

# Quantum Authentication

**Claude Crépeau**

School of Computer Science  
McGill University



joint work with  
**H. Barnum, D. Gottesman, A. Smith, A. Tapp**

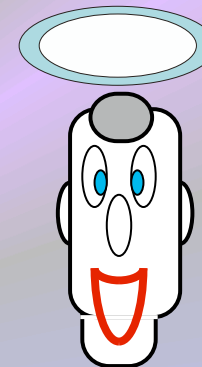
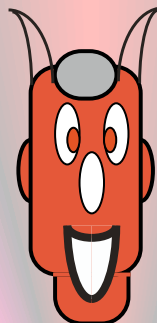
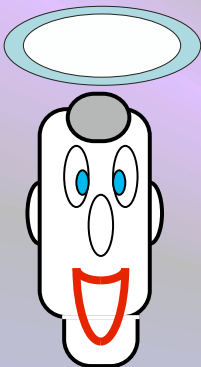
(1)

# Classical Cryptography

**(1.1)**

**Information Theoretical  
Cryptography**

# (1.1) Information Theoretical Cryptography



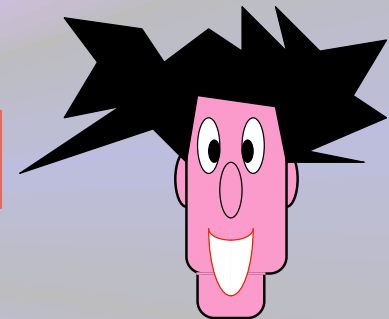
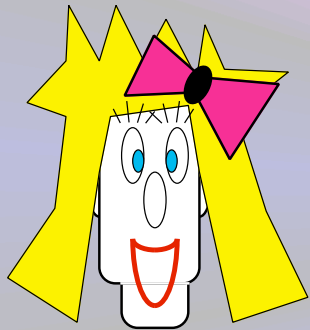
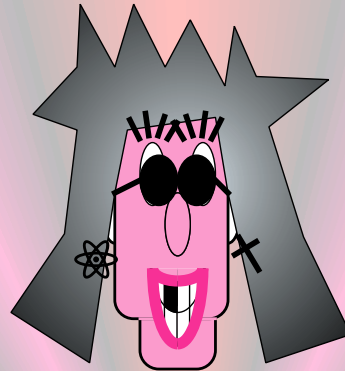
## (1.1.1) key distribution

