


Vafa-Witten invariants of projective surfaces

— overview

S : smooth projective surface/ \mathbb{C} , $H_1(S, \mathbb{Z}) = 0$

H : very ample divisor on S

'94 : VW's S -duality

$$\mathbb{Z}^{SU(r)} \left(-\frac{1}{\tau} \right) = \pm \left(\frac{r\tau}{\sqrt{-1}} \right)^{\frac{-e(S)}{2}} \mathbb{Z}^{SU(r)}(\tau)$$

Goal : understand within algebraic geometry

SU(r) side

Tanaka-Thomas '17

moduli space:

$N := N_S^H(r, c_1, c_2)$: H-stable Higgs pairs (E, ϕ)

E torsion free sheaf on S * $\text{rk}(E) = r > 0$

* $c_1(E) = c_1 \in H^2(S, \mathbb{Z})$

* $c_2(E) = c_2 \in H^4(S, \mathbb{Z}) \cong \mathbb{Z}$

$\phi \in \text{Hom}(E, E \otimes K_S)_0$!

N non-compact: $\mathbb{C}^* \curvearrowright N$ $t \cdot (E, \phi) = (E, t\phi)$

assume stable = semistable

$\leadsto N^{\mathbb{C}^*}$ compact

$$N^{\mathbb{C}^*} = N^{\text{hor}} \sqcup N^{\text{ver}} \sqcup N^{\text{rest}}$$

$$\underline{N^{\text{hor}}}: (E, \phi) \text{ s.t. } \phi = 0$$

\leadsto Gieseker moduli space

$$M := M_S^H(r, c_1, c_2)$$

$$\underline{N^{\text{ver}}}: (E, \phi) \text{ s.t. } E = \bigoplus_{i=0}^{r-1} E_i \otimes t^{-i}, \quad \text{rk } E_i = 1 \quad \forall i$$

$$E_i \cong \mathbb{I}_{Z_i} \otimes L_i \quad N^{\text{ver}} \hookrightarrow \bigcup_i \prod_i \text{Hilb}^{n_i}(S) \times \prod_i |\beta_i|$$

$$Z_i \subseteq S \text{ 0-dim.}$$

$$\begin{aligned} (n_0, \dots, n_{r-1}) &\in \mathbb{Z}^r \\ (\beta_1, \dots, \beta_{r-1}) &\in H^2(S, \mathbb{Z})^{r-1} \text{ eff.} \\ &\text{s.t. } (\dots) \end{aligned}$$

Thm. (Tanaka-Thomas)

\exists symmetric perfect obstruction th. $E \rightarrow \mathbb{L}_N$
 $\uparrow E^\vee[1] \cong E$ $\rightarrow [E^{-1} \rightarrow E^0]$

cotangent ex.
of N

Note: * for $[E] \in M$: $E^\vee|_{(E,0)}^{fix} \cong R\text{Hom}(E, E)_0[1]$

* $Ob_M|_E \cong \text{Ext}^2(E, E)_0 \cong \text{Hom}(E, E \otimes k_S)_0^*$

$M \leftarrow C'(Ob_M) \subseteq N$

assume $h^{2,0}(S) > 0$

\leadsto usually

- * M singular
- * $N^{\text{ver}} \neq \emptyset$
- * $C'(Ob_M) \neq N$

Invariant: $\int_{[N]^{vir}} 1 = \int_{[N\mathbb{C}^*]^{vir}} \frac{1}{e(N^{vir})} \in \mathbb{Q}$

K-theoretic (Thomas): $\chi(N, \hat{O}_N^{vir}) \in \mathbb{Q}(t^{1/2})$

↑ see Manschot's talk

$$\hat{O}_N^{vir} := O_N^{vir} \otimes \sqrt{K_N^{vir}}$$

Contribution N^{hor} :

$$(\pm) e^{vir}(M) = \int_{[M]^{vir}} c_{vd}(\mathbb{E}^V|_M^{fix}), \quad vd := 2rc_2 - (r-1)c_1^2 - (r^2-1)\chi(O_S)$$

