Dynamics of groups of birational automorphisms of cubic surfaces and Fatou/Julia decomposition for Painlevé 6

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Toulouse and IUPUI

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Affine cubic surface

 $S_{A,B,C,D} = \{ (x, y, z) \in \mathbb{C}^3 : x^2 + y^2 + z^2 + xyz = Ax + By + Cz + D \}.$

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Every line parallel to the x-axis intersects $S_{A,B,C,D}$ at two points and one can therefore define an involution $s_x : S_{A,B,C,D} \rightarrow S_{A,B,C,D}$ that switches them:

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s_x(x,y,z)=(-x-yz+A,y,z)
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Two further involutions $s_y : S_{A,B,C,D} \to S_{A,B,C,D}$ and $s_z : S_{A,B,C,D} \to S_{A,B,C,D}$ are defined analogously:

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where $Aut(S_{A,B,C,D})$ denotes the group of all biholomorphic self-mappings of $S_{A,B,C,D}$.

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The dynamics of the action of groups $\Gamma_{A,B,C,D}^*$ and $\Gamma_{A,B,C,D}$ on $S_{A,B,C,D}$ and their individual elements have several deep connections, including:

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See, e.g. Cantat Bers and Hénon, Painlevé and Schrödinger 2009.

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Open sets where the group action is properly discontinuous for certain parameters: Hu-Tan-Zhang 2018, Bowditch 1998.

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Cantat-Dujardin Random dynamics on real and complex projective surfaces, 2020.

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More on that later...

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Our paper is devoted to questions about the "pointwise dynamics of the whole group", i.e. to the orbits of individual points, their closures, and more generally to the nature subsets of the complex surface $S_{A,B,C,D}$ that are invariant under Γ ∗ and Γ.

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Does there exist $p \in S_{A,B,C,D}$ so that $\overline{\Gamma(p)} = S_{A,B,C,D}$

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It is pretty easy to show that this holds for the Picard Parameters $(A, B, C, D) = (0, 0, 0, 4)$. Does it hold for any others?

Two dynamical dichotomies

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To do this we will study two dynamical dichotomies:

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- \blacktriangleright Fatou/Julia dichotomy (captures the non-linear aspects of the dynamics)
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The core of this paper lies in the interplay between these two dichotomies.

Moreover, the techniques we develop are quite flexible and should be useful for several other problems.

The Fatou set of the group action Γ is defined as

 $\mathcal{F}_{A,B,C,D} = \{p \in S_{A,B,C,D} : \Gamma \text{ forms a normal family in open neighborhood of } p\}.$

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The Julia set of the group action Γ is defined as

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\mathcal{J}_{A,B,C,D}=S_{A,B,C,D}\setminus \mathcal{F}_{A,B,C,D}.
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 $\mathcal{F}_{A,B,C,D}$ is open, $\mathcal{J}_{A,B,C,D}$ is closed, and both are invariant under $\Gamma_{A,B,C,D}$. Julia set is always non-empty, but Fatou set can be empty for some parameters.

Theorem A: For any parameters (A, B, C, D) there exists $p \in \mathcal{J}_{A, B, C, D}$ such that

 $\overline{\Gamma(p)} = \mathcal{J}_{A,B,C,D},$

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i.e., there is a dense orbit of Γ in $\mathcal{J}_{A,B,C,D}$.

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\overline{\Gamma(p)}=\mathcal{J}_{A,B,C,D},
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i.e., there is a dense orbit of Γ in $\mathcal{J}_{AB,CD}$.

Theorem B: For any choice of parameters (A, B, C, D) there is a dense set $\mathcal{J}^\#_{A,B,C,D}\subset \mathcal{J}_{A,B,C,D}$ such that for every $\rho\in \mathcal{J}^\#_{A,B,C,D}$ there exists $\gamma\in\Gamma$ such that $\gamma(p) = p$ and

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Theorem C: For any parameters A, B, C, D the Julia set $\mathcal{J}_{A,B,C,D}$ is connected.

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Markoff Parameters: $(A, B, C, D) = (0, 0, 0, 0)$.

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 $A(a) = B(a) = C(a) = 2a + 4$, and $D(a) = -(a^2 + 8a + 8)$ for $a \in (-2, 2)$.

