Effective coding and decoding in classes of structures MSRI Computability Seminar

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Joint work with J. Knight and S. Vatev

 $^{^1}Supported$ by Bulgarian National Science Fund DN 02/16 /19.12.2016, FNI-SU 80-10-128/16.04.2020 and NSF grant DMS 1600625/2016 $_{\odot}$ $_{\odot}$ $_{\odot}$ $_{\odot}$ $_{\odot}$ $_{\odot}$ $_{\odot}$ $_{\odot}$ $_{\odot}$ $_{\odot}$

Classification problem in classes of structures

There is a body of work in mathematical logic dealing with comparing the complexity of the classification problem for various classes of structures.

- (Model Theory) By looking at the cardinality of the set of isomorphism types, we know that the classification problem for the class of countable linear orderings (2^{\aleph_0} many isomorphism types) must be more complicated than the classification problem for the class of Q-vector spaces (\aleph_0 many isomorphism types)
- (Descriptive Set Theory) Using Borel embeddings and the \leq_B partial ordering induced by the embeddings, we can make distinctions among classes with 2^{\aleph_0} many isomorphism types. For instance, we know that the class of Abelian p-groups of length ω lies strictly below the class of countable linear orderings in the \leq_B partial ordering.

Coding and decoding in classes of structures

- There are familiar ways of coding one structure in another, and for coding members of one class of structures in those of another class.
- Sometimes the coding is effective.
- Assuming this, it is interesting when there is effective decoding, and and it is also interesting when decoding is very difficult.

We consider some formal notions that describe coding and decoding, and test the notions in some examples.

Conventions

- Languages L are computable.
- 2 Structures have universe ω .
- **3** We may identify the structure A with D(A).
- $oldsymbol{0}$ Classes $\mathcal K$ are closed under isomorphism.
- **3** We suppose that $\mathcal K$ is axiomatized by an $L_{\omega_1\omega}$ sentence of L. (By a result of López-Escobar, this is the same as assuming that $\mathcal K$ is a Borel subclass of Mod(L) closed under isomorphism.)

Borel embedding

Definition (Friedman, Stanley, 1989)

We say that a class \mathcal{K} of structures is *Borel embeddable* in a class of structures \mathcal{K}' , and we write $\mathcal{K} \leq_B \mathcal{K}'$, if there is a Borel function $\Phi: \mathcal{K} \to \mathcal{K}'$ such that for $\mathcal{A}, \mathcal{B} \in \mathcal{K}$, $\mathcal{A} \cong \mathcal{B}$ iff $\Phi(\mathcal{A}) \cong \Phi(\mathcal{B})$.

The notion of Borel embedding gives a partial ordering \leq_B . If any class of structures could be Borel embedded in a class \mathcal{K} we say that \mathcal{K} is on the top of \leq_B .

Note: We could have a uniform Borel procedure for coding structures from structures of class $\mathcal K$ in structures from $\mathcal K'$. As we shall see, there may or may not be a Borel decoding procedure.

On top under \leq_B

Theorem

The following classes lie on top under \leq_B .

- undirected graphs (Lavrov,1963; Nies, 1996; Marker, 2002)
- fields of any fixed characteristic (Friedman-Stanley; R. Miller-Poonen-Schoutens-Shlapentokh, 2018)
- 3 2-step nilpotent groups (Mekler, 1981; Mal'tsev, 1949)
- Iinear orderings (Friedman-Stanley)
- Boolean algebras (Carmelo-Gao, 2001)

Friedman and Stanley: if a class is on top, then its isomorphism problem must be Σ^1_1 -complete. Ex. Torsion abelian groups are an interesting class: their isomorphism problem is Σ^1_1 -complete, but they are not on top for Borel reducibility. If torsion-free abelian groups are on top was stated as an open question then and remains so.

Graphs \leq_B Fields

Example

Let F^* be an algebraically closed field with transcendence basis b_0, b_1, b_2, \ldots

For a graph G, let F(G) be the subfield generated by the following:

- $lackbox{1}{\bullet} b_i$, for $i \in G$,
- \bigcirc elements of $acl(b_i)$,
- **3** $\sqrt{d+d'}$, where for some i,j joined by an edge in G, d is inter-algebraic with b_i and d' is inter-algebraic with b_j .