## (1.1.2) Encryption

## (1.1.3) Authentication







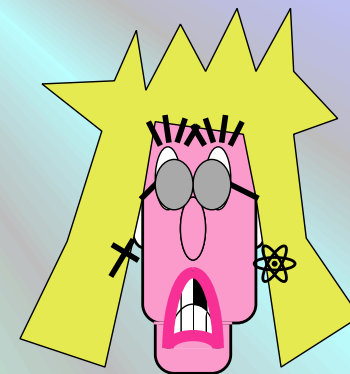
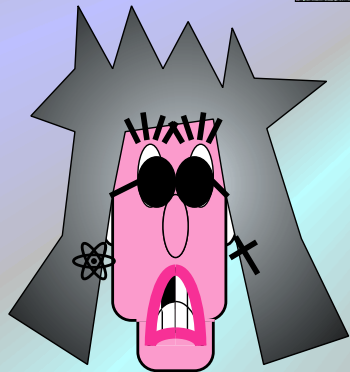
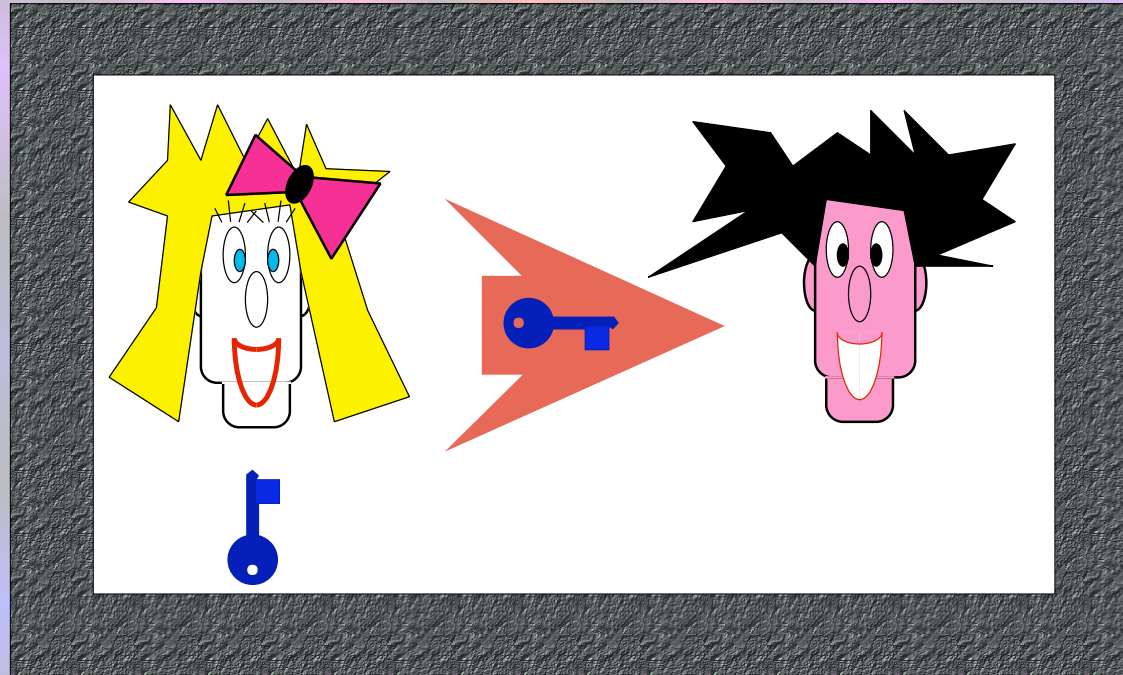
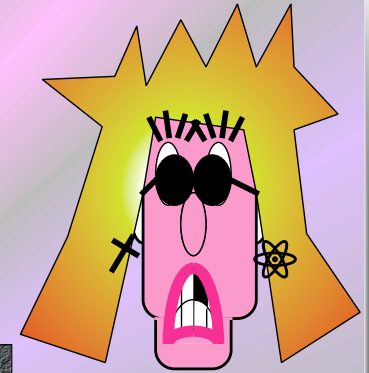
Will you marry me ?

Divorce your wife first !

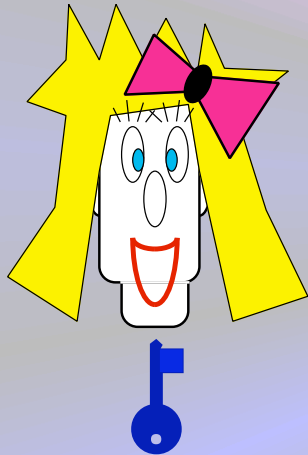
The papers are in the mail...

OK, I will !