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Studied by Dubrovin and Mazzocco 2000. Seem to play a significant role in several problems related to Mathematical-Physics and, in particular, on the study of Frobenius manifolds.

Theorem D: For the Picard Parameters $(A, B, C, D) = (0, 0, 0, 4)$ we have:

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Key idea for proof of Theorem E comes from work of Hu-Tan-Zhang 2018 and Bowditch 1998.

To understand how "big" $\mathcal{J}_{A,B,C,D}$ is and also to construct more interesting invariant sets, we use another dynamical dichotomy.

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Let M be a (possibly open) connected complex manifold and consider a group G of holomorphic diffeomorphisms of M.

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If there is no such sequence f_n on U we say that G is locally discrete on U.

Locally discrete/non-discrete dichotomy, continued

For an action by a finite dimensional Lie group, local non-discreteness on some open set implies that the corresponding sequence of elements converges to the identity on all of M, i.e. that the action is globally non-discrete.

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However, in our context the non-linearity of the mappings allow for local non-discreteness to occur on a proper open subset $U \subset M$.

Locally discrete/non-discrete dichotomy, continued.

The group G is said to be *locally non-discrete* on an open $U \subset M$ if there is a sequence of maps $\{f_n\}_{n=0}^\infty\in\mathcal{G}\setminus\{\mathrm{id}\}$ that converges uniformly to the identity on compact subsets of U .

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Let $(A, B, C, D) \in \mathbb{C}^4$ be any parameters. We have the following dichotomy: Locally non-discrete locus:

 $N_{ABCD} =$ ${p \in S_{A,B,C,D} : \Gamma_{A,B,C,D}$ is locally non-discrete on an open neighborhood U of p}.
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Theorem F: There is an open neighborhood $\mathcal{P}\subset\mathbb{C}^{4}$ of the Markoff Parameters of the Dubrovin-Mazzocco Parameters such that both the locally non-discrete locus $N_{A,B,C,D}$ and the locally discrete locus $\mathcal{D}_{A,B,C,D}$ are non-empty.

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Corollary to Theorem F: For every choice of parameters $(A, B, C, D) \in \mathcal{P}$ there is a set

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\mathcal{B}_{A,B,C,D} \subset \partial \mathcal{N}_{A,B,C,D} = \partial \mathcal{D}_{A,B,C,D}
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that has topological dimension equal to three and is invariant under $\Gamma_{A,B,C,D}$.

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We expect $\mathcal{B}_{A,B,C,D}$ to be "fractal" for typical parameters.

The group G is said to be locally non-discrete on an open $U \subset M$ if there is a sequence of maps $\{f_n\}_{n=0}^\infty\in\mathcal{G}\setminus\{\mathrm{id}\}$ that converges uniformly to the identity on compact subsets of U.

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Instead, we will use this to prove that $U \subset \mathcal{J}_{A,B,C,D}$ and then appeal to Theorem A about dense orbits in $\mathcal{J}_{AB, C, D}$.

Let $\mathcal{P}\subset\mathbb{C}^{4}$ be the open neighborhood of the Markoff Parameters and of the Dubrovin-Mazzocco parameters given in Theorem F.

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Let $\mathcal{P}\subset\mathbb{C}^{4}$ be the open neighborhood of the Markoff Parameters and of the Dubrovin-Mazzocco parameters given in Theorem F.

Let $U \subset N_{A,B,C,D}$ be the explicit set constructed in the proof of Theorem F.

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Proposition: There is a countable union of real-algebraic hypersurfaces $\mathcal{H} \subset \mathbb{C}^4$ such that this if $(A, B, C, D) \ \in \ \mathbb{C}^4 \setminus \mathcal{H}$ then

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Theorem G: For any $(A, B, C, D) \in \mathcal{P} \setminus \mathcal{H}$ we have:

 $U \subset \mathcal{J}_{A,B,C,D}$ and $V_{\infty} \subset \mathcal{F}_{A,B,C,D}$.

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Corollary to Theorem G and Theorem A: For any $(A, B, C, D) \in \mathcal{P} \setminus \mathcal{H}$ there is a point $p \in U \subset \mathcal{J}_{A,B,C,D}$ such that

$$
U\subset\overline{\Gamma(\rho)},
$$

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i.e. the orbit of p has closure of real dimension four.

