# **Resolutions with Structure**

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Commutative Algebra: Interactions with Homological Algebra and Representation Theory

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#### NOTATION:

K a field

$$S = K < x_1, \dots, x_n > \text{ or } S = KQ.$$

$$S = S_0 \oplus S_1 \oplus S_2 \cdots$$
 with

$$S_0 = \prod_{i=1}^n K$$
 and  $S_i$  finite dim'l over  $K$ 

Here  $S_i$  is the K-span of the monomials of degree i or the paths of length i.

S generated in degrees 0, 1.

$$J = S_1 \oplus S_2 \oplus S_3 \oplus \cdots$$

So 
$$J = \langle x_1, \dots, x_n \rangle$$
 or  $J = \langle$  arrows of  $Q \rangle$ 

I an ideal in S with  $I \subseteq J^2$ .

$$R = S/I$$
 and  $\mathbf{r} = J/I$ .

Note that S has a Gröbner basis theory: that is,

- 1. S has a multiplicative K-basis,  $\mathcal{B}$ ; i.e., if  $b_1, b_2 \in \mathcal{B}$  then  $b_1b_2 \in \mathcal{B}$  or  $b_1b_2 = 0$ .
- 2. There is an admissible order on  $\mathcal{B}$ ; i.e.,
  - (a) > is a well-order.
  - (b) if  $b_1,b_2,b_3\in\mathcal{B}$  and  $b_1>b_2$  then  $b_1b_3>b_2b_3$  if both not 0 and  $b_3b_1>b_3b_2$  if both not 0
  - (c) if  $b_1, b_2, b_3 \in \mathcal{B}$  and  $b_1 = b_2b_3$  then  $b_1 \geq b_2$  and  $b_1 \geq b_3$ .

We call  $(\mathcal{B}, >)$  an ordered multiplicative basis.

- 1.  $S=K< x_1,\ldots,x_n>$  with  $\mathcal{B}=\{\text{monomials}\}.$  Thus  $R=K< x_1,\ldots,x_n>/I$  with  $I\subseteq < x_1,\ldots,x_n>^2.$
- 2. S = KQ with  $\mathcal{B} = \{\text{paths}\}\$

Note that if M is an  $S_0$ - $S_0$  bimodule then, the tensor algebra

$$S=T_{S_0}(M)=S_0\oplus\ M\oplus\ (M\otimes_{S_0}M)\oplus\cdots$$
 is a path algebra.

Thus  $K < x_1, \ldots, x_n >$  is a path algebra.

## Graded algebras

If I can be generated by homogeneous elements of S, then R has an induced grading from S.

In this case, write  $R = R_0 \oplus R_1 \oplus R_2 \oplus \cdots$ 

Note that 
$$R_0 = \prod_{i=1}^n K$$
 and  $\mathbf{r} = R_1 \oplus R_2 \oplus \cdots$ 

Graded R-modules have graded projective resolutions.

Whether or not R is graded, we will denote  $R/\mathbf{r} = S/J = \prod_{i=1}^{n} K$  by  $R_0$ .

 $E(R) = \bigoplus_{m \geq 0} \mathsf{Ext}_R^m(R_0, R_0)$ , a ring via the Yoneda product.

If M is an R-module,  $E(M) = \bigoplus_{m \geq 0} \mathsf{Ext}^m_R(M, R_0).$ 

E(M) is naturally an E(R)-module.

## Review of Koszul Algebras

Assume that I is generated by homogeneous elements.

R = S/I is Koszul if  $R_0$  has a linear (graded) projective resolution:

$$\cdots \rightarrow P^2 \rightarrow P^1 \rightarrow P^0 \rightarrow R_0 \rightarrow 0$$

 $P^n$  generated in degree n.

#### **Properties**

- 1. I is generated in degree 2.
- 2.  $E(R) = \operatorname{Ext}_R^*(R_0, R_0)$  is generated in degrees 0 and 1.
- 3. E(R) is a Koszul algebra.

- 4.  $R^{\mathsf{Op}}$  is a Koszul algebra.
- 5. The Koszul complex is exact.
- 6. If R = KQ/I, then  $E(R) = KQ^{\mathsf{OP}}/< I_2^{\perp}>$ .
- 7.  $\operatorname{Ext}^*(-,R_0):\operatorname{Mod}(R)\to\operatorname{Mod}(E(R))$  is a duality on the category of Koszul modules.

# I. D-Koszul Algebras

Joint with E. N. Marcos, Brazil, R. Martínez-Villa, Mexico, and Pu Zhang, China

Assume that I can be generated by homogeneous elements.

