# The relativistic Euler equations with a physical vacuum boundary

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#### Water Wave / Interface Problems

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The study of free-boundary fluids (incompressible, compressible, inviscid, viscous, classical, relativistic,...) is a very active field of research (several authors).

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Understanding the dynamics of  $\Gamma$  is essential in free-boundary problems.

## The relativistic Euler equations

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The relativistic Euler eqs. describe an *ideal* fluid in regimes where Einstein's theory of relativity cannot be neglected. E.g., fluids at speeds near the speed of light (relativistic plasma, accretion disks,...) or under strong gravitational fields (neutron stars,...). The **relativistic Euler equations** can be written as

$$u^{\mu}\partial_{\mu}\varrho + (p+\varrho)\partial_{\mu}u^{\mu} = 0, \tag{1a}$$

$$(p+\varrho)u^{\mu}\partial_{\mu}u^{\alpha} + \Pi^{\alpha\mu}\partial_{\mu}p = 0,$$
(1b)

$$g_{\alpha\beta}u^{\alpha}u^{\beta} = -1, \qquad (1c)$$

where:  $\partial_{\mu} := \frac{\partial}{\partial x^{\mu}}$ ;  $\{x^{\mu}\}_{\mu=0}^{3}$  are coordinates in spacetime,  $t := x^{0}$ ,  $x = (x^{1}, x^{2}, x^{3})$ ; Greek indices  $= 0, \ldots, 3$ , Latin indices  $= 1, \ldots, 3$ , sum convention;  $\varrho = \varrho(t, x)$  is the fluid's (energy) density; u = u(t, x) is the fluid's (four-)velocity;  $p = p(\varrho)$  is the fluid's pressure (equation of state); g is the Minkowski metric;  $\Pi$  is the projection onto the orthogonal (w.r.t. g) to u.

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(1c): constraint propagated by the flow.

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(Kinematic boundary condition: u is tangent to  $\Gamma$ .)

The fluid's sound speed  $c_s$  is defined as

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- A faster decay would cause the boundary to simply move independently and linearly with the outer particle's speed.
- A slower decay rate would lead to a very singular problem, likely causing an infinite initial acceleration of the boundary.

The physical vacuum boundary condition  $c_s^2(t, x) \approx \operatorname{dist}(x, \Gamma_t)$  implies that linear waves with speed  $c_s$  reach the boundary in finite time. Thus, the motion of the boundary is strongly coupled to the wave evolution and is *not* just a self-contained evolution at leading order.

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A standard choice of equation of state for a physical vacuum is

$$p(\varrho) = \varrho^{\kappa+1}, \, \kappa > 0,$$

which we henceforth assume.

• Good nonlinear variables: diagonalize the system with respect to the material derivative  $D_t := \partial_t + \frac{u^i}{u^0} \partial_i$  (choice of spacetime foliation); tailored to the characteristics.

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- Derive energy estimates estimates for  $D_t^N$ (good nonlinear): satisfies linearized equation with good perturbative terms. Connect to full derivatives: elliptic estimates.
- Regularization + time discretization to obtain solutions.

#### Diagonalizing the system

The rescaled velocity  $v := f(\varrho)u$  satisfies

$$\frac{p+\varrho}{f}u^{\mu}\partial_{\mu}v^{i} + c_{s}^{2}g^{i\mu}\partial_{\mu}\varrho + \left(-\frac{f'}{f}(p+\varrho) + c_{s}^{2}\right)u^{i}u^{\mu}\partial_{\mu}\varrho = 0$$

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The vorticity  $\omega_{\alpha\beta} := (d_{st}v)_{\alpha\beta}$  satisfies

$$v^{\mu}\partial_{\mu}\omega_{\alpha\beta} + \partial_{\alpha}v^{\mu}\omega_{\mu\beta} + \partial_{\beta}v^{\mu}\omega_{\alpha\mu} = 0, \quad v^{\mu}\omega_{\mu\alpha} = 0.$$

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This change of variables also diagonalizes the  $\rho$ -equation:

$$v^{\mu}\partial_{\mu}\varrho + (p+\varrho)\left(\delta^{ij} - \frac{v^{i}v^{j}}{(v^{0})^{2}}\right)\partial_{i}v_{j} - c_{s}^{2}f^{2}v^{i}\partial_{i}\varrho = 0.$$

(Omitting O(1) coefficients throughout.)

