

Foundations and Frontiers of Probabilistic Proofs (July 2023)  
Worksheet 6: Intro to PCPs  
Date: July 19, 2023

---

**Problem 1. (Identity testing)** Fix an arbitrary string  $s \in \Sigma^n$  and  $\varepsilon = \varepsilon(n) \in (0, 1)$ . Show that the query complexity of detecting whether an unknown string  $x \in \Sigma^n$  is equal to  $s$  or differs from  $s$  in at least an  $\varepsilon$  fraction of locations is  $\Theta(1/\varepsilon)$ . That is:

1. Construct an algorithm that makes  $O(1/\varepsilon)$  queries to  $x$ , and always accepts if  $x = s$  and rejects with probability at least  $2/3$  if  $x$  is  $\varepsilon$ -far from  $s$ .
2. Argue that no algorithm making  $o(1/\varepsilon)$  queries satisfies both conditions.

Recall that a language  $\mathcal{L}$  has a PCP with perfect completeness, alphabet  $\Sigma$ , proof length  $l$  and soundness error  $\epsilon$  if there exists an algorithm  $V$  (the *verifier*) satisfying the following conditions.

- **Completeness:** If  $x \in \mathcal{L}$ , then there exists  $\pi \in \Sigma^l$  such that  $\Pr[V^\pi(x) = 1] = 1$ ;
- **Soundness:** If  $x \notin \mathcal{L}$ , then for every  $\tilde{\pi} \in \Sigma^l$ ,  $\Pr[V^{\tilde{\pi}}(x) = 1] \leq \epsilon$ .

The query complexity  $q$  is the maximum number of queries made by  $V$  to its proof string, while the randomness complexity  $r$  is the number of coin tosses performed by the verifier.

Below  $\mathcal{L}$  is a language that has a PCP with the aforementioned parameters.

**Problem 2. (From many to 2 queries)** Prove that  $\mathcal{L}$  has a PCP with perfect completeness, soundness error  $1 - \frac{1-\epsilon}{q}$ , alphabet  $\Sigma^q$ , proof length  $l + 2^r$ , and query complexity 2. (In other words, one can always reduce query complexity to 2, incurring a loss in soundness error and alphabet size.)

**Problem 3. (Lower bound on soundness error)** Suppose that there exists  $x \notin \mathcal{L}$  such that for every choice of verifier randomness  $\rho \in \{0, 1\}^r$  there exists a proof  $\pi \in \Sigma^l$  such that  $V^\pi(x; \rho) = 1$ . Prove that  $\epsilon \geq 2^{-q \log |\Sigma|}$ .

**Problem 4. (More on lower bounds)** The *Exponential Time Hypothesis* (ETH) states that 3SAT cannot be decided by any deterministic algorithm running in time  $2^{o(n)}$ . Prove that, assuming ETH, if  $\mathcal{L} = 3\text{SAT}$  and  $r + q \log |\Sigma| = o(n)$ , then  $\epsilon \geq 2^{-q \log |\Sigma|}$ . (*Hint: prove that ETH implies the assumption to the prior problem.*)