 $R = R_0 \oplus R_1 \oplus \cdots$  is d-Koszul if there is a graded projective resolution

$$\cdots \rightarrow P^2 \rightarrow P^1 \rightarrow P^0 \rightarrow R_0 \rightarrow 0$$

with degree

$$P^n = \{ \begin{array}{c} \frac{n-1}{2}d, & \text{if } n \text{ odd,} \\ \frac{n}{2}d, & \text{if } n \text{ even.} \end{array}$$

Although restrictive, there are many such algebras. Introduced by Roland Berger.

**Proposition 1** If R is d-Koszul with  $P^2$  generated in degree d, I can be generated in degree d.

**Theorem 2** If R = KQ/I and I is generated in degree d then R is d-Koszul if and only if E(R) is generated in degrees 0,1 and 2.

**Proposition 3** If R is d-Koszul then  $E^{ev}(R) = \bigoplus_{n \geq 0} Ext^{2n}(R_0, R_0)$  is a Koszul algebra.

If R is d-Koszul, then

$$\mathsf{Ext}^{odd}(R_0,R_0)\cdot\mathsf{Ext}^{odd}(R_0,R_0)=0.$$

Regrade E(R):

$$E(R)_0 = \text{Ext}^0(R_0, R_0)$$

$$E(R)_1 = \operatorname{Ext}^1(R_0, R_0) \oplus \operatorname{Ext}^2(R_0, R_0)$$

$$E(R)_2 = \text{Ext}^3(R_0, R_0) \oplus \text{Ext}^4(R_0, R_0)$$

In general,

$$E(R)_n = \operatorname{Ext}^{2n-1}(R_0, R_0) \oplus \operatorname{Ext}^{2n}(R_0, R_0)$$

**Theorem 4** If R is d-Koszul then E(R) (regraded) is a Koszul algebra.

**Proposition 5** If R is d-Koszul then  $R^{OP}$  is d-Koszul.

There is a generalized Koszul complex:

Let  $V = R_1$  so that  $KQ = T_R(V)$  where  $R = \prod_{i=1}^n K$ .

We let  $V^a$  denote  $\otimes_R^a V$ .

G = span of a set of generators of I.

 $G \subset V^d$ 

Let  $S^0 = R$ ,  $S^1 = V$ .

For  $n \geq 2$ ,

$$S^n =$$

 $\begin{array}{ccc} \sum_{i} V^{i} \otimes_{R_{\mathbf{o}}} G \otimes_{R_{\mathbf{o}}} V^{(dn/2)-d-i}, & \text{if } n \text{ even} \\ \sum_{i} V^{i} \otimes_{R_{\mathbf{o}}} G \otimes_{R_{\mathbf{o}}} V^{(d(n-1)/2)-d-i+1}, & \text{if } n \text{ odd} \end{array}$ 

Note that  $S^n \subset V^{dn/2}$  or  $S^n \subset V^{d(n-1)/2+1}$ .

$$Q^n = R \otimes_{R_{\mathbf{b}}} S^n.$$

There is a natural  $d^n: Q^n \to Q^{n-1}$ 

$$d^n(\sum \lambda \otimes [v_1 \otimes \cdots \otimes v_{dn/2}]) =$$

$$\sum (\lambda v_1) \otimes [v_2 \otimes \cdots \otimes v_{dn/2}]$$

or

$$d^{n}(\sum \lambda \otimes [v_{1} \otimes \cdots \otimes v_{d(n-1)/2+1}]) =$$

$$\sum (\lambda(v_1 \otimes \cdots \otimes v_{d-1})) \otimes [v_d \otimes \cdots \otimes v_{d(n-1)/2+1}]$$

**Proposition 6**  $(Q^{\bullet}, d^{\bullet})$  is a complex.

**Theorem 7** Let R = KQ/I with I generated in degree d. Then R is d-Koszul if and only if  $(Q^{\bullet}, d^{\bullet})$  is a projective resolution of  $R_0$ .

Let G be a subspace of  $V^d$  and let  $I = \langle G \rangle$  in  $KQ = T_R(V)$ .

Then  $G^{\perp} \subset \otimes^d V^*$ 

Consider  $A = T_R(V^*)/< G^{\perp}>$ . This is a graded algebra.

**Theorem 8** (R.Berger) Keeping the above notation, if R = KQ/ < G > is a d-Koszul algebra then  $\operatorname{Ext}^n(R_0, R_0)$  is isomorphic to  $A_{dn/2}$  if n is even and

 $A_{d(n-1)/2+1}$  if n is odd.

We show that the "induced" algebra structure from A is, in fact, the algebra structure of  $Ext^*(R_0, R_0)$ .

