

Zeta morphisms for rank two universal deformations

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March 22, 2023

- p : a prime number.
- $\iota_\infty: \overline{\mathbb{Q}} \hookrightarrow \mathbb{C}$, $\iota_p: \overline{\mathbb{Q}} \hookrightarrow \overline{\mathbb{Q}}_p$: fixed embeddings.
- L/\mathbb{Q}_p : a (sufficiently large) finite extension in $\overline{\mathbb{Q}}_p$, $\mathcal{O} = \mathcal{O}_L$,
 $\varpi \in \mathcal{O}$: a uniformizer, $\mathbb{F} = \mathcal{O}/(\varpi)$.
- $\Gamma = \text{Gal}(\mathbb{Q}(\zeta_{p^\infty})/\mathbb{Q})$, $\Lambda = \mathcal{O}[[\Gamma]]$: the Iwasawa algebra of Γ .
- For a field F , we set $G_F = \text{Gal}(F^{\text{sep}}/F)$.

Kato's zeta morphisms

- $f = \sum_{n=1}^{\infty} a_n q^n \in S_k^{\text{new}}(\Gamma_1(N))$: a normalized Hecke eigen cusp newform of level $N \geq 1$, weight $k \geq 2$ with a neben type character $\chi_f : (\mathbb{Z}/N)^\times \rightarrow \mathbb{C}^\times$.
- $\rho_f : G_{\mathbb{Q}} \rightarrow \text{GL}_2(\mathcal{O})$: a Galois representation associated to f , i.e. odd and unramified outside $\Sigma_f = \text{prime}(N) \cup \{p\}$ satisfying

$$\text{tr}(\rho_f(\text{Frob}_\ell)) = a_\ell$$

for all $\ell \notin \Sigma_f$.

- $H_{\text{Iw}}^i(\mathbb{Z}[1/Np], \rho_f^*(1)) := \varprojlim_{m \geq 0} H^i(\mathbb{Z}[1/Np, \zeta_{p^m}], \rho_f^*(1))$.
- $\mathbf{H}^1(\rho_f^*(1)) := H_{\text{Iw}}^1(\mathbb{Z}[1/Np], \rho_f^*(1))$,

$$\mathbf{H}^2(\rho_f^*(1)) := \text{Ker}(H_{\text{Iw}}^2(\mathbb{Z}[1/Np], \rho_f^*(1)) \rightarrow \bigoplus_{\ell \in \Sigma_f \setminus \{p\}} H_{\text{Iw}}^2(\mathbb{Q}_\ell, \rho_f^*(1))).$$

These are Λ -modules.

Kato defined a non zero Euler system, i.e.

$$\{z_{np^m} \in H^1(\mathbb{Q}(\zeta_{np^m}), \rho_f^*(1))\}_{m \geq 0, n \geq 1, (n, Np) = 1}$$

satisfying the norm relation.

Theorem (12.4 of Kato (04))

- $\mathbf{H}^2(\rho_f^*(1))$ is a torsion Λ -module.
- $\mathbf{H}^1(\rho_f^*(1)) \otimes \mathbb{Q}$ (resp. $\mathbf{H}^1(\rho_f^*(1))$) is free of rank one over $\Lambda \otimes \mathbb{Q}$ (resp. free over Λ if $\bar{\rho}_f$ is absolutely irreducible).

We can define

$$\{z_{p^m}\}_{m \geq 1} \in \mathbf{H}^1(\rho_f^*(1)),$$

but it is **not canonical**, $\{z_{np^m}\}_{n,m}$ depends on many choices $c, d \geq 2$ s.t. $(cd, 6pN) = 1$, $1 \leq j \leq k - 1$ and $\alpha \in \mathrm{SL}_2(\mathbb{Z})$, etc (cf. Kato(04)).

Dividing its dependent factors (and the L -factors at the bad primes $\ell \in \Sigma_f \setminus \{p\}$), Kato obtained the following :

Theorem (12.5 of Kato (04))

(1) \exists a **canonical** \mathcal{O} -linear map (**zeta morphism** for f)

$$\mathbf{z}(f): \rho_f^* \rightarrow \mathbf{H}^1(\rho_f^*(1)) \otimes \mathbb{Q}$$

interpolating, via Bloch-Kato's dual exponentials and period maps, all the critical values of

$$L_{\{p\}}(f, \chi, s) = \sum_{n=1, (n,p)=1}^{\infty} \frac{a_n \chi(n)}{n^s}$$

for all the finite characters $\chi: \Gamma(\overset{\sim}{\mathbb{Z}}_p^\times) \rightarrow \mathbb{C}^\times$.

