



# A tale of two polytopes: the bipermutahedron and harmonic polytope

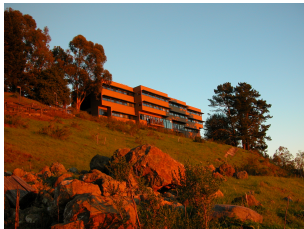
Federico Ardila Mantilla

San Francisco State University  
Universidad de Los Andes

Blackwell Tapia Conference  
November 19, 2021

# Acknowledgment

I have benefitted enormously from my times at MSRI.



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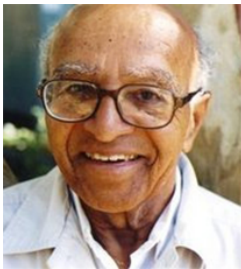
MSRI sits on the territory of xučyun (Huichin), the original landscape of the Chochenyo Ohlone people. Every member of the MSRI community benefits from the use and occupation of this land.

I also live and work in Ohlone land.

**Sogorea Te' Land Trust:** an urban Indigenous women-led land trust facilitating the return of Indigenous land to Indigenous people.

# Acknowledgment

I have learned enormously from the mathematics and the leadership of David Blackwell, Richard Tapia, Tatiana Toro.



Thank you! Gracias!

It's an honor to celebrate you.



# The plan

## 1. What is the permutahedron?

Face enumeration

Volume

## 2. What is the bipermutahedron?

Face enumeration

Volume?

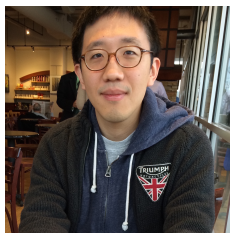
## 3. What is the harmonic polytope?

Face enumeration

Volume

## 4. Where do they come from? A short origin story.

Bipermutahedron: with **Graham Denham + June Huh** (15-20).  
Harmonic polytope: with **Laura Escobar** (20).



Lagrangian geometry of matroids. **[ADH20]**  
<https://arxiv.org/abs/2004.13116>

The harmonic polytope. **[AE20]**  
<https://arxiv.org/abs/2006.03078>

The bipermutahedron. **[A20]**  
<https://arxiv.org/abs/2008.02295>



# Permutations

A **permutation** of  $[n] = \{1, \dots, n\}$  is a reordering of  $12\dots n$ .

$n = 3$ :      123    132    213    231    312    321.

The set  $[n]$  has  $n!$  permutations. What structure do they have?



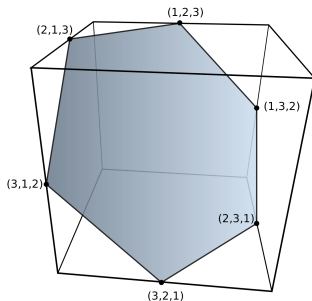
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Algebra: the symmetric group!    Geometry: the permutahedron!

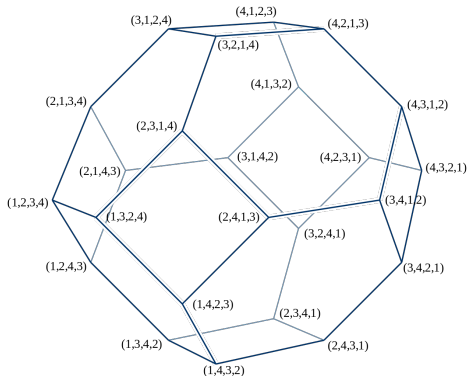
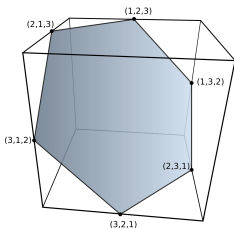






# The permutahedron

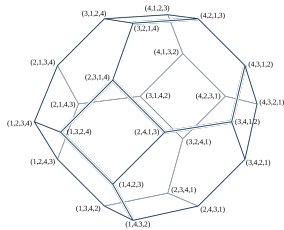
$$\Pi_n = \text{conv}\{(\sigma(1), \dots, \sigma(n)) : \sigma \text{ permutation of } [n]\}$$





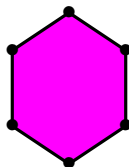
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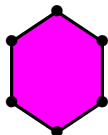
**Prop.** The inequalities defining the permutahedron are

$$\sum_{e \in [n]} x_e = n(n+1)/2,$$

$$\sum_{s \in S} x_s \geq |S|(|S|+1)/2 \quad \emptyset \subsetneq S \subsetneq [n].$$

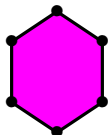
## The $f$ -vector of the permutahedron

- faces: **ordered set partitions** 12|47|368|5
- vertices: **permutations** 1|5|4|3|8|2|7|6  $n!$
- facets: **proper subsets** 12458  $2^n - 2$