There is a classification of monomial d-Koszul algebras.

# II. $\delta$ -Koszul Algebras

Let  $I \subset J^2$  be generated by elements of degree d. Then  $R = R_0 \oplus R_1 \oplus \cdots$ .

ASSUME that there is an admissble order such that I has a Gröbner basis consisting of elements of degree d.

Consider a minimal graded projective resolution:

$$\cdots \to P^2 \to P^1 \to P^0 \to R_0 \to 0$$

Suppose there is a function

 $\delta: \mathbb{N} \to \mathbb{N}$  such that  $P^n$  is generated in degree  $\delta(n)$ . We say R is  $\delta$ -preKoszul. If E(R) is finitely generated, we say R is  $\delta$ -Koszul.

R is Koszul iff  $\delta(n) = n$ .

R is d-Koszul iff

$$\delta(n) = \{ \begin{array}{c} \frac{n-1}{2} d & \text{if } n \text{ odd,} \\ \frac{n}{2} d, & \text{if } n \text{ even.} \end{array}$$

Are there any other  $\delta$ s possible? What are they? Is E(R) special for these  $\delta$ s?

We have  $\delta(0) = 0, \delta(1) = 1$ , and  $\delta(2) = d$ .

Suppose 0 < c < d and  $d \equiv r \mod(c)$  with  $0 < r \le c$ . Note if c = 1, r = 1.

Let  $\delta_{c,d}(n)$  be defined by

1. 
$$\delta_{c,d}(0) = 0, \delta_{c,d}(1) = 1$$
, and  $\delta_{c,d}(2) = d$ .

2. For  $n \geq 3$ ,

$$\delta_{c,d}(n)=\{\begin{array}{c} \frac{n-1}{2}(d+c)-\frac{n-3}{2}r, & \text{if } n \text{ odd},\\ \\ \frac{n}{2}d+\frac{n-2}{2}(c-r), & \text{if } n \text{ even}. \end{array}$$

Note: if d=2 (so c=1 and r=1) then  $\delta_{1,2}(n)=n$ . So we have Koszul is the same as  $\delta_{1,2}$ -(pre)Koszul.

If d > 2 and c = 1 and hence r = 1, then

$$\delta_{1,d} = \{ \begin{array}{c} \frac{n-1}{2}d, & \text{if } n \text{ odd}, \\ \\ \frac{n}{2}d, & \text{if } n \text{ even}. \end{array}$$

We have d-Koszul is the same as  $\delta_{1,d}$ -(pre)Koszul.

Let R be a d-Koszul algebra.

A module M is d-Koszul if there is a projective resolution

$$\cdots \rightarrow P^2 \rightarrow P^1 \rightarrow P^0 \rightarrow M \rightarrow 0$$

such that if n is even,  $P^n$  is generated in degree dn/2 and if n is odd,  $P^n$  is generated in degree d(n-1)/2+1.

**Theorem 9** If R is d-Koszul and M is a d-Koszul module then

- 1.  $\mathsf{Ext}^{even}(M,R_0)$  is a Koszul module over the Koszul algebra  $\mathsf{Ext}^{even}(R_0,R_0)$ .
- 2.  $Ext^*(M, R_0)$ , after regrading, is a Koszul module over (the regraded) Koszul algebra E(R).

- **Theorem 10** 1. Let R = KQ/I such that I has a Gröbner basis consisting of homogeneous elements of degree d for some admissible order. If R is  $\delta$ -preKoszul then there exist c,d, 0 < c < d such that  $\delta = \delta_{c,d}$ .
  - 2. For each c,d, 0 < c < d, there is a  $\delta_{c,d}$ -preKoszul algebra RKQ/I such that I has a Gröbner basis consisting of homogeneous elements of degree d for some admissible order.

**Theorem 11** Let 0 < c < d and  $r \equiv d \mod(c)$ , 0 < r < c. Then

- 1. If d=2 and R is a  $\delta_{1,2}$ -preKoszul algebra then E(R) is generated in degrees 0,1. Hence R is  $\delta_{1,2}$ -Koszul.
- 2. If d>1 and c=1 and R is a  $\delta_{1,d}$ -preKoszul algebra then E(R) is generated in degrees 0,1,2. Hence R is  $\delta_{1,2}$ -Koszul.
- 3. If d>1,c>1, and r=0 (i.e.,  $c\mid d$ ) and R=KQ/I a  $\delta_{c,d}$ -preKoszul algebra and I with a degree d homogeneous Gröbner basis then E(R) is generated in degrees 0,1,2,3. Hence R is  $\delta_{1,2}$ -Koszul.
- 4. If d>1,c>1, and  $r\neq 0$  and R is a  $\delta_{c,d}$ -preKoszul monomial algebra then E(R) is not finitely generated. Hence R is not  $\delta_{c,d}$ -Koszul.

