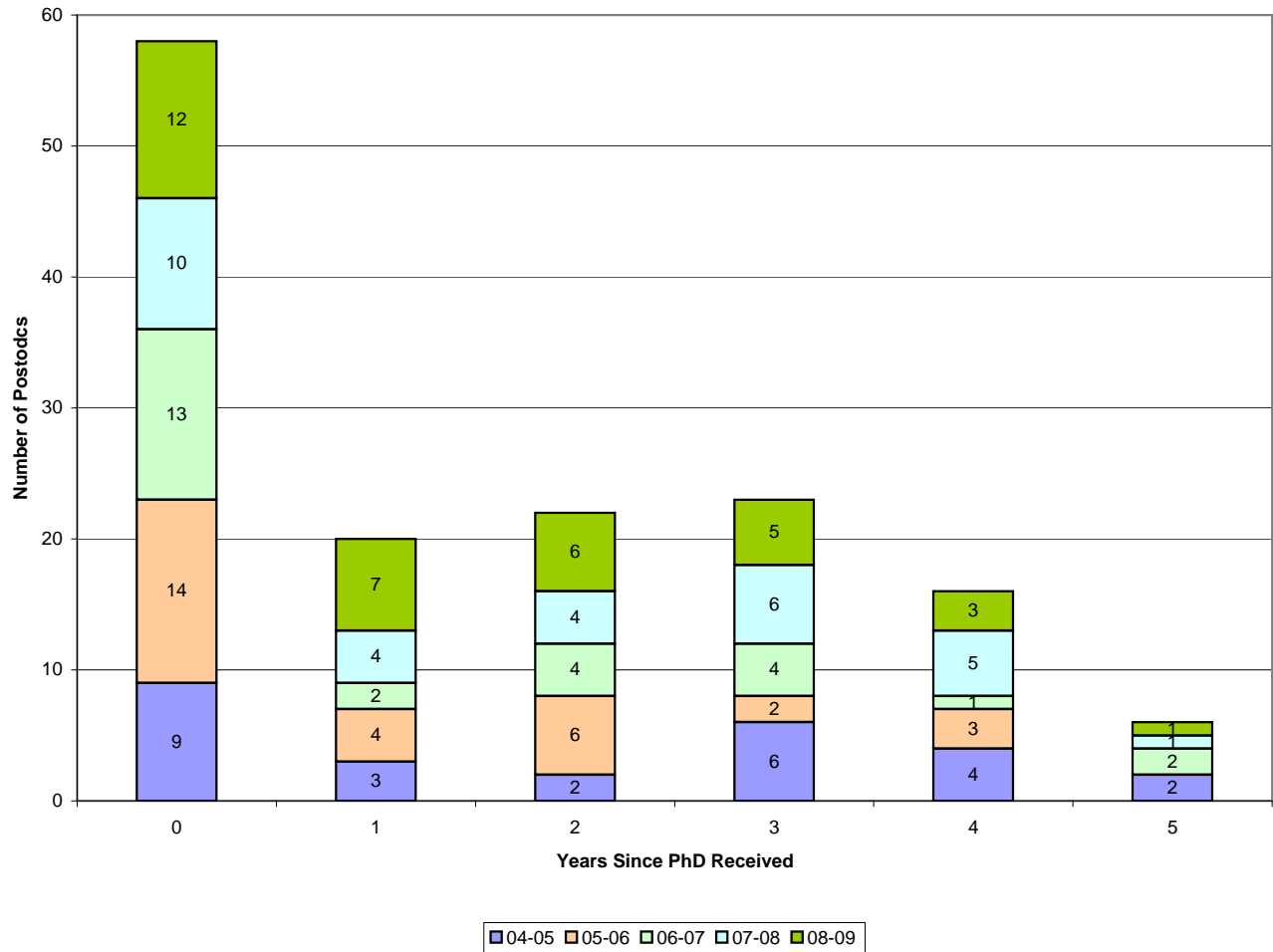


2004 - 2009 US Home Institutions

| | 2004-05 | 2005-06 | 2006-07 | 2007-08 | 2008-09 | Total |
|----------------------------------|------------|------------|------------|------------|------------|------------|
| US Home Institutions | 7 | 19 | 21 | 21 | 22 | 90 |
| Unavailable information | | 1 | 1 | 1 | 0 | 3 |
| Total Members | 26 | 29 | 26 | 30 | 34 | 145 |
| % of US Home Institutions | 27% | 66% | 81% | 70% | 65% | 62% |



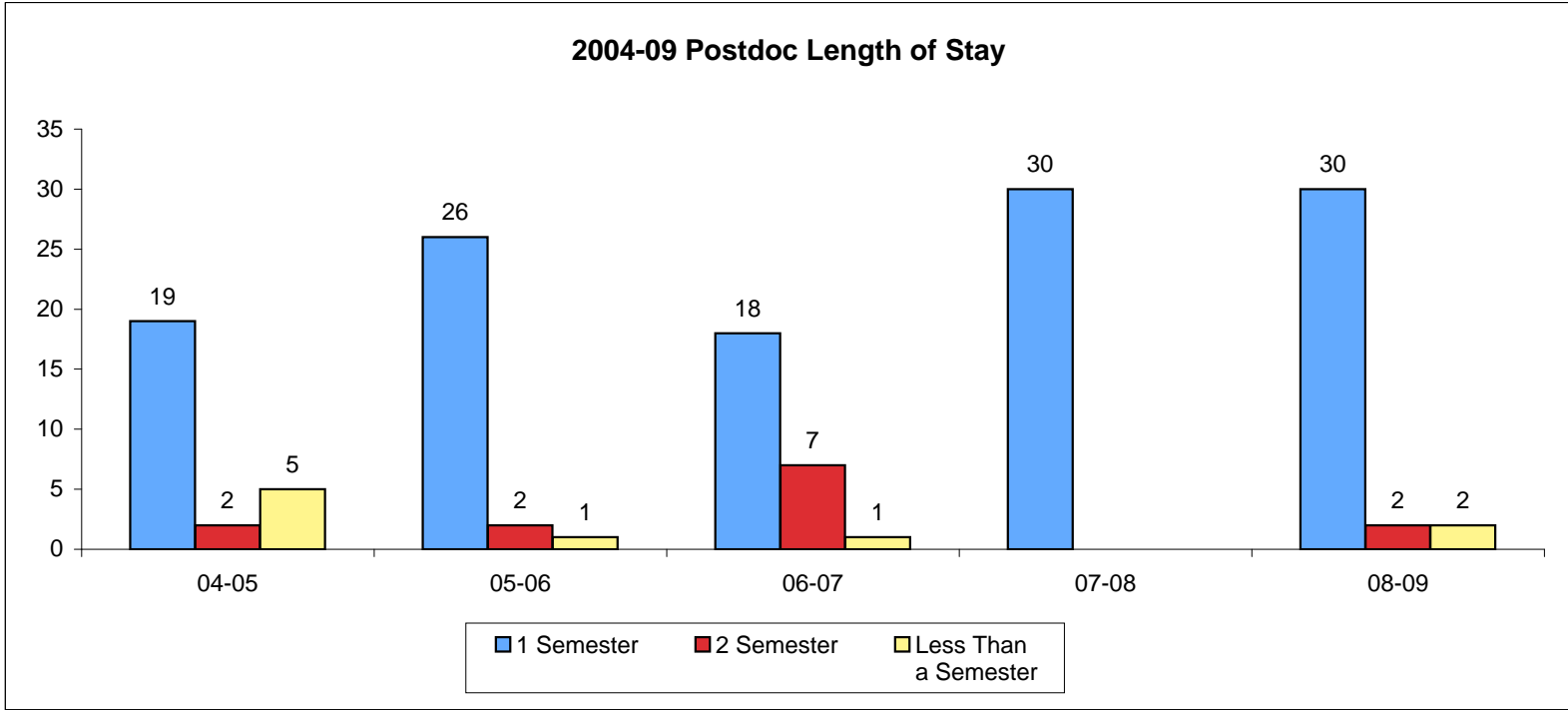
MSRI Postdoctoral Fellows 04-09 Years Since PhD



| Yrs Since Phd at MSRI | 04-05 | 05-06 | 06-07 | 07-08 | 08-09 | Grand Total |
|-----------------------|-----------|-----------|-----------|-----------|-----------|-------------|
| 0 | 9 | 14 | 13 | 10 | 12 | 58 |
| 1 | 3 | 4 | 2 | 4 | 7 | 20 |
| 2 | 2 | 6 | 4 | 4 | 6 | 22 |
| 3 | 6 | 2 | 4 | 6 | 5 | 23 |
| 4 | 4 | 3 | 1 | 5 | 3 | 16 |
| 5 | 2 | 0 | 2 | 1 | 1 | 6 |
| Grand Total | 26 | 29 | 26 | 30 | 34 | 145 |

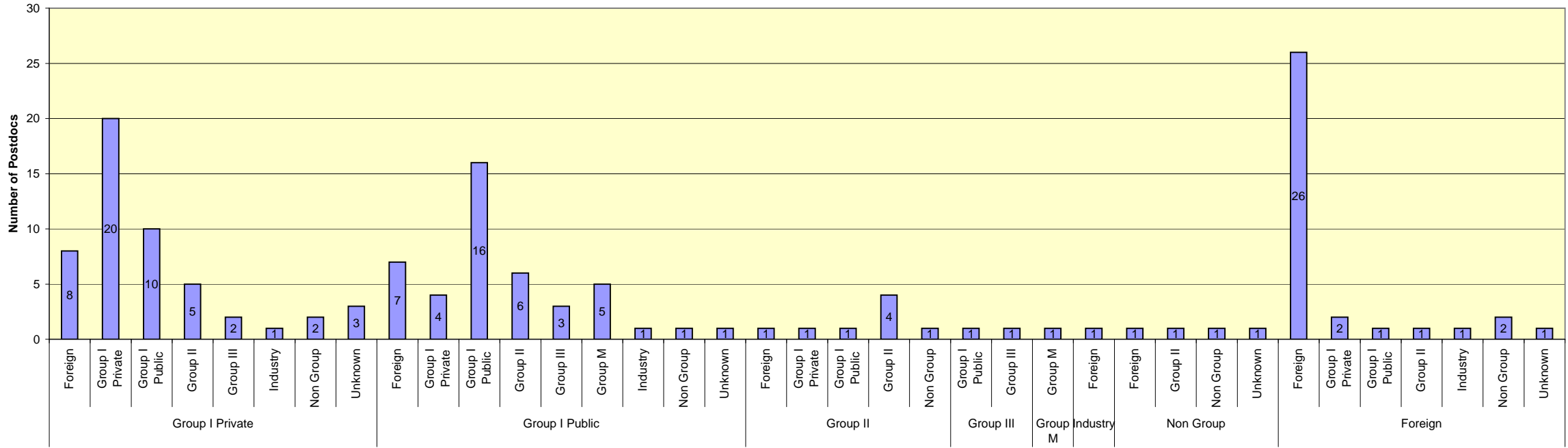
MSRI Postdocs 2004 - 2009 Length of Stay

| Year | 1 Semester | % | 2 Semester | % | Less Than a Semester | % | Total |
|-------|------------|------|------------|-----|----------------------|-----|-------|
| 04-05 | 19 | 73% | 2 | 8% | 5 | 19% | 26 |
| 05-06 | 26 | 90% | 2 | 7% | 1 | 3% | 29 |
| 06-07 | 18 | 69% | 7 | 27% | 1 | 4% | 26 |
| 07-08 | 30 | 100% | | 0% | | 0% | 30 |
| 08-09 | 30 | 88% | 2 | 6% | 2 | 6% | 34 |
| | 123 | | 13 | | 9 | | 145 |



MSRI Postdocs Placement Group (2004-2009)

Postdocs 04-09 Pre & Current MSRI Institute Group



PD Current Institution

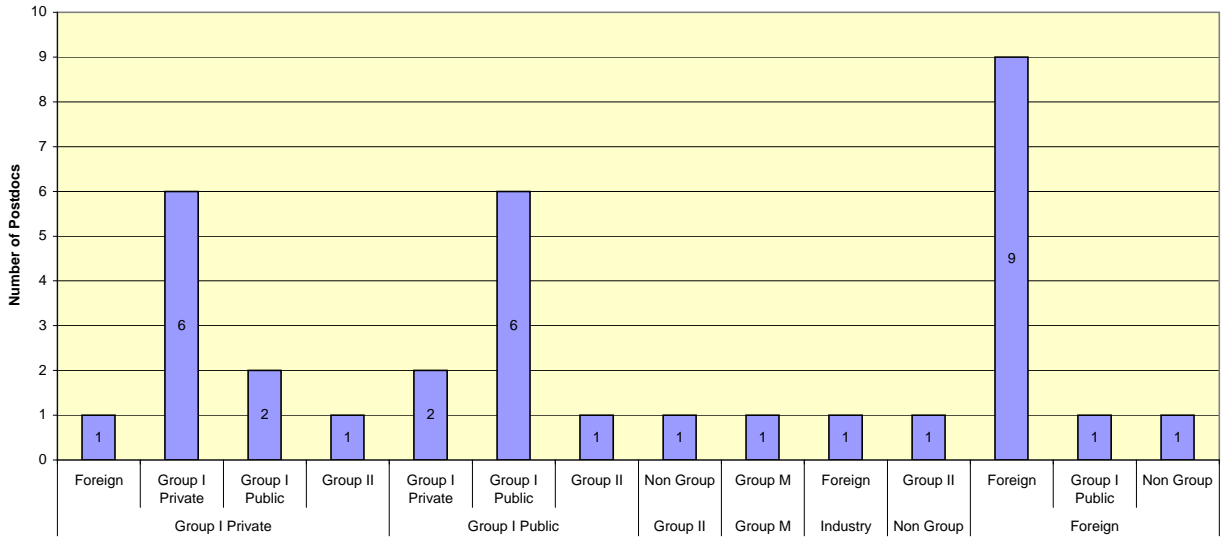
| Group | # | % |
|-----------|----|--------|
| I Private | 27 | 18.6% |
| I Public | 29 | 20% |
| II | 17 | 11.70% |
| III | 6 | 4.10% |
| Foreign | 44 | 30% |

