

# Boundaries and 3-dimensional topological field theories

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Joint work with Constantin Teleman

## Local elliptic boundary conditions

Second order elliptic operator (**Laplace**):

$$\Delta f = -\frac{\partial^2 f}{\partial x^2} - \frac{\partial^2 f}{\partial y^2} \quad \text{on} \quad D = \left\{ (x, y) \in \mathbb{A}^2 : x^2 + y^2 \leq 1 \right\}$$

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Instead of *conditions* one can have boundary *data* (degrees of freedom)

# Boundary theories in QFT

Example (quantum mechanics):  $F: \text{Bord}_1^{\text{Riem}, w_1} \longrightarrow \text{Vect}_{\text{top}}$



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Boundary data:  $\xi \in \mathcal{H}$ ,  $\theta \in \mathcal{H}^*$

Extended theory:  $\tilde{F}: \text{Bord}_{1, \partial}^{\text{Riem}, w_1} \longrightarrow \text{Vect}_{\text{top}}$



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In higher dimensions we use boundaries in *space* rather than time

# Toy example

$\text{Bord}_{(0,1)}^{\text{dc}}$

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$F(\text{pt} \times \{\pm 1\} \rightarrow \text{pt}) = \mathbb{C}$

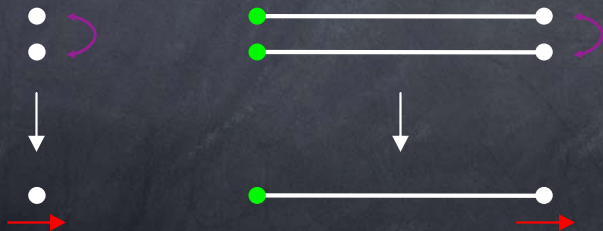
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manifolds with a double cover

invertible field theory

with sign representation

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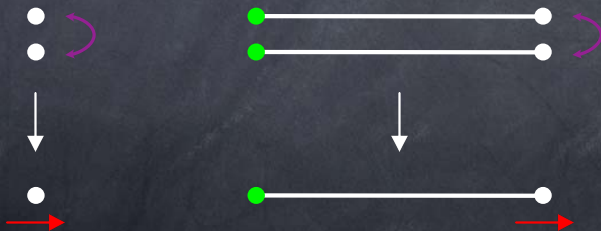
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1-morphism evaluates to a sign-invariant element of  $\mathbb{C}$ , hence vanishes

## Reshetikhin-Turaev theories

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**Remark:** In the 3-framed case there are two circles:  $S_b^1, S_n^1$   
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**Problem:** Does this  $F$  admit a nonzero boundary theory?

# Fully extended TFT

*Full* locality is encoded in a fully extended theory:

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$\text{Hom}_{\mathcal{C}}(1, F(+)) =$  boundary theories (it may be zero)

# Tensor categories

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**Definition:**  $\text{Cat}_{\mathbb{C}}$  is the symmetric monoidal 2-category of finitely cocomplete  $\mathbb{C}$ -linear categories under Deligne-Kelly  $\boxtimes$

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**Definition:**  $\text{FSCat} \subset \text{Cat}_{\mathbb{C}}$  full subcategory of finite semisimple abelian

*Fusion category:* finite semisimple rigid abelian tensor cat

$\text{Fus} \subset E_1(\text{Cat}_{\mathbb{C}})$  symmetric monoidal subcategory of fusion categories and finite semisimple bimodule categories

# Cobordism hypothesis

**Theorem (Hopkins-Lurie, Lurie):** Evaluation at a point

$$\begin{aligned} \mathrm{Hom}(\mathrm{Bord}_n^{\mathrm{fr}}, \mathcal{C}) &\longrightarrow (\mathcal{C}^{\mathrm{fd}})^{\sim} \\ F &\longmapsto F(+ ) \end{aligned}$$

is a homotopy equivalence of spaces

$\mathcal{C}^{\mathrm{fd}}$  is the fully dualizable subcategory of  $\mathcal{C}$

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**Examples:** Chern-Simons for finite groups, or special tori and levels

## Main Theorem

Let  $\mathcal{C}$  be a symmetric monoidal 3-category whose fully dualizable part  $\mathcal{C}^{\text{fd}}$  contains the 3-category  $\mathbf{Fus}$  of fusion categories as a full subcategory. Let  $F: \mathbf{Bord}_3^{\text{fr}} \rightarrow \mathcal{C}$  be a 3-framed topological field theory such that