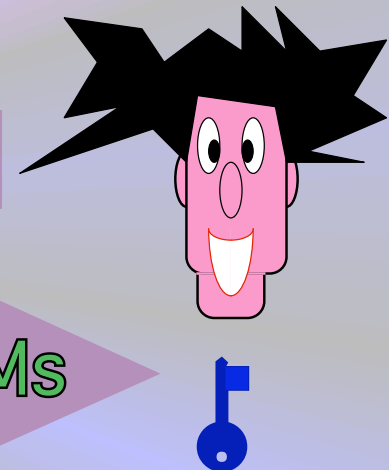
# (1.1.1) key distribution



## (1.1.2) Encryption



8RdewtU5qkLa\$es!T9@

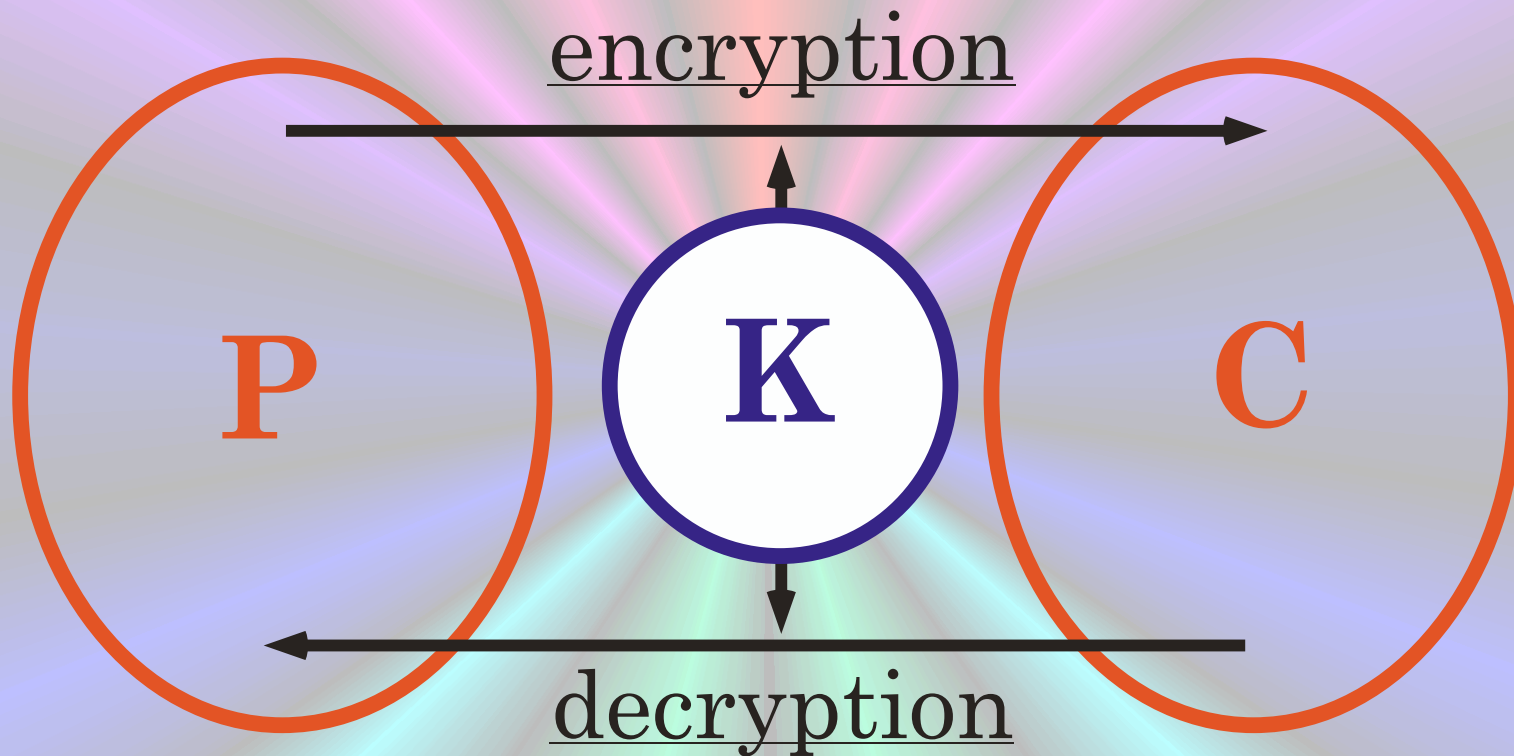


I(D%eXhDqliykl#2cV7dEwnMs

H&fs@tyHvFGhaOKpTrGbl.Z/rUih\*

B7B3tdsjUila

# symmetric encryption

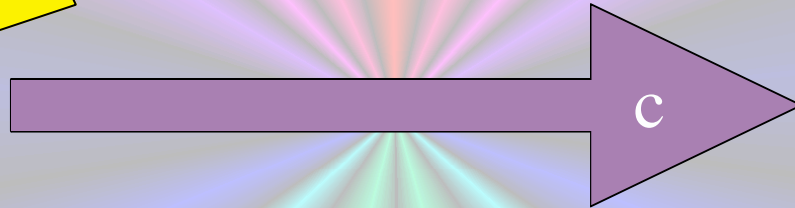
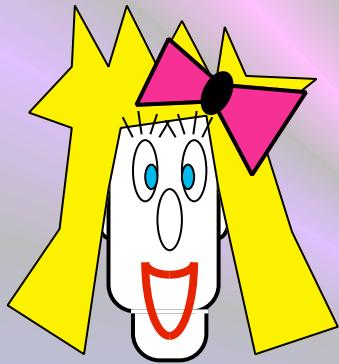


