

# Two Effective Concept Classes of PACI Incomparable Degrees

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# PAC Learning Model

- ▶ PAC stands for **P**robably **A**pproximately **C**orrect
- ▶ It is a Machine learning model.
- ▶ It was introduced by Leslie Valiant in 1984

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3. The elements of  $C$  are called *concepts*.

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We say that  $C$  is *PAC Learnable* if and only if there is an algorithm  $L$  such that for every  $c \in C$ , every  $\epsilon, \delta \in (0, \frac{1}{2})$  and every probability distribution  $D$  on  $X$ , the algorithm  $L$  behaves as follows:

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On input  $(\epsilon, \delta)$ , the algorithm  $L$  will ask for some number  $n$  of examples, and will be given  $\{(x_1, i_1), \dots, (x_n, i_n)\}$  where  $x_k$  are independently randomly drawn from  $D$  and  $i_k = \chi_c(x_k)$ .

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The algorithm will then output some  $h \in C$  so that with probability at least  $1 - \delta$  in  $D$ , the symmetric difference of  $h$  and  $c$  has the probability at most  $\epsilon$  in  $D$ .



# Examples

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- ▶ Let  $C$  be the set of intervals then  $C$  is PAC learnable.

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- ▶ Let  $C$  be the set of axis aligned rectangles then  $C$  is PAC learnable.
- ▶ Let  $C$  be the set of convex  $d$ -gons then  $C$  is PAC learnable for any  $d$ .

## Example

Suppose  $X = \mathbb{R}^d$ . Let  $C$  be the set of linear-half spaces. Then  $C$  is PAC learnable.

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An interval can be seen as a set of paths through a  $\Pi_1^0$  tree.

## Definition

A relation is  $\Pi_1^0$  if it is expressed in the form  $\forall y, R(x, y)$  where  $R(x, y)$  is computable. The  $\Pi_1^0$  relations are the co-c.e (complement is c.e) relations. Then  $\Pi_1^0$  is the set consisting of elements of the form  $\{x : \forall y R(x, y)\}$ .



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A  $\Pi_1^0$  tree  $T_{e,n}$  is a relation where predecessor relation is a  $\Pi_1^0$  relation.

# Weakly effective concept class

## Definition

A weakly effective concept class is a computable enumeration  $\varphi_e : \mathbb{N} \rightarrow \mathbb{N}$  such that  $\varphi_e(n)$  is a  $\Pi_1^0$  index for a  $\Pi_1^0$  tree  $T_{e,n}$ .

# An effective concept class

## Definition

An effective concept class is a weakly effective concept class  $\varphi_e(n)$  such that for each  $n$ , the set  $c_n$  of paths through  $T_{e,n}$  is computable in the sense that there is a computable function  $f_{c_n}(d, r) : 2^{<\omega} \times \mathbb{Q} \rightarrow \{0, 1\}$  such that

$$f_{c_n}(\sigma, r) = \begin{cases} 1 & \text{if } B_r(\sigma) \cap c_n \neq \emptyset \\ 0 & \text{if } B_{2r}(\sigma) \cap c_n = \emptyset \\ 0 \text{ or } 1 & \text{otherwise} \end{cases}$$

where  $B_r(\sigma)$  is the set of all paths that either extend  $\sigma$  or first differ from it at the  $-\lceil lg(r) \rceil$  place or later.

We can say that an effective concept class is a set of  $\Pi_1^0$  classes. A  $\Pi_1^0$  class is expressed as the set of infinite paths through a computable tree or the set of infinite paths through a  $\Pi_1^0$  tree.

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Since the distance of a point from the boundary can be computed, the linear half-spaces with computable coefficients is a computable set.

Consider  $\mathbb{R}^2$ . There are algorithms to compute the distance from a point to a line. The line has computable coefficients. Here no need to use the full precision reals.

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The class  $C$  of convex  $d$ -gons in  $\mathbb{R}^2$  with computable vertices is an effective concept class.

# PACi Reducibility (Calvert 2018)

## Definition

Let  $C$  be an effective concept class over the instance space  $X$  and  $C'$  an effective concept class over the instance space  $X'$ .

