

Summer school Lecture 10

Daniel Tataru

Global solutions for water waves

1. Gravity waves, infinite bottom

$$\begin{array}{l} (W, Q) \\ \downarrow \\ (WW) \end{array} \left\{ \begin{array}{l} W_t + F(1+W_\alpha) = 0 \\ Q_t + FQ_\alpha - igW + P\left[\frac{|Q_\alpha|^2}{F}\right] = 0 \end{array} \right.$$

negative frequencies

Differentiated equation

$$\begin{array}{l} (WW\text{-diff}) \end{array} \left\{ \begin{array}{l} (\partial_t + b\partial_\alpha) W_\alpha + \frac{1+W_\alpha}{1+W_\alpha} \cdot R_\alpha + \dots = 0 \\ (\partial_t + b\partial_\alpha) R - i(g+a)W_\alpha + \dots = 0 \end{array} \right.$$

Good variables (W_α, R)

$$\mathcal{H}^0 = L^2 \times H^{1/2} \Rightarrow \text{Energy space for } (W, Q)$$

- also energy space for (W_α, R) .

- Higher order spaces \mathcal{H}^n

- $\mathcal{H}^1 \ni (W_\alpha, R) \Rightarrow$ local well-posedness

Thm. Suppose that the initial data for $(\mathcal{W}, \mathcal{Q})$ satisfies:

$$\|(\mathcal{W}, \mathcal{Q})\|_{H^0} + \|(\mathcal{W}_\alpha, \mathcal{R})\|_{\mathcal{H}^5} + \|x(\mathcal{W}_\alpha, \mathcal{R})\|_{\mathcal{H}^0} \leq \varepsilon$$

Then the solutions $(\mathcal{W}, \mathcal{Q})$ are global in time, and they satisfy pointwise decay bounds:

$$A, B \leq \frac{\varepsilon}{t^{1/2}}$$

$$A = \|\mathcal{W}_\alpha\|_{L^\infty} + \|\partial^{1/2} \mathcal{R}\|_{L^\infty}$$

$$B = \|\delta^{1/2} \mathcal{W}_\alpha\|_{BMO} + \|\partial_x \mathcal{R}\|_{BMO}$$

- energy estimates also

- new work w. Michael and Albert down to optimal regularity threshold.

- Modified scattering

History of the problem:

- Wu - '09 \Rightarrow almost global result
 $T_\varepsilon = e^{\frac{c}{\varepsilon}}$

- horu. coord. + Lagrangian coord.

- 3.d \Rightarrow Wu, Germain, Masuocoli - Shatah
space-time resonances method
- Ionescu - Pusateri (Wu's set-up + Eulerian)
'13
- Alazard + Delort - paradiff. $\left\{ \begin{array}{l} \text{partial normal} \\ \text{form.} \\ \text{paradiagonaliz.} \end{array} \right.$
'13
- our work \rightarrow 6 months later

② Capillary waves in infinite depth

Theorem Small + localized data \Rightarrow
 \Rightarrow global result, + pointwise $t^{-1/2}$
 decay + modified scattering.

- Ionescu - Pusateri \Rightarrow alternate proof

Main steps of the proof

- energy estimates \rightarrow modified energies
- Vector field pointwise decay bounds
- wave packet testing.

Vector fields:

- linear problem
- nonlinear problem
- adapt VF to nonlinear problem.

Scaling of WW. eqn.

$$\left. \begin{array}{l} W(t, x) \\ Q(t, x) \end{array} \right\} \rightarrow \begin{array}{l} \lambda^{-1} W(\lambda^{1/2} t, \lambda x) \\ \lambda^{-3/2} Q(\lambda^{1/2} t, \lambda x) \end{array}$$

(w, q) good var.

$$\Downarrow$$
$$(w, q) = \frac{d}{d\lambda} (W_\lambda, Q_\lambda)_{\lambda=1} := \underline{\underline{S}}(W, Q)$$

vector field.

