

ON LOG-CFT FOR UST AND SLE(8)

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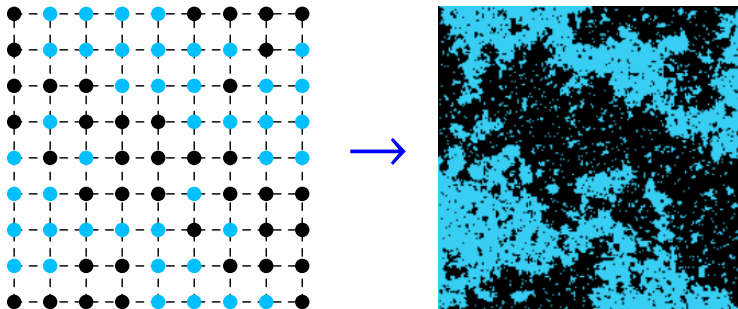
University of Bonn (IAM) & Hausdorff Center for Math (HCM),

MARCH 2022 @ MSRI

JOINT WORK W/ **Mingchang Liu & Hao Wu** (VIENNA / TSINGHUA)

CONFORMAL INVARIANCE “CONJECTURE”

Any (reasonable) **critical lattice model** converges in the scaling limit to a **conformal field theory (CFT)**.

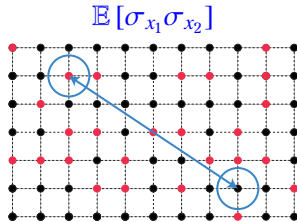
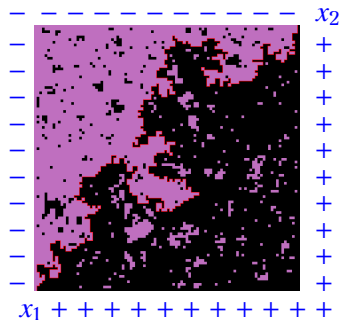


CFT: *conformally invariant* quantum field theory

What is this supposed to mean?

CONFORMAL INVARIANCE IN TERMS OF OBSERVABLES

interfaces: random curves



correlations (e.g. between spins)

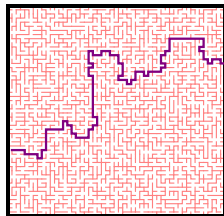
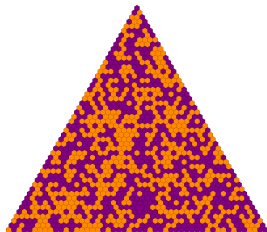
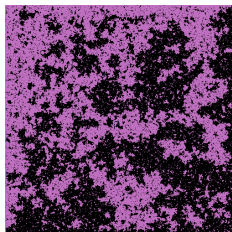


probabilities of topological events

Scaling limit $\delta \rightarrow 0$
at critical temperature $T = T_c$
 \Rightarrow **conformal invariance?**

LATTICE CORRELATIONS — CFT CORRELATION FUNCTIONS?

Convergence results use **model-specific** tools. Many open questions.

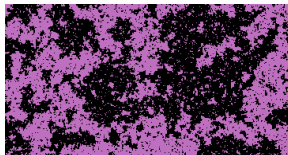
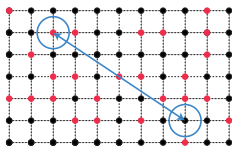


Some correlation functions have explicit formulas, but this is rare.

Problem: CFT not understood mathematically!

LATTICE CORRELATIONS — CFT CORRELATION FUNCTIONS?

- ▶ Consider models with *continuous phase transition* at critical point.
- ▶ E.g. *Ising* $\mathbb{P}[(\sigma_x)_{x \in V}] \propto \exp\left(\beta_c \sum_{x \sim y} \sigma_x \sigma_y\right)$ for random spins $\sigma_x = \pm 1$, nearest neighbor interaction ($x \sim y$) on finite graph (V, E) on $\delta\mathbb{Z}^2$



Thm. (spins in Ising model)

$$\delta^{-n/8} \mathbb{E}[\sigma_{z_1} \cdots \sigma_{z_n}] \xrightarrow{\delta \rightarrow 0} F(z_1, \dots, z_n)$$

[Hongler, Smirnov '13 (energy); Chelkak, Hongler, Izyurov '15 (spin); CHI '21 (gen.)]