We say that  $C$  PACi reduces to  $C'$ , which we denote by  $C \leq_{\text{PACi}} C'$  exactly when there are functions  $g : X \rightarrow X'$  and  $h : C \rightarrow C'$  such that

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The “i” indicates the independence of this definition from size and computation time.

## Theorem

*Let  $C$  and  $C'$  be concept classes. Then if  $C$  PACi-reduces to  $C'$ , and  $C'$  is PACi learnable,  $C$  is PACi learnable.*

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For a random example  $(x, c)$  of the unknown target concept  $c \in C$ , we can compute the labeled example  $(g(x), h(c))$  and give it to  $L'$ .

If the instance  $x \in X$  are drawn according  $D$ , then the instances  $g(x) \in X'$  are drawn according to some induced distribution  $D'$ .

Although we do not know the target concept  $c$ , our definition of reduction guarantees that the computed examples  $(g(x), h(c))$  are consistent with some  $c' \in C'$ , and thus  $L'$  will output a hypothesis  $t'$  that has error at most  $\epsilon$  with respect to  $D'$ .



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Our hypothesis for  $c$  becomes  $t(x) = t'(g(x))$ , which has at most  $\epsilon$  error with respect to  $D$ .

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2. There is a polynomial  $p$  such that for any  $x \in X$  of size  $n$ , the element  $g(x)$  is of size at most  $p(n)$ , and
3. There is a polynomial  $q$  such that for every  $c \in C$  of size  $n$ , the concept  $h(c)$  is of size at most  $q(n)$ .

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- ▶ We can infer that there are  $\leq_{PAC}$  incomparable concept classes since there are continuum many concept classes on a countably infinite instance spaces.
- ▶ This degree structure is analogous to Turing degrees and their structures. So, we can expect the effective concept classes to behave in similar manner to computably enumerable degrees.

## Definition

We say  $C \sim C'$  if  $C \leq_{PAC_i} C'$  and  $C' \leq_{PAC_i} C$ , the relation  $\sim$  is an equivalence class. The PACi degree of concept class  $C$  is

$$deg(C) = \{C' : C' \sim C\}$$

# Examples

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Let  $X$  be the empty instance space and  $X'$  be any instance space.

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Then *for all*  $x \in X$  and *for all*  $c \in C$  we have  $x \in c$  iff  $g(x) \in h(c)$ .

We can write  $C \leq_{PACi} C'$ .

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Now we can show that *for all*  $x \in \mathbb{R}$  and *for all* positive half lines  $c = (a, \infty)$  in  $C$  we have  $x \in c$  iff  $g(x) \in h(c)$  where  $h(c)$  is a negative half line.

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This will give us  $C \leq_{PACi} C'$ . With appropriate functionals we can show that  $C' \leq_{PACi} C$ . Thus  $C \sim C'$ .

# Friedberg Muchnik Theorem [1957, 1956]

## Theorem

*There exist computably enumerable (c.e.) sets  $A$  and  $B$  such that  $A \not\leq_T B$  and  $B \not\leq_T A$ .*

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Idea of the Proof:

It suffice to recursively enumerate  $A$  and  $B$  to meet for all  $e$  the requirements:

$$R_{2e} : A \neq \{e\}^B$$

$$R_{2e+1} : B \neq \{e\}^A$$

The strategy for meeting a single such requirement  $R_{2e}$  is to attach to  $R_{2e}$  a potential "witness"  $x$  not yet enumerated in  $A$  and to look for a stage  $s + 1$  such that

$$\{e\}_s^{B_s}(x) \downarrow = 0.$$

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If no such stage exists we do nothing and  $R_{2e}$  is automatically satisfied by the witness  $x$  because  $A(x) = 0$  and either  $\{e\}^B(x) \uparrow$  or  $\{e\}^B(x) \downarrow \neq 0$ .

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If  $s + 1$  exists, we say  $R_{2e}$  *requires attention* at stage  $s + 1$ . Now  $R_{2e}$  *receives attention* and we: (1) enumerate  $x$  in  $A_{s+1}$ ;



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$$\{e\}^B(x) = 0.$$

However,  $A(x) = 1$  so requirement  $R_{2e}$  is satisfied. (The strategy for  $R_{2e+1}$  is the same but with the roles of  $A$  and  $B$  reversed.)