Questions: Can there other  $\delta s$  if I does not have Gröbner basis of homogeneous elements of one degree? Can there be  $\delta$ -Koszul algebras for new  $\delta s$ ?

# III. Quasi-Koszul Algebras and their Resolutions

joint with Yuriy Drozd, Kiev

We now look at NONgraded algebras.

We keep the same notational conventions; i.e.,  $S=K< x_1,\ldots,x_n>$  or S=KQ I is an ideal in S with  $I\subseteq J^2$  R=S/I.

Two Examples:

I: 
$$R = K < x, y, z > /(x^2 - z^3)$$

 $E(R) = \operatorname{Ext}_{R}^{*}(K, K)$  is not a Koszul algebra.

$$\begin{pmatrix}
x \\
0 \\
-z^2
\end{pmatrix}$$

$$R^3 \xrightarrow{(x,y,z)} R \to K \to 0$$

II: 
$$R = K < x, y > /(xy - z^3)$$

E(R) is a Koszul algebra

$$\begin{pmatrix}
y \\
0 \\
-z^2
\end{pmatrix}$$

$$R^3 \xrightarrow{(x,y,z)} R \to K \to 0$$

 $\underline{\mathsf{Def}}$ : A K-algebra R is a quasi-Koszul algebra with respect to an ideal  $\mathbf r$  if

- 1.  $R/\mathbf{r}$  is  $\prod_{i=1}^{n} K$ .
- 2.  $E(R) = \operatorname{Ext}_R^*(R/\mathbf{r}, R/\mathbf{r})$  is a Koszul algebra.

 $\operatorname{Gr}_{\mathbf{r}}(R) = R/\mathbf{r} \oplus \mathbf{r}/\mathbf{r}^2 \oplus \mathbf{r}^2/\mathbf{r}^3 \oplus \cdots$  assoc. graded with respect to the **r**-adic filtration

<u>Def</u> Let M be an R-module. We say a projective resolution,  $(P^{\bullet}, d^{\bullet})$ , of M is *quasi-linear* if

- 1. each  $P^n$  is finitely generated
- 2.  $d^n(P^n) \subseteq \mathbf{r}P^{n-1}$
- 3. the complex  $(\operatorname{Gr}_{\mathbf{r}}(P^{\bullet}), \widehat{d}^{\bullet})$  is a projective resolution of the  $\operatorname{Gr}_{\mathbf{r}}(R)$ -module  $\operatorname{Gr}_{\mathbf{r}}(M)$ .

$$Gr_{\mathbf{r}}(M) = M/\mathbf{r}M \oplus \mathbf{r}M/\mathbf{r}^2M \oplus \mathbf{r}^2M/\mathbf{r}^3M \oplus \cdots$$

$$\widehat{d}^n(x + \mathbf{r}^k P^n) = d^n(x) + \mathbf{r}^{k+1} P^{n-1}$$

$$\begin{array}{l} ((\mathbf{r}^{k-1}P^n \to \mathbf{r}^kP^{n-1} \to \mathbf{r}^kP^{n-1}/\mathbf{r}^{k+1}P^{n-1}\\ \text{induces}\\ \mathbf{r}^{k-1}P^n/\mathbf{r}^kP^n \to \mathbf{r}^kP^{n-1}/\mathbf{r}^{k+1}P^{n-1})) \end{array}$$

**Theorem 12** Suppose that there is a quasilinear resolution of  $R/\mathbf{r}$ . Then

- 1. E(R) is isomorphic to  $E(Gr_{\mathbf{r}}(R))$ . In particular, R is a quasi-Koszul algebra and  $E^2(R)$  is isomorphic to  $Gr_{\mathbf{r}}(R)$ .
- 2. If an R-module M has a quasi-linear resolution,  $E(M) = \operatorname{Ext}_R^*(M,R/\mathbf{r})$  is a Koszul E(R)-module and  $E^2(M)$  is isomorphic to  $\operatorname{Gr}_{\mathbf{r}}(R)$ .

If  $x \in S \setminus \{0\}$ ,  $x = x_k + \cdots + x_{k+r}$ , where  $x_i \in S_i$  and  $x_k \neq 0$ .

Set 
$$u(x) = x_k$$
,  $t(x) = x - x_k$ .