The formulas that define the interpretation are computable Σ_3 or simpler. Hence, for any $F \cong F(G)$, we get a copy of G computable in F''.

Computable and Turing computable embeddings

Calvert - Cummins - Knight -S. Miller, 2004:

Definition

We say that a class \mathcal{K} is *Turing computably embedded* in a class \mathcal{K}' , and we write $\mathcal{K} \leq_{tc} \mathcal{K}'$, if there is a Turing operator $\Phi : \mathcal{K} \to \mathcal{K}'$ such that for all $\mathcal{A}, \mathcal{B} \in \mathcal{K}$, $\mathcal{A} \cong \mathcal{B}$ iff $\Phi(\mathcal{A}) \cong \Phi(\mathcal{B})$.

Definition

We say that a class \mathcal{K} is *computably embedded* in a class \mathcal{K}' , and we write $\mathcal{K} \leq_c \mathcal{K}'$, if there is an enumeration operator $\Psi: \mathcal{K} \to \mathcal{K}'$ such that for all $\mathcal{A}, \mathcal{B} \in \mathcal{K}$, $\mathcal{A} \cong \mathcal{B}$ iff $\Psi(\mathcal{A}) \cong \Psi(\mathcal{B})$.

Here $\Psi(A) = \{ \varphi \mid (\exists \alpha \subseteq D(A))(\alpha, \varphi) \in W \}$, for some some c.e. W.

The notions of Turing computable embedding and the computable embedding capture in a precise way the idea of uniform effective coding.

Properties of \leq_{tc} and \leq_{c}

Proposition

Let $\mathcal{K} \leq_c \mathcal{K}'$ by an enumeration operator Ψ . If $\mathcal{A} \subseteq \mathcal{B} \in \mathcal{K}$, then $\Psi(\mathcal{A}) \subseteq \Psi(\mathcal{B})$.

For the class of prime fields PF and finite linear orderings FLO we have $PF \leq_c FLO$, but $FLO \not\leq_c PF$.

For the class of *Q*-vector spaces *VS* and linear orderings *LO* we have $VS \leq_{tc} LO$, but $LO \not\leq_{tc} VS$.

Theorem (Pull Back theorem, Knight, S. Miller, Boom, 2007)

Let $\mathcal{K} \leq_{tc} \mathcal{K}'$ by Turing operator Φ . Fore every computable infinitary formula φ of the language of \mathcal{K}' there is a computable infinitary formula φ^* of the language of \mathcal{K} of the same complexity, such that for all $\mathcal{A}, \in \mathcal{K}$ $\mathcal{A} \models \varphi^* \iff \Phi(\mathcal{A}) \models \varphi$.

Proposition (Kalimullin, Greenberg)

 $\mathcal{K} \leq_c \mathcal{K}' \Rightarrow \mathcal{K} \leq_{tc} \mathcal{K}'$. (The converse fails - $\{1,2\} \leq_{tc} \{\omega,\omega^*\}$)

On top under \leq_{tc}

Theorem

The following classes lie on top under \leq_{tc} .

- undirected graphs
- g fields of any fixed characteristic
- **3** 2-step nilpotent groups
- Iinear orderings

The Borel embeddings of Friedman and Stanley, R. Miller, Poonen, Schoutens and Shlapentokh, Lavrov, Nies, Marker, Mekler, and Mal'tsev are all, in fact, Turing computable.

Directed graphs \leq_{tc} undirected graphs

Example (Marker)

For a directed graph G the undirected graph $\Theta(G)$ consists of the following:

- For each point a in G, $\Theta(G)$ has a point b_a connected to a triangle.
- ② For each ordered pair of points (a; a') from G, $\Theta(G)$ has a special point $p_{(a,a')}$ connected directly to b_a and with one stop to b'_a .
- **3** The point $p_{(a,a')}$ is connected to a square if there is an arrow from a to a', and to a pentagon otherwise.

For structures ${\cal A}$ with more relations, the same idea works.

Decoding via nice defining formulas

Fact: For Marker's embedding Θ , we have finitary existential formulas that, for all directed graphs G, define in $\Theta(G)$ the following.

