Torsion and homotopical invariants from TFTs

Mark Penney joint w/ Sergei Gukov and Jeff Meier

MSRI Higher Categories Perimeter Insitute **UWATERLOO**

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HOMOTOPY CARDINALITY

(π_*-) finite homotopy type

A space *X* is of finite homotopy type if:

- \blacksquare $|\pi_n(X, x)| < \infty$ for $n \geq 0$ and $x \in X$; and,
- $\blacksquare \pi_n(X, x) = o$ for $n >> o$.

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Homotopy cardinality

 $#(\cdot)$: {Finite homotopy types} $\rightarrow \mathbb{Q}$ Characterized by:

$$
\blacksquare\;\;\#(*)=1;
$$

If
$$
X \sim Y
$$
 then $\#X = \#Y$;

$$
\blacksquare \#(X \amalg Y) = \#X + \#Y; \text{and,}
$$

 $\#E = \#F \cdot \#B$ for fibration $F \hookrightarrow E \twoheadrightarrow B$ with *B* connected

Examples of finite homotopy types

Eilenberg-MacLane spaces

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Homotopy quotients

G a finite group and *X* finite homotopy type with *G*-action

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Mapping spaces

M CW complex with finitely many cells and *X* finite homotopy type

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M CW complex with finitely many cells and *X* finite homotopy type $\mathcal{M}_{\text{ap}}(M, X)$ is a finite homotopy type

Postnikov tower

Tower of fibrations $X \rightarrow \cdots \rightarrow X_2 \rightarrow X_1$ with:

- $\pi_n(X_k) = \text{o}$ for $n > k$, i.e., X_k is a *k*-type; and,
- $\mathsf{X}\to \mathsf{X}_k$ is a $\pi_{\leq k}$ -iso.

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$$

Observe: Fibres of $X_k \rightarrow X_{k-1}$ are $K(\pi_k(X, x), k)$

Cardinality formula

$$
\#X = \sum_{x \in \pi_0(X)} \prod_{n=1}^{\infty} |\pi_n(X,x)|^{(-1)^n}
$$

Pullback formula

For homotopy pullback squares

$$
X \times_B Y \to Y
$$

\n
$$
\downarrow f \downarrow
$$

\n
$$
X \xrightarrow{g} B
$$

one has

$$
\# \left(X \times_B Y \right) = \sum_{b \in \pi_{\mathsf{O}}(B)} \# B_b \#(f^{-1}(b)) \#(g^{-1}(b))
$$

where B_b is the connected component of $b \in \pi_0(B)$.

Original DW invariants

M a compact 3-manifold and *G* a finite group

$$
\text{DW}_{\mathsf{G}}(\mathsf{M}) = \# \text{Map}\left(\mathsf{M}, \mathsf{B}\mathsf{G}\right) = \prod_{\mathsf{m} \in \pi_{\mathsf{O}}(\mathsf{M})} \# \text{Hom}\left(\pi_{1}(\mathsf{M}, \mathsf{m}), \mathsf{G}\right) \mathop{/\!\!/} \mathsf{G}
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Geometric interpretation

Enumerates *G*-bundles on *M* since \mathcal{B} un_{*G*}(*M*) = \mathcal{M} ap(*M*, *BG*)

$$
\#\mathrm{Bun}_G(M)=\sum_{P\rightarrow M}\frac{1}{|\mathrm{Aut}(P)|}
$$

Higher invariants

Let *M* be a compact *d*-manifold and *X* a finite homotopy type $DW_X(M) = \# \text{Map}(M, X)$

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Special case: *n*-groups

- G an (*n* − 1)-type with *E*1-structure. *B*G is a connected *n*-type
- *X* a connected *n*-type ⇔ *X* ∼ *B*G
- \blacksquare DW_G(*M*) := DW_{*BG}*(*M*) enumerates G-bundles on *M*</sub>

DW TFT: Two perspectives

Cutting and gluing

$$
\begin{array}{ccc}\nN^{d-1} \stackrel{\partial}{\hookrightarrow} M_1^d & \text{Map}(M,X) \longrightarrow \text{Map}(M_1,X) \\
\downarrow & \downarrow & \downarrow & \downarrow \\
M_2^d \longrightarrow M^d & \text{Map}(M_2,X) \longrightarrow \text{Map}(N,X)\n\end{array}
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Pullback formula \Rightarrow cutting and gluing formula for DW_X

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Extended functorial field theory

$$
\mathcal{Z}_{X}: \ \operatorname{Bord}_{d,d-1,d-2} \xrightarrow{\operatorname{Map}(-,X)} \operatorname{Span}_2 \operatorname{Sfin} \xrightarrow{\mathcal{L}oc} \mathcal{L} \operatorname{incat}
$$

 DW_x is the partition function of \mathcal{Z}_x .