(2) If p is odd and $\bar{\rho}_f = \rho_f \pmod{\varpi}$ is absolutely irreducible,

$$\text{Char}_\Lambda(\mathbf{H}^1(\rho_f^*(1))/\Lambda \cdot \text{Im}(\mathbf{z}(f))) \subseteq \text{Char}_\Lambda(\mathbf{H}^2(\rho_f^*(1)))$$

Conjecture (12.10 of Kato (04), Kato (93))

(1) (Kato main conjecture, KMC)

$$\text{Char}_\Lambda(\mathbf{H}^1(\rho_f^*(1))/\Lambda \cdot \text{Im}(\mathbf{z}(f))) = \text{Char}_\Lambda(\mathbf{H}^2(\rho_f^*(1)))$$

(2) Such **zeta morphisms exist for all the families of p -adic representations of $G_{\mathbb{Q}}$** which are unramified outside a finite set of primes.

- When $\pi_p(f)$ is non supercuspidal, KMC is equivalent to the usual Iwasawa main conjecture (IMC), i.e. the equality

$$(p\text{-adic } L\text{-function}) = \text{Char}_\Lambda((\text{cyclotomic Selmer group})^\vee),$$

formulated by Mazur (72), Greenberg (89), Pollack (03)-Kobayashi (03), Lei-Loeffler-Zerbes (10), etc.

- **KMC is formulated for arbitrary f** , e.g. for f s.t. $\pi_p(f)$ is supercuspidal.

Zeta morphisms for rank two universal deformations

- Σ : a finite set of primes containing p .
- $\bar{\rho}: G_{\mathbb{Q}} \rightarrow \mathrm{GL}_2(\mathbb{F})$: odd, absolutely irreducible, unramified outside Σ .
- $\mathrm{Comp}(\mathcal{O})$: the category of commutative local Noetherian complete \mathcal{O} -algebras with finite residue field.
- $\rho_{\Sigma}: G_{\mathbb{Q}} \rightarrow \mathrm{GL}_2(R_{\Sigma})$: the universal deformation for the deformations $\rho: G_{\mathbb{Q}} \rightarrow \mathrm{GL}_2(A)$ ($A \in \mathrm{Comp}(\mathcal{O})$) s.t. $\rho(\mathrm{mod} \mathfrak{m}_A) \xrightarrow{\sim} \bar{\rho} \otimes_{\mathbb{F}} A/\mathfrak{m}_A$, unramified outside Σ (no condition at the primes in Σ).
- $X_{\Sigma}(\bar{\rho}) = \mathrm{Hom}_{\mathcal{O}\text{-alg}}^{\mathrm{cont}}(R_{\Sigma}, \bar{\mathbb{Z}}_p)$.
- $X_{\Sigma}^{\mathrm{mod}}(\bar{\rho})$: the subset of modular points.
- For $\ell \notin \Sigma$, we set

$$P_{\ell}(T) = \det(1 - \mathrm{Frob}_{\ell} \cdot T \mid \rho_{\Sigma}) \in R_{\Sigma}[T].$$

- For $f \in S_k^{\mathrm{new}}(\Gamma_1(N))$ and $\ell \neq p$, we set

$$P_{f,\ell}(T) = \det(1 - \mathrm{Frob}_{\ell} \cdot T \mid \rho_f^{I_{\ell}}) \in \mathcal{O}[T].$$

Theorem (Main Theorem, Nakamura (20))

Assume the following:

- (i) $\bar{\rho}|_{G_{\mathbb{Q}(\zeta_p)}}$ is absolutely irreducible, (ii) $p \geq 5$, (iii) $\text{End}_{\mathbb{F}[G_{\mathbb{Q}_p}]}(\bar{\rho}) = \mathbb{F}$,
- (iv) $\bar{\rho}|_{G_{\mathbb{Q}_p}}$ is not of the form $\begin{pmatrix} \bar{\chi}_p^{\pm 1} & * \\ 0 & 1 \end{pmatrix} \otimes \eta$ ($\eta : G_{\mathbb{Q}_p} \rightarrow \mathbb{F}^\times$).