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**Theorem** If  $f_d(\Sigma_n) = \#$  of  $d$ -dimensional faces of  $\Sigma_n$ ,

$$\sum_{d,n} f_d(\Sigma_n) \frac{x^d}{d!} \frac{y^n}{n!} = \frac{e^y - 1}{1 + xe^y - x}$$



## The $h$ -vector of the permutahedron

Encode the  $f$ -polynomial (counting faces) in the  $h$ -**polynomial**:

$$\begin{aligned}
 h_n(x) &= h_0 + h_1x + \cdots + h_{n-1}x^{n-1} \\
 &= f_0 + f_1(x-1) + \cdots + f_{n-1}(x-1)^{n-1}
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**Chavez-Yamzon 2017:** The Dehn-Somerville matroid





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**Prop.** The  $h$ -vector of the permutahedron  $\Pi_{n,n}$  is

$$h_i(\Pi_{n,n}) = \# \text{ of permutations of } [n] \text{ with } i \text{ descents.}$$

$$h_3(x) = 1 + 4x + x^2 : \quad 123 \quad 13.2 \quad 2.13 \quad 23.1 \quad 3.12 \quad 3.2.1$$

$h_n(x)$  is the  $n$ -th **Eulerian polynomial**.



# The $h$ -vector of the permutahedron

## Prop.

The Eulerian polynomial is

$$\frac{x h_n(x)}{(1-x)^{n+1}} = \sum_{k \geq 0} k^n x^k$$



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## Prop.

- All roots of  $h_n(x)$  are real and negative. (Frobenius)
- $h$ -vector of permutahedron is log-concave:  $h_i^2 \geq h_{i-1} h_{i+1}$

Why do combinatorialists care?

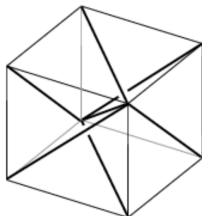
Combinatorics is full of log-concave sequences. The proof often requires a connection to a different area of mathematics.



# The $h$ -vector of the permutahedron via Ehrhart theory

**Prop.** There's a unimodular triangulation of the cube  $\square_n$  that is combinatorially isomorphic to the cone over  $\Sigma_n$ .

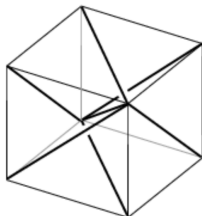
(Every simplex has volume  $1/n!$ )



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(Every simplex has volume  $1/n!$ )



Then Ehrhart theory gives

$$\frac{x h_n(x)}{(1-x)^{n+1}} = \sum_{k \geq 0} k^n x^k$$

(LHS: faces of triangulation. RHS: lattice points of  $k\square_n$ )

permutahedron



bipermutahedron



harmonic polytope



origin



# Volume

Computing volumes is very hard! Can we do it for  $\Pi_n$ ?

# Volume

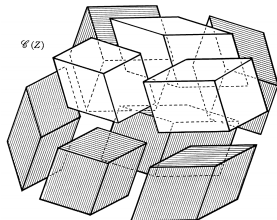
Computing volumes is very hard! Can we do it for  $\Pi_n$ ?

Good news: The permutahedron is a **zonotope**:

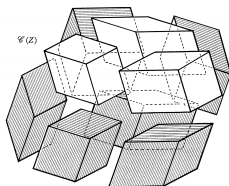
$$\Pi_n = \sum_{i < j} [e_i, e_j]$$

where  $P + Q = \{p + q : p \in P, q \in Q\}$  is *Minkowski sum*.

This gives a tiling of  $\Pi_n$  into parallelepipeds!



# Volume



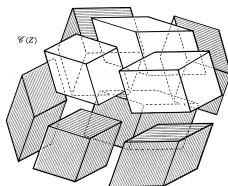
In the tiling of  $\Pi_n$  into parallelepipeds:

- each parallelepiped has volume 1, and
- # of parallelepipeds = # of trees on  $[n] = (n+1)^{n-1}$

**Theorem.**  $Vol(\Pi_n) = (n+1)^{n-1}$



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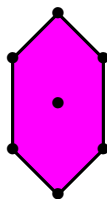
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Equivariant setting:

FA-(Schindler/Supina)– Vindas-Meléndez 2020



## The bipermutahedron



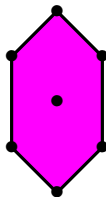
**Prop.** [FA-Denham-Huh 20, FA 20] The bipermutahedron is

$$\sum_{e \in [n]} x_e = \sum_{e \in [n]} y_e = 0,$$

$$\sum_{s \in S} x_s + \sum_{t \in T} y_t \geq -(|S| + |S - T|)(|T| + |T - S|) \quad \text{for } S|T \sqsubseteq [n].$$