**Information Theoretical Security**

# Vernam's One-Time-Pad

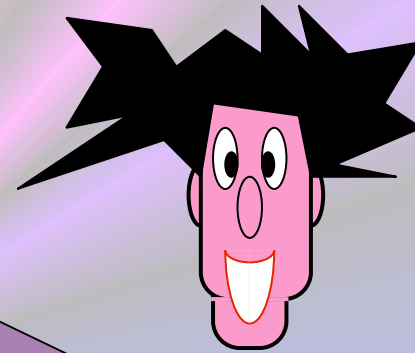
$$m \oplus k = c$$

1	1	0
0	1	1
1	1	0
0	0	0
0	0	0
1	1	0
0	1	1
0	0	0
1	1	0
1	1	0
1	0	1
1	1	0
1	0	1
0	1	1
0	1	1
1	1	0



$$c \oplus k = m$$

0	1	1
1	1	0
0	1	1
0	0	0
0	0	0
0	1	1
1	1	0
0	0	0
0	1	1
0	1	1
1	0	1
0	1	1
1	0	1
1	1	0
1	1	0
0	1	1



**Information Theoretical Security**

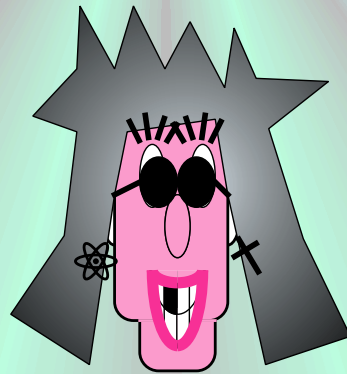
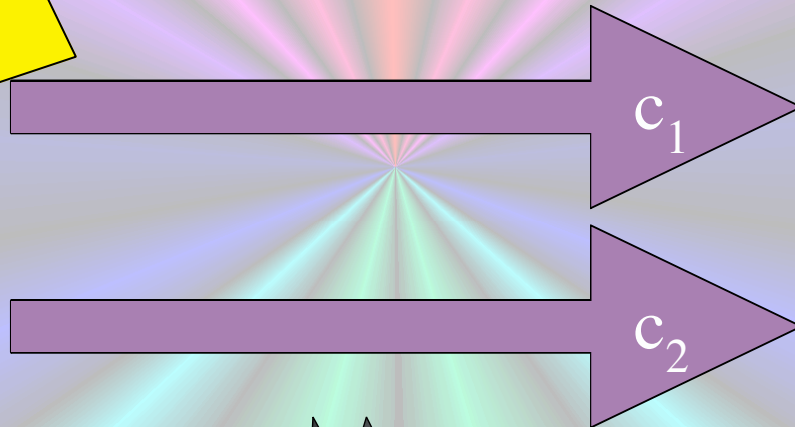
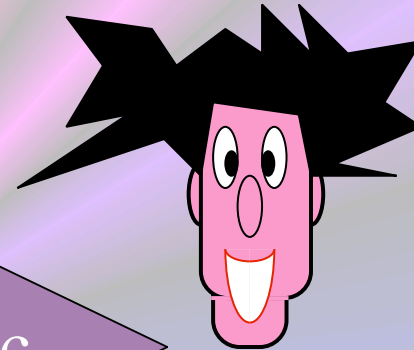