MSRI Postdocs Placement Group (2004-2009)

| Pre- MSRI Institute Group | Cur Institute Group | Count of Cur Institute Group |
|------------------------------|---------------------|------------------------------|
| Group I Private | | |
| | Foreign | 8 |
| | Group I Private | 20 |
| | Group I Public | 10 |
| | Group II | 5 |
| | Group III | 2 |
| | Industry | 1 |
| | Non Group | 2 |
| | Unknown | 3 |
| Group I Private Total | | 51 35% |
| Group I Public | | |
| | Foreign | 7 |
| | Group I Private | 4 |
| | Group I Public | 16 |
| | Group II | 6 |
| | Group III | 3 |
| | Group M | 5 |
| | Industry | 1 |
| | Non Group | 1 |
| | Unknown | 1 |
| Group I Public Total | | 44 30% |
| Group II | | |
| | Foreign | 1 |
| | Group I Private | 1 |
| | Group I Public | 1 |
| | Group II | 4 |
| | Non Group | 1 |
| Group II Total | | 8 5.50% |
| Group III | | |
| | Group I Public | 1 |
| | Group III | 1 |
| Group III Total | | 2 1.40% |
| Group M | | |
| | Group M | 1 |
| Group M Total | | 1 0.70% |
| Industry | | |
| | Foreign | 1 |
| Industry Total | | 1 0.70% |
| Non Group | | |
| | Foreign | 1 |
| | Group II | 1 |
| | Non Group | 1 |
| | Unknown | 1 |
| Non Group Total | | 4 2.80% |
| Foreign | | |
| | Foreign | 26 |
| | Group I Private | 2 |
| | Group I Public | 1 |
| | Group II | 1 |
| | Industry | 1 |
| | Non Group | 2 |
| | Unknown | 1 |
| Foreign Total | | 34 23.50% |
| Grand Total | | 145 100% |

MSRI Postdocs Placement Group (2004-2009)

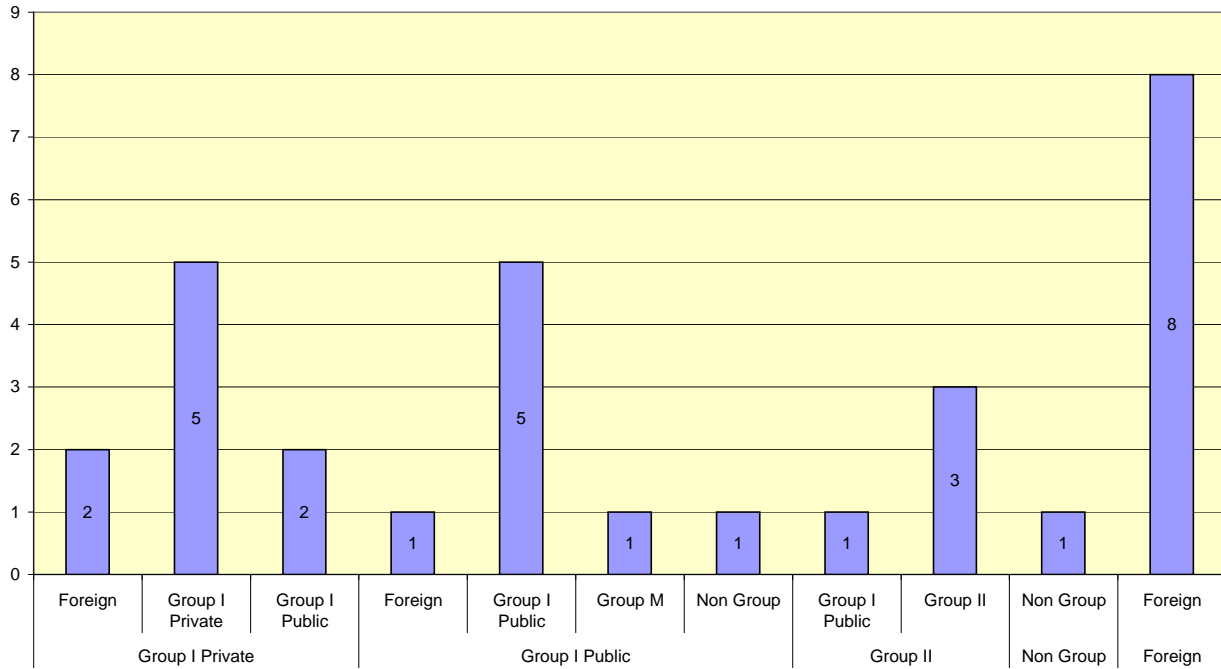
Postdocs 08-09 Pre & Current MSRI Institute Group



| | |
|-----------|-------|
| Year MSRI | 08-09 |
|-----------|-------|

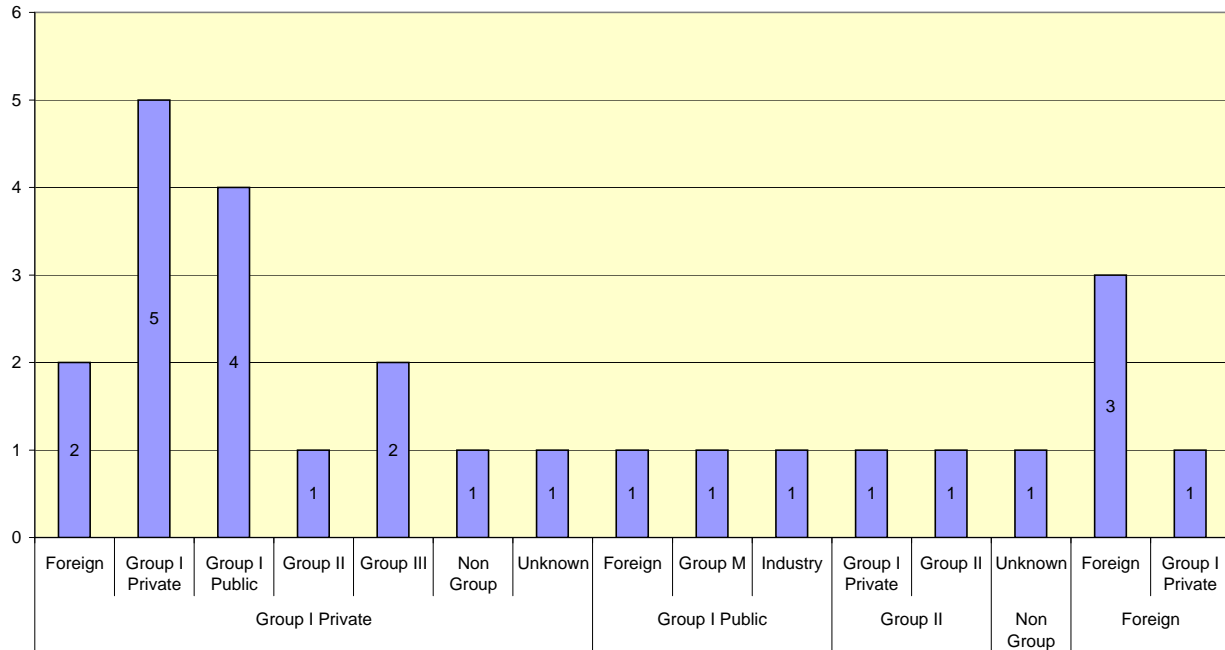
| Pre- MSRI Institute Group | Cur Institute Group | Count of Cur Institute Group |
|------------------------------|---------------------|------------------------------|
| Group I Private | | |
| | Foreign | 1 |
| | Group I Private | 6 |
| | Group I Public | 2 |
| | Group II | 1 |
| Group I Private Total | | 10 |
| Group I Public | | |
| | Group I Private | 2 |
| | Group I Public | 6 |
| | Group II | 1 |
| Group I Public Total | | 9 |
| Group II | | |
| | Non Group | 1 |
| Group II Total | | 1 |
| Group M | | |
| | Group M | 1 |
| Group M Total | | 1 |
| Industry | | |
| | Foreign | 1 |
| Industry Total | | 1 |
| Non Group | | |
| | Group II | 1 |
| Non Group Total | | 1 |
| Foreign | | |
| | Foreign | 9 |
| | Group I Public | 1 |
| | Non Group | 1 |
| Foreign Total | | 11 |
| Grand Total | | 34 |

**Postdocs 07-08 Pre & Current MSRI
Institute Group**



| Year MSRI | 07-08 |
|----------------------------------|-------------------------------------|
| Pre- MSRI Institute Group | Cur Institute Group |
| Group I Private | Count of Cur Institute Group |
| | Foreign 2 |
| | Group I Private 5 |
| | Group I Public 2 |
| Group I Private Total | 9 |
| Group I Public | |
| | Foreign 1 |
| | Group I Public 5 |
| | Group M 1 |
| | Non Group 1 |
| Group I Public Total | 8 |
| Group II | |
| | Group I Public 1 |
| | Group II 3 |
| Group II Total | 4 |
| Non Group | |
| | Non Group 1 |
| Non Group Total | 1 |
| Foreign | |
| | Foreign 8 |
| Foreign Total | 8 |
| Grand Total | 30 |

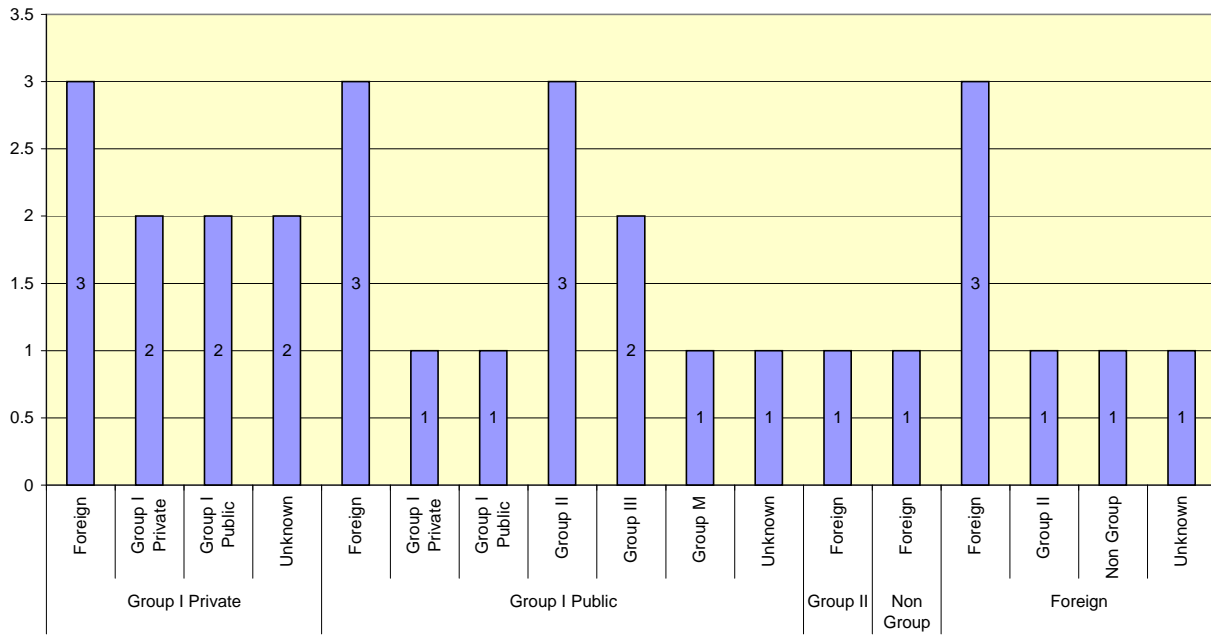
**Postdocs 06-07 Pre & Current MSRI
Institute Group**



| | |
|-----------|-------|
| Year MSRI | 06-07 |
|-----------|-------|

| Pre- MSRI Institute Group | Cur Institute Group | Count of Cur Institute Group |
|------------------------------|---------------------|------------------------------|
| Group I Private | Foreign | 2 |
| | Group I Private | 5 |
| | Group I Public | 4 |
| | Group II | 1 |
| | Group III | 2 |
| | Non Group | 1 |
| | Unknown | 1 |
| Group I Private Total | | 16 |
| Group I Public | Foreign | 1 |
| | Group M | 1 |
| | Industry | 1 |
| Group I Public Total | | 3 |
| Group II | Group I Private | 1 |
| | Group II | 1 |
| Group II Total | | 2 |
| Non Group | Unknown | 1 |
| Non Group Total | | 1 |
| Foreign | Foreign | 3 |
| | Group I Private | 1 |
| Foreign Total | | 4 |
| Grand Total | | 26 |

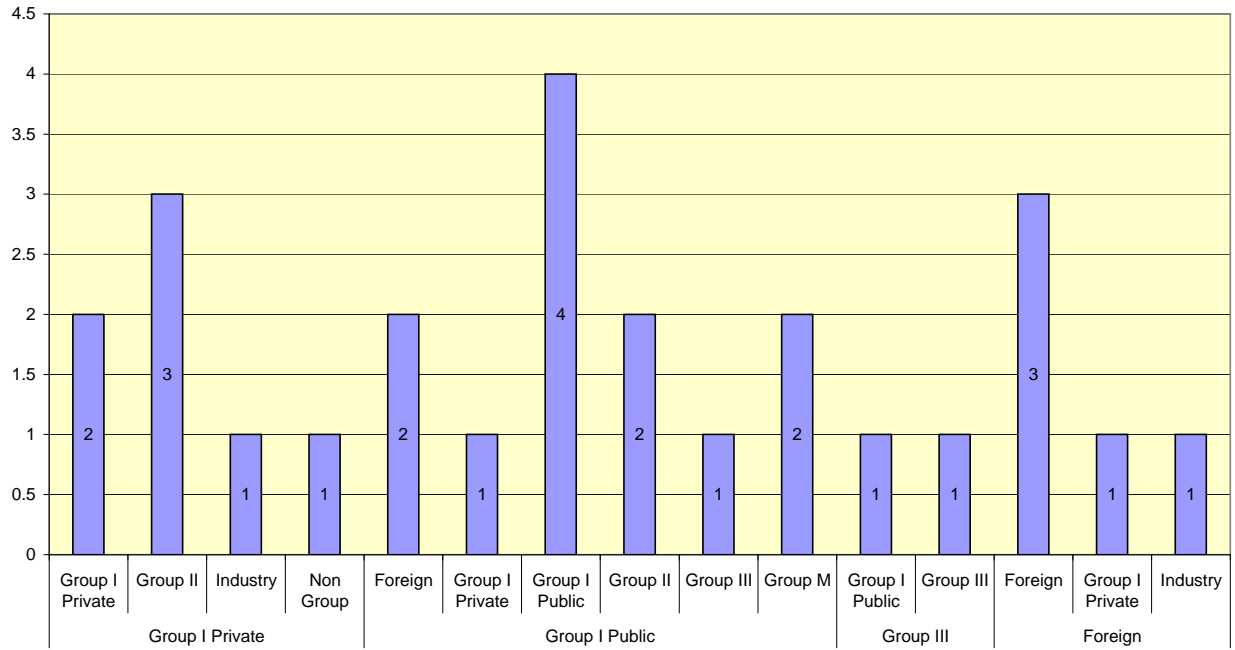
**Postdocs 05-06 Pre & Current MSRI
Institute Group**



| | |
|-----------|-------|
| Year MSRI | 05-06 |
|-----------|-------|

| Pre- MSRI Institute Group | Cur Institute Group | Count of Cur Institute Group |
|------------------------------|---------------------|------------------------------|
| Group I Private | Foreign | 3 |
| | Group I Private | 2 |
| | Group I Public | 2 |
| | Unknown | 2 |
| Group I Private Total | | 9 |
| Group I Public | Foreign | 3 |
| | Group I Private | 1 |
| | Group I Public | 1 |
| | Group II | 3 |
| | Group III | 2 |
| | Group M | 1 |
| | Unknown | 1 |
| Group I Public Total | | 12 |
| Group II | Foreign | 1 |
| Group II Total | | 1 |
| Non Group | Foreign | 1 |
| Non Group Total | | 1 |
| Foreign | Foreign | 3 |
| | Group II | 1 |
| | Non Group | 1 |
| | Unknown | 1 |
| Foreign Total | | 6 |
| Grand Total | | 29 |

