

# Karen Smith: Jumping coefficients and the uniform Artin-Rees theorem

(1)

w/L. Ein, R. Lazarsfeld (and Varolin)

## Jumping Numbers of an ideal

- $X$  (smooth) variety ( $\mathbb{C}$ ) (Think  $X = \mathbb{A}_{\mathbb{C}}^n$ )
- $\mathcal{O}_X$  regular functions (Think  $\mathbb{C}[X_1, \dots, X_n]$ )

$$\sigma \subseteq \mathcal{O}_X$$

Associate a family of ideals  $\{ \mathcal{I}(\sigma^c) \}_{c \in \mathbb{Q}_{\geq 0}}$  of  $\mathcal{O}_X$   
(the multiplier ideals of  $\sigma$  with coefficient  $c$ ).

Idea: - capture the singularities of closed subscheme of  $X$  defined by  $\sigma$   
- good for finding uniform results.

## Theorem (Siu) (Invariance of Plurigenera)

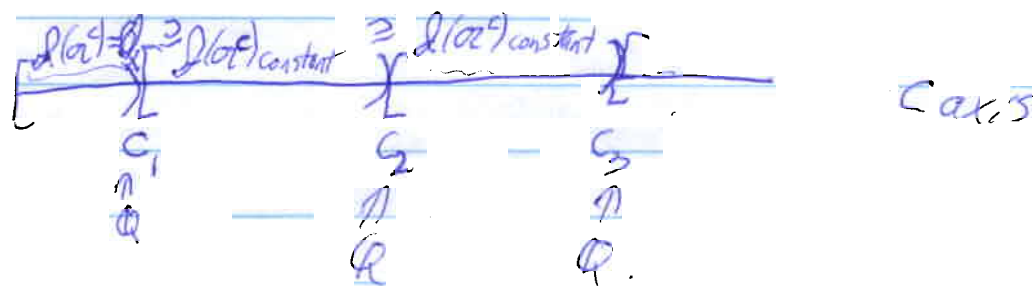
Let  $X \rightarrow T$  smooth projective family of  
irred smooth varieties of general type,  $\{X_t\}_{t \in T}$   
(i.e. canonical bundle is "big")  
Then the plurigenera of the  $X_t$  are independent  
of  $t$ .  
( $p_m = \dim H^0(X_t, \omega_{X_t}^m)$ )

Theorem (Eis, Lazarsfeld, —): IF  $P \in \mathcal{O}_X$  is prime in the regular ring  $\mathcal{O}_X$ ,  $\dim X = d$ , then  $P^{(Nd)} \subseteq P^N \quad \forall N \geq 0$

[Swanson: Given  $P \exists k$  (depending on  $P$ ) such that  $P^{(Nk)} \subseteq P^N \quad \forall N \geq 0$ .]

Behavior of  $\{j(\mathcal{O}_X^e)\}_{e \in \mathbb{Q}_{\geq 0}}$

All multiplier ideals are integrally closed.



Def: The numbers  $c_i$  are the jumping numbers (or "jumping coefficients") of  $\mathcal{O}_X \subseteq \mathcal{O}_X$ .

Fact: — The  $c_i$  are discrete

— The  $c_i$  are eventually periodic, beyond  $\dim X$  (or the analytic spread of  $A$ ), of period 1.

Example:  $(f = s^3 + t^4) \subseteq (\mathbb{C}[s, t])$



## Relation to Bernstein-Sato polynomial

$$a = (f) \subseteq \mathbb{C}[x_1, \dots, x_n]$$

B-S poly (also called b-polynomial) is defined as follows:

$\exists$  diff. op.  $P$  with coefficients in  $\mathbb{C}[s, x_1, \dots, x_n]$

( $s$  a new variable) such that

$$P * f^{s+1} = b(s) f^s \text{ for some polynomial } b(s) \in \mathbb{C}[s].$$

The set of all such  $b(s) \in \mathbb{C}[s]$

forms an ideal, and the B-S poly. is its  
unique monic generator.

Example  $f = x$

$$\frac{\partial}{\partial x} * x^{s+1} = (s+1)x^s$$

So B-S poly of  $(x)$  is  $(s+1)$ .

(We can similarly find B-S poly. <sup>by hand</sup> for monic  $f$ ...)

Fact: The first jumping number  $\nu_1$  is the log-canonical threshold of the ideal. (Analysts have a name for  $\frac{1}{\nu_1}$ .)

Theorem: If  $c$  is a jumping number of  $(f) \subseteq \mathbb{C}[X_1, \dots, X_d]$  in the range  $(0, 1]$ , then  $-c$  is a root of the BS poly for  $f$ .

(Yang, Liden, Kollar) for log-canonical thrs. of an isolated sing.