Proof relies on solutions of Riemann bdy value problems (discrete

holomorphicity). Uses specific fermionic structures and techniques. \square

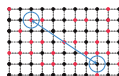
LATTICE CORRELATIONS – CFT CORRELATION FUNCTIONS?

- ▶ Certain correlations in critical models satisfy **(BPZ) PDEs**: e.g.

$$\left\{ \frac{8}{3} \frac{\partial^2}{\partial z_j^2} + \sum_{i \neq j} \left(\frac{2}{z_i - z_j} \frac{\partial}{\partial z_i} - \frac{1/8}{(z_i - z_j)^2} \right) \right\} F(z_1, \dots, z_n) = 0 \quad \forall 1 \leq j \leq n$$

for “Ising CFT” spin correlations ($\kappa = 3$)

$$F(z_1, \dots, z_n) = \lim_{\delta \rightarrow 0} \delta^{-n/8} \mathbb{E}[\sigma_{z_1} \cdots \sigma_{z_n}]$$



[Izyurov '20]

- ▶ They also satisfy **conformal covariance** rule of primary fields:

$$F(f(z_1), \dots, f(z_n)) = \left(\prod_{j=1}^n |f'(z_j)|^{-1/8} \right) F(z_1, \dots, z_n) \quad \forall f \text{ conformal map}$$

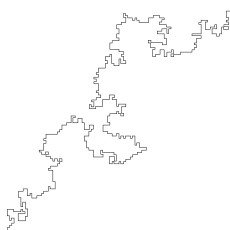
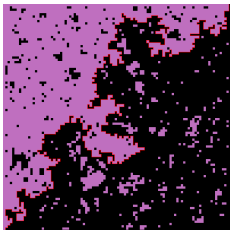
These are general features of so-called CFT primary fields that are *degenerate* at level two.

- ▶ Also: **SLE(κ)** partition functions, certain Liouville correlators, ...
- ▶ (Higher order (level) PDEs arise in *fusion*.)

SCALING LIMITS OF CRITICAL INTERFACES — SLE(κ) CURVES

- ▶ $\kappa > 0$ labels *universality class*
- ▶ convergence weakly for proba. measures on curves

(in some topology to be specified)



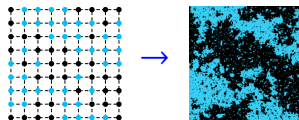
(critical) interface $\xrightarrow{\delta \rightarrow 0}$ Schramm-Loewner evolution, SLE(κ)

Usual proof strategy:

1. *tightness* (e.g. control via crossing estimates)
2. *identification* of the limit (e.g. via discrete holomorphic observable) □

CONFORMAL INVARIANCE “CONJECTURE” IN SLE CONTEXT

Boundary conditions:
“disorder” fields in CFT?



Any (reasonable) **critical lattice model** converges in the scaling limit to **a conformal field theory (CFT)**.