**Postdocs 04-05 Pre & Current MSRI
Institute Group**



| | |
|-----------|-------|
| Year MSRI | 04-05 |
|-----------|-------|

| Pre- MSRI Institute Group | Cur Institute Group | Count of Cur Institute Group |
|------------------------------|---------------------|------------------------------|
| Group I Private | | |
| | Group I Private | 2 |
| | Group II | 3 |
| | Industry | 1 |
| | Non Group | 1 |
| Group I Private Total | | 7 |
| Group I Public | | |
| | Foreign | 2 |
| | Group I Private | 1 |
| | Group I Public | 4 |
| | Group II | 2 |
| | Group III | 1 |
| | Group M | 2 |
| Group I Public Total | | 12 |
| Group III | | |
| | Group I Public | 1 |
| | Group III | 1 |
| Group III Total | | 2 |
| Foreign | | |
| | Foreign | 3 |
| | Group I Private | 1 |
| | Industry | 1 |
| Foreign Total | | 5 |
| Grand Total | | 26 |

Year 2005-06 Postdoc Publications in MathSciNet

| Name | | Publication Title |
|----------|---------|---|
| Barakat | Aliaa | MR2435651 (2009g:53135) Barakat, Aliaa(1-MIT) On the moduli space of deformations of bihamiltonian hierarchies of hydrodynamic type. (English summary) <i>Adv. Math.</i> 219 (2008), no. 2, 604--632.53D45 (35Q53 37K10) |
| Bejenaru | Ioan | MR2501036 Bejenaru, I.; Herr, S.; Holmer, J.; Tataru, D. On the 2D Zakharov system with L^p-Schrödinger data. <i>Nonlinearity</i> 22 (2009), no. 5, 1063--1089. 35Q55 (35B30 35L70) MR2491621 Bejenaru, Ioan; Tataru, Daniel Global wellposedness in the energy space for the Maxwell-Schrödinger system. <i>Comm. Math. Phys.</i> 288 (2009), no. 1, 145--198. 81Q05 (35B30 35Q60 81V10) MR2443925 (2009g:35297) Bejenaru, Ioan; Tataru, Daniel Large data local solutions for the derivative NLS equation. <i>J. Eur. Math. Soc. (JEMS)</i> 10 (2008), no. 4, 957--985. (Reviewer: Peter E. Zhidkov) 35Q55 (35B30) MR2425697 (2009c:35428) Bejenaru, Ioan Quadratic nonlinear derivative Schrödinger equations. II. <i>Trans. Amer. Math. Soc.</i> 360 (2008), no. 11, 5925--5957. (Reviewer: Arthur H. Vartanian) 35Q55 (35B30) MR2425692 Bejenaru, Ioan; De Silva, Daniela Low regularity solutions for a 2D quadratic nonlinear Schrödinger equation. <i>Trans. Amer. Math. Soc.</i> 360 (2008), no. 11, 5805--5830. (Reviewer: Thomas Duyckaerts) 35Q55 (35A07 35B30 35B45) MR2427007 (2009g:35296) Bejenaru, Ioan On Schrödinger maps. <i>Amer. J. Math.</i> 130 (2008), no. 4, 1033--1065. (Reviewer: Luca Lorenzi) 35Q55 (35B30 35K55) MR2398238 (2009h:35392) Bejenaru, Ioan Global results for Schrödinger maps in dimensions $n \geq 3$. <i>Comm. Partial Differential Equations</i> 33 (2008), no. 1-3, 451--477. (Reviewer: Alexander A. Pankov) 35Q55 (35B30 42B99) MR2354991 (2008i:35124) Bejenaru, I.; Ionescu, A. D.; Kenig, C. E. Global existence and uniqueness of Schrödinger maps in dimensions $d \geq 4$. <i>Adv. Math.</i> 215 (2007), no. 1, 263--291. (Reviewer: Sergey G. Pyatkov) 35K55 (35K15 35K60 46E35 47H99) MR2235496 (2007e:35254) Bejenaru, Ioan Quadratic nonlinear derivative Schrödinger equations. I. <i>IMRP Int. Math. Res. Pap.</i> 2006, Art. ID 70630, 84 pp. (Reviewer: Arthur H. Vartanian) 35Q55 (35B30) MR2204680 (2007i:35216) Bejenaru, Ioan; Tao, Terence Sharp well-posedness and ill-posedness results for a quadratic non-linear Schrödinger equation. <i>J. Funct. Anal.</i> 233 (2006), no. 1, 228--259. (Reviewer: Justin A. Holmer) 35Q55 (35B30 35R25) MR1846666 (2002g:93053) Bejenaru, Ioan; Diaz, Jesus Ildefonso; Vrabie, Ioan I. An abstract approximate controllability result and applications to elliptic and parabolic systems with dynamic boundary conditions. <i>Electron. J. Differential Equations</i> 2001, No. 50, 19 pp. (electronic). (Reviewer: Quan Zheng) 93C20 (34G10 34H05 35B37 47N20 93B05) |
| Blair | Matthew | MR2457396 Blair, Matthew D. Spectral cluster estimates for metrics of Sobolev regularity. <i>Trans. Amer. Math. Soc.</i> 361 (2009), no. 3, 1209--1240. (Reviewer: Julian Edward) 35P20 (35J05 35L05 35P15 58J50) MR2407219 (2009d:58044) Blair, Matthew D.; Smith, Hart F.; Sogge, Christopher D. On multilinear spectral cluster estimates for manifolds with boundary. <i>Math. Res. Lett.</i> 15 (2008), no. 3, 419--426. (Reviewer: Elena A. Mazepa) 58J32 (35J10 35P20 58J50) MR2350410 (2008k:35386) Blair, Matthew D.; Smith, Hart F.; Sogge, Christopher D. On Strichartz estimates for Schrödinger operators in compact manifolds with boundary. <i>Proc. Amer. Math. Soc.</i> 136 (2008), no. 1, 247--256 (electronic). (Reviewer: Atanas G. Stefanov) 35Q40 (35B65 35J10) MR2233036 (2008i:35154) Blair, Matthew Strichartz estimates for wave equations with coefficients of Sobolev regularity. <i>Comm. Partial Differential Equations</i> 31 (2006), no. 4-6, 649--688. (Reviewer: Jason L. Metcalfe) 35L15 (35B45 35B65 35R05) |
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| Coates | Tom |

| Name | Publication Title |
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Program Summary

| # of programs | |
|---------------|-----------|
| Year | # |
| 2005-06 | 4 |
| 2006-07 | 3 |
| 2007-08 | 4 |
| 2008-09 | 3 |
| Total | 14 |

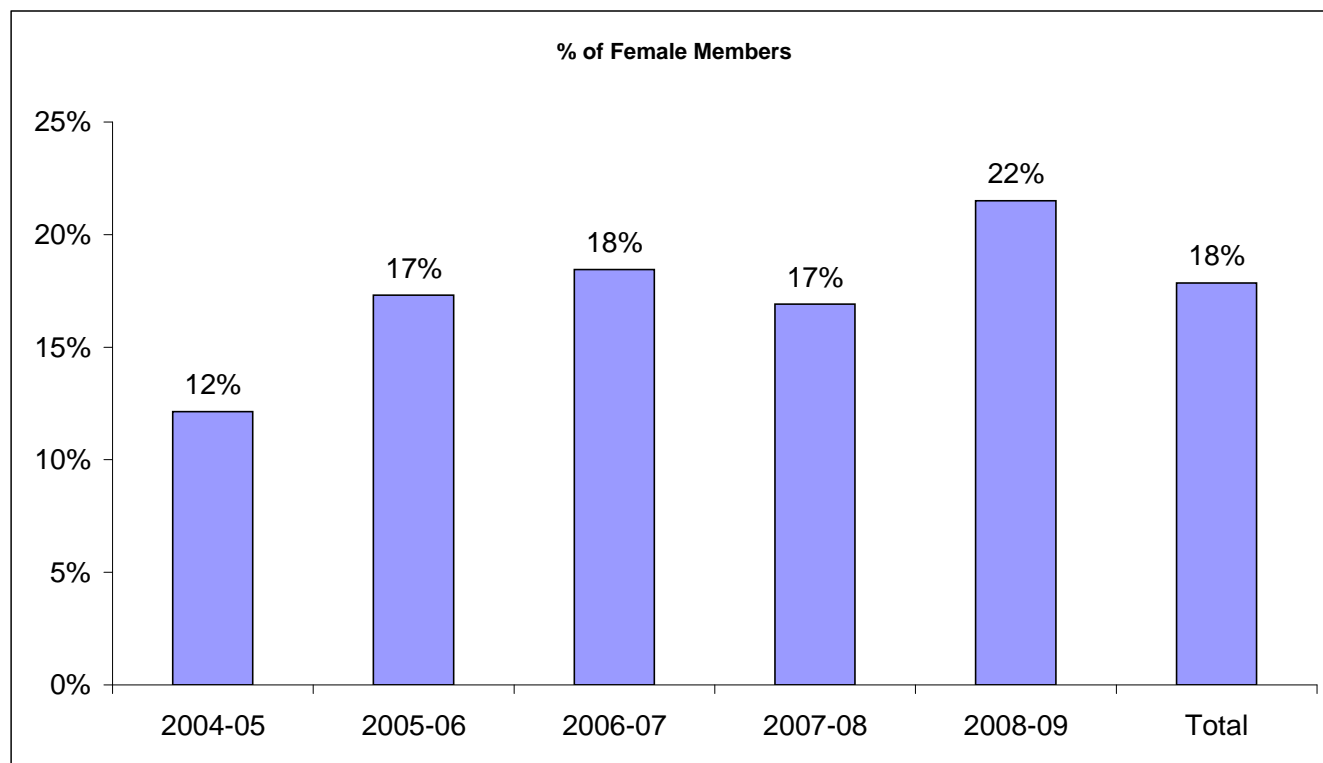
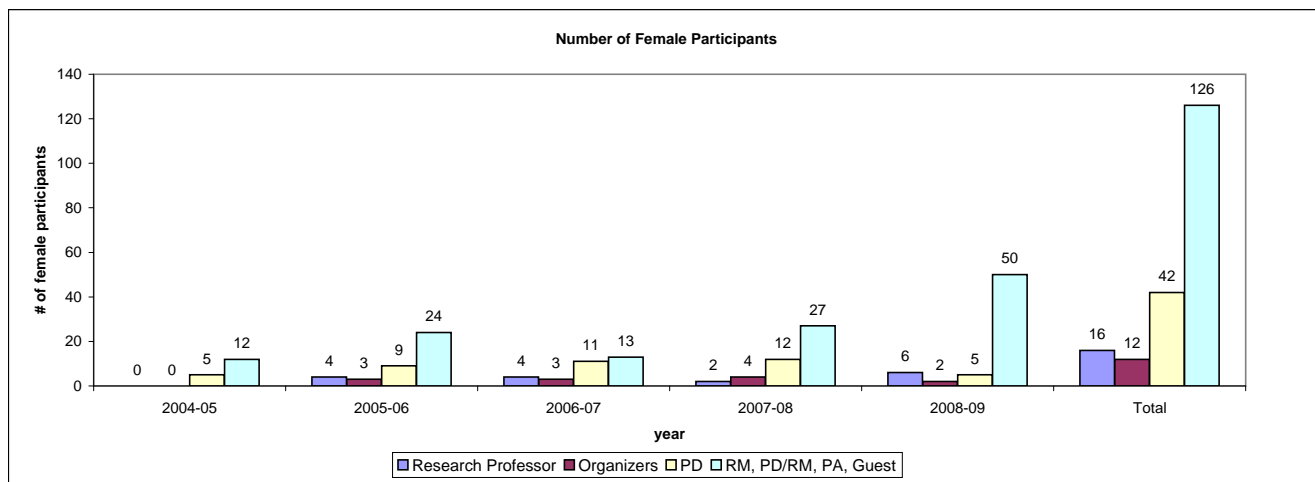
| Number of Applicants | | | |
|----------------------|-------------|------------|-------------|
| Programs | PD | RP, RM | Total |
| 2005-06 | 124 | 180 | 304 |
| 2006-07 | 201 | 127 | 328 |
| 2007-08 | 206 | 203 | 409 |
| 2008-09 | 265 | 262 | 527 |
| 2009-10 | 233 | 153 | 386 |
| Total | 1029 | 925 | 1954 |

| Program participant summary* | | | | |
|------------------------------|------------|------------|------------|--------------|
| Year | Members | Postdocs | Total | Person-Days |
| 2005-06 | 202 | 29 | 231 | 12014 |
| 2006-07 | 142 | 26 | 168 | 12772 |
| 2007-08 | 236 | 30 | 266 | 21216 |
| 2008-09 | 259 | 34 | 293 | 19212 |
| Total | 839 | 119 | 958 | 65214 |

* distinct members

MSRI Members 2004 - 2009 Female Participation Summary

| All Programs | 2004-05 | 2005-06 | 2006-07 | 2007-08 | 2008-09 | Total |
|----------------------------|------------|------------|------------|------------|------------|------------|
| Research Professor | 0 | 4 | 4 | 2 | 6 | 16 |
| Organizers | 0 | 3 | 3 | 4 | 2 | 12 |
| PD | 5 | 9 | 11 | 12 | 5 | 42 |
| RM, PD/RM, PA, Guest | 12 | 24 | 13 | 27 | 50 | 126 |
| Total | 17 | 40 | 31 | 45 | 63 | 196 |
| Members Total | 140 | 231 | 168 | 266 | 293 | 1098 |
| % of female members | 12% | 17% | 18% | 17% | 22% | 18% |