## Theorem

*There exists an effective concept class  $C$  over the instance space  $X = 2^\omega$  and an effective concept class  $C'$  over the instance space  $X' = 2^\omega$  such that  $C$  does not PACi reduce to  $C'$  and also  $C'$  does not PACi reduce to  $C$  (i.e.  $C \not\leq_{\text{PACi}} C'$  and  $C' \not\leq_{\text{PACi}} C$ ).*

# Proof:

The two concept classes  $C$  and  $C'$  are constructed over the instance spaces  $X$  and  $X'$  respectively. Let  $\{h_t | t \in \mathbb{N}\}$  enumerate the set of all computable functions from  $\mathbb{N} \rightarrow \mathbb{N}$ .

Requirements :  $R_{2t}$  : there exists  $c \in C$  such that  $h_t(c) \notin C'$

$R_{2t+1}$  : there exists  $c' \in C'$  such that  $h_t(c') \notin C$

# Satisfying one requirement

Consider  $R_{2t}$ .

To satisfy the requirement  $R_{2t}$  we will attach a potential witness  $c$ : a concept, to  $R_{2t}$  which is not yet enumerated in  $C$ .

We choose  $c$  such that  $c$  is an index for a tree.

## Satisfying one requirement cont.

At stage  $s$  pick a  $c$  such that  $c \notin B_s$  and  $c \notin C_s$  and  $h_t(c) \notin C'_s$ .

Let  $B_s$  be the set of all trees that can not be enumerated in  $C_s$ .

We will enumerate  $c$  in  $C_s$  and enumerate  $h_t(c)$  in  $A_s$ .

Let  $A_s$  be the set of all trees that can not be enumerated in  $C'$ .

Thus we restrain the tree  $h_t(c)$  later entering to  $C'$ .

This is achieved by checking the condition,  $c' \notin A_{s+1}$ .

# Satisfying one requirement cont.

Since  $C_s \not\leq_{PACi} C'_s$  we have  $C \not\leq_{PACi} C'$ .

The strategy for  $R_{2t+1}$  is the same but with roles of  $C_s$  and  $C'_s$  reversed.

We call the sets  $A$  and  $B$  as restraint sets.

# Construction of the two concept classes, $C$ and $C'$ .

Let  $X = X' = 2^\omega$ .

Let  $\{c_n\}_{n=1}^\infty$  be a family of trees, where  $c_n$  has  $n$  number of 1's and followed by zeros. In this sequence each of these trees  $c_n$ , consists of a single infinite path.



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**Stage  $s = 0$ :** Let  $C_0 = C'_0 = \phi$  and  $A_0 = B_0 = \phi$ .

**Stage  $s + 1$  :**

Requirement  $R_{2t}$  requires attention if, we have not enumerated a witness,  $c \in C$  for the requirement  $R_{2t}$ .

Requirement  $R_{2t+1}$  requires attention if, we have not enumerated a witness,  $c' \in C'$  for the requirement  $R_{2t+1}$ .

# Construction of the two concept classes, $C$ and $C'$ .

## Cont.

Chose least  $i \leq s$  such that  $R_i$  requires attention.

Suppose  $i = 2t$ . Now  $R_{2t}$  receives attention. Pick a tree  $c$  from the family  $\{c_n\}$  defined above such that  $c \notin C_s$  and  $c \notin B_s$  and  $h_t(c) \notin C'_s$ . Enumerate  $c \in C_{s+1}$  and  $h_t(c)$  in  $A_{s+1}$ .

# Construction of the two concept classes, $C$ and $C'$ .

## Cont.

Chose least  $i \leq s$  such that  $R_i$  requires attention.

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Suppose  $i = 2t + 1$ . Now  $R_{2t+1}$  receives attention. Pick a tree  $c'$  from the family  $\{c_n\}$  such that  $c' \notin C'_s$  and  $c' \notin A_s$  and  $h_t(c') \notin C_s$ . Then enumerate  $c' \in C'_{s+1}$  and  $h_t(c')$  in  $B_{s+1}$ .

At each stage we will be checking through finite amount of trees in  $C_s$ ,  $C'_s$ ,  $A_s$  or  $B_s$ .

When a requirement is satisfied at stage  $s$  it will remain satisfied forever.

To show there exists two effective concept classes of PAC incomparable degree

Thank you!