Solution to the linearized eqn. \downarrow
nonlinear

Linear part:

$$S \begin{pmatrix} W \\ Q \end{pmatrix} = \begin{pmatrix} \alpha W_x - t Q_x \\ \alpha Q_x - itgW \end{pmatrix} + \text{nonlinear}$$

\rightarrow similar scaling VF also for capillary wave.

Energy estimates

(a) For the full equation:

$$E^u(W_\alpha, R) \approx \| (W_\alpha, R) \|_{\mathcal{H}^u}^2$$

$$\frac{d}{dt} E^u(W_\alpha, R) \leq_A A B \| (W_\alpha, R) \|_{\mathcal{H}^u}^2$$

(b) For the linearized equation:

$$E^{\text{lin}}(\omega, r) \approx \| (\omega, r) \|_{\mathcal{H}^0}^2$$

$$\frac{d}{dt} E^{\text{lin}}(\omega, r) \leq_A A B \| (\omega, r) \|_{\mathcal{H}^0}^2$$

As a conclusion we want:

$$(*) \quad A, B \leq \varepsilon t^{-1/2}$$

Frame the proof as a bootstrap argument.

Bootstrap assumption:

$$A, B \leq C \cdot \varepsilon t^{-1/2}$$

Remember at the end to ensure
we have proved $(*)$!

Apply the bootstrap assumption in energy estimates:

$$(EE) \begin{cases} \| (W_\alpha, R) \|_{\mathcal{H}^u} \leq \varepsilon t^{c^2 \varepsilon^2} \\ \| (w, \psi) \|_{\mathcal{H}^0} \leq \varepsilon t^{c^2 \varepsilon^2} \end{cases}$$

Can neglect the growth if

$$t^{c^2 \varepsilon^2} \lesssim 1 \iff t \leq e^{-\frac{c}{\varepsilon^2}}$$

(almost global)

Next: pointwise bounds from energy:

$$(EE) \Rightarrow A, B \leq \varepsilon t^{c^2 \varepsilon^2} \cdot \frac{1}{\sqrt{t}} C(v)$$

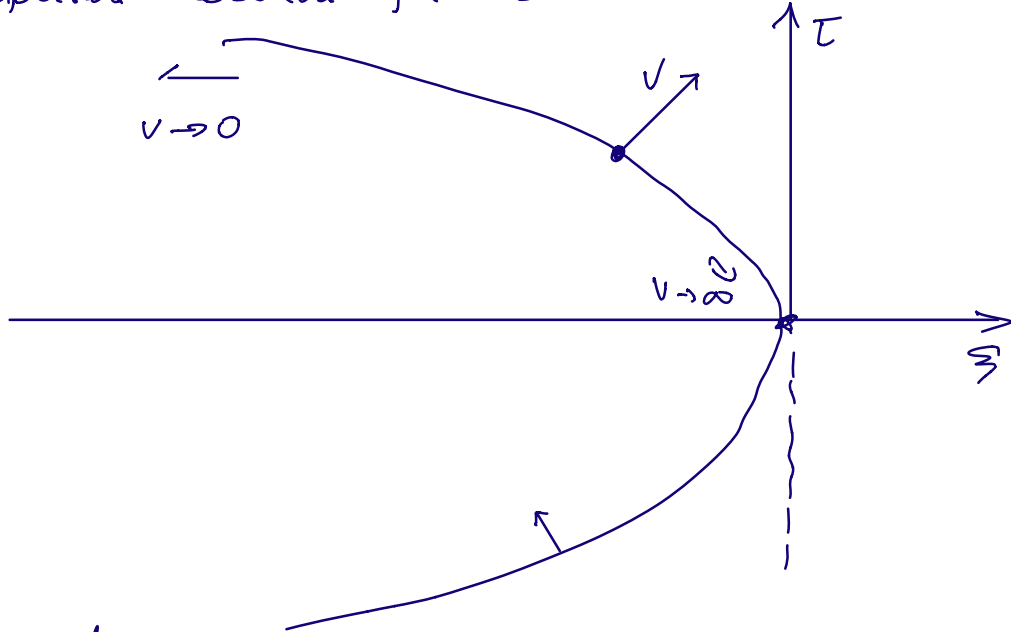
gain as $v \rightarrow \infty$

gain as $v \rightarrow \Omega$

- work at level of normal form variables

Proof in pictures:

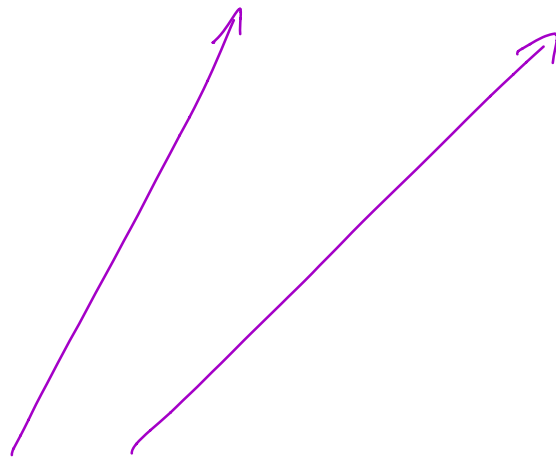
Dispersion relation for the linearization around 0.



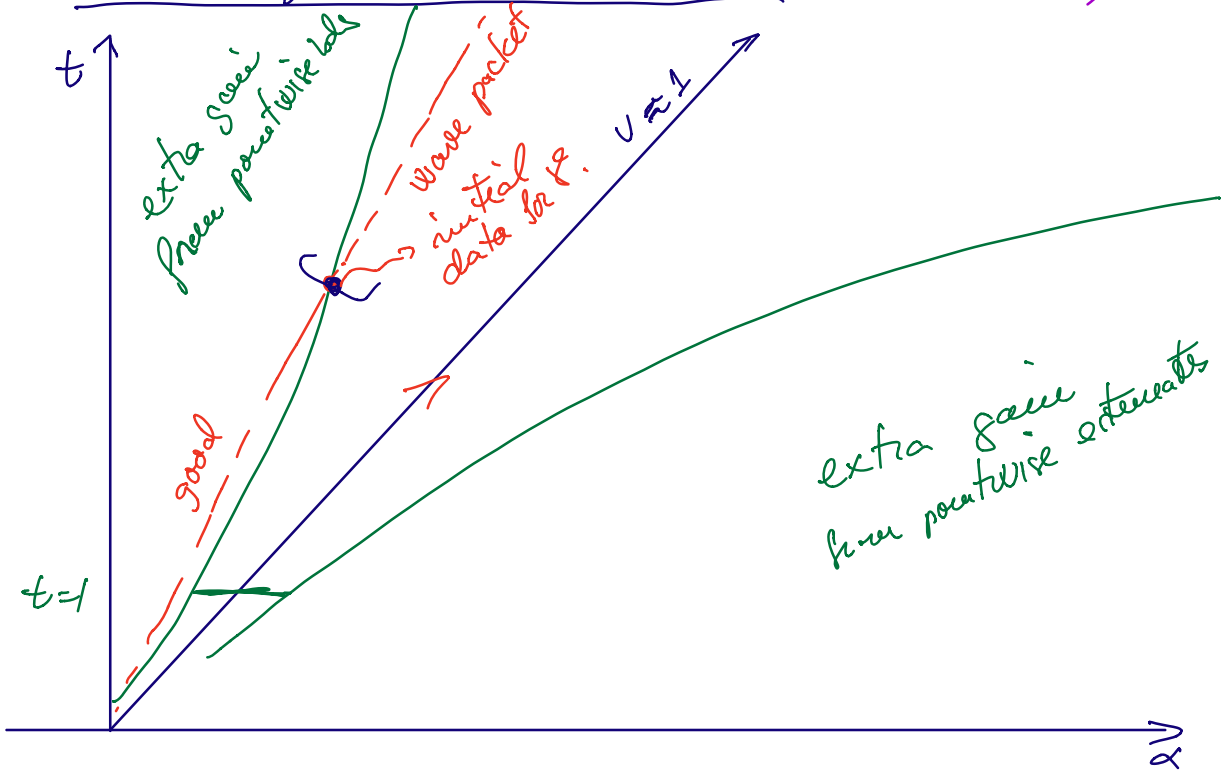
Lower part



symmetric picture



Picture for pointwise bounds (right half)



Wave packet method,
 $i \psi_t = \frac{c(v)}{t} \psi |\psi|^2 + \text{err.}$

$$\psi(t, v)$$

Wave packets: $\begin{pmatrix} \psi_v \\ \dot{\psi}_v \end{pmatrix}$

→ system, but wave packets constructed as in the scalar case.

To whom do we apply $\begin{pmatrix} \dot{\tilde{W}} \\ \dot{\tilde{Q}} \end{pmatrix}$?

→ to the normal form variables (\tilde{W}, \tilde{Q})

$$\tilde{W}_t + \tilde{Q}_\alpha = W^{[3]} + W^{[4+]}$$

$$\tilde{Q}_t - ig\tilde{W} = Q^{[3]} + \underbrace{Q^{[4+]}}$$

t^{-1} decay
can be neglected

Structure of cubic terms:

$$\begin{aligned} W^{[3]} &= L_{hhh}(W, W, W) \\ &\quad + L_{hah}(W, \bar{W}, W) \\ &\quad + L_{haa}(W, \bar{W}, \bar{W}) \\ &\quad + \cancel{L_{aaa}(\bar{W}, \bar{W}, \bar{W})} \end{aligned}$$

Resonance analysis along a ray $x = v \cdot t$.

Frequency $\xi \approx \xi_v$