MSRI Members
2004 - 2009
Female Participation Classified
by Participant Role

| Research Professor | | | |
|---------------------------|-----------|------------|-----------|
| | Female | Total | % |
| 2004-05 | 0 | 22 | 0% |
| 2005-06 | 4 | 47 | 9% |
| 2006-07 | 4 | 37 | 11% |
| 2007-08 | 2 | 21 | 10% |
| 2008-09 | 6 | 50 | 12% |
| Total | 16 | 177 | 9% |

| Organizers | | | |
|-------------------|-----------|-----------|------------|
| | Female | Total | % |
| 2004-05 | 0 | 17 | 0% |
| 2005-06 | 3 | 13 | 23% |
| 2006-07 | 3 | 15 | 20% |
| 2007-08 | 4 | 22 | 18% |
| 2008-09 | 2 | 17 | 12% |
| Total | 12 | 84 | 14% |

| Postdocs | | | |
|-----------------|-----------|------------|------------|
| | Female | Total | % |
| 2004-05 | 5 | 26 | 19% |
| 2005-06 | 9 | 30 | 30% |
| 2006-07 | 11 | 25 | 44% |
| 2007-08 | 12 | 30 | 40% |
| 2008-09 | 5 | 34 | 15% |
| Total | 42 | 145 | 29% |

| RM, PD/RM, PA, Guests | | | |
|------------------------------|------------|------------|------------|
| | Female | Total | % |
| 2004-05 | 12 | 75 | 16% |
| 2005-06 | 24 | 141 | 17% |
| 2006-07 | 13 | 91 | 14% |
| 2007-08 | 27 | 193 | 14% |
| 2008-09 | 50 | 192 | 26% |
| Total | 126 | 692 | 18% |

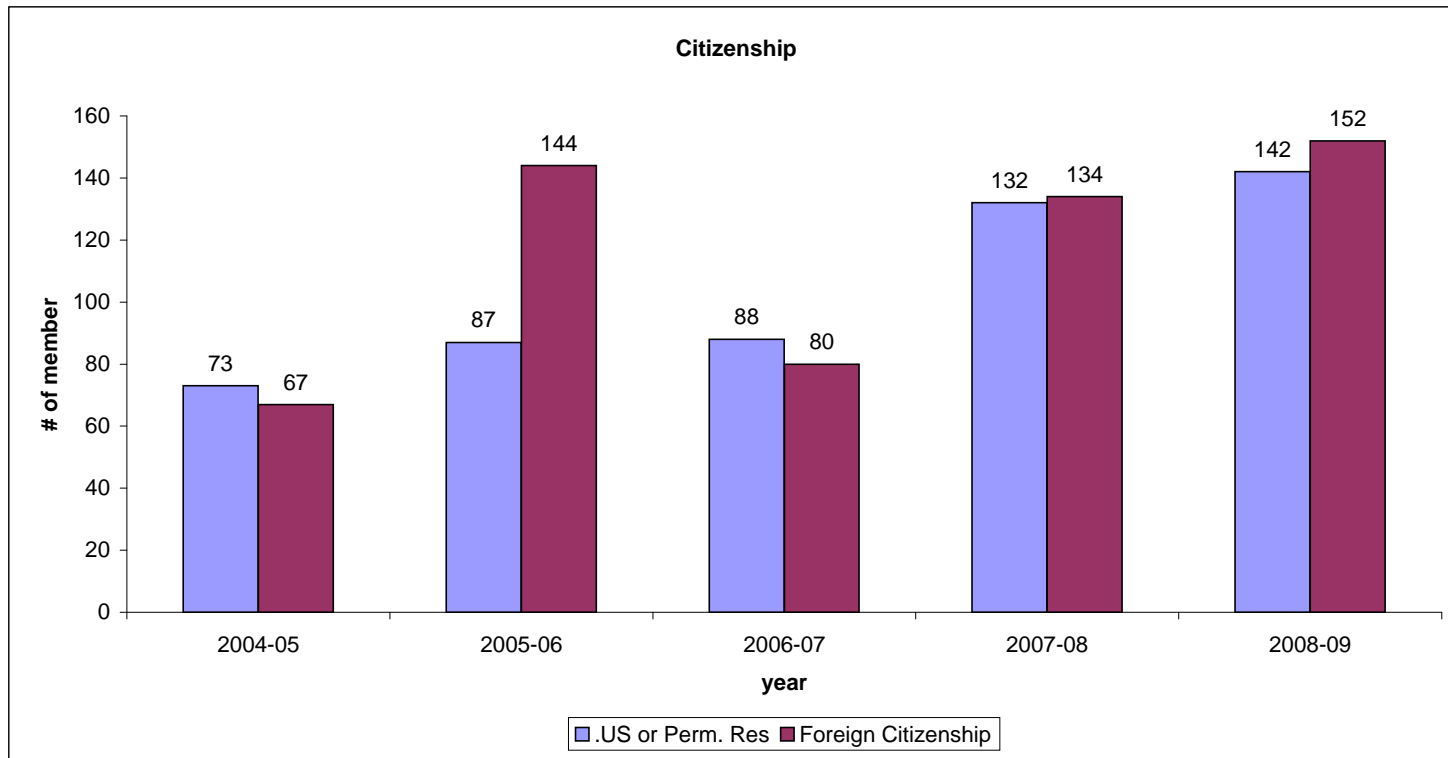
MSRI Members 2004 - 2009 Ethnicity

| Ethnicity | 2004-05 | | 2005-06 | | 2006-07 | | 2007-08 | | 2008-09 | | Total | |
|-------------------------|------------|-----|------------|-----|------------|-----|------------|-----|------------|-----|-------------|-----|
| Native American | | 0% | | 0% | | 0% | 1 | 1% | 0 | 0% | 1 | 0% |
| Asian | 12 | 15% | 35 | 31% | 20 | 22% | 16 | 12% | 22 | 10% | 105 | 16% |
| Black | 5 | 6% | 3 | 3% | 3 | 3% | 2 | 1% | 0 | 0% | 13 | 2% |
| Hispanic | 2 | 2% | 8 | 7% | 0 | 0% | 4 | 3% | 12 | 5% | 26 | 4% |
| Pacific | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| White | 63 | 77% | 66 | 59% | 70 | 75% | 113 | 83% | 194 | 85% | 506 | 78% |
| Decline | 29 | | 119 | | 75 | | 30 | | 30 | | 283 | |
| Unavailable information | 29 | | 0 | | 0 | | 100 | | 35 | | 164 | |
| Grand Total | 140 | | 231 | | 168 | | 266 | | 293 | | 1098 | |

| | 2004-05 | | 2005-06 | | 2006-07 | | 2007-08 | | 2008-09 | | Total | |
|-------------------------------------|---------|----|---------|----|---------|----|---------|----|---------|----|-------|----|
| Minorities Among US Citizens | 3 | 6% | 4 | 7% | 2 | 3% | 7 | 6% | 8 | 7% | 24 | 6% |

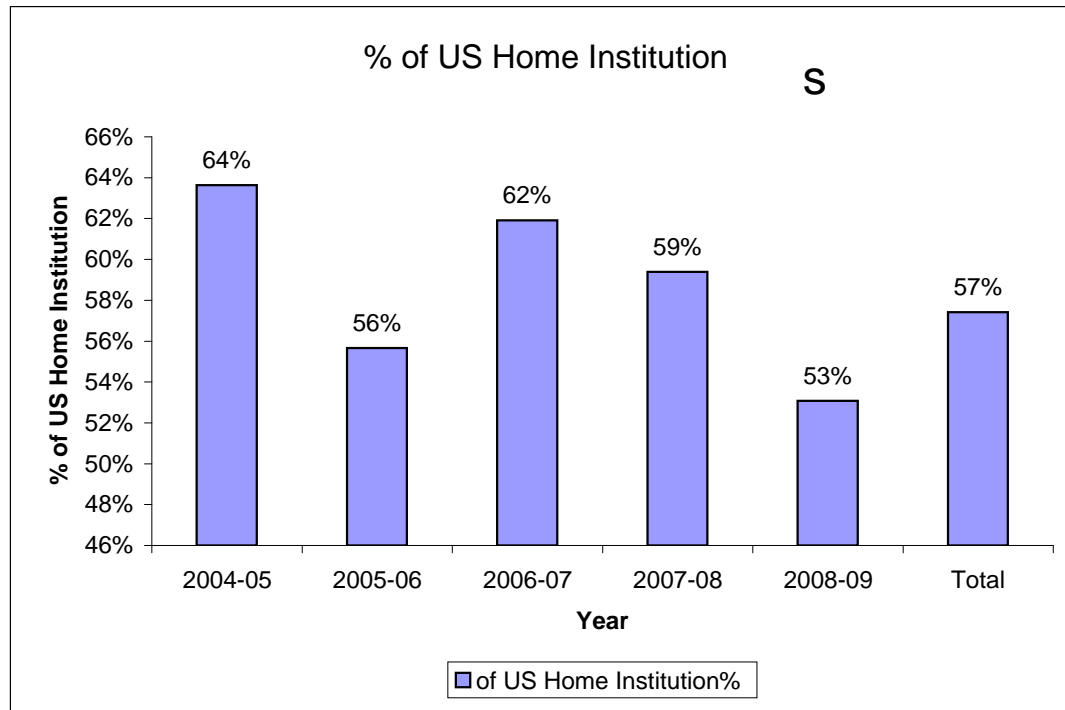
MSRI Members 2004 - 2009 Citizenship

| | 2004-05 | % | 2005-06 | % | 2006-07 | % | 2007-08 | % | 2008-09 | % | Total | % |
|-------------------------|---------|-----|---------|-----|---------|-----|---------|-----|---------|-----|-------|-----|
| USA | 54 | | 57 | | 63 | | 117 | | 111 | | 402 | |
| US Permanent Resident | 19 | | 30 | | 25 | | 15 | | 31 | | 120 | |
| US or Perm. Res. | 73 | 52% | 87 | 38% | 88 | 52% | 132 | 50% | 142 | 48% | 522 | 48% |
| Foreign Citizenship | 67 | 48% | 144 | 62% | 80 | 48% | 134 | 50% | 152 | 52% | 577 | 53% |
| Unavailable information | 0 | | 0 | | 0 | | 1 | | 1 | | 2 | |
| Total | 140 | | 231 | | 168 | | 266 | | 293 | | 1098 | |



MSRI Members 2004 - 2009 US Home Institutions

| | 2004-05 | 2005-06 | 2006-07 | 2007-08 | 2008-09 | Total |
|--------------------------|---------|---------|---------|---------|---------|-------|
| US Home Institution | 35 | 113 | 104 | 158 | 155 | 565 |
| Unavailable information | 85 | 28 | 0 | 0 | 1 | 114 |
| Total Members | 140 | 231 | 168 | 266 | 293 | 1098 |
| % of US Home Institution | 64% | 56% | 62% | 59% | 53% | 57% |

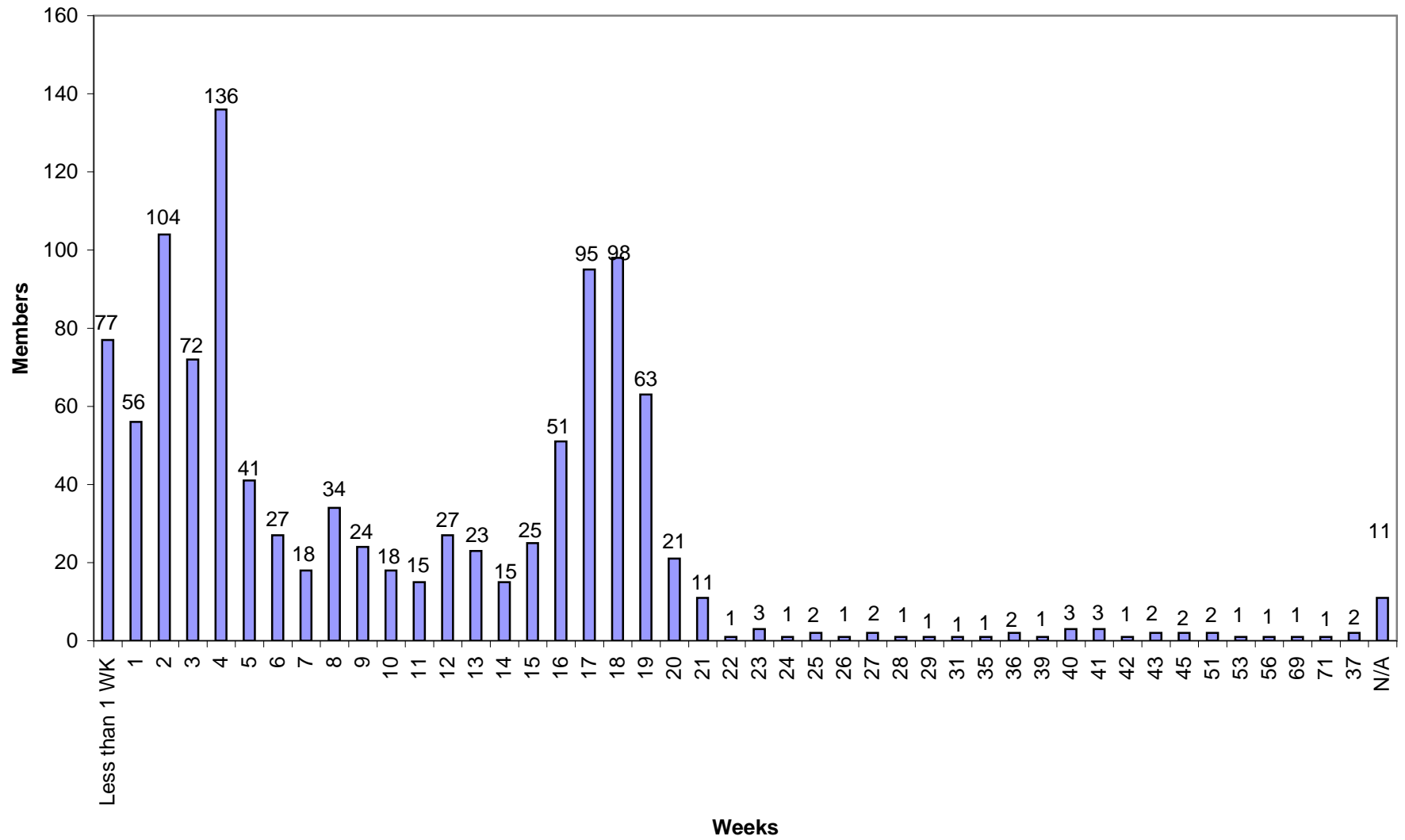


| MSRI Length of Stay Summary | | | | | | | |
|-------------------------------------|--------------|--------------|--------------|--------------|--------------|--------------|------------|
| MSRI Year | 04-05 | 05-06 | 06-07 | 07-08 | 08-09 | Total | |
| Total Member Days | 11159 | 12014 | 12772 | 21216 | 19212 | 76253 | |
| Total Distinct Members | 140 | 231 | 168 | 266 | 293 | 1098 | |
| Member wt no days of stay | 2 | 0 | 1 | 8 | 26 | 37 | |
| Program days | 255 | 255 | 262 | 248 | 255 | | |
| Average # of Days per Member | 80.86 | 52.01 | 76.48 | 82.23 | 71.96 | 71.87 | 2.4 months |
| Average # of Members per Day | 43.76 | 47.11 | 48.75 | 85.55 | 75.34 | 60 | |