Contribution N^{ver} :

(Gholampour-Thomas)

$$\int \prod_i \text{Hilb}^{n_i}(S) \times \prod_i |\beta_i|$$

Contribution N^{rest} :

assume r prime

$\rightsquigarrow 0$

Thomas, using
cosections

$$\text{Ob}_{N^{\text{rest}}} \twoheadrightarrow \mathcal{O}_{N^{\text{rest}}} \otimes h^{2,0}(S)$$

$$Z_{S, H, c_1}^{\text{SU}(r)}(q) := \text{cst.} \sum_{c_2} \pm q^{c_2} \cdot \int \mathbb{1}$$

$[\text{NH}_S^H(r, c_1, c_2)]^{\text{vir}}$

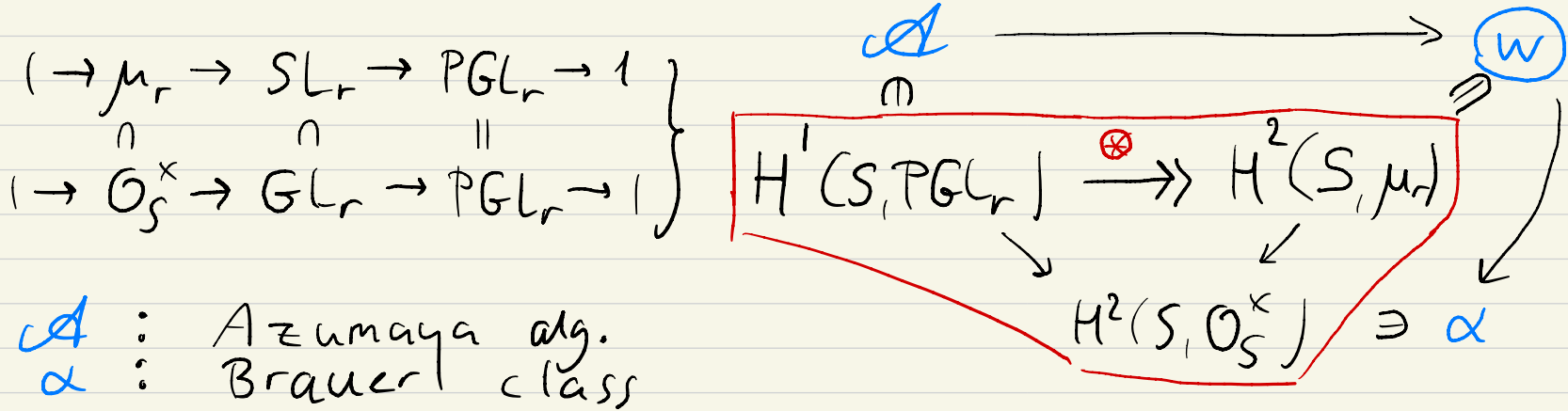
$$\in q \cdot \mathbb{Q} \langle\langle q \rangle\rangle$$

$SU(r)/\mu_r$ side

Jiang-K

goal:
to define

$$Z_{S, H, C_1}^{SU(r)/\mu_r}(q) = \sum_{W \in H^2(S, \mu_r)} e^{\frac{2\pi\sqrt{-1} C_1 \cdot W}{r}} \cdot Z_{S, H, W}$$



\mathcal{A} : Azumaya alg.
 α : Brauer class

\otimes : " \rightarrow " from period-index thm. de Jong, Lieblich

pick $\xi \in H^2(S, \mathbb{Z})$ s.t. $w = [\xi] \in H^2(S, \mathbb{Z})$
 ↑ may not be algebraic!

moduli space:

$M := M_{S, \mathcal{A}}^H(r, \xi, c_2)$: torsion free
 H-stable sheaves E of \mathcal{A} -modules
 s.t. $\frac{e^{\xi/r} \text{ch}(E)}{\sqrt{\text{ch}(\mathcal{A})}} = (r, \xi, \frac{1}{2}\xi^2 - c_2)$
 B-field! $\in \mathbb{Z}$!

r prime and $\alpha \neq 0 \Rightarrow$ * stability automatic
 * $\mathcal{C}(Ob_M) = N, N^{\mathbb{C}^*} = M$

$$Z_{S, H, w}(q) := \text{cst.} \sum_{c_2} \pm q^{c_2} e^{\text{vir}} (M_{S, \mathcal{A}}^H (r, \mathcal{E}, c_2))$$

Note: * indep. of choices $\mathcal{A}, \mathcal{E}, H$

* varying cx. structure S :

after applying elementary transf. to \mathcal{A} ,
 \mathcal{A} is unobstructed (de Jong)

$\rightsquigarrow Z_{S, H, w}$ defo. inv.

often can deform to cx. str. s.t. $\alpha=0$

" PGL_r to GL_r red." v. Bree-Gholamponn-Jiang-K

* now S-duality math. conj. $q = e^{2\pi\sqrt{-1}\tau}$

• Back to $SU(r)$ partition fn... for $a, b \in H^2(S, \mathbb{Z})$

define: $\delta_{ab} := \begin{cases} 1 & \text{if } a \equiv b \pmod{r} \cdot H^2(S, \mathbb{Z}) \\ 0 & \text{otherwise} \end{cases}$

Thm. (Laarakken)

w/ \mathbb{Q} -coeff.'s

$\forall r > 0 \exists$ power series $A(q), B(q), \{C_{ij}(q)\}_{1 \leq i \leq j \leq r-1}$:

$\forall (S, M), c_1$ w/ previous assumptions:

$$\mathbb{Z}_{S, M, c_1}^{SU(r)}(q)_{\text{ver}} = A^{X(\mathbb{Q}_S)} B^{K_S} \sum_{(\beta_1, \dots, \beta_{r-1})} \delta_{c_1, \sum_i i \beta_i} \prod_i SW(\beta_i) \prod_{i \leq j} C_{ij}^{\beta_i \beta_j}$$

Conj (Göttsche-K-Laarakken)

w/ $\bar{\mathbb{Q}}$ -coeff.'s

$\forall r > 0 \exists$ power series $\tilde{A}(q), \tilde{B}(q), \{\tilde{C}_{ij}(q)\}_{1 \leq i \leq j \leq n_1}$

$\forall (S, H), c_1, c_2$ w/ previous assumpt. \vdots

$e^{\text{vir}}(M_S^H(r, c_1, c_2))$ given by coeff. $q^{vd/2r}$ of

$$r^2 \cdot \tilde{A}^{X(S)} \tilde{B}^{K_S^2}$$

$$\sum_{(\beta_1, \dots, \beta_{n_1})} \epsilon_r^{\sum_i \beta_i c_i} \prod_i SW(\beta_i) \prod_{i \leq j} \tilde{C}_{ij}^{\beta_i \beta_j}$$

$\epsilon_r := e^{2\pi\sqrt{-1}/r}$

* Evidence small r :

Göttsche-K using Mochizuki's formula

$$\int_{[M]^{vir}} (\dots) \iff \int (\dots) \prod_i H_{i-1}^{n_i}(S) \times \prod_i |\beta_i|$$

* $\boxed{vd=0}$: $\int_{[M]^{vir}} 1$ Donaldson inv., consistent w/ Mariño-Moore conj.
explicit conj. formula $\forall r$: Göttsche

* New approach: Joyce's vertex algebra wall-crossing

Upshot: for r prime: all VW inv. determined by
 $\underline{A(q), B(q), C_{ij}(q)} / \underline{\tilde{A}(q), \tilde{B}(q), \tilde{C}_{ij}(q)}$