$$\begin{array}{l} L_{hhh}(W, W, W) \Rightarrow \text{nonresonant.} \\ \begin{array}{l} \xi_v \quad \xi_v \quad \xi_v \Rightarrow 3\xi_v \\ \sqrt{\xi_v} \quad \sqrt{\xi_v} \quad \sqrt{\xi_v} \Rightarrow 3\sqrt{\xi_v} \end{array} \left. \vphantom{\begin{array}{l} \xi_v \quad \xi_v \quad \xi_v \\ \sqrt{\xi_v} \quad \sqrt{\xi_v} \quad \sqrt{\xi_v} \end{array}} \right\} \text{not on} \\ \hspace{10em} \hspace{10em} \hspace{10em} \hspace{10em} \hspace{10em} \hspace{10em} \hspace{10em} \hspace{10em} \hspace{10em} \hspace{10em} \hspace{10em} \hspace{10em} \left. \vphantom{\begin{array}{l} \xi_v \quad \xi_v \quad \xi_v \\ \sqrt{\xi_v} \quad \sqrt{\xi_v} \quad \sqrt{\xi_v} \end{array}} \right\} \text{parabola} \end{array}$$

$L_{kaa} \Rightarrow$ nonresonant.

(W, \bar{W}, \bar{W})

$\xi_{\nu} - \xi_{\nu} - \xi_{\nu} \Rightarrow -\xi_{\nu}$

$L_{knh} =$ resonant ?

↓
need to compute

↓
contributes to the constant
in asymptotic equation.

- solitary waves \rightarrow obstructions to global solutions

still open in 3D 😊

Then There are no solitary waves for

↓ these two problems.

Jim-T. 2018

- gravity, deep water

- capillary, deep water

{ Shallow water
Constant vorticity } || small solitons

- soliton resolution conjecture applies !!

- The End -