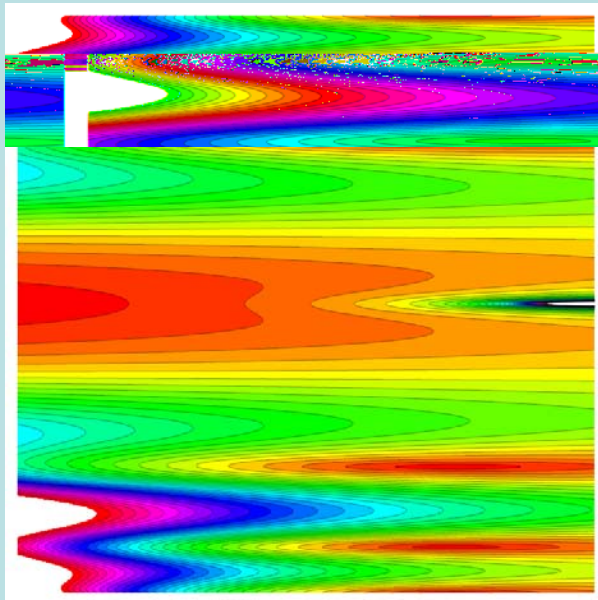


a stroll through the zeta garden

Lecture 1: Riemann, Dedekind, Selberg, and Ihara Zetas

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more details can be
found in

my webpage:

[www.math.ucsd.edu
/~aterras/
newbook.pdf](http://www.math.ucsd.edu/~aterras/newbook.pdf)

**First the Riemann
Zeta**

The Riemann zeta function for $\text{Re}(s) > 1$

$$\zeta = \sum_{s=1}^{\infty} \frac{1}{s} \prod (1 - \frac{1}{s})^{-1}$$

⌘ duality between primes & complex zeros of zeta using Hadamard product over zeros

⌘ prime number theorem

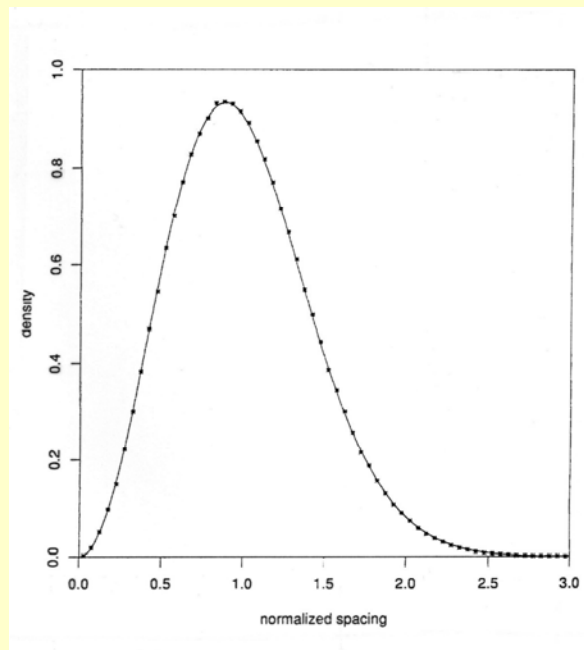
$$\#\{p = \text{prime} \mid p \leq x\} \sim \frac{x}{\log x}, \text{ as } x \rightarrow \infty$$

⌘ statistics of Riemann zero spacings studied by Odlyzko (GUE) proved by Hadamard and de la Vallée Poussin (1896-1900) Their proof requires complex analysis

www.dtc.umn.edu/~odlyzko/doc/zeta.htm

⌘ B. Conrey, The Riemann Hypothesis, Notices, A.M.S., March, 2003

Odlyzko's Comparison of Spacings of 7.8×10^7 Zeros of Zeta at heights $\approx 10^{20}$ & Eigenvalues of Random Hermitian Matrix (GUE).