Then, \exists R_Σ -linear map

$$Z_{\Sigma,n} : \rho_\Sigma^* \rightarrow H_{\text{Iw}}^1(\mathbb{Z}[1/\Sigma_n, \zeta_n], \rho_\Sigma^*(1))$$

for each $n \geq 1$ s.t. $(n, \Sigma) = 1$ ($\Sigma_n = \Sigma \cup \text{prime}(n)$), satisfying :

- (1) $\text{Cor} \circ Z_{\Sigma, n\ell} = \begin{cases} Z_{\Sigma, n} & \text{if } \ell|n \\ P_\ell(\text{Frob}_\ell) \cdot Z_{\Sigma, n} & \text{otherwise} \end{cases}$
- (2) $x_f^*(Z_{\Sigma,1}) = \prod_{\ell \in \Sigma \setminus \{p\}} P_{f,\ell}(\text{Frob}_\ell) \cdot \mathbf{z}(f)$ for arbitrary $x_f \in X_\Sigma^{\text{mod}}(\bar{\rho})$
(this is an equality as a map $\rho_f^* \rightarrow \mathbf{H}^1(\rho_f^*(1))$).

Namely, $Z_{\Sigma,1}$ interpolates zeta elements which are related with

$$L_\Sigma(f, \chi, s) = \sum_{n=1, (n, \Sigma)=1}^{\infty} \frac{a_n \chi(n)}{n^s}.$$

Some works on zeta morphisms (or Euler systems) for families.

- Ochiai (06), **Fukaya-Kato** (12): Hida families (of ordinary p -adic modular forms).
- Hansen (15), Ochiai (17), Wang (13), Benois-Buyukboduk (21) : Coleman-Mazur eigencurves (families of overconvergent of p -finite slope modular forms).
- Fouquet, Wang: for universal deformations.
- **Colmez-Wang** (21) : similar results (essentially same (?), but different proof).

Application to KMC

For $f_i = \sum_{n=1}^{\infty} a_n(f_i)q^n \in S_{k_i}^{\text{new}}(N_i)$ ($i = 1, 2$), we say that f_1 and f_2 are **congruent** if

$$a_\ell(f_1) \equiv a_\ell(f_2) \pmod{\varpi}$$

for all but finitely many primes ℓ ($\iff \bar{\rho}_{f_1} \xrightarrow{\sim} \bar{\rho}_{f_2}$ if $\bar{\rho}_{f_1}$ is abs irr).

Corollary

Assume that $\bar{\rho}_{f_1}$ satisfies all the assumptions in Main Theorem. For $\Sigma := \text{prime}(N_1) \cup \text{prime}(N_2) \cup \{p\}$, one has

$$\prod_{\ell \in \Sigma \setminus \{p\}} P_{f_1, \ell}(\text{Frob}_\ell) \cdot \mathbf{z}(f_1) \equiv \prod_{\ell \in \Sigma \setminus \{p\}} P_{f_2, \ell}(\text{Frob}_\ell) \cdot \mathbf{z}(f_2) \pmod{\varpi}.$$

(equality as a map $\bar{\rho}_{f_1}^* \rightarrow \mathbf{H}^1(\bar{\rho}_{f_1}^*(1))$)

Remark Kim-Lee-Ponsinet (19) (essentially) proved that such a congruence between zeta morphisms implies the equivalence of KMC.

Corollary

Assume f_1 and f_2 are congruent, $\bar{\rho}_{f_1}$ satisfies all the assumptions in Main theorem, and

$$\mathbf{z}(f_1) \pmod{\varpi} \neq 0.$$

Then one also has

$$\mathbf{z}(f_2) \pmod{\varpi} \neq 0,$$

and one has the following equivalence

$$\text{KMC for } f_1 \text{ holds} \iff \text{KMC for } f_2 \text{ holds.}$$

- I expect that the assumption $\mathbf{z}(f_1) \pmod{\varpi} \neq 0$ always holds.