- \bullet the set of points b_a connected to a triangle,
- 2 the set of ordered pairs such that the special point $p_{(a,a')}$ is part of a square,
- the set of ordered pairs $(b_a, b_{a'})$ such that the special point $p_{(a,a')}$ is part of a pentagon.

This guarantees a uniform effective procedure that, for any copy of $\Theta(G)$, computes a copy of G. We have uniform effective decoding.

Completeness for degree spectrum and dimensions

Hirschfeldt - Khoussainov - Shore - Slinko, 2002.

A class of structures K is complete with respect to degree spectra, effective dimensions, expansion by constants, and degree spectra of relations (HKSS-complete) if for every structure \mathcal{B} (in a computable language), there is a structure $A \in \mathcal{K}$ with the following properties:

- $\mathbf{O} DS(\mathcal{A}) = DS(\mathcal{B}).$
- - **1** A has the same **d**-computable dimension as \mathcal{B} . (the number of computable presentations up to **d**-computable isomorphism)
 - **9** If $b \in \mathcal{B}$, there is an $a \in \mathcal{A}$ such that (\mathcal{A}, a) has the same computable dimension as (\mathcal{B}, b) .
 - **9** If $S \subseteq \mathcal{B}$, there exists $U \subseteq \mathcal{A}$ such that $DS_{\mathcal{A}}(U) = DS_{\mathcal{B}}(S)$ and if S is intrinsically c.e., then so is U.

The undirected graphs, partial orderings, lattices, rings (with zerodivisors), integral domains of arbitrary characteristic, commutative semigroups, and 2-step nilpotent groups are all HKSS-complete. Recall that the degree spectrum DS(A) of A is the set of Turing degrees of all presentation of a structure A.

Medvedev reducibility

A problem is a subset of 2^{ω} or ω^{ω} .

Problem P is Medvedev reducible to problem Q if there is a Turing operator Φ that takes elements of Q to elements of P.

Definition

We say that \mathcal{A} is *Medvedev reducible* to \mathcal{B} , and we write $\mathcal{A} \leq_s \mathcal{B}$, if there is a Turing operator that takes copies of \mathcal{B} to copies of \mathcal{A} .

Supposing that \mathcal{A} is coded in \mathcal{B} , a Medvedev reduction of \mathcal{A} to \mathcal{B} represents an effective decoding procedure.

For classes \mathcal{K} and \mathcal{K}' , suppose that $\mathcal{K} \leq_{tc} \mathcal{K}'$ via Θ . A uniform effective decoding procedure is a Turing operator Φ s.t. for all $\mathcal{A} \in \mathcal{K}$, Φ takes copies of $\Theta(\mathcal{A})$ to copies of \mathcal{A} .

Effective interpretability

Definition (Montlbán)

A structure $\mathcal{A} = (A, R_i)$ is *effectively interpreted* in a structure \mathcal{B} if there is a set $D \subseteq \mathcal{B}^{<\omega}$ and relations \sim and R_i^* on D, such that

- ② there are computable Σ_1 -formulas with no parameters defining a set $D \subseteq \mathcal{B}^{<\omega}$ and relations $(\neg) \sim$ and $(\neg)R_i^*$ in \mathcal{B} (effectively determined).

Example

The usual definition of the ring of integers $\mathbb Z$ involves an interpretation in the semi-ring of natural numbers $\mathbb N$. Let D be the set of ordered pairs (m,n) of natural numbers. We think of the pair (m,n) as representing the integer m-n. We can easily give finitary existential formulas that define ternary relations of addition and multiplication on D, and the complements of these relations, and a congruence relation \sim on D, and the complement of this relation, such that $(D,+,\cdot)/_{\sim}\cong \mathbb Z$.

Computable functor

Definition (R. Miller)

A computable functor from \mathcal{B} to \mathcal{A} is a pair of Turing operators Φ, Ψ such that Φ takes copies of \mathcal{B} to copies of \mathcal{A} and Ψ takes isomorphisms between copies of \mathcal{B} to isomorphisms between the corresponding copies of \mathcal{A} , so as to preserve identity and composition.

More precisely, Ψ is defined on triples $(\mathcal{B}_1, f, \mathcal{B}_2)$, where $\mathcal{B}_1, \mathcal{B}_2$ are copies of \mathcal{B} with $\mathcal{B}_1 \cong_f \mathcal{B}_2$.