Let  $\mathcal{F} = \{f_i\}_{i \in \mathcal{I}}$  be a set of generators of I (R = S/I)

 $H = \langle \{u(f_i)\} \rangle_{i \in \mathcal{I}}$ . H is a homogeneous ideal in S.

 $\Gamma = S/H = \Gamma_0 \oplus \Gamma_1 \oplus \cdots$  -grading induced from the grading in S.

There is a natural surjection  $\varphi : \Gamma \to Gr_{\mathbf{r}}(R)$ . Not an iso in general:

$$\underline{\mathsf{Ex}}\ R = K < x, y, z > / < \mathcal{F} >$$
,  $\mathcal{F} = \{xy + z^3, y^2\}$ 

 $H=< xy, y^2 > \text{ and } \varphi \text{ is not an iso.}$ 

> admissible order: deg-lex with deg(x) = 3, deg(y) = deg(z) = 1.

 $\mathcal{F}$  not a Gröbner basis of I.

CONDITION (\*):

For each  $f \in \mathcal{F}$ , Tip(f) = Tip(u(f))

Let 
$$Q = \{u(f)\}_{f \in \mathcal{F}}$$
.

Example above satisfies condition (\*).

**Theorem 13** Keeping the notations above, assume that > is an admissible order on  $\mathcal{B}$ , the multiplicative basis of S. Assume that  $\mathcal{F}$  satisfies condition (\*). Suppose that  $\mathcal{F}$  is a Gröbner basis for I with respect to >. Then

- 1. Q is a Gröbner basis for H with respect to >.
- 2.  $\varphi: S/H \to Gr_{\mathbf{r}}(R)$  is an isomorphism.

Putting it all together:

 $S = S_0 \oplus S_1 \oplus \cdots$ , S has an ordered multiplicative basis  $\mathcal{B}, >$  that respects the grading.

 $J=S_1\oplus S_2\oplus \cdots$  and S generated in degrees 0,1.

I is an ideal generated by  $\mathcal{F} \subset J^2$ 

Assume  $\mathcal{F}$  satisfies condition (\*); i.e.,  $f \in \mathcal{F}$  implies Tip(f) = Tip(u(f)).

H ideal generated by  $\mathcal{Q} = \{u(f)\}_{f \in \mathcal{F}}$ .  $\Gamma = S/H$ .

**Theorem 14** Keeping the above notation and assumptions, if  $\mathcal{F}$  is a Gröbner basis for I with respect to > and  $\mathcal{Q}$  consist of quadratic elements, then  $R/\mathbf{r}$  has quasi-linear projective resolution. In this case,

- 1. R is a quasi-Koszul algebra.
- 2.  $S/H \simeq Gr_{\mathbf{r}}(R)$  is a Koszul algebra.
- 3.  $Ext_R^*(R/\mathbf{r}, R/\mathbf{r}) \simeq Ext_{Gr_\mathbf{r}(R)}^*(R/\mathbf{r}, R/\mathbf{r})$  is a Koszul algebra.
- 4.  $E^{2}(R) \simeq Gr_{r}(R)$ .

Two Examples:

I: 
$$R = K < x, y, z > /(x^2 - z^3)$$

 $E(R) = \operatorname{Ext}_{R}^{*}(K, K)$  is not a Koszul algebra.

$$\begin{pmatrix}
x \\
0 \\
-z^2
\end{pmatrix}$$

$$R^3 \xrightarrow{(x,y,z)} R \to K \to 0$$

 $\mathcal{F} = \{x^2 - z^3\}$  is NOT a Gröbner basis for  $< x^2 - z^3 >$  for any order.

II: 
$$R = K < x, y > /(xy - z^3)$$

E(R) is a Koszul algebra

$$\begin{pmatrix}
y \\
0 \\
-z^2
\end{pmatrix}$$

$$R^3 \xrightarrow{(x,y,z)} R \to K \to 0$$

Take > to be deg-lex with deg(x) = 3, deg(y) = deg(z) = 1.

 $\mathcal{F} = \{xy-z^3\}$  IS a Gröbner basis for  $< xy-z^3>$  and  $\mathcal{Q} = \{xy\}$  is a quadratic Gröbner basis for  $\mathrm{Gr}_{\mathbf{r}}(R)$ .

#### **QUESTIONS:**

- 1. If  $\mathcal F$  satisfies (\*) but is not a Gröbner basis for I, can  $\varphi:S/H\to\operatorname{Gr}_{\mathbf r}(R)$  be an isomorphism?
- 2. Can there be quasi-Koszul algebras (i.e., E(R) Koszul) but  $R/\mathbf{r}$  does not have a quasi-linear projective resolution?