# Vernam's One-Time-Pad

$m_1 \oplus k = c_1$   
 $m_2 \oplus k = c_2$

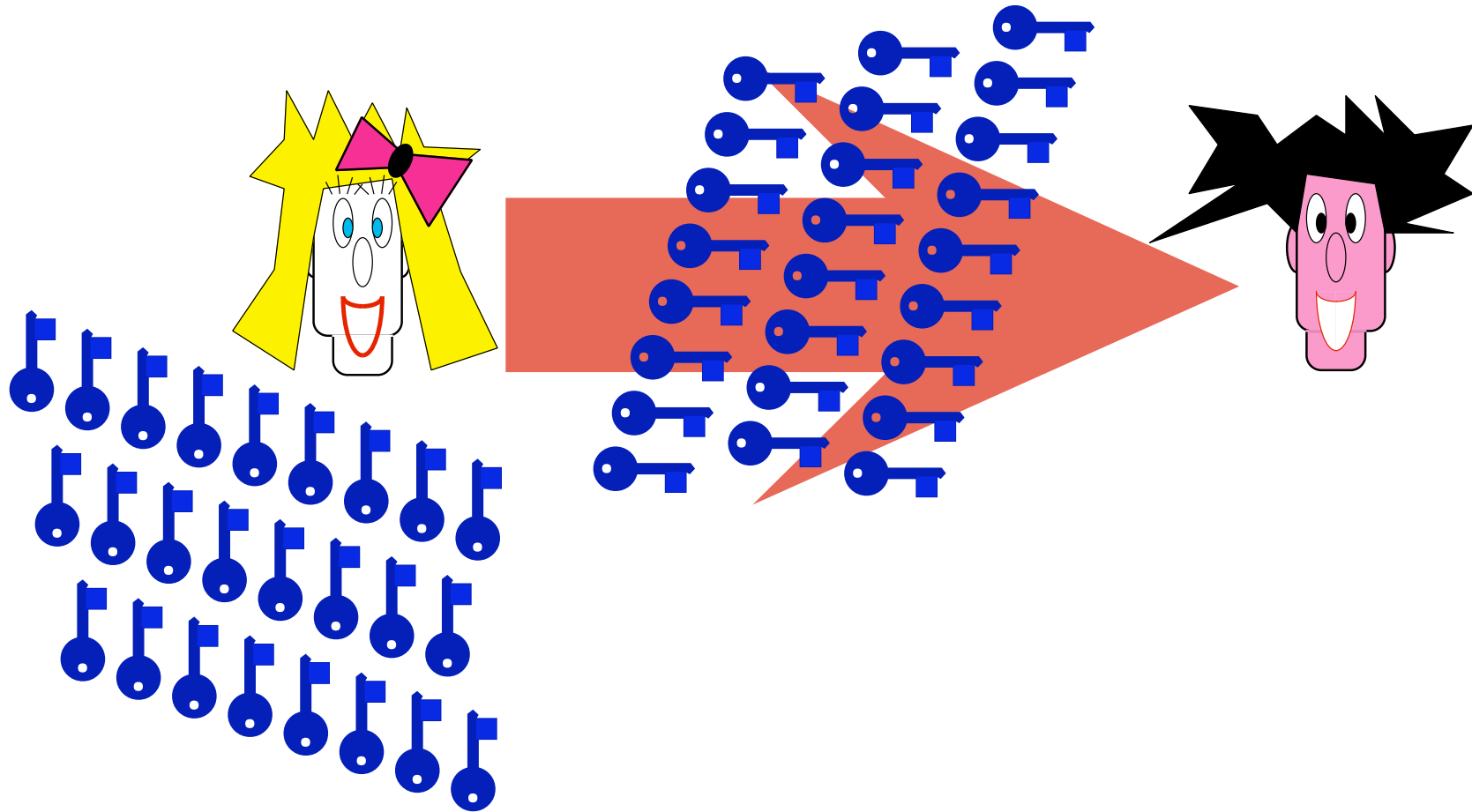


$c_1 \oplus k = m_1$   
 $c_2 \oplus k = m_2$



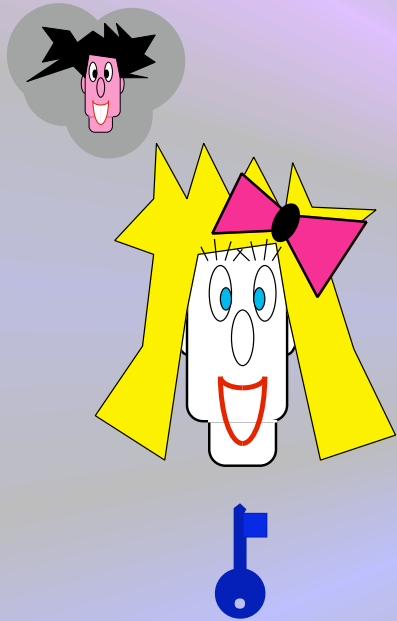
$c_1 \oplus c_2 = m_1 \oplus m_2$