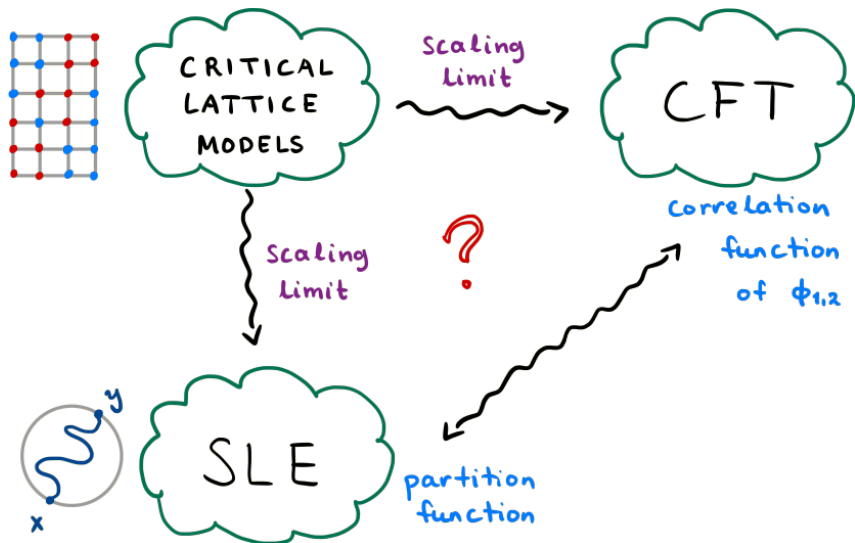
- ▶ [Cardy 84'; Bauer & Bernard '02]: SLE(κ) curve started at x should be generated by *certain CFT primary field* denoted $\phi_{1,2}(x)$.
- ▶ **Why?** Correlation functions give rise to (local) SLE(κ)-mgles:

$$M_t(x; z_1, \dots, z_n) = \left(\prod_{j=1}^n g'_t(z_j)^{\Delta_j} \right) F(W_t; g_t(z_1), \dots, g_t(z_n))$$

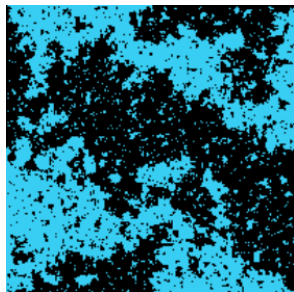
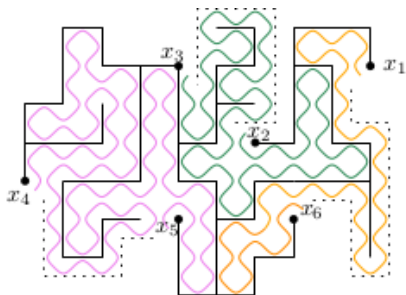
has zero drift iff F solves **BPZ PDE** related to $\phi_{1,2}$.

- ▶ Generally, $s - 1$ curves at x conjecturally related to $\phi_{1,s}(x)$ (fusion).
see Nam-Gyu's talk! [e.g. Duplantier & Saleur 87'; Bauer & Saleur '89]

PLANAR MODELS

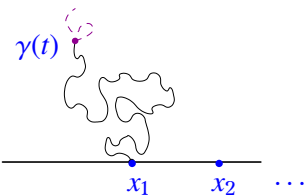


CROSSING PROBABILITIES GIVEN BY MULTIPLE SLE(κ) PURE PARTITION FUNCTIONS



$$dW_t = \sqrt{\kappa} dB_t + \kappa \partial_1 \log \mathcal{Z}(W_t, V_t^{(2)}, V_t^{(3)}, \dots, V_t^{(N)}) dt$$
$$dV_t^{(i)} = \frac{2 dt}{V_t^{(i)} - W_t}$$

GROWING MULTIPLE SLEs VIA LOEWNER EQUATION



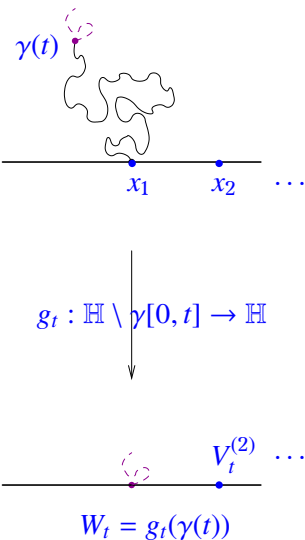
$$g_t : \mathbb{H} \setminus \gamma[0, t] \rightarrow \mathbb{H}$$



$$W_t = g_t(\gamma(t))$$

- ▶ re-sampling symmetry (*Dubédat's comm. relations*): can grow one curve at a time [Dubédat '06-'07]
- ▶ *driving process* of one curve γ : image of tip
- ▶ *interaction* encoded in **partition function** \mathcal{Z}
 - ▶ $dW_t = \sqrt{\kappa} dB_t + \kappa \partial_1 \log \mathcal{Z}(W_t, V_t^{(2)}, V_t^{(3)}, \dots) dt$
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 - ▶ $W_0 = x_1$, and $V_0^{(i)} = x_i$ for $i \neq 1$