Known results (Assume that $\bar{\rho}_{f_1}$ is absolutely irreducible and $\mu(f_1) = 0$)

- Greenberg-Vatsal (00): congruent elliptic curves E_1 and E_2 with good ordinary reduction at p (i.e. of weight two).
- Emerton-Pollack-Weston (06): congruent eigenforms which are ordinary at p (of arbitrary weights).
- many related results in many related settings \dots
- Kim-Lee-Ponsinet (19): congruent eigenforms which are of finite slope (not ordinary in general) but with a fixed weight $2 \leq k \leq p - 1$.
- (Na): all the congruent eigenforms with **arbitrary levels and weights**.

Therefore, we can compare (under the assumption that $\mathbf{z}(f_1) \bmod \varpi \neq 0$)

known IMC (=KMC) for ordinary case (Kato, Skinner-Urban),

or of finite slope case (Kato, X.Wan,,,,)

with

unknown KMC, e.g. for supercuspidal case.

The proof of the main theorem

We mainly explain how to construct our zeta morphism (for $n = 1$)

$$Z_{\Sigma} := Z_{\Sigma,1} : \rho_{\Sigma}^* \rightarrow \mathbf{H}^1(\rho_{\Sigma}^*(1)).$$

Idea of the proof

Combine

Fukaya-Kato's method

with

the p -adic Langlands correspondence for GL_2/F for $F = \mathbb{Q}, \mathbb{Q}_p, \mathbb{Q}_\ell$

(Colmez (10), Emerton (11), Paskunas (13), (16), Emerton-Helm (14)).

Fukaya-Kato's construction for Hida families

Set $H^1(Y_1(N)) = H^1(Y_1(N)(\mathbb{C}), \mathcal{O})$, etc.

Theorem (Fukaya-Kato (12))

There exists a canonical Hecke equivariant \mathcal{O} -linear map

$$\mathbf{z}_{1,N}: H^1(Y_1(N)) \rightarrow \mathbf{H}^1(H^1(Y_1(N))(1)) \otimes_{\Lambda} \text{Frac}(\Lambda)$$

interpolating the operator valued L -functions $\sum_{n \geq 1, (n,p)=1} \frac{T_n \chi(n)}{n^s}$ acting on $H^1(Y_1(N)(\mathbb{C}), \mathbb{C})$.

We can take, for $N \geq 1$ such that $p \nmid N$, the limit

$$\mathbf{z}_{1,Np^\infty} : \varprojlim_{m \geq 1} H^1(Y_1(Np^m)) \rightarrow \mathbf{H}^1(\varprojlim_{m \geq 1} H^1(Y_1(Np^m))(1)) \otimes_{\Lambda} \Lambda[1/\lambda]$$

for some $\lambda \in \Lambda$. Applying Hida's ordinary projection defined using U_p -operator, we can obtain **the zeta morphisms for Hida families**.

A refined local-global compatibility (Emerton)

For each $N_0 \geq 1$ such that $\text{prime}(N_0) = \Sigma \setminus \{p\} =: \Sigma_0$, we set

$$\tilde{H}_1^{BM}(K_{\Sigma_0}(N_0)) := \varprojlim_{m \geq 0} H^1(Y(N_0 p^m))(1)$$

w.r.t. the corestrictions $H^1(Y(N_0 p^{m+1}))(1) \rightarrow H^1(Y(N_0 p^m))(1)$ ($k \geq 1$), and

$$\tilde{H}_{1,\Sigma}^{BM} := \varinjlim_{N_0} \tilde{H}_1^{BM}(K_{\Sigma_0}(N_0))$$

w.r.t. the restrictions $\tilde{H}_1^{BM}(K_{\Sigma_0}(N_0)) \rightarrow \tilde{H}_1^{BM}(K_{\Sigma_0}(N'_0))$ for $N_0 | N'_0$.

We set $G_\ell = \text{GL}_2(\mathbb{Q}_\ell)$, $G_\Sigma = \prod_{\ell \in \Sigma} G_\ell$, $G_{\Sigma_0} = \prod_{\ell \in \Sigma_0} G_\ell$.

$\tilde{H}_{1,\Sigma}^{BM}$ is equipped with actions of $G_{\mathbb{Q}}$ and G_Σ , and (homological) Hecke actions at the primes $\ell \notin \Sigma$. Using its Hecke actions, one can define its $\bar{\rho}$ -part

$$\tilde{H}_{1,\Sigma,\bar{\rho}}^{BM}$$

which is a topological $R_\Sigma[G_{\mathbb{Q}} \times G_\Sigma]$ -module.