Connected 2-types

X a CW complex, connected 2-type

$$
O\longrightarrow \pi_2(X)\longrightarrow \pi_2\left(X,X^{(1)}\right)\stackrel{\partial}{\longrightarrow} \pi_1\left(X^{(1)}\right)\longrightarrow \pi_1(X)\longrightarrow 1
$$

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Theorem[Noohi, 2007]

Crossed modules completely describe connected 2-types and their mapping spaces

Example: Finite gerbes

Automorphism crossed module

Γ a finite group

$$
\mathsf{O} \longrightarrow \mathsf{Z}(\Gamma) \longrightarrow \Gamma \mathop{\longrightarrow}^{\mathrm{Conj}} \mathrm{Aut}(\Gamma) \longrightarrow \mathrm{Out}(\Gamma) \longrightarrow \mathsf{1}
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Corresponding 2-type *Bg*Γ is classifying space for Γ-gerbes

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Mapping spaces out of 1-types

Homotopy groups of $\mathrm{Map}(BG, B_g\Gamma)$:

$$
\blacksquare \pi_0 \simeq \left\{ 1 \to \Gamma \to \hat{\Gamma} \to G \to 1 \right\} / \text{equiv.};
$$

 \blacksquare π_1 at $\hat{\Gamma}$ is automorphism group of the extension \blacksquare π_2 is always $Z(\Gamma)$.

Higher DW invariants from Heegaard splittings

Heegaard splitting

Every closed, orientable 3-manifold *M*³ obtained as pushout with:

 $\Sigma \simeq \sharp^g$ S $^1 \times$ S 1 orientable surface of genus g

 $H_{\mathit{i}} \simeq \natural^{g} \mathsf{S}^{\mathsf{1}} \times D^{\mathsf{2}}$ bounding handlebodies

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Computing DW invariants

- Pullback formula \Rightarrow DW_{*X*}(*M*) computed from Ma_D($Σ$, *X*) and $\mathcal{M}{\text{ap}}(H_i,X)$
- When $q > 0$, Σ and H_i are 1-types
- DW_{B*a*}Γ(*M*) computed using extensions of surface groups and free groups

Trisections and DW invariants

Trisections [Gay–Kirby]

Every closed, oriented 4-manifold *M*⁴ obtained as pushout cube with $X_i \simeq \natural^k S^1 \times D^3$

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Higher DW invariants

*M*4 iterated pushout of 1-types ⇒ DW*Bg*Γ(*M*) computed in terms of extensions

Given $K: S^1 \hookrightarrow M^3$ and Heegaard splitting of M^3 Generically, $K \cap \Sigma = \{ \text{points} \}$ and $K \cap H_i = \text{trivial tangle}$ \Rightarrow bridge splitting of $M^3 \setminus \mathcal{K}$

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Asphericity of (2-) knots

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Upshot: DW invariants for gerbes / 2-types possibly interesting for 2-knots

Classification of knots

 $\mathsf{Peripheral}$ system: $\pi_1(\partial E(\mathcal{K}) \hookrightarrow E(\mathcal{K})) : \nu_\mathcal{K} \hookrightarrow \mathsf{G}_\mathcal{K}, \nu_\mathcal{K} \simeq \mathbb{Z}^2$ Theorem: (G_K, ν_K) is a complete isotopy invariant

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- **Aphericity:** (G_K, ν_K) iso ⇔ Exteriors homotopic rel bndry
- Waldhausen: \Leftrightarrow diffeomorphic
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None of this is true for 2-knots!