Equivalence

The main result gives the equivalence of the two definitions.

Theorem (Harrison-Trainor - Melnikov - R. Miller - Montalbán 2017)

For structures \mathcal{A} and \mathcal{B} , \mathcal{A} is effectively interpreted in \mathcal{B} iff there is a computable functor Φ, Ψ from \mathcal{B} to \mathcal{A} .

Note: In the proof, it is important that D consist of tuples of arbitrary arity.

Corollary

If A is effectively interpreted in B, then $A \leq_s B$.

Coding and Decoding

Proposition (Kalimullin, 2010)

There exist \mathcal{A} and \mathcal{B} such that $\mathcal{A} \leq_s \mathcal{B}$ but \mathcal{A} is not effectively interpreted in \mathcal{B} .

There exist \mathcal{A} and \mathcal{B} such that \mathcal{A} is effectively interpreted in (\mathcal{B}, \bar{b}) but \mathcal{A} is not effectively interpreted in \mathcal{B} .

Proposition

If $\mathcal A$ is computable, then it is effectively interpreted in all structures $\mathcal B.$

Proof.

Let $D=\mathcal{B}^{<\omega}$. Let $\bar{b}\sim\bar{c}$ if \bar{b},\bar{c} are tuples of the same length. For simplicity, suppose $\mathcal{A}=(\omega,R)$, where R is binary. If $\mathcal{A}\models R(m,n)$, then $R^*(\bar{b},\bar{c})$ for all \bar{b} of length m and \bar{c} of length n. Thus, $(D,R^*)/_{\sim}\cong\mathcal{A}$.

Interpretations by more general formulas

We may consider interpretations of \mathcal{A} in \mathcal{B} , where D, $\pm \sim$, and $\pm R_i^*$ are defined in \mathcal{B} by Σ_2^c formulas, and we have $(D, (R_i^*)_{i \in \omega})/_{\sim} \cong \mathcal{A}$.

Baleva, Soskov, S., Montalbán, Stukachev. The *jump* of \mathcal{A} is a structure $\mathcal{A}' = (\mathcal{A}, (R_i)_{i \in \omega})$, where R_i is the relation defined in \mathcal{A} by the i^{th} Σ_1^c formula. We can iterate the jump, forming $\mathcal{A}'' = (\mathcal{A}')'$, etc.

- For a structure \mathcal{A} , the jump is a structure \mathcal{A}' such that the relations defined in \mathcal{A}' by Σ_1^c formulas are just those defined in \mathcal{A} by Σ_2^c formulas.
- **②** For a structure A, the jump structure A' is computed by D(A)'.
- **3** The relations defined in \mathcal{A}'' by Σ_1^c formulas are just those defined in \mathcal{A} by Σ_3^c formulas.

Borel interpretability

Harrison-Trainor - R. Miller - Montlbán, 2018, defined Borel versions of the notion of effective interpretation and computable functor.

Definition

- For a Borel interpretation of $\mathcal{A}=(A,R_i)$ in \mathcal{B} the set $D\subseteq \mathcal{B}^{<\omega}$ the relations \sim and R_i^* on D, are definable by formulas of $L_{\omega_1\omega}$.
- **2** For a Borel functor from $\mathcal B$ to $\mathcal A$, the operators Φ and Ψ are Borel.

Note if $R \subseteq \mathcal{B}^{<\omega}$, and we have a countable sequence of $L_{\omega_1\omega}$ -formulas $\varphi_n(\bar{x}_n)$ defining $R \cap \mathcal{B}^n$, then we refer to $\bigvee_n \varphi_n(\bar{x}_n)$ as an $L_{\omega_1\omega}$ definition of R.

Their main result gives the equivalence of the two definitions.

Theorem

A structure \mathcal{A} is interpreted in \mathcal{B} using $L_{\omega_1\omega}$ -formulas iff there is a Borel functor Φ, Ψ from \mathcal{B} to \mathcal{A} .

Graphs and linear orderings

Graphs and linear orderings both lie on top under Turing computable embeddings.

Graphs also lie on top under effective interpretation.

Question: What about linear orderings under effective interpretation?