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 - ▶ $W_0 = x_1$, and $V_0^{(i)} = x_i$ for $i \neq 1$
- ▶ \mathcal{Z} must satisfy system of **(BPZ) PDEs** $\forall j$

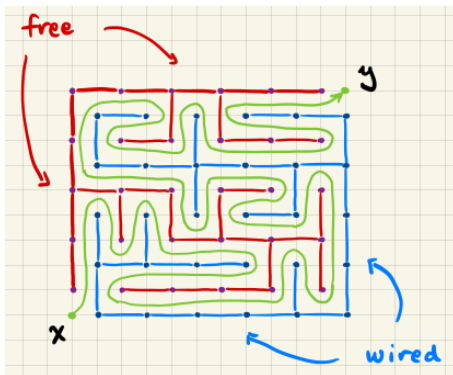
$$\left\{ \frac{\kappa}{2} \frac{\partial^2}{\partial x_j^2} + \sum_{i \neq j} \left(\frac{2}{x_i - x_j} \frac{\partial}{\partial x_i} - \frac{6/\kappa - 1}{(x_i - x_j)^2} \right) \right\} \mathcal{Z}(x_1, \dots, x_{2N}) = 0$$

& **Möbius covariance**:

(for $f: \mathbb{H} \rightarrow \mathbb{H}$ s.t. $f(x_1) < \dots < f(x_{2N})$)

$$\mathcal{Z}(f(x_1), \dots, f(x_{2N})) = \left(\prod_{1 \leq j \leq 2N} |f'(x_j)|^{\frac{\kappa-6}{2\kappa}} \right) \mathcal{Z}(x_1, \dots, x_{2N})$$

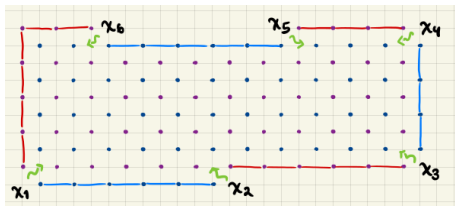
UNIFORM SPANNING TREE (UST) PEANO CURVE — SLE(8)



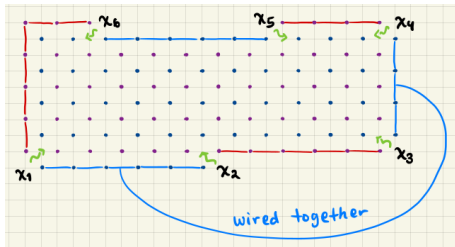
Thm. [Lawler & Schramm & Werner '04]

UST Peano curve on $(\Omega^{\delta, \diamond}; x^{\delta, \diamond}, y^{\delta, \diamond})$ converges weakly to **SLE(8)** in the scaling limit $\delta \rightarrow 0$ (in certain curve topology).

More general *boundary conditions* labeled by planar link patterns (planar pair partitions) $\beta \in LP_N$:



$$\beta : \{1, 2\}, \{3, 4\}, \{5, 6\}$$



$$\beta : \{1, 4\}, \{2, 3\}, \{5, 6\}$$

Internal connectivities of Peano curves labeled similarly by $\alpha \in LP_N$

CROSSING PROBABILITIES FOR UST ON $\Omega^\delta \subset \delta\mathbb{Z}^2$

- ▶ $(\Omega^{\delta,\diamond}; x_1^{\delta,\diamond}, \dots, x_{2N}^{\delta,\diamond}) \xrightarrow{\delta \rightarrow 0} (\Omega; x_1, \dots, x_{2N})$ (assuming C^1 -Jordan domain)