## The good variables

For 
$$p(\varrho) = \varrho^{\kappa+1}$$
,  $v := (1 + \varrho^{\kappa})^{1+\frac{1}{\kappa}}u$ ,  $r := \frac{\kappa+1}{\kappa}\varrho^{\kappa}$  (sound speed<sup>2</sup>),  
 $D_t r + rG^{ij}\partial_i v_j + rv^i\partial_i r = 0,$  (2a)  
 $D_t v_i + \partial_i r = 0,$  (2b)

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The term  $rv^i\partial_i r$  in (2a) can roughly be viewed as a perturbation; energy estimates: multiply by s and integrate

$$\int_{\Omega_t} srv^i \partial_i s \, dx = \frac{1}{2} \int_{\Omega_t} rv^i \partial_i s^2 \, dx \lesssim \|s\|_{L^2(\Omega_t)}^2.$$

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## Function spaces

The linearized equations admit the following energy  $(r \rightsquigarrow s, v \rightsquigarrow w)$ :

$$\|(s,w)\|_{\mathcal{H}}^2 := \int_{\Omega_t} r^{\frac{1-\kappa}{\kappa}} (s^2 + rG^{ij}w_jw_i) \, dx.$$

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$$\begin{split} \|(s,w)\|_{\mathcal{H}^{2k}}^{2} &:= \sum_{|\alpha|=0}^{2k} \sum_{\substack{a=0\\|\alpha|=a \le k}}^{k} \|r^{\frac{1-\kappa}{2\kappa}+a} \partial^{\alpha}s\|_{L^{2}(\Omega_{t})}^{2} \\ &+ \sum_{|\alpha|=0}^{2k} \sum_{\substack{a=0\\|\alpha|=a \le k}}^{k} \|r^{\frac{1-\kappa}{2\kappa}+\frac{1}{2}+a} \partial^{\alpha}w\|_{L^{2}(\Omega_{t})}^{2} \\ &\sim \|r^{\frac{1-\kappa}{2\kappa}+k} \partial^{2k}s\|_{L^{2}(\Omega_{t})} + \|r^{\frac{1-\kappa}{2\kappa}+\frac{1}{2}+k} \partial^{2k}w\|_{L^{2}(\Omega_{t})}. \end{split}$$

### Ignoring ${\cal O}(1)$ terms, equations

$$D_t r + r G^{ij} \partial_i v_j + r v^i \partial_i r = 0,$$
  
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$$\begin{split} (\partial_t + v^i \partial_i)r + r \delta^{ij} \partial_i v_j + r v^i \partial_i r &= 0, \\ (\partial_t + v^j \partial_j) v_i + \partial_i r &= 0. \end{split}$$

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The resulting equations admit the scaling law:

$$(r(t,x),v(t,x))\mapsto \left(\lambda^{-2}r(\lambda t,\lambda^2 x),\lambda^{-1}v(\lambda t,\lambda^2 x)\right).$$

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From this we determined the critical space  $\mathcal{H}^{2k_0}$  where

$$2k_0 = 3 + 1 + \frac{1}{\kappa}.$$

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### Theorem: LWP

Define the phase space

$$\mathbf{H}^{2k} := \left\{ (r, v) \,|\, (r, v) \in \mathcal{H}^{2k} \right\}.$$

Equations

$$D_t r + r G^{ij} \partial_i v_j + r v^i \partial_i r = 0,$$
  
$$D_t v_i + \partial_i r = 0,$$

are locally well-posed in  $\mathbf{H}^{2k}$  for data  $(\mathring{r},\mathring{v})\in\mathbf{H}^{2k}$  provided that

$$\mathring{r}(x) \approx \operatorname{dist}(x, \partial \Omega_0), \quad \Omega_0 = \{\mathring{r} > 0\},$$

 $\mathsf{and}$ 

$$2k > 2k_0 + 1, \ 2k_0 = 3 + 1 + \frac{1}{\kappa}.$$

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### Time-dependent control norms