Many Kinds of Zeta

Dedekind zeta of an algebraic number field F such as $\mathbb{Q}(\sqrt{2})$, where primes become prime ideals \mathfrak{p} and infinite product of terms $(1-N\mathfrak{p}^{-s})^{-1}$, where $N\mathfrak{p}$ = norm of \mathfrak{p} = $\#(O/\mathfrak{p})$, O =ring of integers in F

Functional Equations: $\zeta_K(s)$ related to $\zeta_K(1-s)$

Hecke

Values at 0: $\zeta(0) = -1/2$, $\zeta_K(0) = -hR/w$

h = **class number** (measures how far O_K is from having unique factorization) (=1 for $K=\mathbb{Q}(\sqrt{2})$)

R = **regulator** (determinant of logs of units)
= $\log(1+\sqrt{2})$ when $K=\mathbb{Q}(\sqrt{2})$

w = **number of roots of unity** in K in $\mathbb{Q}(\sqrt{2})$, $w=2$

Statistics of Prime Ideals and Zeros

- ✱ from information on zeros of $\zeta_K(s)$ obtain **prime ideal theorem**

$$\#\{ \mathfrak{p} \text{ prime ideal in } O_K \mid N\mathfrak{p} \leq x \} \sim \frac{x}{\log x}, \text{ as } x \rightarrow \infty$$

- ✱ there are an infinite number of primes such that $\left(\frac{2}{p}\right)=1$.
- ✱ Dirichlet theorem: there are an infinite number of primes p in the progression $a, a+d, a+2d, a+3d, \dots$, when $\text{g.c.d.}(a,d)=1$.
- ✱ **Riemann hypothesis still open:**
GRH or ERH: $\zeta_K(s)=0$ implies $\text{Re}(s)=1/2$,
assuming s is not real.

Selberg zeta associated to a compact Riemannian manifold $M = \Gamma \backslash \mathbb{H}$, \mathbb{H} = upper half plane with $ds^2 = (dx^2 + dy^2)y^{-2}$
 Γ = discrete subgroup of group of real fractional linear transformations
 primes = primitive closed geodesics C in M of length $\nu(C)$, (primitive means only go around once)

$$Z(s) = \prod_{[C]} \prod_{j \geq 0} (1 - e^{-(s+j)\nu(C)})$$

Duality between spectrum Δ on M & lengths closed geodesics in M
 $Z(s+1)/Z(s)$ is more like Riemann zeta

Realize M as quotient of **upper half plane**
 $\mathbb{H} = \{x+iy \mid x, y \in \mathbb{R}, y > 0\}$.

Non-Euclidean distance: $ds^2 = y^{-2}(dx^2 + dy^2)$
 ds is invariant under

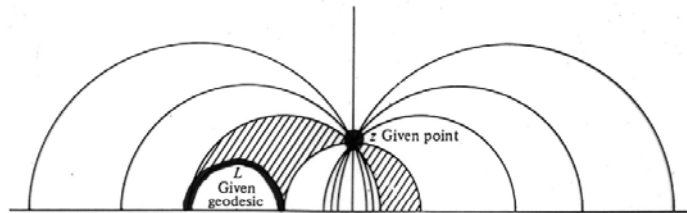
$z \rightarrow (az+b)/(cz+d)$,
 for a, b, c, d real and $ad - bc = 1$. **PSL(2, \mathbb{R})**.

Corresponding **Laplacian** $\Delta = y^2 \left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right)$.

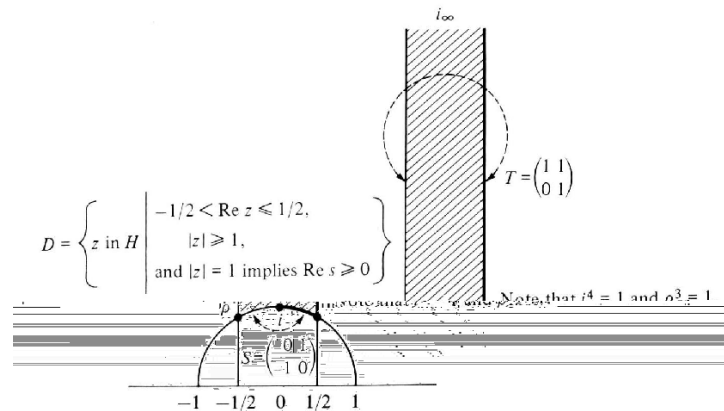
also commutes with action of **PSL(2, \mathbb{R})**.