Thm. [Liu & P. & Wu '21]

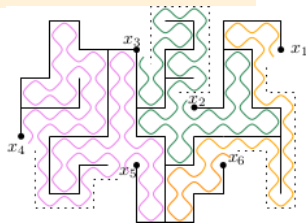
[arXiv:2108.04421]

Connectivities of UST Peano curves on $(\Omega^{\delta,\diamond}; x_1^{\delta,\diamond}, \dots, x_{2N}^{\delta,\diamond})$

with b.c. β converge: for each possible $\alpha \in LP_N$,

$$\lim_{\delta \rightarrow 0} \mathbb{P}_\beta^\delta [\text{connectivity} = \alpha] = \frac{\mathcal{Z}_\alpha^{(\kappa=8)}(\Omega; x_1, \dots, x_{2N})}{\mathcal{F}_\beta^{(\kappa=8)}(\Omega; x_1, \dots, x_{2N})}.$$

- ▶ $\{\mathcal{Z}_\alpha^{(\kappa=8)} : \alpha \in LP_N\}$ “pure partition functions”
- ▶ $\{\mathcal{F}_\beta^{(\kappa=8)} : \beta \in LP_N\}$ partition functions for b.c.



also [Dubédat '07]

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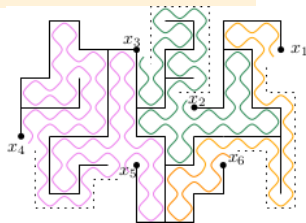
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- ▶ $\{\mathcal{Z}_\alpha^{(\kappa=8)} : \alpha \in LP_N\}$ “pure partition functions”
- ▶ $\{\mathcal{F}_\beta^{(\kappa=8)} : \beta \in LP_N\}$ partition functions for b.c.

Main inputs to the proof:

- convergence of Peano curves to **SLE(8)** variants
- combinatorial formulas for $\mathbb{P}_\beta^\delta[\alpha]$ by **Kenyon & Wilson**
- martingale argument to identify with $\mathcal{Z}_\alpha/\mathcal{F}_\beta$



also [Dubédat '07]

UST PEANO CURVES ON $\Omega^\delta \subset \delta\mathbb{Z}^2$

Thm. [Liu & P. & Wu '21] (one curve [LSW04]; two curves: [HLW20])

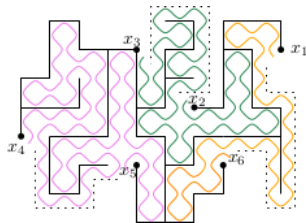
UST Peano curves on $(\Omega^{\delta,\diamond}; x_1^{\delta,\diamond}, \dots, x_{2N}^{\delta,\diamond})$ with b.c. β

$\xrightarrow{\delta \rightarrow 0}$ SLE(8) with partition function $\mathcal{F}_\beta^{(\kappa=8)}$: e.g. curve starting at x_1

$$dW_t = \sqrt{8} dB_t + 8 \partial_1 \log \mathcal{F}_\beta^{(\kappa=8)}(\Omega; W_t, V_t^2, V_t^3, \dots, V_t^{2N}) dt, \quad dV_t^i = \frac{2dt}{V_t^i - W_t}$$

“ $\mathcal{F}_\beta^{(\kappa=8)} = \langle \Phi_{1,2}(x_1) \cdots \Phi_{1,2}(x_{2N}) \rangle_\beta$ ” lin. independent BCFT correlations

- ▶ BPZ PDEs, *simultaneous positivity*
- ▶ explicit *logarithmic* fusion rules!



also [Dubédat '07]

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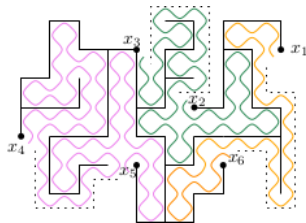
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- ▶ BPZ PDEs, *simultaneous positivity*
- ▶ explicit *logarithmic* fusion rules!