And under using $L_{\omega_1\omega}$ -formulas?

Interpreting graphs in linear orderings

Proposition (Knight-S.-Vatev)

There is a graph G such that for all linear orderings L, $G \not\leq_s L$.

Proof.

Let S be a non-computable set. Let G be a graph such that every copy computes S.

We may take G to be a "daisy" graph", consisting of a center node with a "petal" of length 2n + 3 if $n \in S$ and 2n + 4 if $n \notin S$.

Now, apply:

Proposition (Richter)

For a linear ordering L, the only sets computable in all copies of L are the computable sets.



Interpreting a graph in the jump of linear ordering

Proposition (Knight-S.-Vatev)

There is a graph G such that for all linear orderings L, $G \not\leq_s L'$.

Proof.

Let S be a non- Δ_2^0 set. Let G be a graph such that every copy computes S. Then apply:

Proposition (Knight, 1986)

For a linear ordering L, the only sets computable in all copies of L' (or in the jumps of all copies of L), are the Δ_2^0 sets.



Interpreting a graph in the second jump of linear ordering

Proposition

For any set S, there is a linear ordering L such that for all copies of L, the second jump computes S.

We may take L to be a "shuffle sum" of the discrete order of type n+1 for every $n \in S \oplus S^c = \{2k \mid k \in S\} \cup \{2k+1 \mid k \not\in S\}$ and order type ω (densely many copies of each of these orderings). Then we have a pair of finitary Σ_3 formulas saying that $n \in S$ if L has a maximal discrete set of size 2n+1 and $n \not\in S$ if L has a maximal discrete set of size 2n+2. It follows that any copy of L'' uniformly computes the set S.

Proposition (Knight-S.-Vatev)

For any graph G, there is a linear ordering L such that $G \leq_s L''$.

Let S be the diagram of a specific copy of G and let L be a linear order such that $S \leq_S L''$. Then $G \leq_S L''$.

Friedman-Stanley embedding of graphs in orderings

Friedman and Stanley determined a Turing computable embedding $L:G\to L(G)$, where L(G) is a sub-ordering of $Q^{<\omega}$ under the lexicographic ordering.

- **1** Let $(A_n)_{n\in\omega}$ be an effective partition of $\mathbb Q$ into disjoint dense sets.
- **2** Let $(t_n)_{1 \le n}$ be a list of the atomic types in the language of directed graphs.

Definition

For a graph G, the elements of L(G) are the finite sequences $r_0q_1r_1\ldots r_{n-1}q_nr_nk\in\mathbb{Q}^{<\omega}$ such that for i< n, $r_i\in A_0$, $r_n\in A_1$, and for some $a_1,\ldots,a_n\in G$, satisfying t_m , $q_i\in A_{a_i}$ and k< m.

No uniform interpretation of G in L(G)

Theorem (Knight-S.-Vatev)

There are no $L_{\omega_1\omega}$ formulas that, for all graphs G, interpret G in L(G).

The idea of Proof: We may think of an ordering as a directed graph. It is enough to show the following.

Proposition

- A ω_1^{CK} is not interpreted in $L(\omega_1^{CK})$ using computable infinitary formulas.
- B For all X, ω_1^X is not interpreted in $L(\omega_1^X)$ using X-computable infinitary formulas.

Proof of A

The Harrison ordering H has order type $\omega_1^{CK}(1+\eta)$. It has a computable copy.

Let I be the initial segment of H of order type ω_1^{CK} . Thinking of H as a directed graph, we can form the linear ordering L(H). We consider $L(I) \subseteq L(H)$.

Lemma

L(I) is a computable infinitary elementary substructure of L(H).

Proposition (Main)

There do not exist computable infinitary formulas that define an interpretation of H in L(H) and an interpretation of I in L(I).

To prove A, we suppose that there are computable infinitary formulas interpreting ω_1^{CK} in $L(\omega_1^{CK})$. Using Barwise Compactness theorem, we get essentially H and I with these formulas interpreting H in L(H) and I in L(I).

Proof of the Proposition(Main)

Lemma

- For any $\bar{b} \in L(I)$, and $c \in L(I)$ there is an automorphism of L(I) taking \bar{b} to a tuple \bar{b}' entirely to the right of c.
- **②** For any $\bar{b} \in L(I)$, and $c \in L(I)$ there is also an automorphism taking \bar{b} to a tuple \bar{b}'' entirely to the left of c.