Also

Also: If  $f$  has an iso. sing., Steenbrink defined the spectrum of  $f$ .  $c$  is a jumping number in range  $(0, 1] \iff (c-1) \in \text{spec of } (f)$ .  
(Varchenko, Viquié)

Uniform Artin-Rees

Fix a pair of modules  $N \subseteq M$  f.g. over a Noetherian ring  $R$ .

The (weak form of the) Artin-Rees Lemma states that: For every ideal  $I \subseteq R$ ,  $\exists k$  (depending on  $I$ ) such that  $\forall k \geq 0, I^{n+k} M \cap N \subseteq I^k N$ .

If  $M \supseteq IM \supseteq I^2 M \supseteq \dots$

$N \supseteq IM \cap N \supseteq I^2 M \cap N \supseteq \dots$

$N \supseteq IN \supseteq I^2 N \supseteq \dots$

are two <sup>sub</sup>filtrations induced by  $N$ .

(The AR lemma says that if you shift, you get  $\supseteq$  containment the other way.)



Q1: Can you choose  $n$  that works for all  $I$ ?

(Eisenbud-Hochster)

Huneke: Yes (if excellent local) (Uniform Artin-Rees Theorem)

Q2: What is  $n$ ?

Our contribution is that when  $R$  is regular, (ess. of finite type over  $k$  char  $k=0$ ), can find  $n$  explicitly, in the case where  $N \subseteq M$  is  $J \subseteq R$ , where  $J$  is a multiplier ideal.

Def Say  $J \subseteq R$  is a multiplier ideal (i.e.  $J = \mathcal{J}(\alpha^c)$  for some  $\alpha \in R$  and some  $c \in \mathbb{Q}_{>0}$ ). Then the jumping length is the length of the shortest saturated chain of the form

$$\mathcal{O}_X \supseteq \mathcal{J}(\alpha^{c_1}) \supseteq \mathcal{J}(\alpha^{c_2}) \supseteq \dots \supseteq \mathcal{J}(\alpha^c) = J.$$

(i.e. the # of <sup>distinct</sup> jumping numbers  $\leq c$ ).

(i.e. # of mult. ideals in family  $\{ \mathcal{J}(\alpha^d) \}_{d \leq c}$ )

Fact:  $\mathcal{J}(\mathbb{A}^1) = \mathbb{A}^1$   $\mathbb{A}^1$

In the example on page (2), the jumping length of the principal ideal  $(f)$  is (4).

Theorem Let  $J \subseteq R$  be a multiplier ideal in a regular ring (ess. of fin type over  $k$ , char  $k=0$ ).  
 Say  $\dim R = d$  and jumping length of  $J$  is  $\ell$ .

Then  $\forall I \subseteq R, I^{\ell + k} \cap J \subseteq I^k J \quad \forall k \geq 0$

Effective uniform Artin-Rees

## Two Questions

Q1: How big is the class of multiplier ideals?  
 Is every integrally closed ideal a multiplier ideal?

Q2: How to compute (or bound) the jumping length?

Theorem (Lipman-Watanabe, <sup>(analytic setting)</sup> Favre-Jonsson): For  $R$  of  $\dim = 2$ , every integrally closed ideal is a multiplier ideal.

fact: Every principal ideal is a multiplier ideal.

Theorem: Say  $f$  defines an isolated singularity.

$$\tau = \tau(f) = \left( \frac{R}{(f, \frac{\partial f}{\partial x_1}, \dots, \frac{\partial f}{\partial x_n})} \right) < \infty$$

↑  
"Serre number"

The jumping length of  $(f)$  is bounded by  $\tau + 1$ .

Analytic def. of  $J(\sigma^c)$ : Fix  $a = (g_1, \dots, g_r)$

$$J(a) = \left\{ f \mid \int \frac{|f|^2}{\sum |g_i|^2} < \infty \text{ (as a geom)} \right\}$$

Algebra-geometric def. of  $J(\sigma^c)$ :

Fix a log. resolution of  $\sigma^c$

i.e.,  $Y \xrightarrow[\text{birat.}]{\pi} X, \quad Y \text{ smooth,}$

$\sigma^c \mathcal{O}_Y$  principal, and

$$\sigma^c \mathcal{O}_Y = \mathcal{O}_Y(-F),$$

$F + \text{exc}(\pi)$  have normal

crossing support  
(i.e., a monomial in local coords.)

Then  $J(\sigma^c) = \pi_* \mathcal{O}_Y (K_{Y/X} - Lc \cdot F)$

$$\subseteq \pi_* \mathcal{O}_Y (K_{Y/X}^{\text{relative canonical module}}) \subseteq \mathcal{O}_X.$$

$$K_{Y/X} = \sum a_i E_i$$

$$F = \sum b_i E_i$$

$$K_{Y/X} - LcF = \sum (a_i - Lcb_i) E_i.$$

possible jumping #'s are a subset of  
the set of  $c$ 's such that  $a_i - cb_i \in \mathbb{Z}$ .