The curves (geodesics) minimizing arc length are circles and lines in \mathbb{H} orthogonal to real axis. Non-Euclidean geometry.

Picture of the Failure of Euclid's 5th Postulate



View compact or finite volume manifold as $\Gamma \backslash H$, where Γ is a discrete subgroup of $PSL(2, \mathbb{R})$. For example,
 $\Gamma = PSL(2, \mathbb{Z})$, **the modular group**.
 Fundamental Domain is a non-Euclidean triangle.



A **geodesic** in $\Gamma \backslash \mathbb{H}$ comes from one in \mathbb{H} . One can show that the endpoints of such in \mathbb{R} (the real line = the boundary of \mathbb{H}) are fixed by hyperbolic elements of Γ ;

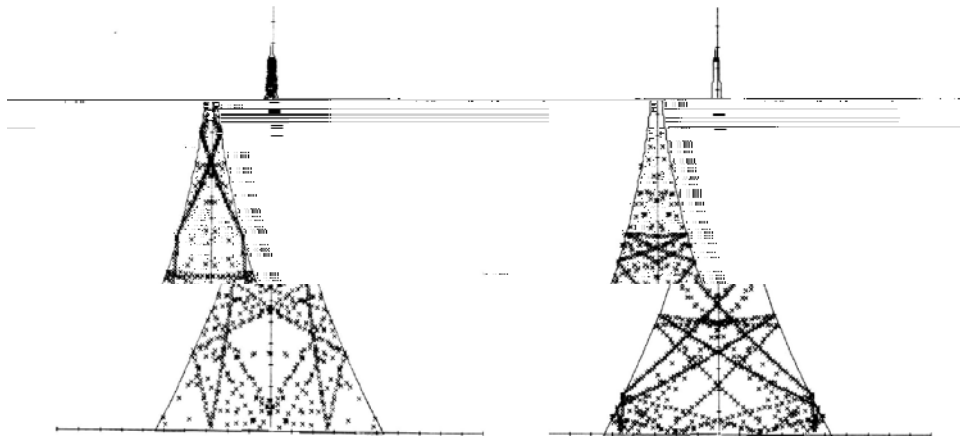
i.e., those $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$ with trace $=a+d > 2$.

Primitive closed geodesics are traversed only once. They correspond to hyperbolics that generate their centralizer in Γ .

See my book *Harmonic Analysis on Symmetric Spaces*, Vol. I, for more information.

Next a picture of images of points on 2 geodesics circles after mapping them into a fundamental domain of $\text{PSL}(2, \mathbb{Z})$

Images of points on 2 geodesics circles after mapping them into a fundamental domain of $\text{PSL}(2, \mathbb{Z})$

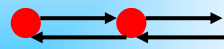


Primes in Graphs

(correspond to geodesics in compact manifolds)
 are equivalence classes $[C]$ of closed backtrackless
 tailless primitive paths C

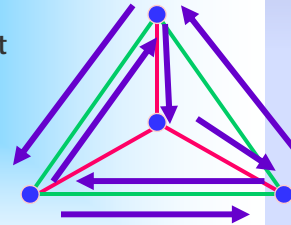
DEFINITIONS

backtrack



equivalence class: change starting point

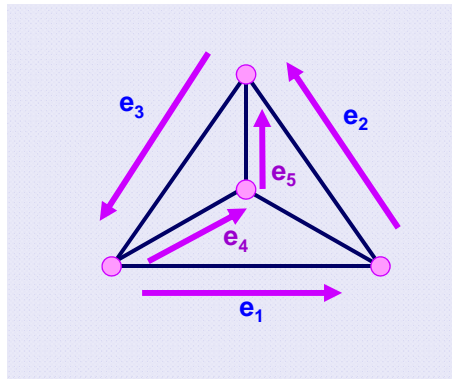
tail (backtrack if you change
 starting vertex)



