

A first-order definition for Campana points in \mathbb{Q}

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Given any field K and a natural number n , we say that a given set $A \subseteq K^n$ is *diophantine over K* , or *first-order existentially defined over K* (or simply "existentially defined") if there exists $m \in \mathbb{N}$ and $P \in K[X_1, \dots, X_m, Y_1, \dots, Y_n]$ such that, for any $a = (a_1, \dots, a_n) \in K^n$, we have $a \in A$ if and only if there exist $x_1, \dots, x_m \in K$ such that $P(x_1, \dots, x_m, a_1, \dots, a_n) = 0$.

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If \mathbb{Z} were diophantine over \mathbb{Q} , $\text{Th}_{\exists}(\mathbb{Z})$ would be interpretable in $\text{Th}_{\exists}(\mathbb{Q})$, yielding undecidability of $\text{Th}_{\exists}(\mathbb{Q})$.

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There exist $n \in \mathbb{N}$ and $g \in \mathbb{Z}[t, x_1, \dots, x_n]$ such that, given $t \in \mathbb{Q}$, we have $t \in \mathbb{Z}$ if and only if

$$\mathbb{Q} \models \forall x_1 \cdots \forall x_n (g(t, x_1, \dots, x_n) \neq 0)$$

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The two last results are clever generalizations of the same techniques and methods developed by Koenigsmann in 2010.

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Complexity was also measured.

Geng-Rui Zhang & Zhi-Wei Sun, 2021

The universal definition for \mathbb{Z} in \mathbb{Q} can be taken to involve a polynomial with 32 unknowns and degree at most $6 \cdot 10^{11}$.

- Jochen Koenigsmann. “Defining \mathbb{Z} in \mathbb{Q} ”. In: *Ann. of Math. (2)* 183.1 (2016), pp. 73– 93. ISSN: 0003-486X. doi : 10.4007/annals.2016.183.1.2.

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- Geng-Rui Zhang and Zhi-Wei Sun. *$\mathbb{Q} \setminus \mathbb{Z}$ is diophantine over \mathbb{Q} with 32 unknowns*. 2021. doi : 10.48550/ARXIV.2104.02520 . url : <https://arxiv.org/abs/2104.02520> .

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- Campana points.

Given $n \in \mathbb{N}$, the set R_n of n -Campana points is the set of all rational numbers $r \in \mathbb{Q}$ such that either $r = 0$ or $r \neq 0$ and for all $p \in \mathbb{P}$, $\nu_p(r^{-1}) \in \{0\} \cup \mathbb{N}_{\geq n}$.

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Question

Can we find a good first-order definition for Campana points?

Definition

Given a field k , the (quadratic) *Hilbert Symbol* is the function $(-, -) : k^\times \times k^\times \rightarrow \{\pm 1\}$ defined as

$$(a, b) = \begin{cases} 1, & z^2 - ax^2 - by^2 = 0 \text{ has a nontrivial solution,} \\ -1, & \text{otherwise.} \end{cases}$$

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There are two very important results in Number Theory about Hilbert symbols. First, there is an explicit formula, in terms of Legendre Symbols, to compute Hilbert symbols. Second, a local-to-global principle.

Computation of Hilbert symbols

If $p \in \mathbb{P} \cup \{\infty\}$ and $a, b \in \mathbb{Q}_p^\times$, define $u_\ell := \frac{a}{\ell^{v_\ell(a)}} \in \mathbb{Z}_\ell^\times$ and $v_\ell := \frac{b}{\ell^{v_\ell(b)}} \in \mathbb{Z}_\ell^\times$ for each $\ell \in \mathbb{P}$. Then we have:

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If $p \in \mathbb{P} \cup \{\infty\}$ and $a, b \in \mathbb{Q}_p^\times$, define $u_\ell := \frac{a}{\ell^{\nu_\ell(a)}} \in \mathbb{Z}_\ell^\times$ and $v_\ell := \frac{b}{\ell^{\nu_\ell(b)}} \in \mathbb{Z}_\ell^\times$ for each $\ell \in \mathbb{P}$. Then we have:

If $p = \infty$, then $(a, b)_\infty = -1$ if and only if $a < 0$ and $b < 0$. Otherwise, $(a, b)_\infty = 1$.

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If $p = 2$, then

$$(a, b)_2 = (-1)^{\frac{u_2 - 1}{2} \times \frac{v_2 - 1}{2} + \nu_2(a) \frac{v_2^2 - 1}{8} + \nu_2(b) \frac{u_2^2 - 1}{8}}$$

(here the exponents are replaced by an element of $\mathbb{Z}/2\mathbb{Z}$ via reduction modulo 2).

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If $p \in \mathbb{P} \setminus \{2\}$,

$$(a, b)_p = \underbrace{\left(\frac{u_p}{p}\right)^{\nu_p(b)} \left(\frac{v_p}{p}\right)^{\nu_p(a)}}_{\text{Legendre symbols}} (-1)^{\nu_p(a)\nu_p(b) \frac{p-1}{2}}.$$

Local-to-global principle

Let I be a finite set and fix $\{a_i\}_{i \in I} \subseteq \mathbb{Q}^\times$. For each $(i, v) \in I \times (\mathbb{P} \cup \{\infty\})$ fix $\varepsilon_{i,v} \in \{-1, 1\}$. The following are equivalent:

- There exists $x \in \mathbb{Q}^\times$ such that $(a_i, x)_v = \varepsilon_{i,v}$ for all $(i, v) \in I \times (\mathbb{P} \cup \{\infty\})$.
- All these conditions hold:
 - 1 $\varepsilon_{i,v} = 1$ for all but finitely many $(i, v) \in I \times (\mathbb{P} \cup \{\infty\})$.
 - 2 For all $i \in I$ we have $\prod_{v \in \mathbb{P} \cup \{\infty\}} \varepsilon_{i,v} = 1$.
 - 3 For all $v \in \mathbb{P} \cup \{\infty\}$ there exists $x_v \in \mathbb{Q}^\times$ such that $(a_i, x_v)_v = \varepsilon_{i,v}$ for all $i \in I$.