Main inputs to the proof:

- discrete holomorphic multi-point observable
 \rightsquigarrow discrete bdy value problem
- solution for it related to $\mathcal{F}_\beta^{(k=8)}$
- standard tightness arguments (cf. [LSW04, HLW20])



also [Dubédat '07]

UST PEANO CURVES ON $\Omega^\delta \subset \delta\mathbb{Z}^2$

Cor. [Liu & P. & Wu '21] (one curve [LSW04]; two curves: [HLW20])

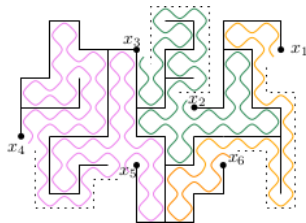
UST Peano curves on $(\Omega^{\delta,\diamond}; x_1^{\delta,\diamond}, \dots, x_{2N}^{\delta,\diamond})$

conditioned to form connectivity α (regardless of b.c.!) $\xrightarrow{\delta \rightarrow 0}$ SLE(8) with partition function $\mathcal{Z}_\alpha^{(k=8)}$: e.g. curve starting at x_1

$$dW_t = \sqrt{8} dB_t + 8 \partial_1 \log \mathcal{Z}_\alpha^{(k=8)}(\Omega; W_t, V_t^2, V_t^3, \dots, V_t^{2N}) dt, \quad dV_t^i = \frac{2dt}{V_t^i - W_t}$$

“ $\mathcal{Z}_\alpha^{(k=8)} = \langle \Phi_{1,2}(x_1) \cdots \Phi_{1,2}(x_{2N}) \rangle_\alpha$ ” lin. independent BCFT correlations

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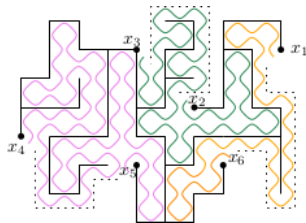
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“ $\mathcal{Z}_\alpha^{(k=8)} = \langle \Phi_{1,2}(x_1) \cdots \Phi_{1,2}(x_{2N}) \rangle_\alpha$ ” lin. independent BCFT correlations

► BPZ PDEs, explicit *logarithmic* fusion

Main inputs to the proof:

- know all connection probabilities $\mathbb{P}_\beta[\alpha] = \mathcal{Z}_\alpha / \mathcal{F}_\beta$
- know limit of unconditioned Peano curves: p.f. \mathcal{F}_β
- thus: conditioned p.f. is $\mathbb{P}_\beta[\alpha] \mathcal{F}_\beta = \mathcal{Z}_\alpha$
- tightness as before



PARTITION FUNCTIONS FOR $\kappa = 8$

Construction of solutions in integral form (Coulomb gas formalism):

- ▶ $N = 2$: four-point function [Cardy's formula]

$$\mathcal{F}_{\text{circle}}(x_1, x_2, x_3, x_4) = \pi^2 (x_4 - x_1)^{1/4} (x_3 - x_2)^{1/4} \left(\frac{x_{21} x_{43}}{x_{31} x_{42}} \right)^{1/4} {}_2F_1\left(\frac{1}{2}, \frac{1}{2}, 1; \frac{x_{21} x_{43}}{x_{31} x_{42}}\right)$$

PARTITION FUNCTIONS FOR $\kappa = 8$

Construction of solutions in integral form (Coulomb gas formalism):

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- ▶ general N : multi-point function

$$\mathcal{F}_{\beta}(x_1, \dots, x_{2N}) = \prod_{1 \leq i < j \leq 2N} (x_i - x_j)^{1/4} \int_{\Gamma_{\beta}} \prod_{1 \leq r \leq N} \prod_{1 \leq j \leq 2N} (w_r - x_j)^{-1/2} \prod_{1 \leq r < s \leq N} (w_r - w_s) dw_1 \cdots dw_N$$

where Γ_{β} are certain integration contours determined from β cf. [Dubédat '07]

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where Γ_β are certain integration contours determined from β cf. [Dubédat '07]