$S = K3$

swapped by S -duality

* M smooth, $Ob_M = 0$

* M defo. equiv. to $Hilb^{vd/2}(S) \cup \text{Grady}, \dots$

Göttsche $\implies \underline{\tilde{A}(q)} = 1/r \Delta(q^{1/r})^{1/2}, \Delta(q) := q \prod_{n=1}^{\infty} (1 - q^n)^{24}$

* $A(q) = (-1)^{r-1} / r \Delta(q^r)^{1/2}$ Tanaka-Thomas, Laarakken

Blow-up in point: $\hat{S} \xrightarrow{\pi} S$, $\hat{c}_1 := \pi^* c_1 - lE$ ← exc. P'
 $\hat{H} := \pi^* H - \epsilon E$
 $0 < \epsilon < 1$

Thm. (Kuhn-Leigh-Tanaka)

$$q \sum_{c_2} e^{\text{vir}} (M_S^{\hat{H}}(r, \hat{c}_1, c_2)) q^{c_2} = \frac{\Theta_{A_{r-1}, l}^v(q)}{\gamma(q)^r} \sum_{c_2} e^{\text{vir}} (M_S^H(r, c_1, c_2)) q^{c_2}$$

twisted Θ -fn.
 A_{r-1}^v lattice
Dedekind eta

Note * same shape as blow-up formula $e(\dots)$
 * Kuhn-Tanaka's blow-up algorithm; similar to Nakajima-Yoshioka's for framed sheaves $\hat{\mathbb{P}}^2 \rightarrow \mathbb{P}^2$

Define $\forall I \subseteq [r-1] := \{1, \dots, r-1\} : C_I := B \prod_{i \leq j \in I} C_{ij}$

Thm. (Arbesfeld - K-Lagrakker) *← in progress, using KLT*

$$C_{ij} = C_{r-j, r-i}, \quad \sum_{I \subseteq [r-1]} \epsilon_r^{\sum_{i \in I} i} C_I^{-1} = \frac{\Theta_{A_{r-1}^v} \ell(q)}{\eta(q)^r}$$

\Rightarrow $r=2$, A, B, C_{ij} all determined \checkmark

$r=3$, $\text{---} " \text{---} \text{---}$ except for ①

$r=5$, $\text{---} " \text{---} \text{---}$ except for ④

previously derived using cosmic strings (VW '94)

Define $\Phi_{r, S, c_1} := \left(\frac{(-1)^{r-1}}{r \Delta(q^r)} \right)^{-\chi(O_S)} \left(\frac{\textcircled{H}_{A_{r-1,0}}(q)}{\eta(q)^r} \right)^{\leftarrow S^2} \cdot Z_{S, H, c_1}^{SU(r)}(q)$! ver

suppose S minimal of general type $\Leftarrow SW(O) = 1$
 $SW(K_S) = (-1)^{\chi(O_S)}$

define $t_{A_{r,l}} := \textcircled{H}_{A_{r,0}} / \textcircled{H}_{A_{r,l}}$

$$\textcircled{H}_{A_{r,l}}(q) := \sum_{v \in \mathbb{Z}^r} q^{\frac{1}{2} \langle v - l\lambda, v - l\lambda \rangle_{A_r}}$$

$$\lambda := \frac{1}{r+1} (r, r-1, \dots, 1)$$

Thm. $\Phi_{2, S, c_1} = \delta_{c_1, a} + \delta_{c_1, k_S} \cdot (-1)^{\chi(\theta_S)} \cdot t_{A_{2,1}}^{k_S^2}$

Let X_{\pm} be roots of $X^2 - 4 \cdot t_{A_{2,1}}^2 X + 4 t_{A_{2,1}} = 0$

Conj (Göttsche-K)

$$\Phi_{3, S, c_1} = \delta_{c_1, 0} \cdot t_{A_{2,1}}^{k_S^2} \cdot (X_+^{k_S^2} + X_-^{k_S^2}) + (\delta_{c_1, k_S} + \delta_{c_1, -k_S}) \cdot (-1)^{\chi(\theta_S)} \cdot t_{A_{2,1}}^{k_S^2}$$