We introduce the following control norms:

$$\begin{split} A &:= \|\nabla r - N\|_{L^{\infty}(\Omega_{t})} + \|v\|_{\dot{C}^{\frac{1}{2}}(\Omega_{t})},\\ B &:= A + \|\nabla r\|_{\tilde{C}^{\frac{1}{2}}(\Omega_{t})} + \|\nabla v\|_{L^{\infty}(\Omega_{t})},\\ \text{where} \quad \|\nabla r\|_{\tilde{C}^{\frac{1}{2}}(\Omega_{t})} &:= \sup_{\substack{x,y \in \Omega_{t} \\ x \neq y}} \frac{|\nabla r(x) - \nabla r(y)|}{r(x)^{\frac{1}{2}} + r(y)^{\frac{1}{2}} + |x - y|^{\frac{1}{2}}}. \end{split}$$

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N: given vectorfield such that  $\nabla r(x_0) = N(x_0)$ ; can make A small working in a small neighborhood of  $x_0$  ( $\|\nabla r\|_{L^{\infty}(\Omega_t)}$  cannot be made small).

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$$\begin{split} \|\nabla r\|_{\tilde{C}^{\frac{1}{2}}(\Omega_{t})} &: \text{ like } \dot{C}^{\frac{3}{2}} \text{ but weaker (only one derivative away from } \Gamma_{t}). \\ A \text{ with } \mathcal{H}^{2k_{0}}, A \lesssim \|(r, v)\|_{\mathbf{H}^{2k}}, 2k > 2k_{0} = 3 + 1 + \frac{1}{\kappa}. \\ B \text{ with } \mathcal{H}^{2k_{0}+1}, B \lesssim \|(r, v)\|_{\mathbf{H}^{2k}}, 2k > 2k_{0} + 1 = 3 + 2 + \frac{1}{\kappa}. \end{split}$$

### Theorem: continuation criterion

For each integer  $k \ge 0$  there exists an energy functional  $E^{2k} = E^{2k}(r, v)$  with the following properties:

• Coercivity: as long as A remains bounded, we have

$$E^{2k}(r,v) \approx ||(r,v)||_{\mathcal{H}^{2k}}^2.$$

• Energy estimates hold for solutions, i.e.,

$$\frac{d}{dt}E^{2k}(r,v) \lesssim_A B ||(r,v)||^2_{\mathcal{H}^{2k}}.$$

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Therefore,

$$\|(r,v)\|_{\mathcal{H}^{2k}}^2 \lesssim e^{\int_0^t C(A)B(\tau)\,d\tau} \|(\mathring{r},\mathring{v})\|_{\mathcal{H}^{2k}}^2.$$

In particular, the  $\mathbf{H}^{2k}$  solutions can be continued as long as A remains bounded and  $B \in L^1_t(\Omega)$ .

- A priori estimates: Oliynyk ('17) and Ginsberg ('19).
- Local existence and uniqueness: Oliynyk ('19) and Miao, Shahshahani, and Wu ('20): "hard phase".
- First-order symmetric hyperbolic approach for local existence and uniqueness: Trakhinin ('09).

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Recently, good progress in the case of a liquid.

# Previous results: gas under symmetry

- Tolman, Oppenheimer, and Volkoff, TOV eqs ('30s): modeling of a star; spherically symmetric static solutions to the Einstein-Euler system for a fluid body in vacuum; vanishing of the pressure as the correct physical condition on the boundary (gas and liquid).
- Spherically symmetric static solutions of Einstein-Euler: Lindblom ('88), Rendall and Schmidt ('91), Makino ('98).
- Spherical and axisymmetry: Makino ('16, '17): spherical symmetric solutions of Einstein-Euler with a vacuum boundary near equilibrium (TOV). Makino ('18): axisymmetry and slowly rotating (related: Heilig ('95) and Makino ('19)). Hadžić and Lin ('20): "turning point principle" for relativistic stars.

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Much can be said under symmetry assumptions (including considering coupling to Einstein's equations).

• Existence and uniqueness for a gas not satisfying the physical boundary condition: Rendall ('92), Makino and Ukai ('95), LeFloch and Ukai ('09), Brauer and Karp ('14). (Unnatural regularity near the boundary; no acceleration on the boundary).

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• Frame-formalism approach: Friedrich ('98).

A few results have been obtained if one violates the physical vacuum condition.