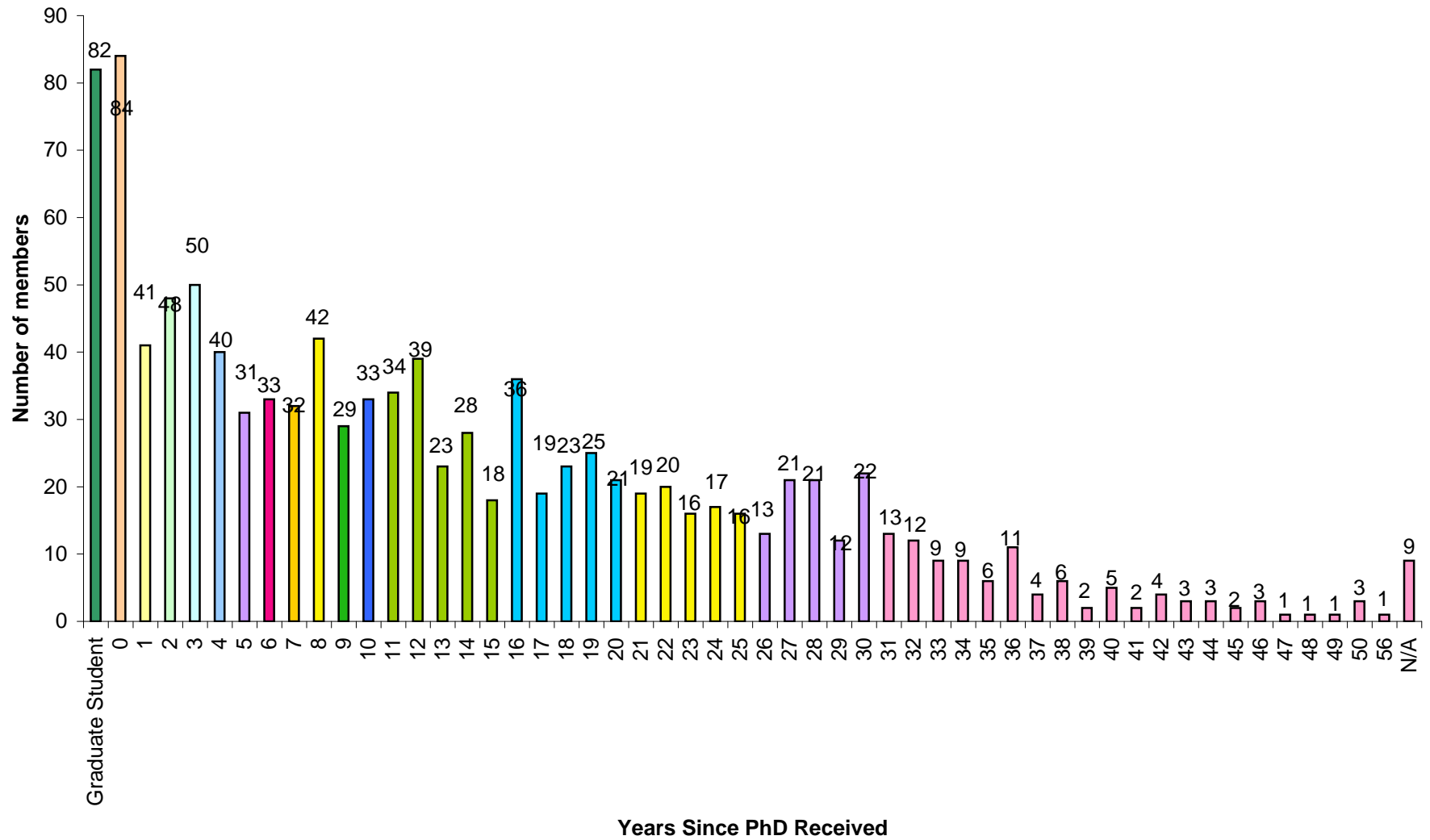
| Family Name | First Name | Year PhD |
|--------------------|-------------------|-----------------|
| Kesten | Harry | 1958 |
| Smale | Steve | 1956 |
| Fong | Paul | 1959 |
| Garsia | Adriano | 1957 |
| Springer | Tonny | 1951 |
| Furstenberg | Hillel | 1958 |
| Katznelson | Yitzhak | 1959 |
| Mumford | David | 1960 |
| Srinivasan | Bhama | 1960 |

| Member Home Institution Group Demographics | | | | | | |
|---|--------------|--------------|--------------|--------------|--------------|--------------|
| Year | 04-05 | 05-06 | 06-07 | 07-08 | 08-09 | Total |
| Group I Private | 25 | 31 | 32 | 47 | 51 | 186 |
| Group I Public | 31 | 50 | 34 | 57 | 61 | 233 |
| Group II | 13 | 21 | 20 | 27 | 25 | 106 |
| Group III | 3 | 2 | 6 | 7 | 7 | 25 |
| Group IV | | 1 | | 7 | | 8 |
| Group M | 4 | 5 | 3 | 6 | 3 | 21 |
| non-Group | 4 | 4 | 8 | 7 | 8 | 31 |
| Foreign | 56 | 115 | 64 | 107 | 138 | 480 |
| Unknown | 4 | 2 | 1 | 1 | | 8 |
| Total | 140 | 231 | 168 | 266 | 293 | 1098 |

2004-09 Members' Visit Length



**MSRI Members 04-09
Years Since PhD Received**

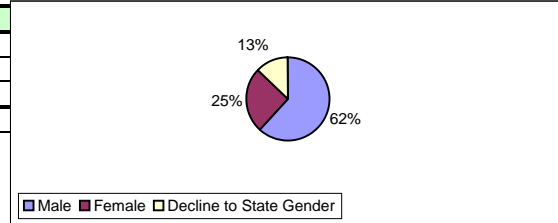


MSRI: Senior Members vs. Junior Members, 1999 - 2009

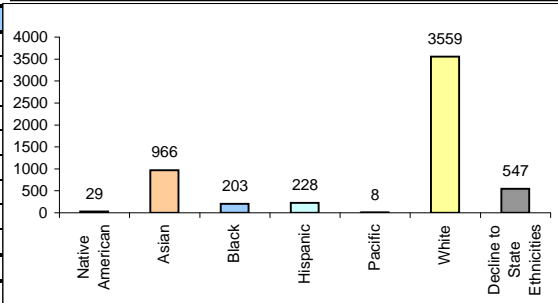
| MSRI: Senior Members vs. Junior Members, 1999 - 2009 | | | | | | | | | | | |
|---|-----------------------|-------------------------------|--------------------------------|--------------|--|-------------------------------------|--|--------------|-----------------------|------------|---------------|
| | SENIOR | | | | JUNIOR | | | | % Distribution | | |
| Years | Totals Members | Senior (RP,Organizers) | GM (Phd. Over 10 Years) | Total | Junior (Post Docs, Graduate Students) | GM (Phd. Between 5-10 Years) | | Total | Senior | % | Junior |
| 1999-00 | 361 | 41 | 140 | 181 | 61 | 119 | | 180 | 50% | vs. | 50% |
| 2000-01 | 272 | 66 | 87 | 153 | 56 | 63 | | 119 | 56% | vs. | 44% |
| 2001-02 | 353 | 79 | 114 | 193 | 57 | 103 | | 160 | 55% | vs. | 45% |
| 2002-03 | 311 | 64 | 93 | 157 | 58 | 96 | | 154 | 50% | vs. | 50% |
| 2003-04 | 232 | 63 | 76 | 139 | 36 | 57 | | 93 | 60% | vs. | 40% |
| 99-04 Total | 1529 | 313 | 510 | 823 | 268 | 438 | | 706 | 54% | | 46% |
| 2004-05 | 140 | 39 | 38 | 77 | 40 | 17 | | 57 | 57% | vs. | 43% |
| 2005-06 | 231 | 60 | 65 | 125 | 63 | 39 | | 102 | 55% | vs. | 45% |
| 2006-07 | 168 | 52 | 39 | 91 | 52 | 23 | | 75 | 55% | vs. | 45% |
| 2007-08 | 266 | 43 | 94 | 137 | 91 | 33 | | 124 | 52% | vs. | 48% |
| 2008-09 | 293 | 67 | 84 | 151 | 95 | 47 | | 142 | 52% | vs. | 48% |
| 05-09 Total | 1098 | 261 | 320 | 581 | 341 | 159 | | 500 | 54% | vs. | 46% |

All Workshops Demographic Summary 2004-2009 (Visits)

| Gender | No. | %* | % |
|----------------------------|-------------|----------------|---------------|
| Male | 5308 | 70.75% | 61.7% |
| Female | 2194 | 29.25% | 25.5% |
| Decline to State Gender | 1105 | | 12.8% |
| No. of Participants | 8607 | 100.00% | 100.0% |

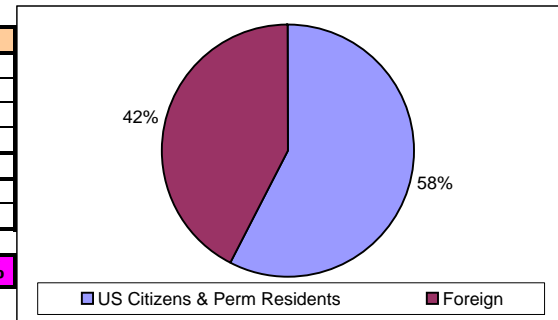


| Ethnicities | No. | %* | % |
|----------------------------------|-------------|----------------|---------------|
| Native American | 29 | 0.59% | 0.3% |
| Asian | 966 | 19.34% | 11.2% |
| Black | 203 | 4.07% | 2.4% |
| Hispanic | 228 | 4.57% | 2.7% |
| Pacific | 8 | 0.16% | 0.1% |
| White | 3559 | 71.27% | 41.3% |
| Decline to State Ethnicities | 547 | | 6.4% |
| Unavailable Information | 3067 | | 35.6% |
| Total no. of Participants | 8607 | 100.00% | 100.0% |



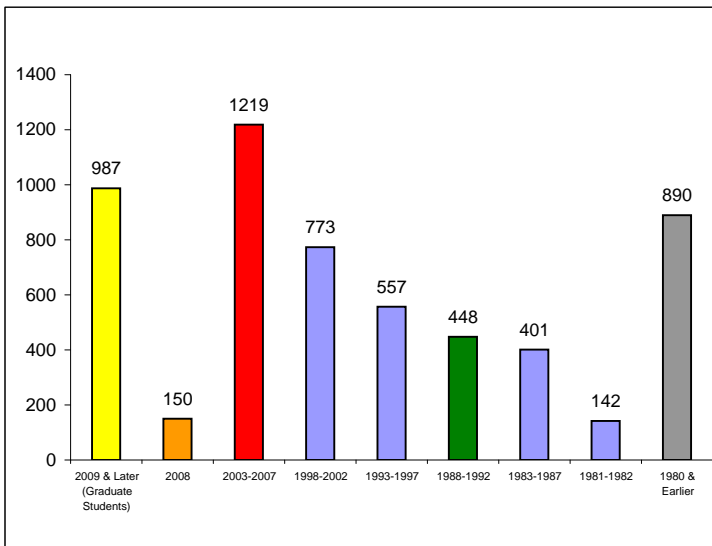
| | | | |
|-------------------|------------|--------------|-------------|
| Minorities | 308 | 9.73% | 3.6% |
|-------------------|------------|--------------|-------------|

| Citizenships | No. | %* | % |
|----------------------------------|-------------|----------------|---------------|
| US Citizens & Perm Residents | 3612 | 57.53% | 42.0% |
| Foreign | 2666 | 42.47% | 31.0% |
| Unavailable Information | 2329 | | 27.1% |
| Total no. of Participants | 8607 | 100.00% | 100.0% |
| US Citizens | 3167 | | 36.8% |
| Perm. Residents | 445 | | 5.2% |



| | | |
|-------------------------|-------------|---------------|
| Home Inst. in US | 5344 | 62.09% |
|-------------------------|-------------|---------------|

| Year of Ph.D | No. | %* | % |
|----------------------------------|-------------|----------------|---------------|
| 2009 & Later (Graduate Students) | 987 | 17.73% | 12.2% |
| 2008 | 150 | 2.69% | 1.9% |
| 2003-2007 | 1219 | 21.90% | 15.0% |
| 1998-2002 | 773 | 13.89% | 9.5% |
| 1993-1997 | 557 | 10.01% | 6.9% |
| 1988-1992 | 448 | 8.05% | 5.5% |
| 1983-1987 | 401 | 7.20% | 4.9% |
| 1981-1982 | 142 | 2.55% | 1.8% |
| 1980 & Earlier | 890 | 15.99% | 11.0% |
| Year Unknown | 210 | | 2.6% |
| Unavailable Info. | 2327 | | 28.7% |
| Total | 8104 | 100.00% | 100.0% |



*Statistic Calculation based on all participants that did not decline.