The following is the dual version of Emerton's theorem.

Theorem (A refined local-global compatibility, Emerton (11))

There exists a topological $R_\Sigma[G_\mathbb{Q} \times G_\Sigma]$ -linear isomorphism

$$\tilde{H}_{1,\Sigma,\bar{\rho}}^{BM} \xrightarrow{\sim} \Pi_p^* \otimes_{R_\Sigma} \rho_\Sigma^* \otimes_{R_\Sigma} \tilde{\pi}_{\Sigma_0},$$

where

- Π_p is the representation of G_p corresponding to $\rho_\Sigma|_{G_{\mathbb{Q}_p}}$,
- π_{Σ_0} is the representation of G_{Σ_0} corresponding to $\{\rho_\Sigma|_{G_{\mathbb{Q}_\ell}}\}_{\ell \in \Sigma_0}$

by the family version of p -adic local Langlands correspondence defined by Colmez (10) (+many people) for Π_p and Emerton-Helm (14) for π_{Σ_0} .

Proposition (Na)

For each $N_0 \geq 1$ and $m \geq 1$ as before, there exists a canonical Hecke equivariant \mathcal{O} -linear map

$$\mathbf{z}_{N_0 p^m, \bar{\rho}}: H^1(Y(N_0 p^m))_{\bar{\rho}}(1) \rightarrow \mathbf{H}^1(H^1(Y(N_0 p^m))_{\bar{\rho}}(2))$$

characterized by a similar interpolation property using the **L -functions removing its Euler factors at all $\ell \in \Sigma$** , which is compatible with corestrictions for $m \geq 1$ and restrictions for N_0 .

- (A subtle point) We can define the map $\mathbf{z}_{N_0 p^m, \bar{\rho}}$ over Λ (not over $\text{Frac}(\Lambda)$) after taking the $\bar{\rho}$ -part.

By this integrality and the compatibilities, we can define the following maps.

We set

$$\mathbf{z}_{N_0 p^\infty, \bar{\rho}} := \varprojlim_{m \geq 1} \mathbf{z}_{N_0 p^m, \bar{\rho}}: \tilde{H}_1^{BM}(K_{\Sigma_0}(N_0))_{\bar{\rho}} \rightarrow \mathbf{H}^1(\tilde{H}_1^{BM}(K_{\Sigma_0}(N_0))_{\bar{\rho}}(1))$$

and

$$\mathbf{z}_{\Sigma, \bar{\rho}} := \varinjlim_{N_0} \mathbf{z}_{N_0 p^\infty, \bar{\rho}}: \tilde{H}_{1, \Sigma, \bar{\rho}}^{BM} \rightarrow \mathbf{H}^1(\tilde{H}_{1, \Sigma, \bar{\rho}}^{BM}(1)).$$

Proposition (Na)

The map $\mathbf{z}_{\Sigma, \bar{\rho}}$ is continuous and $R_\Sigma[G_\Sigma]$ -linear.

- All the equivariances for Fukaya-Kato's and our maps follow from the interpolation property, which follows from Kato's very deep result, i.e. **the explicit reciprocity law**.

Factoring out the ρ_{Σ}^* -part from $\tilde{H}_{1,\Sigma,\bar{\rho}}^{BM}$

Since one has an isomorphism

$$\psi_1: \tilde{H}_{1,\Sigma,\bar{\rho}}^{BM} \xrightarrow{\sim} \Pi_p^* \otimes_{R_{\Sigma}} \rho_{\Sigma}^* \otimes_{R_{\Sigma}} \tilde{\pi}_{\Sigma_0},$$

it suffices to remove Π_p^* - and $\tilde{\pi}_{\Sigma_0}$ -parts.

Removing $\tilde{\pi}_{\Sigma_0}$ -part: For π a smooth admissible representation of G_{ℓ} ($\ell \neq p$) defined over $\overline{\mathbb{Q}}_p$, we set $\Psi_{\ell}(\pi)$ the largest quotient on which

$U_{\ell} = \begin{pmatrix} 1 & \mathbb{Q}_{\ell} \\ 0 & 1 \end{pmatrix}$ acts by a fixed non-trivial additive character $U_{\ell} \rightarrow \overline{\mathbb{Q}}_p$.