a path with a backtrack & a tail

non-primitive: go around path more than once

EXAMPLES of Primes in a Graph



$$[C] = [e_1 e_2 e_3]$$

$$[D] = [e_4 e_5 e_3]$$

$$[E] = [e_1 e_2 e_3 e_4 e_5 e_3]$$

$$v(C)=3, v(D)=4, v(E)=6$$

$E=CD$

another prime $[C^n D]$, $n=2,3,4, \dots$
 infinitely many primes

Ihara Zeta Function

$$\zeta_V(u, X) = \prod_{[C] \text{ primes in } X} (1 - u^{\nu(C)})^{-1}$$

Ihara's Theorem (Bass, Hashimoto, etc.)

A = adjacency matrix of X

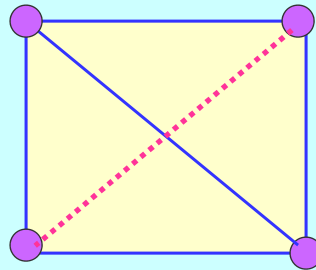
Q = diagonal matrix j th diagonal entry

= degree j th vertex -1 ;

r = rank fundamental group = $|E| - |V| + 1$

$$\zeta(u, X)^{-1} = (1 - u^2)^{r-1} \det(I - Au + Qu^2)$$

2 Examples
 K_4 and
 $X = K_4$ -edge



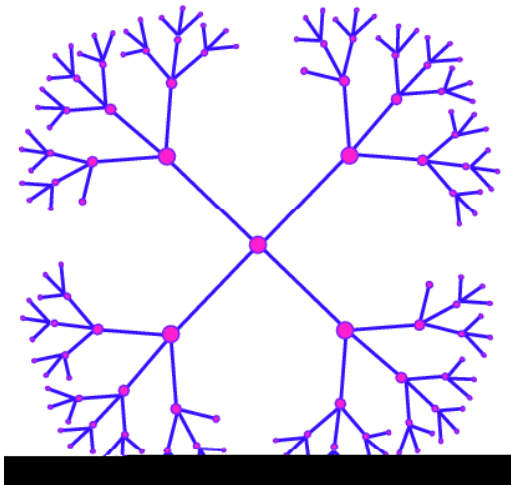
$$\zeta(u, K_4)^{-1} = (1 - u^2)^2 (1 - u)(1 - 2u)(1 + u + 2u^2)^3$$

$$\zeta(u, X)^{-1} = (1 - u^2)(1 - u)(1 + u^2)(1 + u + 2u^2)(1 - u^2 - 2u^3)$$

Remarks

Ihara defined the zeta as a product over p-adic group elements.
 Serre saw the graph theory interpretation.
 Hashimoto and Bass extended the theory.

- Later we may outline Bass's proof of Ihara's theorem. It involves defining an edge zeta function with more variables
- Another proof of the Ihara theorem for regular graphs uses the Selberg trace formula on the universal covering tree. For the trivial representation, see A.T., *Fourier Analysis on Finite Groups & Applics*; for general case, see and Venkov & Nikitin, *St. Petersburg Math. J.*, 5 (1994)



Part of the universal covering tree T_4 of a 4-regular graph.

A tree has no closed paths and is connected.

T_4 is infinite and so I cannot draw it.

It can be identified with the 3-adic quotient $SL(2, \mathbb{Q}_3)/SL(2, \mathbb{Z}_3)$

A finite 4-regular graph is a quotient of this tree T_4 modulo Γ =the fundamental group of the graph X

For $q+1$ - regular graph, meaning that each vertex has $q+1$ edges coming out

$u=q^{-s}$ makes Ihara zeta more like Riemann zeta.

$f(s)=\zeta(q^{-s})$ has a functional equation relating $f(s)$ and $f(1-s)$.

Riemann Hypothesis (RH)

says $\zeta(q^{-s})$ has no poles with $0 < \text{Re } s < 1$ unless $\text{Re } s = \frac{1}{2}$.