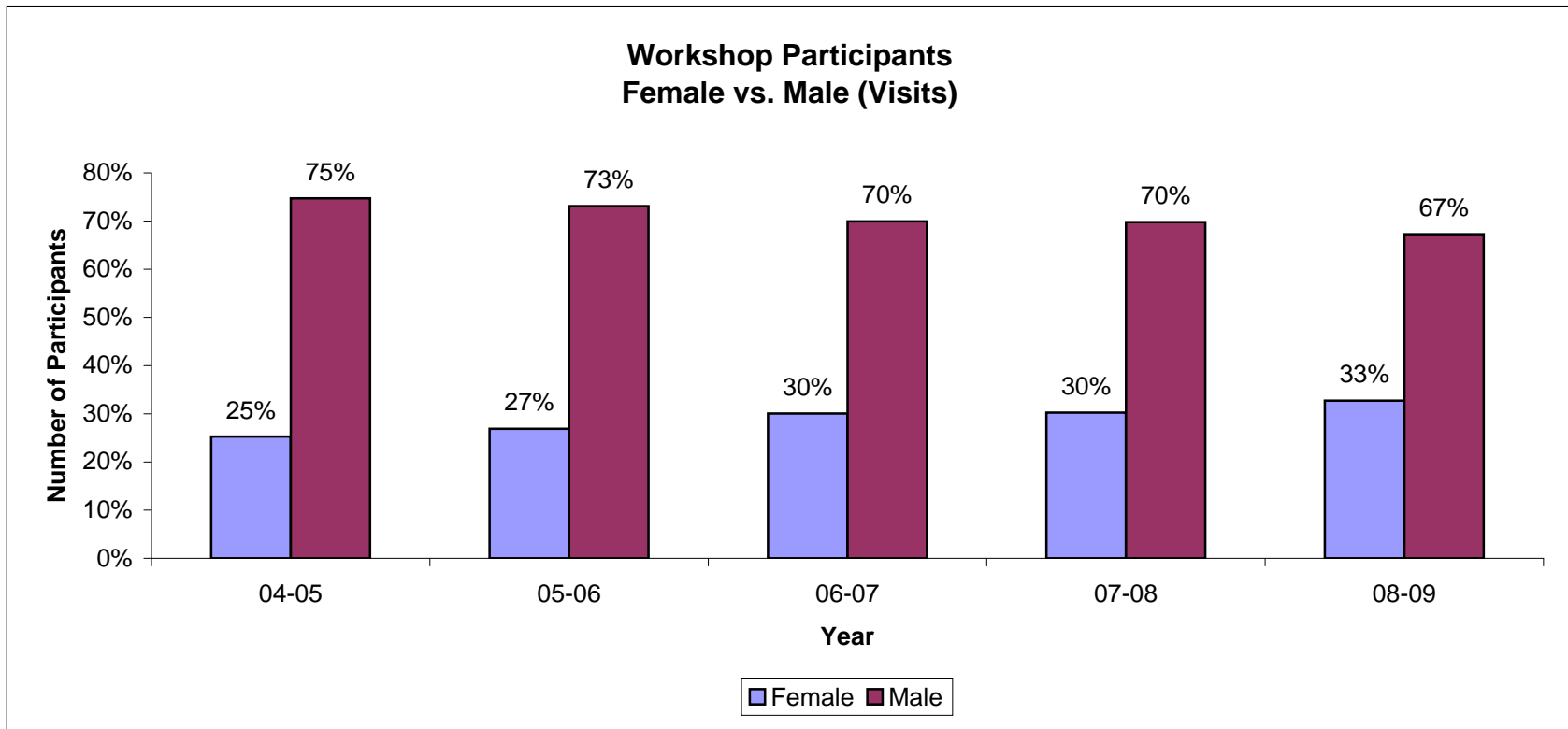
| Workshop Type | | Scientific | |
|-----------------------------|---|-------------------|--------------|
| Year | Activity Title | | Total |
| 05-06 | Analytic Methods for Diophantine Equations | | 23 |
| | Analytical and Stochastic Fluid Dynamics | | 50 |
| | Cohomological Approaches to Rational Points | | 98 |
| | Flavors of Groups | | 32 |
| | Generalized McKay Correspondences and Representation Theory | | 81 |
| | Geometric and Analytical Aspects of Nonlinear Dispersive Equations | | 68 |
| | Introductory Workshop in Nonlinear Dispersive Equations | | 48 |
| | Introductory Workshop in Nonlinear Elliptic Equations and Its Applications | | 70 |
| | Introductory Workshop in Rational and Integral Points on Higher-Dimensional Varieties | | 114 |
| | Mathematical Systems Biology of Cancer | | 87 |
| | Mathematics of Markov Chain Monte Carlo | | 16 |
| | Mathematics of Relaying and Cooperation in Communication Networks | | 112 |
| | Minicourse on Stochastic ODE and connections with nonlinear PDEs | | 24 |
| | MSRI Workshop for Women in Mathematics: An Introduction to Elliptic Partial Differential Equations | | 39 |
| | New Developments in the Geometry and Physics of Gromov-Witten Theory | | 73 |
| | Optimal Mass Transport and its Applications | | 75 |
| | Probability, Geometry and Integrable Systems | | 52 |
| | Recent Results in Nonlinear Elliptic Equations and their Interactions with Geometry | | 70 |
| | Stringy Topology in Morelia | | 98 |
| 05-06 Total (Visits) | | | 1230 |
| 06-07 | Advances in Algebra and Geometry | | 124 |
| | An Introduction to Multiscale Methods | | 34 |
| | Analytic and Computational Aspects of Elliptic and Parabolic Equations | | 25 |
| | CMI/MSRI Hot Topics Workshop: Modularity for $GL(2)$ and Beyond | | 87 |
| | Computing in Statistics | | 26 |
| | Connections for Women: Computational Applications of Algebraic Topology | | 18 |
| | Connections for Women: Dynamical Systems | | 45 |
| | Connections for Women: Geometric Analysis and Nonlinear Partial Differential Equations | | 30 |
| | Geometric Evolution Equations | | 48 |
| | Hot Topics: Minimal and Canonical Models in Algebraic Geometry | | 42 |
| | Interactive Parallel Computation in Support of Research in Algebra, Geometry and Number Theory | | 61 |
| | Introductory Workshop on Computational Application of Algebraic Topology | | 62 |
| | Introductory Workshop on Dynamical Systems with Emphasis on Extended Systems | | 80 |
| | Introductory Workshop on Geometric Flows and Function Theory in Real and Complex Geometry | | 39 |
| | Lectures on String(y) Topology | | 4 |
| | Mathematical Issues in Stochastic Approaches for Multiscale Modeling | | 38 |
| | Mathematics of Visual Analysis | | 38 |
| | MSRI Symposium on Climate Change: From Global Models to Local Action | | 77 |
| | Recent Developments in Arrangements and Configuration Spaces | | 37 |
| | Recent Developments in Numerical Methods and Algorithms for Geometric Evolution Equations | | 15 |
| | Stochastic Dynamical Systems and Control | | 36 |
| | Workshop on Topological Methods in Combinatorics, Computational Geometry, and the Study of Algorithms | | 46 |
| | Workshop on Application of Topology in Science and Engineering | | 50 |
| | World Congress on Computational Finance: The First Decade | | 186 |
| 06-07 Total (Visits) | | | 1248 |
| 07-08 | CMI/MSRI Workshop: Modular Forms and Arithmetic | | 68 |
| | Computation and Complex Systems | | 62 |
| | Connections for Women: Geometric Group Theory | | 57 |
| | Connections for Women: Introduction to the Spring, 2008 programs | | 47 |
| | Connections for Women: Teichmuller Theory and Kleinian Groups | | 48 |
| | Exterior Differential Systems and the Method of Equivalence | | 48 |
| | Homological Methods in Representation Theory | | 81 |
| | Hot Topics: Contact structures, dynamics and the Seiberg-Witten equations in dimension 3 | | 53 |
| | Introduction to Geometric Group Theory | | 117 |
| | Introduction to Teichmuller Theory and Kleinian Groups | | 96 |
| | Introductory Workshop on Combinatorial Representation Theory | | 134 |
| | Introductory Workshop on the Representation Theory of Finite Groups | | 95 |
| | Lie Theory | | 150 |
| | Mathematical Systems Biology of Cancer II | | 60 |
| | MSRI Summer Microprogram on Nonlinear Partial Differential Equations | | 47 |
| | Topics in Combinatorial Representation Theory | | 129 |
| | Topics in Geometric Group Theory | | 145 |
| | Topics in Teichmuller Theory and Kleinian Groups | | 90 |
| 07-08 Total (Visits) | | | 1527 |
| 08-09 | Algebraic Statistics | | 64 |
| | Broader Connections: Analysis on Singular Spaces | | 36 |
| | Broader Connections: Ergodic Theory and Additive Combinatorics | | 53 |

| | | |
|-----------------------------|---|-------------|
| 08-09 | Classical Algebraic Geometry Today | 166 |
| | CMI/MSRI Workshop: Modular Forms and Arithmetic | 74 |
| | Combinatorial, Enumerative and Toric Geometry | 175 |
| | Connections for Women: Algebraic Geometry and Related Fields | 82 |
| | Discrete Rigidity Phenomena in Additive Combinatorics | 64 |
| | Economic Games and Mechanisms to Address Climate Change | 56 |
| | Elliptic and Hyperbolic Equations on Singular Spaces | 72 |
| | International Conference on Cluster Algebras and Related Topics | 15 |
| | Introduction to Ergodic Theory and Additive Combinatorics | 60 |
| | Introductory Workshop on Analysis on Singular Spaces | 52 |
| | Low Dimensional Topology | 155 |
| | Macaulay 2 day | 12 |
| | Mathematical Genomics | 63 |
| | Modern Moduli Theory | 156 |
| | Modern Perspectives in Applied Mathematics | 16 |
| | Sage Days: Algebraic Geometry | 33 |
| | Topology of Stratified Spaces | 45 |
| | Western Algebraic Geometry Seminar | 19 |
| 08-09 Total (Visits) | | 1468 |
| Grand Total (Visits) | | 5473 |

| Workshop Type | Outreach & Diversity | |
|-----------------------------|--|--------------|
| Year | Activity Title | Total |
| 05-06 | Morehouse College/Spelman College/MSRI Workshop on Modern Mathematics: An Introduction to 2006-07 Programs at MSRI | 56 |
| | Raising the floor: Progress and setbacks in the struggle for quality mathematics education for all | 137 |
| | Women in Mathematics: The Legacy of Ladyzhenskaya and Oleinik | 73 |
| 05-06 Total (Visits) | | 266 |
| 06-07 | Critical Issues in Education: Teaching Teachers Mathematics | 187 |
| | Modern Mathematics: An Introduction to 2007-08 Programs at MSRI | 42 |
| | MSRI-UP: 2007 Undergraduate Program | 16 |
| 06-07 Total (Visits) | | 245 |
| 07-08 | Critical Issues in Education Workshop: Teaching and Learning Algebra | 131 |
| | Math Fest | 12 |
| | Modern Mathematics: An Introduction to MSRI's 2008-09 Programs | 45 |
| | MSRI's 25th Anniversary Celebration | 153 |
| | MSRI-UP 2008 research topic: Experimental Mathematics | 20 |
| 07-08 Total (Visits) | | 361 |
| 08-09 | Bay Area Circle for Teachers | 29 |
| | Great Circles 2009 | 97 |
| | Math Institutes Modern Mathematics Workshop | 49 |
| | Promoting Diversity at the Graduate Level in Mathematics: a National Forum | 111 |
| | Teaching Undergraduates Mathematics | 98 |
| | Using Partnerships to Strengthen Elementary Mathematics Teacher Education | 61 |
| 08-09 Total (Visits) | | 445 |
| Grand Total (Visits) | | 1317 |

Workshop Participants 04-09 Male vs. Female (Visits)

| Year | Female | % | Male | % | Total |
|--------------|-------------|------------|-------------|------------|-------------|
| 04-05 | 384 | 25% | 1135 | 75% | 1519 |
| 05-06 | 350 | 27% | 952 | 73% | 1302 |
| 06-07 | 366 | 30% | 852 | 70% | 1218 |
| 07-08 | 477 | 30% | 1101 | 70% | 1578 |
| 08-09 | 617 | 33% | 1268 | 67% | 1885 |
| Total | 2194 | 29% | 5308 | 71% | 7502 |



MSRI Workshops (Visits)

2004 - 2009

Ethnicity

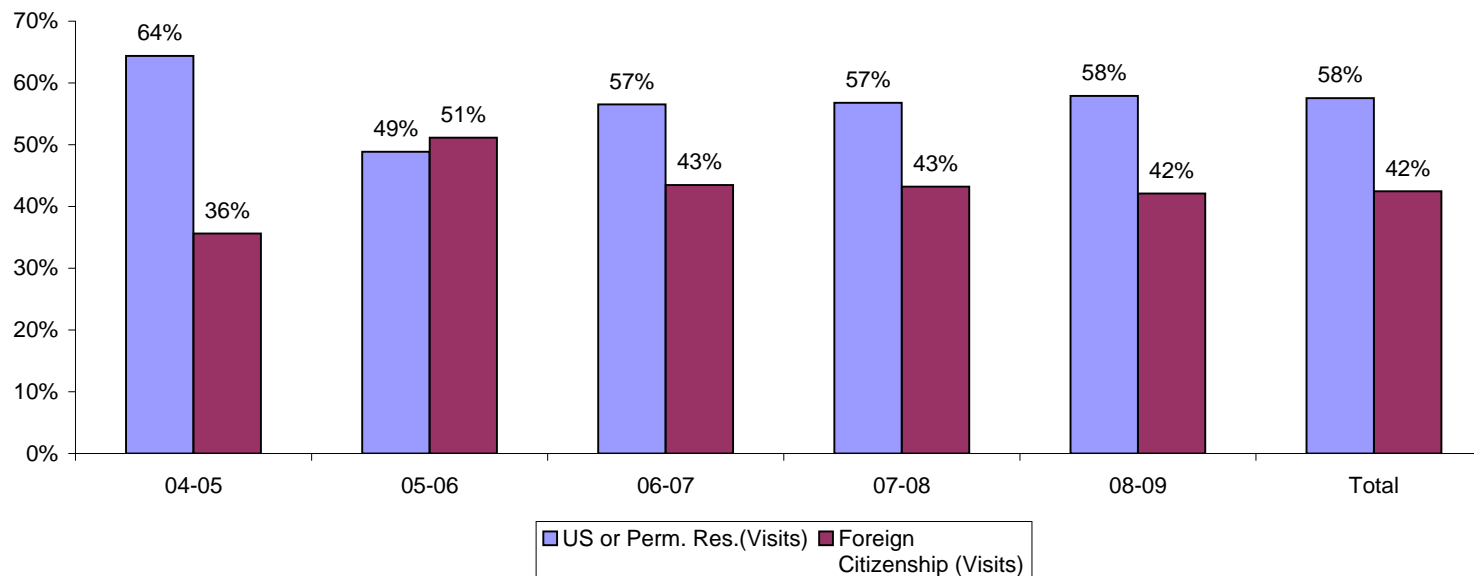
| Ethnicity | 2004-05 | % | 2005-06 | % | 2006-07 | % | 2007-08 | % | 2008-09 | % | Total | % |
|--------------------------------|----------------|----------|----------------|----------|----------------|----------|----------------|----------|----------------|----------|--------------|----------|
| Native American | 9 | 1% | 4 | 1% | 4 | 1% | 6 | 1% | 6 | 0% | 29 | 1% |
| Asian | 170 | 18% | 203 | 26% | 144 | 20% | 158 | 15% | 292 | 20% | 966 | 19% |
| Black | 48 | 5% | 58 | 7% | 27 | 4% | 19 | 2% | 52 | 3% | 203 | 4% |
| Hispanic | 31 | 3% | 32 | 4% | 27 | 4% | 64 | 6% | 75 | 5% | 228 | 5% |
| Pacific | 2 | 0% | 1 | 0% | 4 | 0% | 1 | 0% | 1 | 0% | 8 | 0% |
| White | 701 | 73% | 498 | 63% | 520 | 72% | 774 | 76% | 1067 | 71% | 3559 | 71% |
| Decline | 148 | | 77 | | 67 | | 118 | | 137 | | 547 | |
| Unavailable information | 708 | | 625 | | 702 | | 749 | | 283 | | 3067 | |
| Grand Total (Visits) | 1817 | | 1496 | | 1493 | | 1888 | | 1913 | | 8607 | |

| Minorities | 2004-05 | % | 2005-06 | % | 2006-07 | % | 2007-08 | % | 2008-09 | % | Total | % |
|--|----------------|----------|----------------|----------|----------------|----------|----------------|----------|----------------|----------|--------------|----------|
| US Citizens (Visits) | 818 | | 414 | | 395 | | 695 | | 845 | | 3167 | |
| Minorities Among US Citizens (Visits) | 75 | 9% | 48 | 12% | 30 | 8% | 67 | 10% | 88 | 10% | 308 | 10% |