A motivating question: For what parameters is there an initial condition $p = (x_0, y_0, z_0) \in S_{A,B,C,D}$ whose orbit is dense in $S_{A,B,C,D}$?

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A new question: Are the Picard parameters $(A, B, C, D) = (0, 0, 0, 4)$ the only parameters for which there is an initial condition $p = (x_0, y_0, z_0) \in S_{A,B,C,D}$ whose orbit is dense in $S_{A,B,C,D}$?

KORKAR KERKER EL VOLO

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All of the mass tends to infinity.

Ghys strategy for producing local non-discreteness.

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KORKA SERKER ORA

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Proposition 1: Given $\epsilon > 0$, there is $K = K(\epsilon) > 0$ such that, if

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then the following hold:

(1) For every *n* and every $\gamma \in S(n)$, the domain of definition of γ as element in G contains the ball $B_{\epsilon/2}(0) \subset \mathbb{C}^n$ of radius $\epsilon/2$ around the origin.

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- (2) Furthermore, if γ belongs to $S(n)$ then we have

$$
\sup_{\rho\in B_{\epsilon/2}(0)}\|\gamma(\rho)-\rho\|\leq \frac{K}{2^n}.
$$

KORKAR KERKER EL VOLO

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Remark: It is "easy" to prove that any Fatou component for $\Gamma_{A,B,C,D}$ is Kobayashi hyperbolic.

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The closure of the surface $\overline{S}_{A,B,C,D} \subset \mathbb{CP}^3$ meets the hyperplane Π_{∞} at infinity in a "triangle" ∆∞.

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 $\left\{ \begin{array}{ccc} 1 & 0 & 0 \\ 0 & 1 & 0 \end{array} \right.$

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 $A \equiv 1 + 4 \sqrt{10} + 4 \sqrt{10} + 4 \sqrt{10} + 4 \sqrt{10} + 1$

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Points in a (yellow) neighborhood of $\Delta_\infty\setminus\mathrm{Ind}(\gamma)$ are sent much closer to Δ_∞ by γ .

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Let $U \subset N_{A,B,C,D}$ be the open set constructed in proof of Theorem F. Used the Ghys strategy to construct it, so have plenty of mappings close to the identity on U.

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Let $U \subset N_{A,B,C,D}$ be the open set constructed in proof of Theorem F. Used the Ghys strategy to construct it, so have plenty of mappings close to the identity on U.

Theorem H: Suppose that for some parameters A, B, C there is a point $p \in \mathbb{C}^3$ and $\epsilon > 0$ such that for any two vertices $v_i \neq v_j \in V_\infty$, $i \neq j$, there is a hyperbolic element $\gamma_{i,j} \in \Gamma_{A,B,C}$ satisfying:

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(A) Ind
$$
(\gamma_{i,j})
$$
 = v_i and $Attr(\gamma_{i,j})$ = v_j , and

(B) $\sup_{z \in B_{\epsilon}(p)} ||\gamma_{i,j}(z) - z|| < K(\epsilon)$. (Constant from Prop. 1)

Then, for any D, we have that $B_{\epsilon/2}(p) \cap S_{A,B,C,D}$ is disjoint from any unbounded Fatou components of $\Gamma_{A,B,C,D}$.

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Contradiction!

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Meanwhile Property (A) gives us rich enough combinatorics at infinity so that we can choose the γ_n so that if $q \in V$ is near infinity then $\gamma_n(q) \to \infty$.

Contradiction! Thus U does not intersect any unbou[nd](#page-134-0)e[d](#page-136-0) [F](#page-127-0)[at](#page-128-0)[o](#page-135-0)[u](#page-136-0) [co](#page-0-0)[mp](#page-154-0)[on](#page-0-0)[en](#page-154-0)[t.](#page-0-0) $\overline{=}$

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Therefore U is also disjoint from any bounded Fatou component.

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 \Box Theorem G (supposing Theorem K).

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This allows us to derive a contradiction to the fact that the Julia set is connected (Theorem C).

Thank you for listening!

<https://arxiv.org/abs/2104.09256>

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