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- $\{(a, b, r) \in \mathbb{Q}^\times \times \mathbb{Q}^\times \times \mathbb{Q} : r \in J_{a,b}\}$ is diophantine over \mathbb{Q} .

A parametrization of the finite sets of primes

For each $a, b \in \mathbb{Q}^\times$ we define

$$\Delta^{a,b} := \begin{cases} \Delta_{a,b} \setminus \{2, \infty\}, & 2 \in \Delta_{a,b} \text{ and } \nu_2(a), \nu_2(b) \text{ are even,} \\ \Delta_{a,b} \setminus \{\infty\}, & \text{else.} \end{cases}$$

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$$\Delta^{a,b} := \begin{cases} \Delta_{a,b} \setminus \{2, \infty\}, & 2 \in \Delta_{a,b} \text{ and } \nu_2(a), \nu_2(b) \text{ are even,} \\ \Delta_{a,b} \setminus \{\infty\}, & \text{else.} \end{cases}$$

Lemma

If $a, b \in \mathbb{Q}^\times$ then $J_{a,b} = \bigcap_{p \in \Delta^{a,b}} p\mathbb{Z}_{(p)}$.

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If $a, b, c, d \in \mathbb{Q}^\times$ then $J_{a,b} + J_{c,d} = \bigcap_{p \in \Delta^{a,b} \cap \Delta^{c,d}} p\mathbb{Z}_{(p)}$.

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Corollary

$\{(a, b, c, d) \in \mathbb{Q}^\times \times \mathbb{Q}^\times \times \mathbb{Q}^\times \times \mathbb{Q}^\times : \Delta^{a,b} \cap \Delta^{c,d} = \emptyset\}$ is a diophantine subset of $\mathbb{Q} \times \mathbb{Q} \times \mathbb{Q} \times \mathbb{Q}$.

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Theorem

If S is any finite subset of \mathbb{P} , there exist $a, b \in \mathbb{Q}^\times$ such that $\Delta^{a,b} = S$.

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By the formula for $(-, -)_p$, this reduces to a clever Chinese Remainder Theorem construction (use the archimedean place to fix signs and get the technical conditions in the local-to-global principle).

Some additional observations

Remember: $\{(a, b, r) \in \mathbb{Q}^\times \times \mathbb{Q}^\times \times \mathbb{Q} : r \in J_{a,b}\}$ is diophantine over \mathbb{Q} .

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For each $n \in \mathbb{N}$ define

$$J_{a,b,n} := \prod_{i=1}^n J_{a,b} \text{ (set-theoretically)}$$

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Proposition

$\{(a, b, r) \in \mathbb{Q}^\times \times \mathbb{Q}^\times \times \mathbb{Q} : r \in J_{a,b,n} \setminus \{0\}\}$ and
 $\{(a, b, r) \in \mathbb{Q}^\times \times \mathbb{Q}^\times \times \mathbb{Q} : r \in (J_{a,b,n} \setminus \{0\})^{-1}\}$ are diophantine over \mathbb{Q} .

Completing our first aim

Theorem

The set $\{(a, b, r) \in \mathbb{Q}^\times \times \mathbb{Q}^\times \times \mathbb{Q} : r \text{ is integral outside } \Delta^{a,b}\} \cup (\{0\} \times \mathbb{Q} \times \mathbb{Q}) \cup (\mathbb{Q} \times \{0\} \times \mathbb{Q})$ is universal in $\mathbb{Q} \times \mathbb{Q} \times \mathbb{Q}$.

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Proof. A defining formula for this set is

$$\forall c \forall d \left([abcd \neq 0 \wedge \Delta^{a,b} \cap \Delta^{c,d} = \emptyset] \Rightarrow r \notin (J_{c,d} \setminus \{0\})^{-1} \right)$$

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Universal \vee Universal = Universal

This proves what we want. ■

If you want to get the original result for a specific S , just use the above formula, but instead of letting a and b be unknowns, let them be some fixed elements of \mathbb{Q}^\times such that $\Delta^{a,b} = S$.

Completing our first aim

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I repeated the above proof trying to be as explicit as I can to find the number of unknowns and a bound for the degree of the polynomial in the formula. By doing so, I attained this more specific statement:

Theorem

There exists $P \in \mathbb{Z}[A, B, R, X_1, \dots, X_{250}]$ of degree at most 128 such that, for any $a, b, r \in \mathbb{Q}$, the following are equivalent:

- $ab = 0$ or $ab \neq 0$ and r is integral outside $\Delta^{a,b}$.
- For all $x_1, \dots, x_{250} \in \mathbb{Q}$ we have $P(a, b, r, x_1, \dots, x_{250}) \neq 0$.

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Universal \vee Existential

We can turn this into a $\forall\exists$ -definition by taking our boxed universal and turning it into a $\forall\exists$; because $x \neq 0$ is the same as $\exists y (xy = 1)$.

Upcoming research

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All the technical details have already been generalized by Dr. Jennifer Park in her 2012 work, thus the above proof will also work for number fields providing that the important result (namely, that $\Delta^{a,b}$ runs through all finite subsets of finite places) can also be shown to be true in this more general setting.

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This is not as easy as it seems. The generalization is straightforward for number fields with at least one real infinite place (remember that we needed such a place to make a sign correction). For number fields with no real infinite places, it seems that a more clever use of the Chinese Remainder Theorem will be needed.

The end

THANK YOU