MSRI Workshop (Visits) 2004-2009 Citizenship

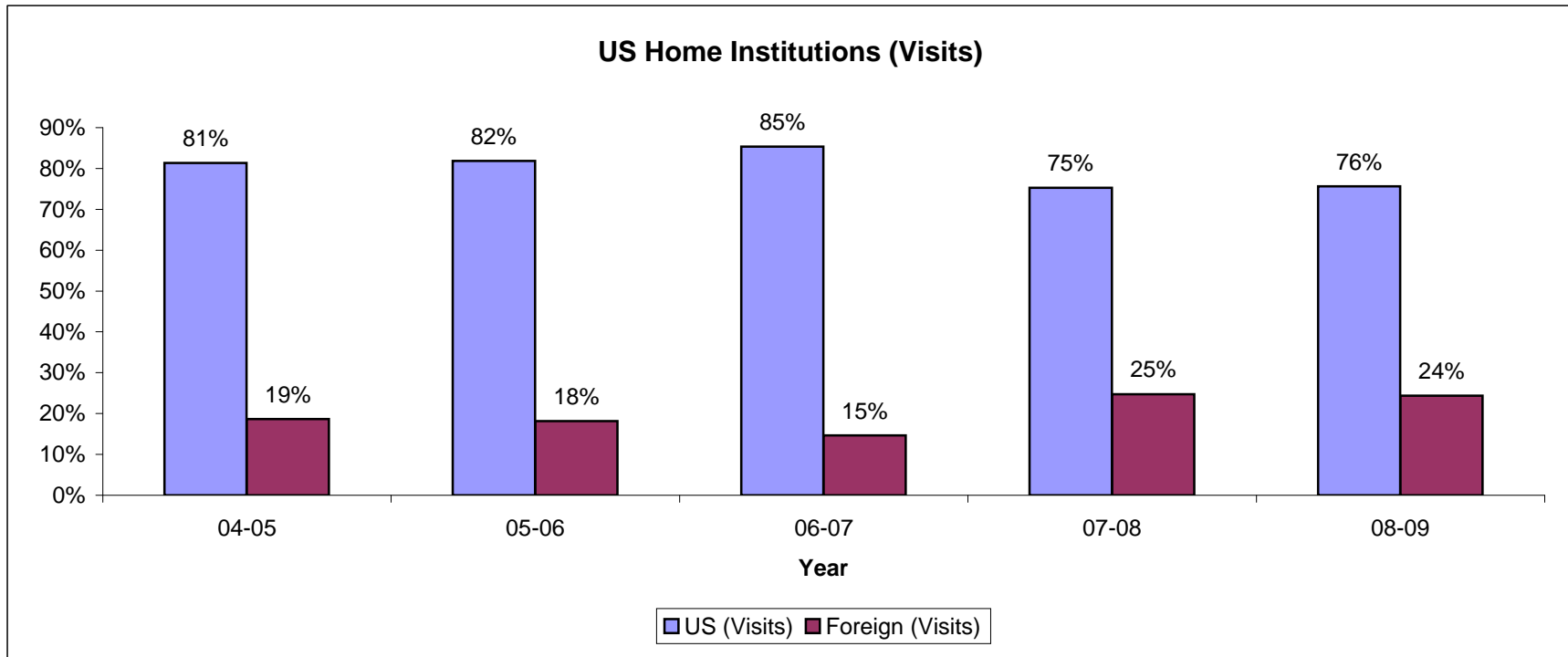
| | 04-05 | % | 05-06 | % | 06-07 | % | 07-08 | % | 08-09 | % | Total | % |
|-------------------------------------|-------------|-----|-------------|-----|-------------|-----|-------------|-----|-------------|-----|-------------|-----|
| US Citizens (Visits) | 818 | | 414 | | 395 | | 695 | | 845 | | 3167 | |
| Perm. Res. (Visits) | 147 | | 96 | | 47 | | 62 | | 93 | | 445 | |
| US or Perm. Res.(Visits) | 965 | 64% | 510 | 49% | 442 | 57% | 757 | 57% | 938 | 58% | 3612 | 58% |
| Foreign Citizenship (Visits) | 534 | 36% | 534 | 51% | 340 | 43% | 576 | 43% | 682 | 42% | 2666 | 42% |
| Unavailable Information | 318 | | 452 | | 711 | | 555 | | 293 | | 2329 | |
| Total (Visits) | 1817 | | 1496 | | 1493 | | 1888 | | 1913 | | 8607 | |

US Citizenship vs Foreign Citizenship (Visits)



US Home institutions 2004-2009 (Visits)

| | 04-05 | % | 05-06 | % | 06-07 | % | 07-08 | % | 08-09 | % |
|-----------------------|-------------|-----|-------------|-----|-------------|-----|-------------|-----|-------------|-----|
| US (Visits) | 523 | 81% | 1076 | 82% | 957 | 85% | 1405 | 75% | 1383 | 76% |
| Foreign (Visits) | 120 | 19% | 239 | 18% | 164 | 15% | 461 | 25% | 446 | 24% |
| N/A | 1174 | | 181 | | 372 | | 22 | | 84 | |
| Total (Visits) | 1817 | | 1496 | | 1493 | | 1888 | | 1913 | |



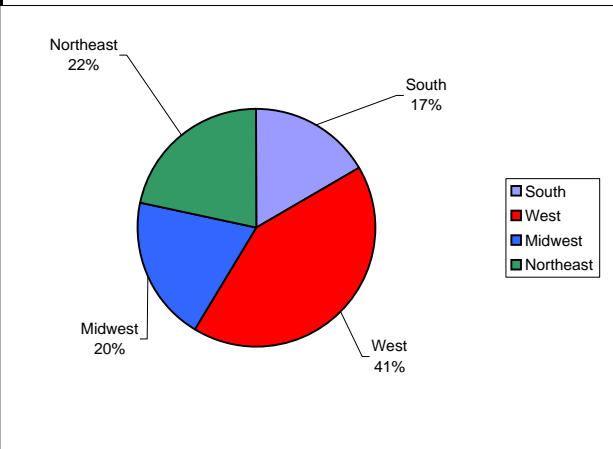
Home Institutions Classified by Region & Country (Visits)

| Region | Area | Country | 04-05 | 05-06 | 06-07 | 07-08 | 08-09 | Grand Total (Visits) | |
|--------------------------------|---------------------------------|---------|-------------|-------------|-------------|-------------|-------------|----------------------|------|
| Americas | Central America | MX | | 11 | 2 | 6 | | 19 | |
| | Central America Total | | | 11 | 2 | 6 | | 19 | |
| | North America | CA | 31 | 30 | 32 | 46 | 50 | 189 | |
| | | US | 523 | 1076 | 957 | 1405 | 1383 | 5344 | |
| | North America Total | | | 554 | 1106 | 989 | 1451 | 1433 | 5533 |
| | South America | AR | | | | 1 | 2 | 3 | |
| | | BR | | 1 | 1 | 7 | 5 | 14 | |
| | | CL | | | | | 4 | 4 | |
| | | CO | | 1 | 1 | | | 2 | |
| | | UY | | | | 5 | | 5 | |
| VE | | | | | 1 | 1 | | | |
| South America Total | | | 2 | 2 | 14 | 11 | 29 | | |
| Americas Total (Visits) | | | 554 | 1119 | 993 | 1471 | 1444 | 5581 | |
| Europe | Eastern Europe | BG | 1 | | | | 1 | 2 | |
| | | BY | | | | 1 | 1 | 2 | |
| | | CZ | | | | | 3 | 3 | |
| | | HU | | | | | 4 | 4 | |
| | | PL | | | 1 | 5 | 3 | 9 | |
| | | RO | | | | 3 | 4 | 7 | |
| | | RU | 2 | 2 | 1 | 1 | | 6 | |
| | | SK | | 1 | | | | 1 | |
| | Eastern Europe Total | | | 3 | 3 | 2 | 10 | 16 | 34 |
| | Northern Europe | DK | | 1 | 3 | 6 | | 10 | |
| | | FI | 3 | 1 | | | 2 | 6 | |
| | | GB | 14 | 24 | 42 | 83 | 74 | 237 | |
| | | IE | | | 3 | 6 | | 9 | |
| | | NO | | | 1 | 1 | 6 | 8 | |
| | | SE | 3 | 1 | 1 | 1 | 7 | 13 | |
| | Northern Europe Total | | | 20 | 27 | 50 | 97 | 89 | 283 |
| | Southern Europe | AL | | | | | 4 | 4 | |
| | | CS | | | 1 | | | 1 | |
| | | ES | | 2 | 2 | 16 | 16 | 36 | |
| | | GR | 1 | 1 | | 5 | | 7 | |
| | | IT | 4 | 20 | 2 | 14 | 46 | 86 | |
| | | PT | | 5 | 6 | 3 | 3 | 17 | |
| | Southern Europe Total | | | 5 | 28 | 11 | 38 | 69 | 151 |
| | Western Europe | AT | | 3 | | 3 | 2 | 8 | |
| BE | | | 1 | 1 | 1 | | 3 | | |
| CH | | 1 | 9 | 3 | 18 | 5 | 36 | | |
| DE | | 8 | 16 | 7 | 53 | 67 | 151 | | |
| FR | | 10 | 32 | 3 | 62 | 46 | 153 | | |
| LU | | | | | | 1 | 1 | | |
| NL | | 2 | 1 | 4 | | | 7 | | |
| Western Europe Total | | | 21 | 62 | 18 | 137 | 122 | 360 | |
| Europe Total (Visits) | | | 49 | 120 | 81 | 282 | 296 | 828 | |
| Asia | Eastern Asia | CN | 3 | 5 | 2 | 3 | 3 | 16 | |
| | | HK | | 1 | | | | 1 | |
| | | JP | 14 | 28 | 23 | 41 | 30 | 136 | |
| | | KR | 4 | 2 | 3 | 27 | 14 | 50 | |
| | | TW | | 7 | 13 | 2 | 1 | 23 | |
| | Eastern Asia Total | | | 21 | 43 | 41 | 73 | 48 | 226 |
| | South-central Asia | IN | | 7 | 2 | 5 | 8 | 22 | |
| | | IR | | | | | 2 | 2 | |
| | | UZ | 1 | | | | | 1 | |
| | South-central Asia Total | | | 1 | 7 | 2 | 5 | 10 | 25 |
| | South-eastern Asia | PH | | | | | 3 | 3 | |
| | | SG | 1 | 1 | 1 | 2 | | 5 | |
| | South-eastern Asia Total | | | 1 | 1 | 1 | 2 | 3 | 8 |
| Western Asia | IL | 11 | 2 | 2 | 11 | 14 | 40 | | |
| | SA | | | | 3 | | 3 | | |
| | TR | | | | 4 | 7 | 11 | | |
| Western Asia Total | | | 11 | 2 | 2 | 18 | 21 | 54 | |
| Asia Total (Visits) | | | 34 | 53 | 46 | 98 | 82 | 313 | |
| Africa | Eastern Africa | UG | | | | | 1 | 1 | |
| | Eastern Africa Total | | | | | | 1 | 1 | |
| | Southern Africa | ZA | | | | | 4 | 4 | |
| | Southern Africa Total | | | | | | 4 | 4 | |
| | Western Africa | NG | | | | | 1 | 1 | |
| Western Africa Total | | | | | | 1 | 1 | | |
| Africa Total (Visits) | | | | | | | 6 | 6 | |
| Oceania | Australia and New Zealand | AU | 4 | 21 | 1 | 14 | 1 | 41 | |
| | | NZ | 2 | 2 | | 1 | | 5 | |
| | Australia and New Zealand Total | | | 6 | 23 | 1 | 15 | 1 | 46 |
| Oceania Total (Visits) | | | 6 | 23 | 1 | 15 | 1 | 46 | |
| N/A | N/A | N/A | 1174 | 181 | 372 | 22 | 84 | 1833 | |
| | N/A Total | | | 1174 | 181 | 372 | 22 | 84 | 1833 |
| N/A Total (Visits) | | | 1174 | 181 | 372 | 22 | 84 | 1833 | |
| Grand Total (Visits) | | | 1817 | 1496 | 1493 | 1888 | 1913 | 8607 | |

US Home Institutions Classified by States (Visits)

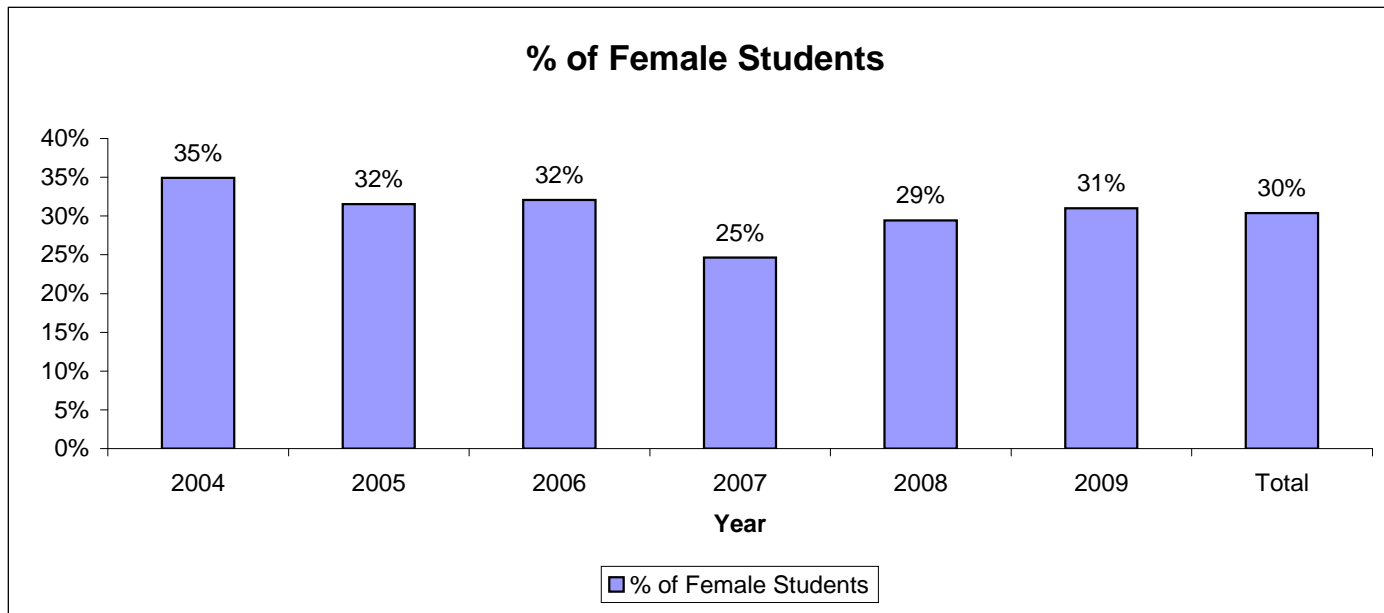
*Regions based on US Census classification