Lemma

Suppose that we have computable Σ_{γ} formulas D, \otimes and \sim , defining an interpretation of H in L(H) and I in L(I). Then in $D^{L(I)}$ there is a fixed n, and there are n-tuples, all satisfying the same Σ_{γ} formulas, and representing arbitrarily large ordinals $\alpha < \omega_1^{CK}$.

We arrive at a contradiction by producing tuples $\bar{b}, \bar{b}', \bar{c}$ in $D^{L(I)}, \bar{b}$ and \bar{b}' are automorphic, \bar{b}, \bar{c} and \bar{c}, \bar{b}' satisfy the same computable Σ_{γ} formulas, and the ordinal represented by \bar{b} and \bar{b}' is smaller than that represented by \bar{c} . Then \bar{b}, \bar{c} should satisfy \otimes , while \bar{c}, \bar{b}' should not.

Conjecture

We believe that Friedman and Stanley did the best that could be done.

Conjecture. For any Turing computable embedding Θ of graphs in orderings, there do not exist $L_{\omega_1\omega}$ formulas that, for all graphs G, define an interpretation of G in $\Theta(G)$.

M. Harrison-Trainor and A. Montlbán came to a similar result recently by a totally different construction. Their result is that there exist structures which cannot be computably recovered from their tree of tuples. They proved:

- There is a structure $\mathcal A$ with no computable copy such that $T(\mathcal A)$ has a computable copy.
- ② For each computable ordinal α there is a structure \mathcal{A} such that the Friedman and Stanley Borel interpretation $L(\mathcal{A})$ is computable but \mathcal{A} has no Δ^0_{α} copy.

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THANK YOU

Effectively bi-interpretability

If \mathcal{B} is effectively interpreted in \mathcal{A} , we write $\mathcal{B}^{\mathcal{A}}$ for the copy of \mathcal{B} given by the effective interpretation of \mathcal{B} in \mathcal{A} .

Definition (Montalbán)

Structures A and B are effectively bi-interpretable if we have effective interpretations of \mathcal{A} in \mathcal{B} and of \mathcal{B} in \mathcal{A} such that there are uniformly relatively intrinsically computable isomorphisms from \mathcal{A} to $\mathcal{A}^{\mathcal{B}^{\mathcal{A}}}$ and from \mathcal{B} to $\mathcal{B}^{\mathcal{A}^{\mathcal{B}}}$

Theorem

For every structure A, there is a graph G_A that is effectively-bi-interpretable with A.

Definition

A class \mathcal{K} is reducible to \mathcal{K}' via effective-bi-interpretability if there are Σ_1^c formulas such that for every $A \in \mathcal{K}$, there is a $\mathcal{B} \in \mathcal{K}'$ such that A and Bare effectively-bi-interpretable using those formulas.

Effectively bi-interpretability

Montalbán: Let \mathcal{A} and \mathcal{B} are effectively bi-interpretable. Then

- ② \mathcal{A} is \exists -atomic if and only if \mathcal{B} is. (for every tuple $\bar{a} \in \mathcal{A}$, there is an \exists -formula which defines the automorphism orbit of \bar{a} .)
- $oldsymbol{3}$ \mathcal{A} is rigid if and only if \mathcal{B} is.
- The automorphism groups of $\mathcal A$ and $\mathcal B$ are isomorphic.
- $oldsymbol{\circ}$ \mathcal{A} is computably categorical if and only if \mathcal{B} is.
- $oldsymbol{0}$ \mathcal{A} and \mathcal{B} have the same computable dimension.
- $\mathcal A$ has the c.e. extendibility condition if and only if $\mathcal B$ does. (each \exists -type realized in $\mathcal A$ is c.e.)
- **1** The index sets of \mathcal{A} and \mathcal{B} are Turing equivalent, provided \mathcal{A} and \mathcal{B} are infinite.

On top: undirected graphs, partial orderings, and lattices(Hirschfeldt, Khoussainov, Shore and Slinko), fileds(R. Miller, Park, Poonen, Schoutens, and Shlapentokh).