- (a)  $F(S^0)$  is isomorphic in  $\mathcal{C}$  to a fusion category, and
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Then  $F(S_b^1)$  is braided tensor equivalent to the Drinfeld center of a fusion category  $\Phi_0$  with simple unit

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$\Phi$  tensor category of finite dimensional  $A$ - $A$  bimodules

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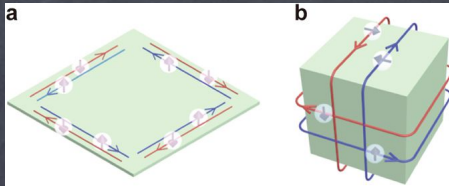
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Usefulness of regular  $\Phi$ -module: see also Section 6 of [arXiv:1806.00008](https://arxiv.org/abs/1806.00008)

## Application to physics

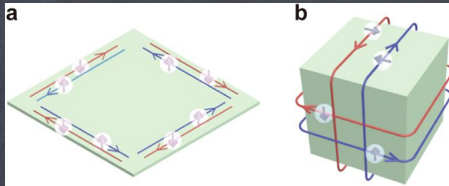
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A feature of the Quantum Hall Effect, for example

**Question:** When is conduction forced on the boundary?

The main theorem gives a criterion in  $2 + 1$  dimensions, if we are willing to make a few jumps

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$\mathcal{H}$

Hilbert space (states)

$H: \mathcal{H} \longrightarrow \mathcal{H}$

Hamiltonian



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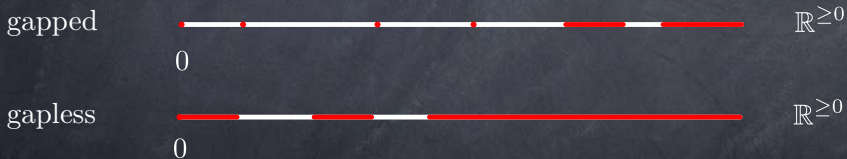
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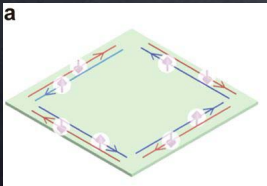
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Apply main theorem to  $2 + 1$  dim'l system:

Gapped interior (bulk)  $\implies$  3d TFT  $F$

If gapped boundary theory exists, then  $F$  admits  
a nonzero boundary theory  $\beta$

For many  $F$  the theorem implies no such  $\beta$

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“Arrows of time” at boundaries and corners, not global time functions

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**Bökstedt-Madsen**, **Calaque-Scheimbauer** and **Ayala-Francis** give detailed constructions of higher bordism categories

We sketch a few rules of the road convenient for manipulations

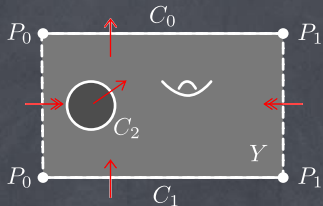
“Arrows of time” at boundaries and corners, not global time functions

Fix  $n \in \mathbb{Z}^{>0}$ ,  $k \in \{0, \dots, n\}$ ,  $d \in \{0, \dots, k\}$

A  $k$ -morphism of depth  $d$  in  $\mathbf{Bord}_n$  is a compact  $k$ -manifold with corners of depth  $\leq d$  with extra data: highly structured boundary, arrows of time, tangential structure



Bord<sub>2</sub>:

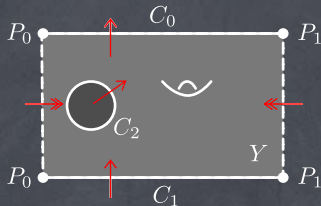


$$P \in \text{Bord}_2$$

$$C : P_0 \amalg P_1 \longrightarrow \emptyset^0$$

$$\begin{array}{ccc}
 & C_0 & \\
 \curvearrowright & & \curvearrowleft \\
 P_0 \amalg P_1 & \uparrow Y & \emptyset^0 \\
 \curvearrowleft & & \curvearrowright \\
 & C_1 \amalg C_2 & 
 \end{array}$$

Bord<sub>2</sub>:

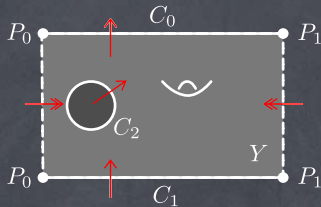


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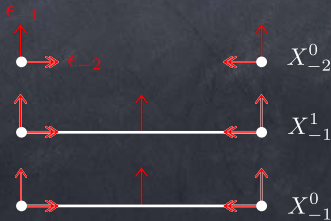
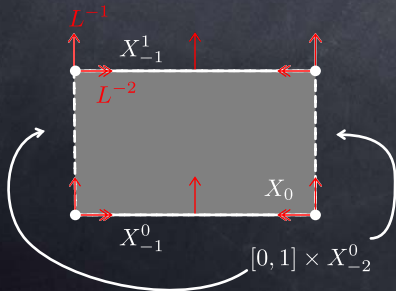
$$\begin{array}{ccc}
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Bord<sub>2</sub>:

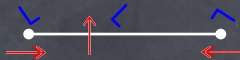


$$P \in \text{Bord}_2 \quad C: P_0 \amalg P_1 \longrightarrow \emptyset^0$$

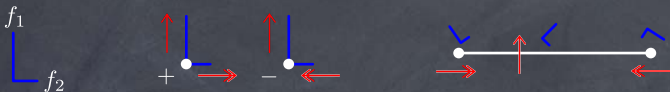
$$P_0 \amalg P_1 \begin{array}{c} \xrightarrow{C_0} \\ \uparrow Y \\ \xrightarrow{C_1 \amalg C_2} \end{array} \emptyset^0$$



$\text{Bord}_2^{\text{fr}}$ :



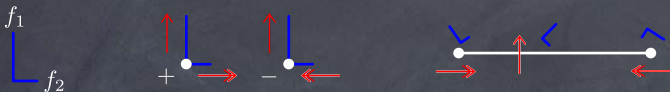
$\text{Bord}_2^{\text{fr}}$ :



$\text{Bord}_3^{\text{fr}}$ :

$f_0$  and  $0^{\text{th}}$  arrow of time point *into* the screen

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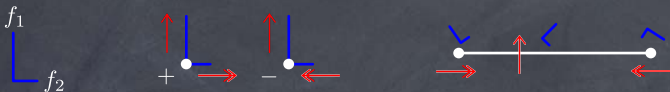


$\text{Bord}_3^{\text{fr}}$ :

$f_0$  and  $0^{\text{th}}$  arrow of time point *into* the screen

Duals exist:

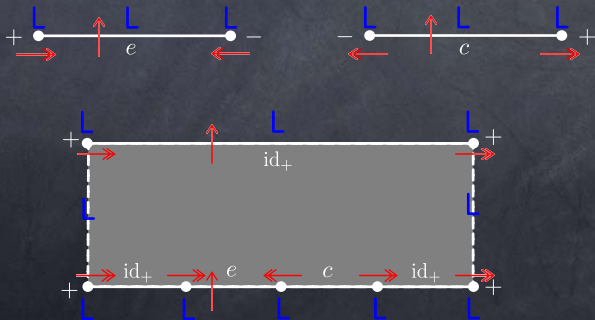
$\text{Bord}_2^{\text{fr}}$ :



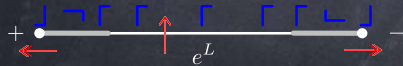
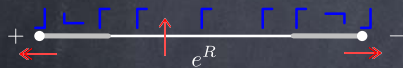
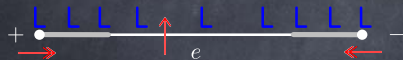
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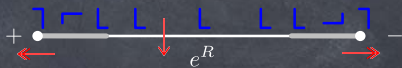
Duals exist:



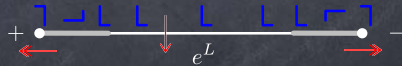
Adjoints exist:



$\approx$



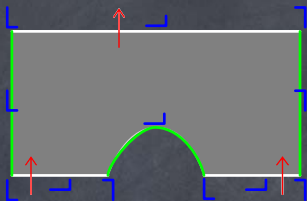
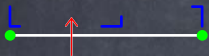
$\approx$