$S|T \sqsubseteq [n]$ : subsets  $S, T \neq \emptyset$ , not both  $[n]$ , with  $S \sqcup T = [n]$

# Combinatorial structure of the bipermutahedron



- faces: **bisequences**  $12|45|4|235$   
(one number appears once, others once or twice)
- vertices: **bipermutations**  $1|5|4|1|3|4|2|5|3$ .  $(2n)!/2^n$   
(one number appears once, others twice)
- facets: **bisubsets**  $1245|235$   $3^n - 3$   
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## The $f$ -vector of the bipermutahedron

**Prop.** [FA 20] If  $f_d(\Sigma_{n,n}) = \#$  of  $d$ -dim. faces of  $\Sigma_{n,n}$ ,

$$\sum_{d,n} f_{d-2}(\Sigma_{n,n}) \frac{x^d}{d!} \frac{y^n}{n!} = \frac{F(x, e^y)}{e^x}$$

where

$$F(\alpha, \beta) = \sum_{n \geq 0} \frac{\alpha^n \beta^{\binom{n}{2}}}{n!}$$

is the two variable Rogers-Ramanujan function.

( $F(\alpha, \beta)$ ) also arises in the generating functions for the (arithmetic) Tutte polynomials of root systems! (FA 02, De Concini-Procesi 08, FA-Castillo-Henley 15) Related: (Mphako, 2002). Connection?)

## The $h$ -vector of the bipermutahedron

Encode the  $f$ -polynomial (counting faces) in the  **$h$ -polynomial**:

$$\begin{aligned} h_n(x) &= h_0 + h_1x + \cdots + h_{2n-2}x^{2n-2} \\ &= f_0 + f_1(x-1) + \cdots + f_{2n-2}(x-1)^{2n-2} \end{aligned}$$

**Prop. [FA 20]** The  $h$ -vector of the bipermutahedron  $\Pi_{n,n}$  is

$$h_i(\Pi_{n,n}) = \# \text{ of bipermutations of } [n] \text{ with } i \text{ descents.}$$

We call this the **biEulerian polynomial**.

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Observation: this is log-concave:  $h_i^2 \geq h_{i-1}h_{i+1}$ . How to prove it?



## The $h$ -vector of the bipermutahedron

**Prop.** [FA 20] The biEulerian polynomial is

$$\frac{h_n(x)}{(1-x)^{2n+1}} = \sum_{k \geq 0} \binom{k+2}{2}^n x^k$$

(LHS: faces of triangulation. RHS: lattice points of polytope???)

Let  $\Delta =$  standard triangle in  $\mathbb{R}^3$ .

**Prop.** [FA 20] There's a unimodular triangulation of  $\Delta \times \cdots \times \Delta$  that is combinatorially isomorphic to (the triple cone over)  $\Sigma_{n,n}$ .

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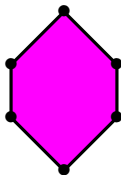
**Prop.** [FA 20] (thanks to Katharina Jochemko!)

- All roots of the biEulerian polynomial are real and negative.
- The  $h$ -vector of the bipermutahedron is log-concave.





## The harmonic polytope



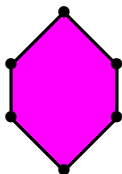
**Def./Prop.** [FA - Escobar 20] The harmonic polytope is

$$\sum_{e \in [n]} x_e = \sum_{e \in [n]} y_e = \frac{n(n+1)}{2} + 1,$$

$$\sum_{s \in S} x_s + \sum_{t \in T} y_t \geq \frac{|S|(|S|+1) + |T|(|T|+1)}{2} + 1 \quad \text{for } S|T \sqsubseteq [n].$$



# Combinatorial structure of the harmonic polytope



**Prop.** [FA-Escobar 20] Faces of polytope  $\longleftrightarrow$  harmonic triples

- f-vector: we have a formula

- # of facets =  $3^n - 3$

- # of vertices =  $(n!)^2 \left( 1 + \frac{1}{2} + \frac{1}{3} + \cdots + \frac{1}{n} \right) !$

permutahedron



bipermutahedron



harmonic polytope



origin



# Volume

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Good news: The harmonic polytope is a Minkowski sum!

$$P + Q = \{p + q : p \in P, q \in Q\}$$

**Bernstein-Khovanskii-Kushnirenko:**

Finding (mixed) volumes  $\leftrightarrow$  Counting sols. to polynomial eqs.



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### Bernstein-Khovanskii-Kushnirenko:

Finding (mixed) volumes  $\leftrightarrow$  Counting sols. to polynomial eqs.