# (1.1.1) key distribution PROBLEM





## (1.1.3) Authentication

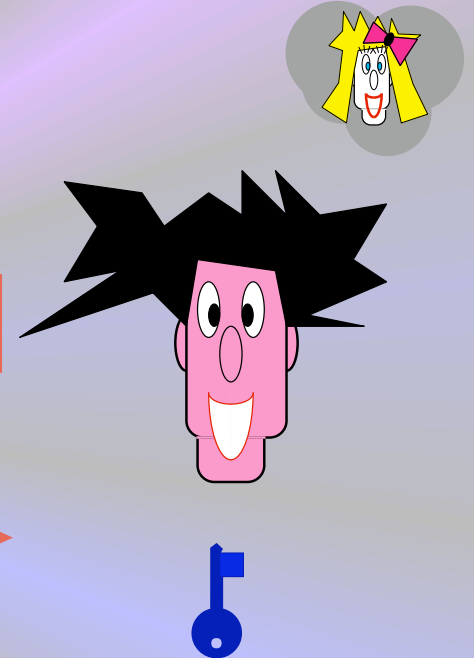


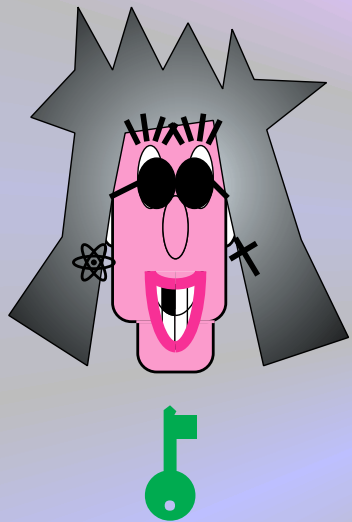
Will you marry me ?

Divorce your wife first !

The papers are in the mail...

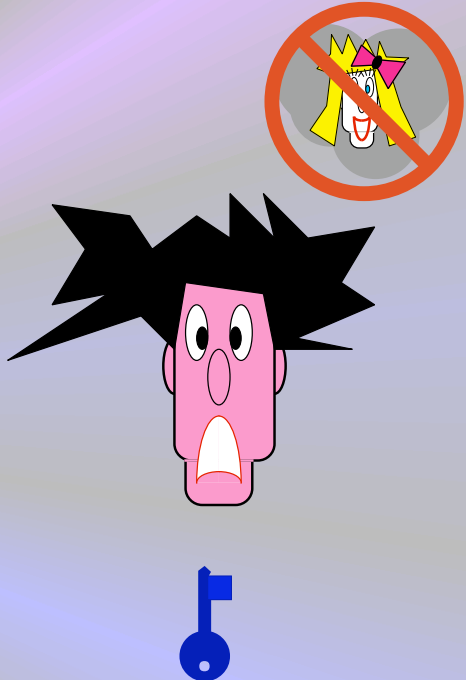
OK, I will !