Emerton-Helm extended this exact functor for smooth admissible representations of G_{ℓ} defined over more general \mathbb{Z}_p -algebras, e.g. for $\tilde{\pi}_{\Sigma_0}$. We set

$$\Psi_{\Sigma_0}(\tilde{\pi}_{\Sigma_0}) := \Psi_{\ell_1} \circ \cdots \circ \Psi_{\ell_d}(\tilde{\pi}_{\Sigma_0})$$

for $\Sigma_0 = \{\ell_1, \dots, \ell_d\}$. By the characterization property of their correspondence, one has a R_{Σ} -linear map

$$\psi_2: \Psi_{\Sigma_0}(\tilde{\pi}_{\Sigma_0}) \xrightarrow{\sim} R_{\Sigma} \quad (\text{genericity of } \tilde{\pi}_{\Sigma_0}),$$

which follows from mod p multiplicity one and Ihara's lemma.

Removing Π_p^* -part:

- $\mathfrak{C}(\mathcal{O})$: the category which is the Pontryagin dual of the category of locally admissible G_p -representations on torsion \mathcal{O} -modules (Emerton).
- $\rho_p: G_{\mathbb{Q}_p} \rightarrow \mathrm{GL}_2(R_p)$: the universal deformation of $\bar{\rho}|_{G_{\mathbb{Q}_p}}$.
- Π_p^{univ} : the representation of G_p over R_p corresponding to ρ_p .

Theorem (Paskunas (13), a very rough form)

- $P := (\Pi_p^{\mathrm{univ}})^*$ is a projective object in $\mathfrak{C}(\mathcal{O})$.
- $R_p = \mathrm{End}_{\mathfrak{C}(\mathcal{O})}(P)$.

By the universality for ρ_p , one has $R_p \rightarrow R_\Sigma$ and

$$\Pi_p^* \xrightarrow{\sim} P \hat{\otimes}_{R_p} R_\Sigma.$$

Hence, one also has

$$\psi_1: \tilde{H}_{1, \Sigma, \bar{\rho}}^{BM} \xrightarrow{\sim} P \hat{\otimes}_{R_p} \rho_\Sigma^* \otimes_{R_\Sigma} \tilde{\pi}_{\Sigma_0}.$$

Definition of Z_Σ

The isomorphisms ψ_1 and ψ_2 induce the following isomorphisms.

Corollary

- One has $\Psi_{\Sigma_0}(\tilde{H}_{1,\Sigma,\bar{\rho}}^{BM}) \in \mathfrak{C}(\mathcal{O})$, and

$$\mathrm{Hom}_{\mathfrak{C}(\mathcal{O})}(P, \Psi_{\Sigma_0}(\tilde{H}_{1,\Sigma,\bar{\rho}}^{BM})) \xrightarrow{\sim} \rho_\Sigma^*.$$

- One has $\Psi_{\Sigma_0}(\mathbf{H}^1(\tilde{H}_{1,\Sigma,\bar{\rho}}^{BM}(1))) \in \mathfrak{C}(\mathcal{O})$, and

$$\mathrm{Hom}_{\mathfrak{C}(\mathcal{O})}(P, \Psi_{\Sigma_0}(\mathbf{H}^1(\tilde{H}_{1,\Sigma,\bar{\rho}}^{BM}(1)))) \xrightarrow{\sim} \mathbf{H}^1(\rho_\Sigma^*(1)).$$

Applying $\mathrm{Hom}_{\mathfrak{C}(\mathcal{O})}(P, \Psi_{\Sigma_0}(-))$ to the continuous $R_\Sigma[G_\Sigma]$ -linear map

$$\mathbf{z}_{\Sigma,\bar{\rho}}: \tilde{H}_{1,\Sigma,\bar{\rho}}^{BM} \rightarrow \mathbf{H}^1(\tilde{H}_{1,\Sigma,\bar{\rho}}^{BM}(1)),$$

we can finally define

$$Z_\Sigma := \mathrm{Hom}_{\mathfrak{C}(\mathcal{O})}(P, \Psi_{\Sigma_0}(\mathbf{z}_{\Sigma,\bar{\rho}})): \rho_\Sigma^* \rightarrow \mathbf{H}^1(\rho_\Sigma^*(1)).$$