- ▶ pure partition functions: $\mathcal{Z}_\alpha := \sum_{\beta \in \text{LP}_N} \mathcal{M}_{\alpha, \beta}^{-1} \mathcal{F}_\beta$

$$(\alpha, \beta) = \text{diagram} \quad \text{evaluates to} \quad \mathcal{M}_{\alpha, \beta} := \begin{cases} 1 & \text{if } (\alpha, \beta) \text{ has 1 loop;} \\ 0 & \text{else} \end{cases}$$

(meander matrices [DiFrancesco-Golinelli-Guitter 90s; Flores-Kleban-Simmons-Ziff '17])


LOGARITHMIC FUSION FOR SLE(8) BOUNDARY FIELD $\Phi_{1,2}$

Thm. [Han & Liu & Wu '20; Liu & P. & Wu '21]

Explicit fusion rules from SLE(8) pure partition functions $\mathcal{Z}_\alpha^{(\kappa=8)}$:

- ▶ “singlet channel”

$$\begin{array}{c} \mathcal{Z}_\alpha(x_1, \dots, x_{2N}) \\ \hline |x_{j+1} - x_j|^{1/4} \log |x_{j+1} - x_j| \end{array}$$

$\xrightarrow{x_j, x_{j+1} \rightarrow \xi}$ $\mathcal{Z}_{\alpha \setminus \{j, j+1\}}(x_1, \dots, x_{j-1}, x_{j+2}, \dots, x_{2N})$ if $\{j, j+1\} \in \alpha$ 

LOGARITHMIC FUSION FOR SLE(8) BOUNDARY FIELD $\Phi_{1,2}$

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Explicit fusion rules from SLE(8) pure partition functions $\mathcal{Z}_\alpha^{(k=8)}$:


- ▶ “singlet channel”

$$\frac{\mathcal{Z}_\alpha(x_1, \dots, x_{2N})}{|x_{j+1} - x_j|^{1/4} \log |x_{j+1} - x_j|}$$

$$x_j, x_{j+1} \xrightarrow{\xi} \mathcal{Z}_{\alpha \setminus \{j, j+1\}}(x_1, \dots, x_{j-1}, x_{j+2}, \dots, x_{2N}) \quad \text{if } \{j, j+1\} \in \alpha$$


- ▶ “triplet channel” (NB: limit is independent of ξ)

$$\frac{\mathcal{Z}_\alpha(x_1, \dots, x_{2N})}{|x_{j+1} - x_j|^{1/4}}$$

$$x_j, x_{j+1} \xrightarrow{\xi} \pi \mathcal{Z}_{\varphi(\alpha) \setminus \{j, j+1\}}(x_1, \dots, x_{j-1}, x_{j+2}, \dots, x_{2N}) \quad \text{if } \{j, j+1\} \notin \alpha$$


where $\varphi(\alpha)$ is obtained from α by “tying” the points j and $j+1$:



LOGARITHMIC FUSION FOR SLE(8) BOUNDARY FIELD $\Phi_{1,2}$

Consequence. [Liu & P. & Wu '21]

For *any* CFT boundary fields describing SLE(8) curves, OPE product has *explicit form*

$$\Phi_{1,2}(z) \Phi_{1,2}(w) \sim (z-w)^{-1/4} (\pi \Phi_{1,1}(z) - \log(z-w) \tilde{\Phi}_{1,3}(z)).$$

Compare with fusion of two simple Virasoro modules with $c = -2$:

- ▶ for simple module $S_{1,2}$ (corresponding to $\Phi_{1,2}$ with $\kappa = 8$):

$$0 \longrightarrow S_{1,1} \xrightarrow{\iota} S_{1,2} \boxtimes S_{1,2} \xrightarrow{\pi} S_{1,3} \longrightarrow 0$$

where $S_{1,2} \boxtimes S_{1,2}$ is so-called *staggered module* (not semisimple)

- ▶ $S_{1,1}$ corresponding to $\Phi_{1,1}$ and $S_{1,3}$ corresponding to its “log-partner” $\tilde{\Phi}_{1,3}$