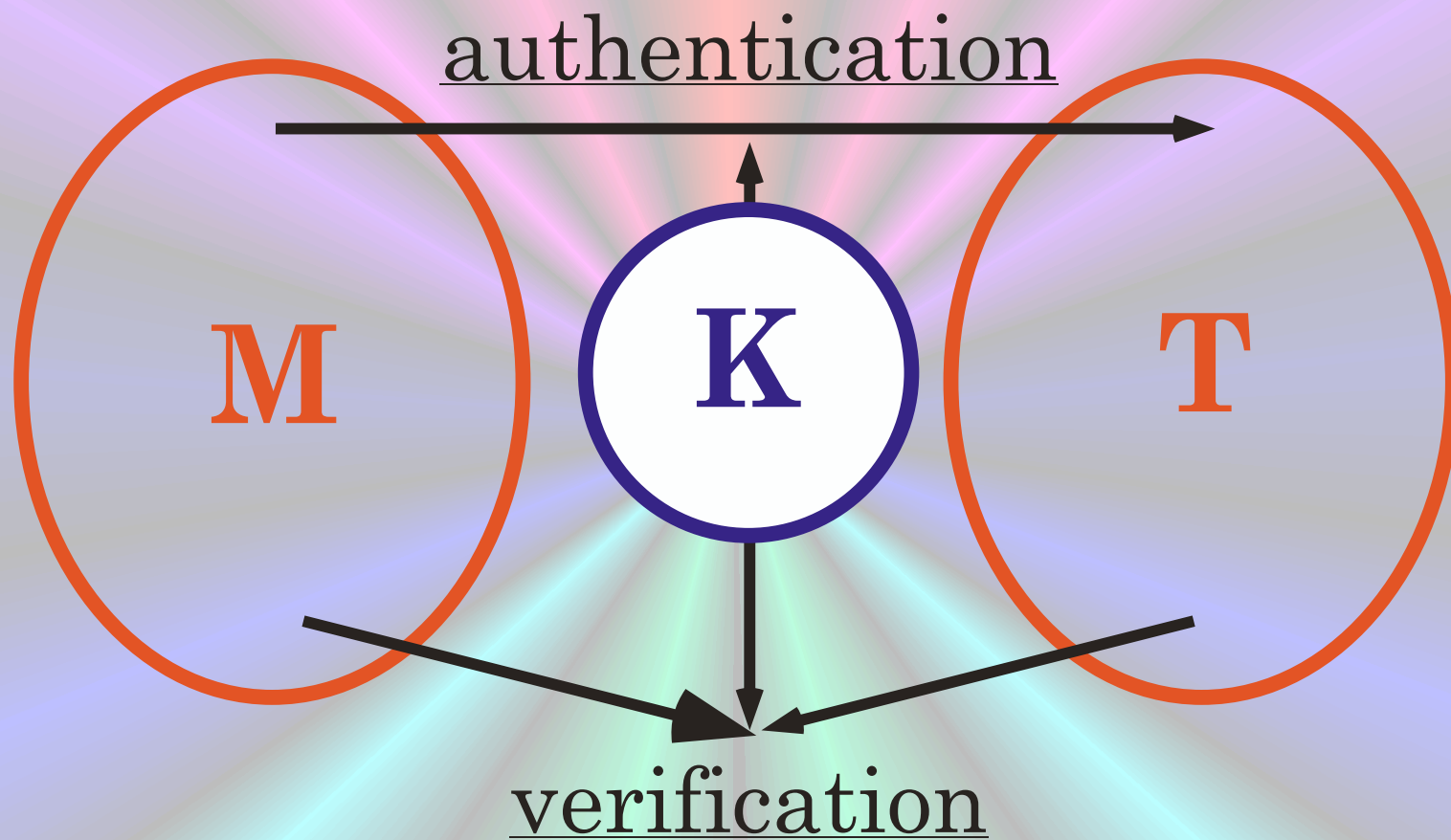


Will you marry me ?

No, I never will !