| State | # | % | US Pop. (2007 Census) |
|-----------------------|--------------|---------------|-----------------------|
| South | 888 | 16.8% | 36.6% |
| AL | - | 0.0% | 1.5% |
| AR | - | 0.0% | 0.9% |
| DE | 3 | 0.1% | 0.3% |
| DC | 20 | 0.4% | 0.2% |
| FL | 87 | 1.6% | 6.1% |
| GA | 137 | 2.6% | 3.2% |
| KY | 16 | 0.3% | 1.4% |
| LA | 68 | 1.3% | 1.4% |
| MD | 83 | 1.6% | 1.9% |
| MS | 14 | 0.3% | 1.0% |
| NC | 117 | 2.2% | 3.0% |
| OK | 29 | 0.5% | 1.2% |
| SC | 11 | 0.2% | 1.5% |
| TN | 31 | 0.6% | 2.0% |
| TX | 187 | 3.5% | 7.9% |
| VA | 82 | 1.6% | 2.6% |
| WV | 3 | 0.1% | 0.6% |
| West | 2,210 | 41.8% | 23.2% |
| AK | - | 0.0% | 0.2% |
| AZ | 68 | 1.3% | 2.1% |
| CA | 1,761 | 33.3% | 0.4% |
| CO | 63 | 1.2% | 0.5% |
| HI | 11 | 0.2% | 0.3% |
| ID | 6 | 0.1% | 12.1% |
| MT | 2 | 0.0% | 1.6% |
| NV | 8 | 0.2% | 0.9% |
| NM | 25 | 0.5% | 0.7% |
| OR | 54 | 1.0% | 1.2% |
| UT | 103 | 1.9% | 0.9% |
| WA | 108 | 2.0% | 2.1% |
| WY | 1 | 0.0% | 0.2% |
| Midwest | 1,046 | 19.8% | 22.0% |
| IL | 325 | 6.1% | 4.3% |
| IN | 81 | 1.5% | 2.1% |
| IA | 47 | 0.9% | 1.0% |
| KS | 37 | 0.7% | 0.9% |
| MI | 219 | 4.1% | 3.3% |
| MN | 62 | 1.2% | 1.7% |
| MO | 45 | 0.9% | 1.9% |
| ND | 5 | 0.1% | 0.2% |
| NE | 35 | 0.7% | 0.6% |
| OH | 86 | 1.6% | 3.8% |
| SD | - | 0.0% | 0.3% |
| WI | 104 | 2.0% | 1.9% |
| Northeast | 1,141 | 21.6% | 18.1% |
| CT | 53 | 1.0% | 1.2% |
| ME | 9 | 0.2% | 0.4% |
| MA | 331 | 6.3% | 2.1% |
| NH | 14 | 0.3% | 0.4% |
| NJ | 182 | 3.4% | 2.9% |
| NY | 367 | 6.9% | 6.4% |
| PA | 107 | 2.0% | 4.1% |
| RI | 72 | 1.4% | 0.4% |
| VT | 6 | 0.1% | 0.2% |
| Other | - | 0.0% | 0% |
| PR | - | 0.0% | 0% |
| Other | - | 0.0% | 0% |
| Total (Visits) | 5,285 | 100.0% | 100.0% |



MSRI Summer Graduate Workshops 2004 - 2009 Female Participants Summary

| Students | | | |
|--------------|------------|------------|------------|
| | Female | Total | % |
| 2004 | 22 | 63 | 35% |
| 2005 | 81 | 257 | 32% |
| 2006 | 43 | 134 | 32% |
| 2007 | 33 | 134 | 25% |
| 2008 | 48 | 163 | 29% |
| 2009 | 49 | 158 | 31% |
| Total | 276 | 909 | 30% |



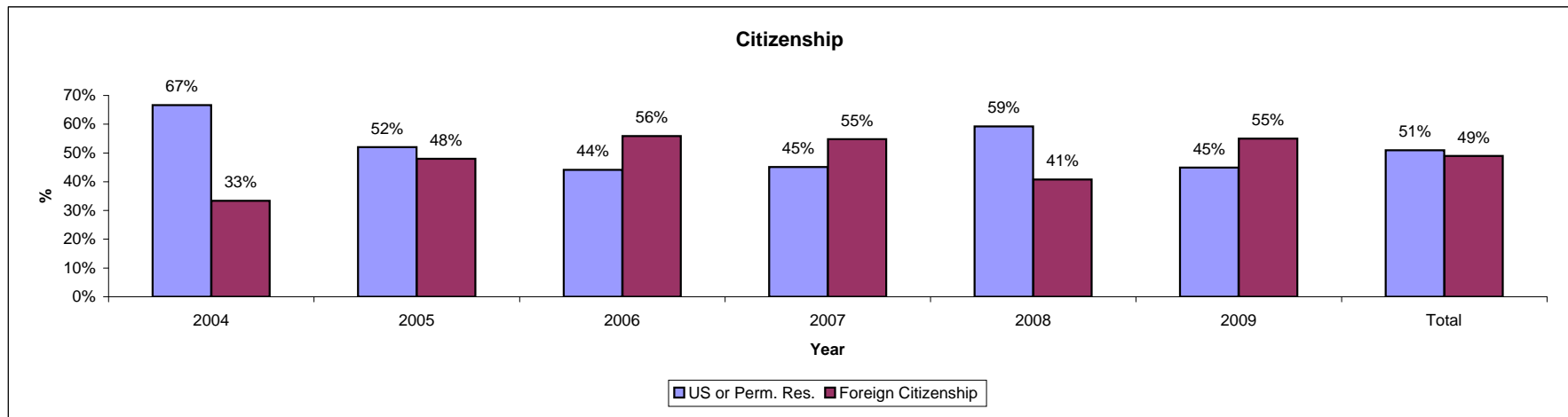
MSRI Summer Graduate Workshops 2004 - 2009 Ethnicity

| All Participants | 2004 | | 2005 | | 2006 | | 2007 | | 2008 | | 2009 | | Total | |
|-------------------------|-----------|-----|------------|-----|------------|-----|------------|-----|------------|-----|------------|-----|------------|-----|
| Native American | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| Asian | 4 | 9% | 34 | 41% | 21 | 33% | 3 | 18% | 27 | 31% | 45 | 32% | 134 | 31% |
| Black | 3 | 7% | 3 | 4% | 2 | 3% | 1 | 6% | 5 | 6% | 2 | 1% | 16 | 4% |
| Hispanic | 3 | 7% | | 0% | 1 | 2% | 0 | 0% | 5 | 6% | 7 | 5% | 16 | 4% |
| Pacific | | 0% | 1 | 1% | | 0% | | 0% | 1 | 1% | 0 | 0% | 2 | 0% |
| White | 34 | 77% | 44 | 54% | 39 | 62% | 13 | 76% | 48 | 56% | 88 | 62% | 266 | 61% |
| Decline | 8 | | 12 | | 6 | | 4 | | 5 | | 7 | | 42 | |
| Unavailable information | 11 | | 163 | | 65 | | 113 | | 67 | | 14 | | 433 | |
| Grand Total | 63 | | 257 | | 134 | | 134 | | 158 | | 163 | | 909 | |

| | 2004 | | 2005 | | 2006 | | 2007 | | 2008 | | 2009 | | Total | |
|-------------------------------------|------|-----|------|----|------|-----|------|----|------|-----|------|----|-------|----|
| Minorities Among US Citizens | 5 | 12% | 4 | 5% | 3 | 21% | 1 | 7% | 7 | 16% | 2 | 4% | 22 | 8% |

MSRI Summer Graduate Workshops 2004 - 2009 Citizenship

| | 2004 | % | 2005 | % | 2006 | % | 2007 | % | 2008 | % | 2009 | % | Total | % |
|-------------------------|------|-----|------|-----|------|-----|------|-----|------|-----|------|-----|-------|-----|
| USA | 42 | | 78 | | 49 | | 14 | | 45 | | 66 | | 294 | |
| US Permanent Resident | 0 | | 11 | | 0 | | 0 | | 3 | | 1 | | 15 | |
| US or Perm. Res. | 42 | 67% | 89 | 52% | 49 | 44% | 14 | 45% | 48 | 59% | 67 | 45% | 309 | 51% |
| Foreign Citizenship | 21 | 33% | 82 | 48% | 62 | 56% | 17 | 55% | 33 | 41% | 82 | 55% | 297 | 49% |
| Unavailable information | 0 | | 86 | | 23 | | 103 | | 77 | | 14 | | 303 | |
| Total | 63 | | 257 | | 134 | | 134 | | 158 | | 163 | | 909 | |



MSRI Summer Graduate Workshops 2004 - 2009

Participants' Home Institution Group Demographics

| | 2004 | 2005 | 2006 | 2007 | 2008 | 2009 | Grand Total | % | Academic Sponsor | % |
|--------------------|-----------|------------|------------|------------|------------|------------|-------------|-------------|------------------|------------|
| Foreign | 11 | 54 | 20 | 21 | 15 | 18 | 139 | 15% | 63 | 45% |
| Group I Private | 11 | 53 | 23 | 36 | 35 | 29 | 187 | 21% | 175 | 94% |
| Group I Public | 23 | 77 | 46 | 36 | 48 | 44 | 274 | 30% | 267 | 97% |
| Group II | 13 | 44 | 30 | 27 | 31 | 43 | 188 | 21% | 164 | 87% |
| Group III | 2 | 17 | 6 | 11 | 17 | 24 | 77 | 8% | 57 | 74% |
| Group IV | | 1 | 1 | 2 | 1 | 2 | 7 | 1% | 1 | 14% |
| Group M | 2 | 6 | 3 | 1 | 3 | 3 | 18 | 2% | 8 | 44% |
| US Non-Group | 1 | 5 | 5 | | 8 | | 19 | 2% | 3 | 16% |
| Grand Total | 63 | 257 | 134 | 134 | 158 | 163 | 909 | 100% | 738 | 81% |

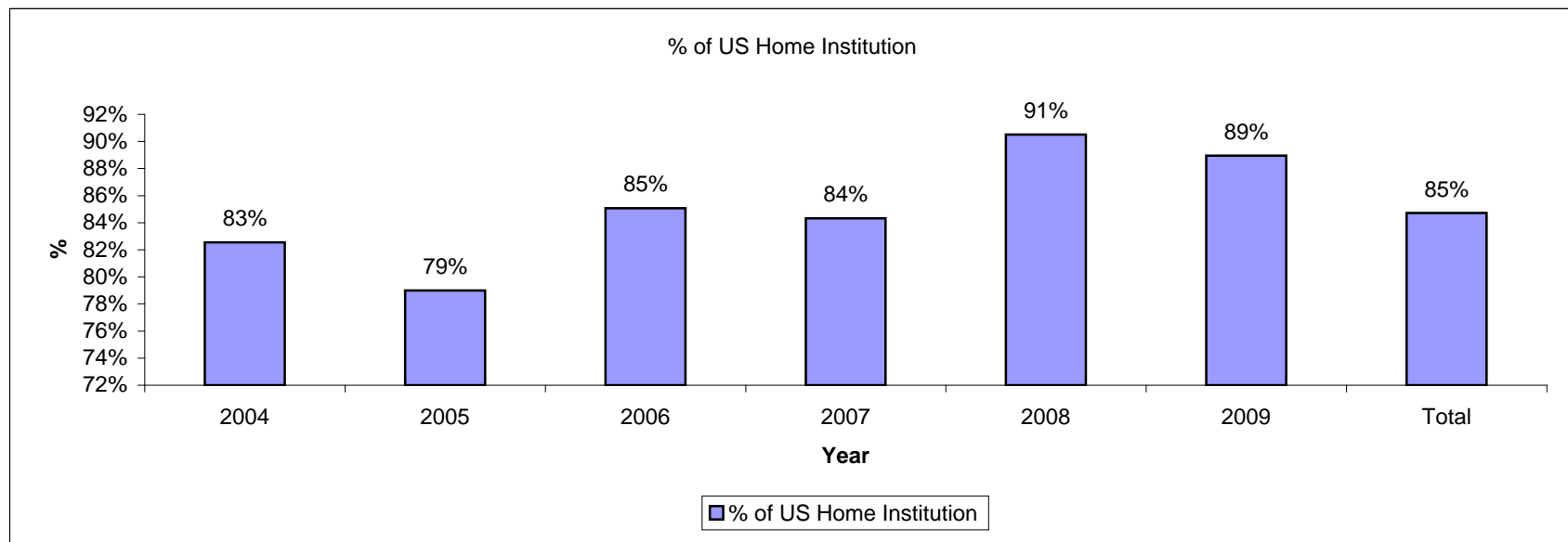
Number of Summer Graduate Workshops

| Year | # of SGW | # of participants |
|--------------|-----------|-------------------|
| 2004 | 3 | 63 |
| 2005 | 6 | 257 |
| 2006 | 5 | 134 |
| 2007 | 5 | 134 |
| 2008 | 4 | 158 |
| 2009 | 6 | 163 |
| Total | 29 | 909 |

MSRI Summer Graduate Workshops 2004 - 2009

Participants' Home Institutions

| | 2004 | 2005 | 2006 | 2007 | 2008 | 2009 | Total |
|---------------------------------|------------|------------|------------|------------|------------|------------|------------|
| US Home Institution | 52 | 203 | 114 | 113 | 143 | 145 | 770 |
| Foreign Home Institution | 11 | 54 | 20 | 21 | 15 | 18 | 139 |
| Total Students | 63 | 257 | 134 | 134 | 158 | 163 | 909 |
| % of US Home Institution | 83% | 79% | 85% | 84% | 91% | 89% | 85% |



Papers worked on by Members 2004-09

| | Appeared/Accepted | Submitted | Distributable | Notes | Rough Drafts | Total |
|--------------|-------------------|------------|---------------|------------|--------------|-------------|
| 2004-05 | 3 | 17 | 13 | 17 | 13 | 63 |
| 2005-06 | 13 | 70 | 65 | 122 | 105 | 375 |
| 2006-07 | 10 | 52 | 53 | 90 | 101 | 306 |
| 2007-08 | 30 | 99 | 85 | 185 | 214 | 613 |
| 2008-09 | 21 | 110 | 96 | 260 | 158 | 645 |
| Total | 77 | 348 | 312 | 674 | 591 | 2002 |

For a complete list of publications 2004-09, please click below

[https://secure.msri.org/9-09nsfsitevisit/files/binder/For Copy Central/II. Participant Data/F. List of Publications 2004-09.pdf](https://secure.msri.org/9-09nsfsitevisit/files/binder/For%20Copy%20Central/II.%20Participant%20Data/F.%20List%20of%20Publications%202004-09.pdf)