[Gurarie '93; Gaberdiel & Kausch '96; Rohsiepe '96; Kytölä & Ridout '09]

- ▶ also agree with bdry-arm exponents for SLE(8) [Wu & Zhan '17]

HEURISTICS: FUSION AND OPE IN CFT

- ▶ “multiplication of fields” given by *operator product expansion* (OPE)
- ▶ e.g. for primary fields $\Phi_{1,2}$,

$$“ \Phi_{1,2}(z) \Phi_{1,2}(w) \sim \frac{c_1}{(w-z)^{\Delta_{1,1}}} \Phi_{1,1}(w) + \frac{c_2}{(w-z)^{\Delta_{1,3}}} \Phi_{1,3}(w) ”, \quad \text{as } |z-w| \rightarrow 0,$$

- ▶ $c_1, c_2 \in \mathbb{C}$ *structure constants*
- ▶ $\Delta_{1,1} = 2h_{1,2}(\kappa) - h_{1,1}(\kappa) = \frac{6-\kappa}{\kappa}$ and $\Delta_{1,3} = 2h_{1,2}(\kappa) - h_{1,3}(\kappa) = -\frac{2}{\kappa}$

Works well for generic $\kappa \notin \mathbb{Q}$. However:

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- ▶ **anomaly**: $\kappa = 8 \implies \Delta_{1,1} = \Delta_{1,3}$

\rightsquigarrow should use less restrictive form

$$“ \Phi_{1,2}(z) \Phi_{1,2}(w) \sim c_1(z, w) \Phi_{1,1}(w) + c_2(z, w) \Phi_{1,3}(w) ”, \quad \text{as } |z-w| \rightarrow 0,$$

for some functions $c_1(z_1, z_2)$ and $c_2(z_1, z_2)$ *allowing logarithms*

HEURISTICS: LOGARITHMIC FIELDS

- ▶ *Virasoro algebra* \mathfrak{Vir} : Lie algebra generated by $(L_n)_{n \in \mathbb{N}}$ and C

$$[L_n, L_m] = (n - m)L_{n+m} + \frac{C}{12}n(n^2 - 1)\delta_{n+m,0}, \quad [C, L_n] = 0$$

- ▶ *Verma module* $V_{h,c} = \mathfrak{Vir}.v$ universal highest weight module

$$L_0 v = h v, \quad L_n v = 0 \text{ for } n \geq 1, \quad C.v = c v,$$

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 \rightsquigarrow non-reflection positive!

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- ▶ e.g. in $0 \longrightarrow S_{1,1} \xrightarrow{\iota} S_{1,2} \boxtimes S_{1,2} \xrightarrow{\pi} S_{1,3} \longrightarrow 0$ we have

$$L_0 v_{1,3} = v_{1,1}, \quad L_n v_{1,3} = 0 \text{ for } n \geq 1$$

$\implies \{v_{1,1}, v_{1,3}\}$ form *Jordan cell* for $L_0 = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$ in $S_{1,2} \boxtimes S_{1,2}$

COMMENTS AND QUESTIONS

History:

- ▶ Dubédat '06–'07: Euler integrals & Commuting SLEs
- ▶ Kenyon & Wilson '11: combinatorial method to calculate UST crossing probabilities in the discrete, while it doesn't relate to CFT.
- ▶ Predictions for crossing formulas in the physics literature.

[Cardy 80s, Flores-Kleban-Simmons-Ziff '17]

What's new?

- ▶ **Proba construction** of bdry correlations with any number points.
- ▶ Explicit **structure constants and (log!) fusion rules** for primaries.
- ▶ In contrast to Liouville or unitary minimal models, CFT with $c = -2$ is **non-unitary** (so Osterwalder-Schrader axioms will fail).
- ▶ **Q:** UST natural for **imaginary geometry**. [Miller-Sheffield '12]
Understand the boundary “fields” from that framework?
- ▶ **NB: Found correlation functions are explicit!**

THANK YOU!