- Exteriors are not $K(G_{\mathcal{K}}, 1)$'s
- Homotopic \Rightarrow homeomorphic \Rightarrow diffeomorphic

$(\mathsf{C}, g) \in \mathrm{Ch}^\mathrm{b}_\mathbb{R}$, C_i equipped with inner product g_i

Analytic torsion of (*C*, *g*)

Laplacian: ∆ : *C* → *C*, ∆ = *dd*[∗] + *d* ∗*d* Orthogonal decomposition: $C = \text{Ker}(\Delta) \oplus \text{Im}(d) \oplus \text{Im}(d^*)$ and $H(C) = \text{Ker}(\Delta)$

$$
T(C,g)^2 := \det (\Delta') = \prod_{n \in \mathbb{Z}} \det (\Delta'_n)^{(-1)^n n}
$$

where $\Delta' = \Delta|_{H(C)}$ ⊥

(*M*, *g*) closed manifold with Riemannian metric (E, ∇) vector bundle with (orthogonal) flat connection

Torsion of (*M*, ∇)

Laplacian: $\Delta = d^\nabla (d^\nabla)^* + (d^\nabla)^* d^\nabla$ Hodge decomposition: $\Omega(M; E) = \text{Ker}(\Delta) \oplus \text{Im}(d^{\nabla}) \oplus \text{Im}((d^{\nabla})^*)$ and $H_{\text{dR}}(M) = \text{Ker}(\Delta)$

$$
T(M; \nabla)^2 = \prod_{n \in \mathbb{Z}} \det_{\zeta} (\Delta'_n)^{(-1)^n n}
$$

where det_{ζ} : regularized determinant using $\zeta_{\Delta_n}(\mathsf{s}) = \text{Tr} \Delta_n^{-\mathsf{s}}$

Remark: Independent of Riemannian metric

Torsion for rank 2 bundles

Torsion function: $\tau_M = T(M; -) :$ {Flat connections} $\to \mathbb{R}$ Holonomy: {Flat connections} \leftrightarrow { $H_1(M) \rightarrow U(1)$ }

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Cheeger–Mueller Theorem

- \blacksquare $\tau_M \in$ Frac ($\mathbb{Z}[H_1(M)]$); and,
- \blacksquare τ_M agrees with the Reidemeister torsion

Reidemeister torsion for CW complexes

 $\overline{M} \rightarrow M$ maximal abelian cover. Lift CW structure from M Combinatorial torsion of $\mathsf{C}^\mathrm{CW}_*(\overline{M})$ as $\mathrm{Frac}\left(\mathbb{Z}[H_1(M)]\right)$ -module

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 $H_1(E(\mathcal{K})) = \mathbb{Z}$ so $\tau_{E(\mathcal{K})} \in \mathbb{Q}(t)$ Proportional to the Alexander polynomial

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Torsion of higher knots

 $H_1(E(\mathcal{K})) = \mathbb{Z}$ for all $\mathcal{K}: S^n \hookrightarrow S^{n+2}$ Alternating product of higher Alexander polynomials

PATH INTEGRALS 101

Gaussian integrals

 $A \in M_n(\mathbb{R})$ symmetric, positive definite

$$
\int_{X \in \mathbb{R}^n} \mathrm{e}^{-\frac{1}{2} \langle X, Ax \rangle} = \sqrt{\frac{(2\pi)^n}{\det(A)}}
$$

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"Free" field theories, basic case

(*M*, *g*) Riemannian manifold, *E* vector bundle $A: \Gamma(M; E) \rightarrow \Gamma(M; E)$ differential operator

$$
\mathcal{Z}(M) = \int_{V \in \Gamma(M;E)} \mathrm{e}^{-\frac{1}{2}g(V,AV)} := \sqrt{\frac{1}{\det_\zeta(A)}}
$$

BF invariant

Birmingham–Blau–Rakowski–Thompson ansatz

 $\mathfrak{M}(\mathsf{M}^{\mathsf{d}};\mathsf{E})$ moduli space of orthogonal flat connections

$$
\mathcal{Z}(M^d;E)=\int_{\nabla\in\mathcal{M}(M;E)}T(M;\nabla)^{-1}
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is the BF invariant for $(M^d; E)$

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Commentary

- For $\text{Rank}(E) = n$, $\mathcal{M}(M; E)$ is derived manifold $\text{Hom}(\pi_1(M), \text{SO}(n)) \# \text{SO}(n)$
	- \triangleright Unclear (to me) how to integrate
- Suggests that codim-2 operators \rightsquigarrow torsion polynomials

FINAL REMARKS

Cattaneo et al: 3D BF theory

Line operators in rank 2 give Alexander polynomial

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Better understanding of 4D BF theory

Baez: BF + 'cosmological constant' = Crane-Yetter for $\text{Rep}U_q\mathfrak{g}$

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