Note: * Proved mod q'' (Laarakken)
 * Corrects Labastida-Lozano

$$R := q^{\frac{1}{5}} / (1 + q) / (1 + q^2) / (1 + q^3) / (1 + \dots) \quad \text{Rogers-Ramanujan}$$

$$R^{-5} - 11 - R^5 = \eta(q)^6 / \eta(q^5)^6 \quad \text{Hauptmodul } \Gamma_0(5)$$

$$\text{define: } \beta_1 := \frac{t_{A_4,1}}{25} (3R^{-5} + 2 - 8R^5)$$

$$\beta_2 := \frac{t_{A_4,2}}{25} (8R^{-5} + 2 - 3R^5)$$

Define: X_{\pm} sol. of:

$$X^2 - \frac{4}{5} \beta_1 (\beta_1 t_{A_{4,1}}^{-1} - 1) (3R^{-5} + 1) X + \frac{4}{5} \beta_1^2 (3R^{-5} + 1) = 0$$

Define Y_{\pm} sol. of

$$Y^2 - \frac{4}{5} \beta_2 (\beta_2 t_{A_{4,2}}^{-1} - 1) (1 - 3R^5) Y + \frac{4}{5} \beta_2^2 (1 - 3R^5) = 0$$

Define Z sol. of:

$$Z - \frac{6}{25} (8R^{-5} - 13 - 8R^5) + Z^{-1} = 0$$

$$\leadsto t_{A_{4,i}}, R, \beta_1, \beta_2, X_{\pm}, Y_{\pm}, Z$$

Conj. (GKL)

$$\Phi_{\bar{s}, s, c_i} = \delta_{c_{i,0}} \left\{ \left(\frac{Z X_+^2 Y_+^2}{\beta_1 \beta_2} \right)^{\frac{k_s^2}{2}} + \left(\frac{X_+^2 Y_-^2}{Z \beta_1 \beta_2} \right)^{\frac{k_s^2}{2}} + \left(\frac{X_-^2 Y_+^2}{Z \beta_1 \beta_2} \right)^{\frac{k_s^2}{2}} + \left(\frac{Z X_-^2 Y_-^2}{\beta_1 \beta_2} \right)^{\frac{k_s^2}{2}} \right\}$$

$$+ (\delta_{c_{i,1} k_s} + \delta_{c_{i,1} - k_s}) \cdot \left\{ \beta_1^{k_s^2} + (-1)^{\chi(\theta_s)} \cdot (X_+^{k_s^2} + X_-^{k_s^2}) \right\}$$

$$+ (\delta_{c_{i,2} k_s} + \delta_{c_{i,2} - 2k_s}) \cdot \left\{ \beta_2^{k_s^2} + (-1)^{\chi(\theta_s)} \cdot (Y_+^{k_s^2} + Y_-^{k_s^2}) \right\}$$

Note : * proved mod q^{13}



Conj. ("horizontal/vertical duality", GKL)

$$B\left(-\frac{1}{\tau}\right) = \left(\frac{\tau}{\sqrt{-1}}\right)^{\frac{1}{2}} \check{B}(\tau) \quad C_{ij}\left(-\frac{1}{\tau}\right) = \check{C}_{ij}(\tau)$$

\Rightarrow closed expressions full $SU(2)$, $SU(3)$, $SU(5)$
partition functions

checks: * $Z_{S, H, C_i}^{SU(n)}(q)$ hor must be \mathbb{Z} -valued

(i.p. "Galors invariance")

- * leading term produces correct Donaldson inv.
- * closed expressions satisfy VW's S -duality.

$\int_{\text{FM}} \text{inv}^1$