In dimension 2:

$$\text{Vol}(P + Q) = \text{Vol}(P) + \text{Vol}(Q) + 2M\text{Vol}(P, Q)$$

$M\text{Vol}(P, Q) = \#$  of sols to  $2 \times 2$  system of polynomial equations  
with support  $P$  and  $Q$

$$\begin{array}{ll} \text{conv}\{(0,0), (0,1), (1,0)\} & \longrightarrow \quad ax^0y^0 + bx^1y^0 + cx^0y^1 = 0 \\ \text{conv}\{(1,0), (0,2), (0,3)\} & \longrightarrow \quad dx^1y^0 + ex^0y^2 + fx^0y^3 = 0 \end{array}$$



# Volume

Let  $\mathbb{R}^n \times \mathbb{R}^n$  have basis  $e_1, \dots, e_n, f_1, \dots, f_n$ .

$$H_{n,n} = \sum_{i < j} [e_i, e_j] + \sum_{i < j} [f_i, f_j] + \text{conv}(e_i + f_j : 1 \leq i \leq n)$$



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**Theorem.** (FA - Escobar 20)

$$\text{Vol}(H_{n,n}) = \sum_{\Gamma} \frac{\deg(X_{\Gamma})}{(v(\Gamma) - 2)!} \prod_{v \in V(\Gamma)} \deg(v)^{\deg(v) - 2}$$

$\Gamma$  = connected bipartite multigraphs on edges  $[n]$

$X_{\Gamma}$  = (embedded) toric variety given by toric ideal of  $\Gamma$



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**Theorem.** [AE 20] Summing over conn. bip. graphs on edges  $[n]$

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$= i(P_{\Gamma}^{-}) = \# \text{ of lattice points of trimmed gen. perm. } P_{\Gamma}^{-}$  (Postnikov 05)



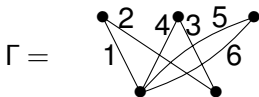
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Toric ideal  $\langle z_1 z_3 - z_2 z_4, z_5 - z_6 \rangle$  has degree **2**.

Polytope  $P_{\Gamma}^{-} = (\Delta_{abc} + \Delta_{ab}) - \Delta_{abc} = \Delta_{ab}$  has **2** lattice points



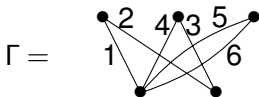
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(This is  $\text{MVol}(\mathbf{e}_{12}, \mathbf{e}_{34}, \mathbf{e}_{56}, \mathbf{f}_{14}, \mathbf{f}_{23}, \mathbf{f}_{45}, \mathbf{f}_{56}, D_{123456}, D_{123456}, D_{123456}) = 2.$ )



## Minkowski quotients

Relationship:  $\lambda H_{n,n}$  is a summand of  $\Pi_{n,n}$ .

**Minkowski quotient**  $P/Q := \max\{\lambda : P = \lambda Q + R \text{ for some } R\}$



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**Prop.** [FA 20]  $\Pi_{n,n}/H_{n,n} = 2$

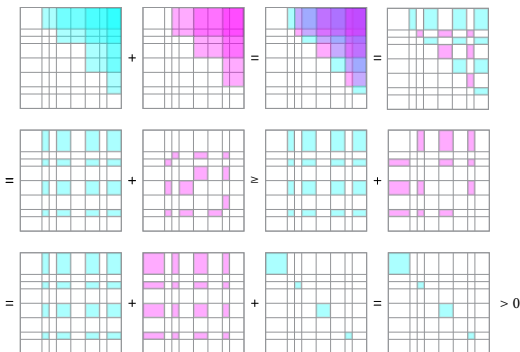
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Proof:





## A very brief origin story

[A21] The geometry of geometries: matroid theory, old and new.  
*Proceedings, International Congress of Mathematicians 2022.*



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*Proceedings, International Congress of Mathematicians 2022.*

Given a matroid  $M$  of rank  $r$ ,

$f$ -vector = |coeffs| of  $\chi_M(q)$        $h$ -vector = |coeffs| of  $\chi_M(q+1)$

### Theorem.

- [Adiprasito-Huh-Katz '15]  $f_0, f_1, \dots, f_r$  is **log-concave**.  
 Conjectured by Rota 71, Welsh 71, 76, Heron 72, Mason 72.
- [Ardila-Denham-Huh '20]  $h_0, h_1, \dots, h_r$  is **log-concave**.  
 Conjectured by Brylawski 82, Dawson 83, Hibi 89.

## A very brief origin story

[A21] The geometry of geometries: matroid theory, old and new.  
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Tropical geometry, combinatorics, Hodge theory in Chow ring of

[AHK 15]: Bergman fan  $\Sigma_M$  in permutahedral fan  $\Sigma_n$  [AK 06]

[ADH 20]: conormal fan  $\Sigma_{M, M^\perp}$  in bipermutahedral fan  $\Sigma_{n,n}$



# muchas gracias

[ADH20]: <https://arxiv.org/abs/2004.13116>

[AE20]: <https://arxiv.org/abs/2006.03078>

[A20]: <https://arxiv.org/abs/2008.02295>