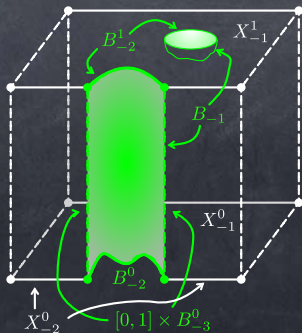
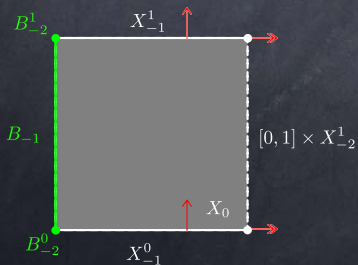
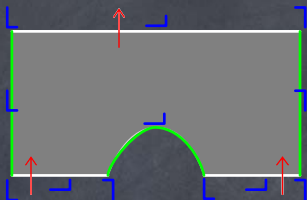
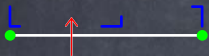
$\text{Bord}_{2,\partial}^{\text{fr}}$ : domain of  $\tilde{F} = (F, \beta)$

Same objects as  $\text{Bord}_2^{\text{fr}}$ , but new 1- and 2-morphisms



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$\mathcal{C}$  symmetric monoidal 2-category

$x \xrightarrow{f} y$  1-morphism with adjoints

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If  $h = g = f$  (so  $z = x$  and  $w = y$ ), then have algebra objects

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**Proposition [DSS]:**  $A, B \in \text{Fus}$ ,  $M: A \rightarrow B$  a  $(B, A)$ -bimodule category. Then  $M$  has adjoints and

$$\underline{\text{End}}^R(M) = \text{End}_B(M)$$

$$\underline{\text{End}}^L(M) = \text{End}_A(M)$$

## Recall the theorems

**Theorem:**  $\mathcal{C}$  symmetric monoidal 3-category  
 $\mathcal{C}^{\text{fd}} \supset \text{Fus}$  full subcategory  
 $F: \text{Bord}_3^{\text{fr}} \rightarrow \mathcal{C}$   
 $\tilde{F}: \text{Bord}_{3,\partial}^{\text{fr}} \rightarrow \mathcal{C}$  such that  $\beta: 1 \rightarrow \tau_{\leq 2} F$  is nonzero  
...  
 $\implies$  ... of a fusion category  $\Phi_0$  with simple unit

**Theorem:** Let  $\Phi \in E_1(\text{Cat}_{\mathbb{C}})$  be a tensor category. Then  $\Phi$  is a fusion category if and only if

- (i)  $\Phi$  is 3-dualizable in  $E_1(\text{Cat}_{\mathbb{C}})$ , and
- (ii)  $\Phi$  is 2-dualizable as a left  $\Phi$ -module

# The fusion category $\Phi$

$$\overline{\text{End}}^R(f_+) = f_+ \circ f_+^R$$

The diagram illustrates an equation in the fusion category  $\Phi$ . On the left, a box labeled  $\overline{\text{End}}^R(f_+)$  contains a horizontal line with two green dots at its ends. A red arrow points upwards from the line, and a blue bracket is positioned above it. On the right, a horizontal line with two green dots at its ends is shown. A red arrow labeled  $f_+$  points upwards from the line. A white dot with a red arrow labeled  $f_+^R$  is positioned above the line. Another red arrow labeled  $f_+$  points upwards from the line. A blue bracket is positioned below the line. The two sides are separated by an equals sign.

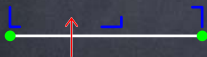


# The fusion category $\Phi$

$$\underbrace{\text{End}^R(f_+)}_{\text{diagram}} = \text{diagram with } f_+ \text{ and } f_+^R$$

The diagram on the left shows a horizontal line with two green dots at the ends. A red arrow points up from the line, and a blue bracket is above it. The text  $\text{End}^R(f_+)$  is written above the line. The diagram on the right shows a horizontal line with two green dots at the ends. A red arrow points up from the line. The line is labeled  $f_+$  on the left and  $f_+^R$  on the right. In the middle, there are two white dots with a red arrow pointing right between them, and a blue bracket below them with a plus sign  $+$ .

$$\begin{aligned} \Phi &= \widetilde{F}(\text{End}^R(f_+)) \\ &= \text{End}^R(\widetilde{F}(f_+)) \\ &= \text{End}^R(\beta(+)) \end{aligned}$$



$$\Phi \otimes \Phi \longrightarrow \Phi$$





# The fusion category $\Phi$

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$$\Phi \otimes \Phi \longrightarrow \Phi$$



**Lemma:**  $\Phi$  is a finite semisimple abelian category

$$\overline{F}: \text{Bord}_2^{\text{fr}} \longrightarrow \text{Cat}_{\mathbb{C}} \quad (\text{dimensional reduction})$$