RH means graph is Ramanujan i.e., non-trivial spectrum of adjacency matrix is contained in the spectrum for the universal covering tree which is the interval $(-2\sqrt{q}, 2\sqrt{q})$

[see Lubotzky, Phillips & Sarnak, *Combinatorica*, 8 (1988)].

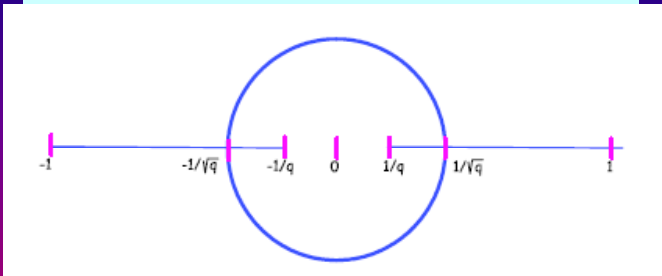
**Ramanujan graph is a good expander
(good gossip network)**

What is an expander graph X?

4 Ideas

- 1) **spectral property** of some matrix associated to our finite graph X
Choose one of 3:
 - ❖ Adjacency matrix A ,
 - ❖ Laplacian $D-A$, or $I-D^{-1/2}AD^{-1/2}$, D =diagonal matrix of degrees
 - ❖ edge matrix W_1 for X (to be defined)Lubotzky: **Spectrum for X SHOULD BE INSIDE spectrum of analogous operator on universal covering tree for X.**
- 2) X behaves like a **random graph**.
- 3) **Information is passed quickly in the gossip network based on X.**
- 4) **Random walker** on X gets lost **FAST**.

Possible Locations of Poles u of $\zeta(u)$ for $q+1$ Regular Graph



$1/q$ always the closest pole to 0 in absolute value.

Circle of radius $1/\sqrt{q}$ from the RH poles.

Real poles ($\neq \pm q^{-1/2}, \pm 1$) correspond to non-RH poles.

Alon conjecture for regular graphs says RH \cong true for "most" regular graphs.

See Joel Friedman's web site for proof (www.math.ubc.ca/~jff)

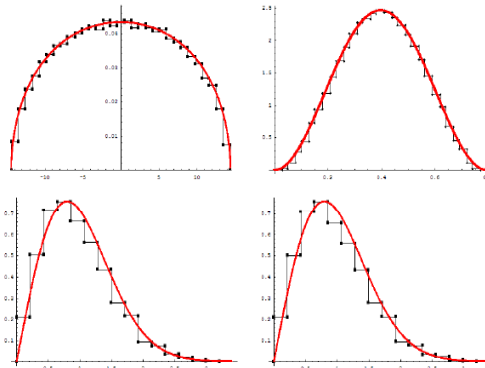
See Steven J. Miller's web site:

(www.math.brown.edu/~sjmiller) for a talk on experiments leading to conjecture that the percent of regular graphs satisfying RH approaches 27% as # vertices $\rightarrow \infty$, via Tracy-Widom distribution.

Derek Newland's Experiments

Graph analog of Odlyzko experiments for Riemann zeta

Mathematica experiment with random 53-regular graph - 2000 vertices



Spectrum adjacency matrix $\zeta(52^{-s})$ as a function of s

Top row = distributions for eigenvalues of A on left and imaginary parts of the zeta poles on right $s = \frac{1}{2} + it$.

Bottom row = their respective normalized level spacings.

Red line on bottom: Wigner surmise GOE, $y = (\pi x/2) \exp(-\pi x^2/4)$.

What is the meaning of the RH for irregular graphs?

For irregular graph, natural change of variables is $u=R^s$, where R = radius of convergence of Dirichlet series for Ihara zeta.

Note: R is closest pole of zeta to 0. No functional equation.

Then the critical strip is $0 \leq \text{Re } s \leq 1$ and translating back to u -variable. In the $q+1$ -regular case, $R=1/q$.

Graph theory RH:

$\zeta(u)$ is pole free in $R < |u| < \sqrt{R}$

To investigate this, we need to define the edge matrix W_1 . See Lecture 2.