- A priori estimates: Jang, LeFloch, and Masmoudi ('16), and Hadžić, Shkoller, and Speck ('19). (Results all in Lagrangian coordinates; we work entirely in Eulerian coordinates.)
- Local existence and uniqueness in 1 + 1 dimensions: Oliynyk ('12) (Geometry plays no role, main difficulties are absent).

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$$D_t s + \frac{1}{\kappa} G^{ij} \partial_i r w_j + r G^{ij} \partial_i w_j + r v^i \partial_i s = error,$$
  
$$D_t w_i + \partial_i s = error,$$
(3)

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Want to differentiate (3); bad terms when differentiating the weights r. Not the case if differentiating w.r.t.  $D_t$  because  $D_t r \sim r \partial(r, v)$ .

# The good linearized variables

From the above, want to work with  $(D_t^{\ell}r, D_t^{\ell}v)$ : solve the linearized equations with good perturbative terms?

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Correction terms: define (modified for small N;  $r_0 = r, v_0 = v$ ):

$$(w_N)_i := D_t^N v_i,$$
  
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 $(s_N, w_N)$  are good approximations for solutions of the linearized equation.

Control of the energy: 
$$E^{2k}(r, v) := \sum_{N=0}^{k} ||(s_{2N}, w_{2N})||_{\mathcal{H}}.$$

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Full control of (r, v): use the equations to get  $D_t^{2N}r \sim r^N \partial^{2N}r$ ,  $D_t^{2N}v \sim r^N \partial^{2N}v$ . (Recall the  $\mathcal{H}^{2k}$  norm).

### Second-order transition operators

To establish  $D_t^{2N}(r,v) \sim r^N \partial^{2N}(r,v)$  we use elliptic estimates for:

$$L_1 s := G^{ij} \left( r \partial_i \partial_j + \frac{1}{\kappa} \partial_i r \partial_j s \right),$$
$$(L_2 w)_i := G^{ml} \left( \partial_i (r \partial_m w_l) + \frac{1}{\kappa} \partial_m r \partial_i w_l \right),$$

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Come from wave evolution:  $D_t^2 r \sim L_1 r$ ,  $D_t^2 v \sim L_2 v$ . Elliptic estimates (also  $\omega$ ):

$$\|(s_{2N-2}, w_{2N-2})\|_{\mathcal{H}^{2k-2N+2}} \lesssim \|(s_{2N}, w_{2N})\|_{H^{2k-2N}} + \varepsilon \|(r, v)\|_{\mathcal{H}^{2k}}.$$
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This gives coercivity of the energy:  $E^{2k}(r,v) \gtrsim ||(r,v)||_{\mathcal{H}^{2k}}^2$ .

To establish

$$\frac{d}{dt}E^{2k}(r,v) \lesssim_A B ||(r,v)||^2_{\mathcal{H}^{2k}}$$

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We use that the  $(s_{2N}, w_{2N})$  satisfy the linearized equation with good perturbative terms. Good means that the pertrubative terms can be interpolated with a single factor of B to be controlled by

 $B\|(r,v)\|_{\mathcal{H}^{2k}}^2.$ 

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To control the iteration, we need to translate our energy estimates to purely spatial estimates at a fixed time. We do so by reinterpreting the operators  $D_t^N$  as differential operators at fixed time obtained by reiterating the equations.

Consider the following distance functional (inspired by the linearized eqs.):

$$\mathcal{D}_{\mathcal{H}}((r_1, v_1), (r_2, v_2)) := \int_{\Omega_t} (r_1 + r_2)^{\frac{1-\kappa}{\kappa}} ((r_1 - r_2)^2 + (r_1 + r_2)|v_1 - v_2|^2) \, dx.$$

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Features:

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- $\int_{\Gamma_t} |r_1 + r_2|^{\frac{1}{\kappa} + 2} d\sigma \lesssim \mathcal{D}_{\mathcal{H}}((r_1, v_1), (r_2, v_2)).$

Some natural follow-up questions are the following:

- Inclusion of entropy.
- Expanding relativistic gas (Jang, Hadžić, Rickard,...).

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- Non-relativistic limit.
- Other models (MHD, gravity, viscosity,...).

— Thank you for your attention —

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