**Lemma:**  $\Phi$  is rigid (has internal left and right duals)

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$\Phi \in E_1(\text{Cat}_{\mathbb{C}})$ . The unit  $\eta$  and multiplication  $\nabla$



have right adjoints  $\varepsilon : \Phi \rightarrow \mathbf{1}$  and  $\Delta : \Phi \rightarrow \Phi \boxtimes \Phi$  (Frobenius data)



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Set  $B = \varepsilon \circ \nabla : \Phi \boxtimes \Phi \rightarrow \mathbf{1}$ ,  $f(x) := B(x, -)$ ,  $f^\vee(y) := B(-, y)$

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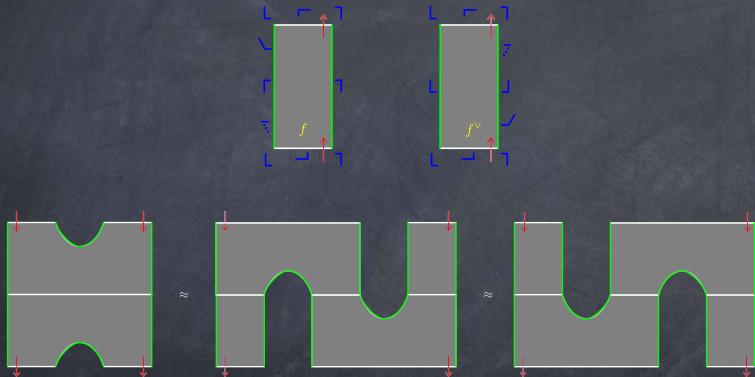
have right adjoints  $\varepsilon : \Phi \rightarrow \mathbf{1}$  and  $\Delta : \Phi \rightarrow \Phi \boxtimes \Phi$  (Frobenius data)



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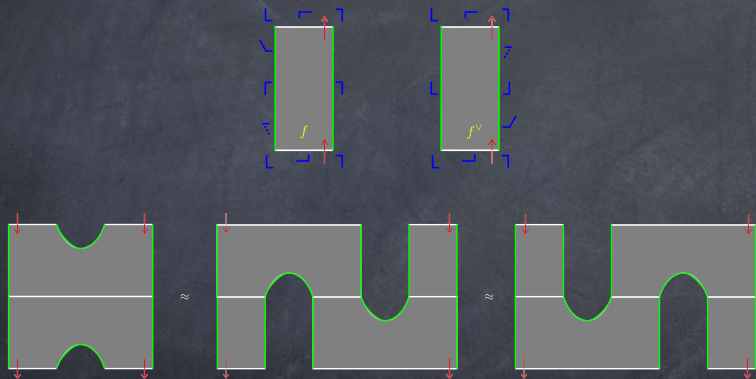
**Theorem:** A finite semisimple tensor category  $\Phi$  is rigid if (i)  $f, f^\vee$  are isomorphisms, and (ii)  $\Delta$  is a  $\Phi$ - $\Phi$  bimodule map

(i) and (ii) have “picture proofs” in the extended field theory  $\tilde{F}$ :



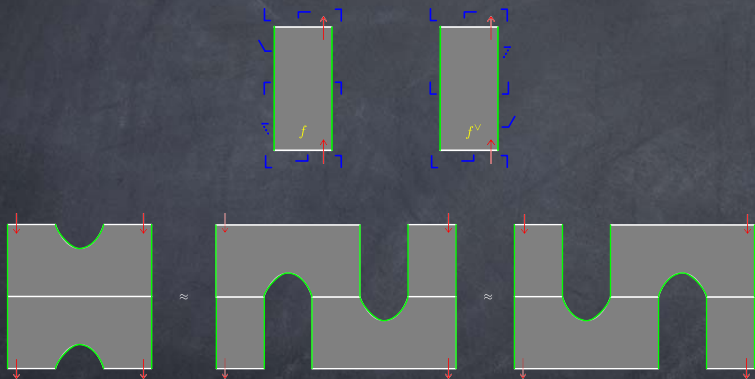


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**Theorem:**  $\Phi$  is a fusion category

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**Theorem:**  $\Phi$  is a fusion category

Cobordism hypothesis  $\implies T: \text{Bord}_3^{\text{fr}} \rightarrow E_1(\text{Cat}_{\mathbb{C}})$  with  $T(+)=\Phi$

## Main Theorem

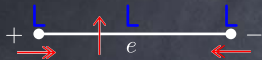
Let  $\mathcal{C}$  be a symmetric monoidal 3-category whose fully dualizable part  $\mathcal{C}^{\text{fd}}$  contains the 3-category  $\text{TCat}_{\mathcal{C}}$  of finite tensor categories as a full subcategory. Let  $F: \text{Bord}_3^{\text{fr}} \rightarrow \mathcal{C}$  be a 3-framed topological field theory such that

- (a)  $F(S^0)$  is isomorphic in  $\mathcal{C}$  to a multifusion category, and
- (b)  $F(S_b^1)$  is invertible as an object in the 4-category  $E_2(\Omega\mathcal{C})$  of braided tensor categories.

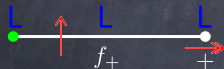
Assume  $F$  extends to  $\tilde{F}: \text{Bord}_{3,\partial}^{\text{fr}} \rightarrow \mathcal{C}$  such that the associated boundary theory  $\beta: 1 \rightarrow \tau_{\leq 2} F$  is nonzero.

Then  $F(S_b^1)$  is braided tensor equivalent to the Drinfeld center of a fusion category  $\Phi$ .

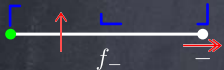
# $A \cong F(S^0)$ -module categories



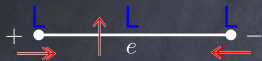
$$e: S^0 \longrightarrow \emptyset^0$$



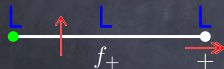
$$f_{\pm}: \emptyset^0 \rightarrow S^0$$



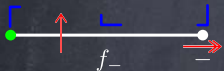
# $A \cong F(S^0)$ -module categories



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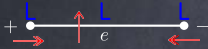
$$M := \left( F(e): A \xrightarrow{\cong} F(S^0) \longrightarrow \text{Vect}_{\mathbb{C}} \right)$$

$$N := \left( \tilde{F}(f_{\pm}): \text{Vect}_{\mathbb{C}} \longrightarrow F(S^0) \xrightarrow{\cong} A \right)$$

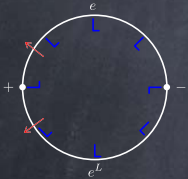
$M$  is a *left*  $A$ -module category

$N$  is a *right*  $A$ -module category

# Morita equivalences



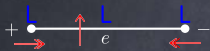
$$M = F(e)$$



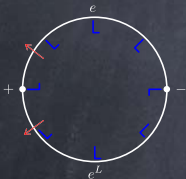
$$S_b^1 \cong e \circ e^L = \underline{\text{End}}^L(e)$$

$$F(S_b^1) \simeq F(\underline{\text{End}}^L(e)) \simeq \underline{\text{End}}^L(F(e)) \simeq \text{End}_A(M)$$

# Morita equivalences

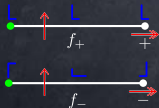


$$M = F(e)$$



$$S_b^1 \cong e \circ e^L = \underline{\text{End}}^L(e)$$

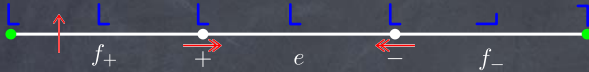
$$F(S_b^1) \simeq F(\underline{\text{End}}^L(e)) \simeq \underline{\text{End}}^L(F(e)) \simeq \text{End}_A(M)$$



$$N = \beta(S^0)$$

As theories,  $T = \underline{\text{End}}^R(\beta)$ , and so  $T(S^0) \cong \underline{\text{End}}^R(N) \cong \text{End}_A(N)$

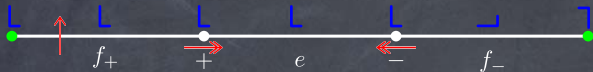
# Final steps



$$\Phi \simeq M \otimes_A N$$



# Final steps



$$\Phi \simeq M \otimes_A N$$

$N$  is invertible as a  $(A, T(S^0))$ -bimodule:

$$\begin{array}{ccc} \text{End}_A(M) & \xrightarrow[\simeq]{\otimes N} & \text{End}_{T(S^0)}(\Phi) = \text{End}_{\Phi \otimes \Phi_{\text{mo}}}(\Phi) \\ \parallel & & \parallel \\ F(S_b^1) & & Z(\Phi) = T(S_b^1) \end{array}$$