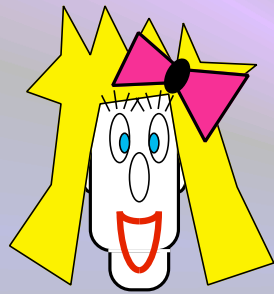


# symmetric authentication

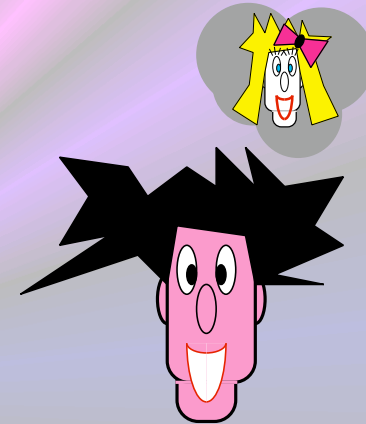


**Information Theoretical Security**

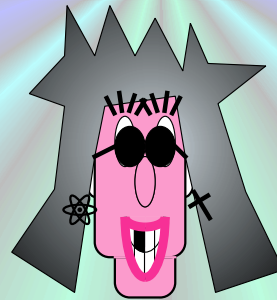
# Authentication



$$t = A_k(m)$$

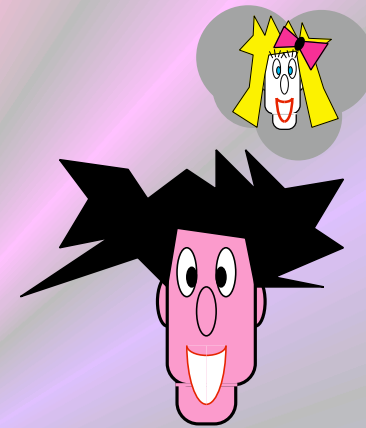
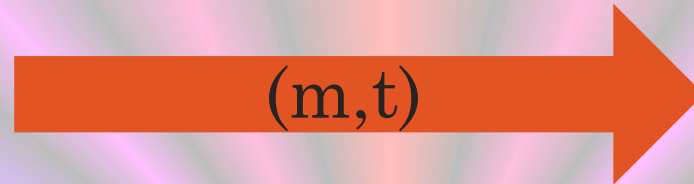
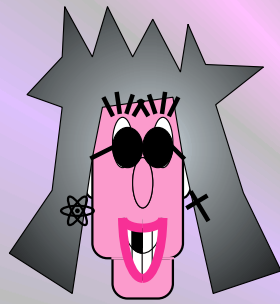


$$A_k(m) = t?$$



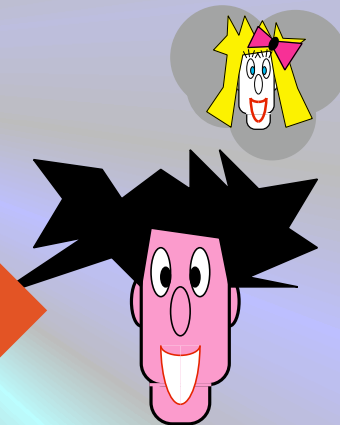
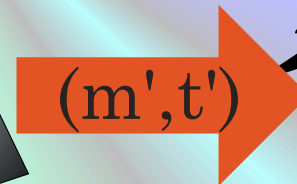
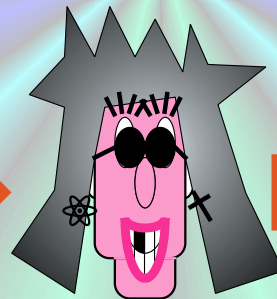
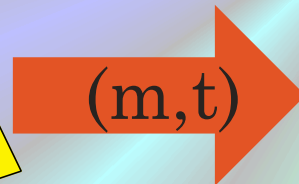
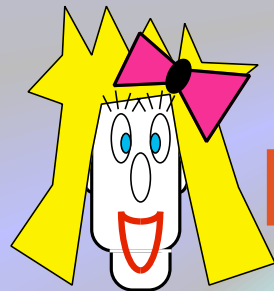
**Information Theoretical Security**

# Impersonation



$$A_k(m)=t?$$

# Substitution



$$A_k(m')=t'?$$

## Information Theoretical Security



# WC One-Time-Authentication

$$A_{\mathbf{M},b}(x) = \mathbf{M}x \oplus b$$

$$|x| = n, |\mathbf{M}| = n \cdot s, |t| = |b| = s$$

$$\square m \in M, \square t \in T$$

$$\Pr(A_{\mathbf{M},b}(m) = t) = 1/|T| = 1/2^s$$

$$\square m \in M, \square m' \in M, \square t, t' \in T$$

$$\Pr(A_{\mathbf{M},b}(m') = t' \mid A_{\mathbf{M},b}(m) = t) = 1/|T| = 1/2^s$$

# WC One-Time-Authentication and (linear) error correction

$$A_{\mathbf{M},b}(x) = \mathbf{M}x \oplus b$$

$[\mathbf{I}:\mathbf{M}]_m \oplus [0:b] = [m:t]$

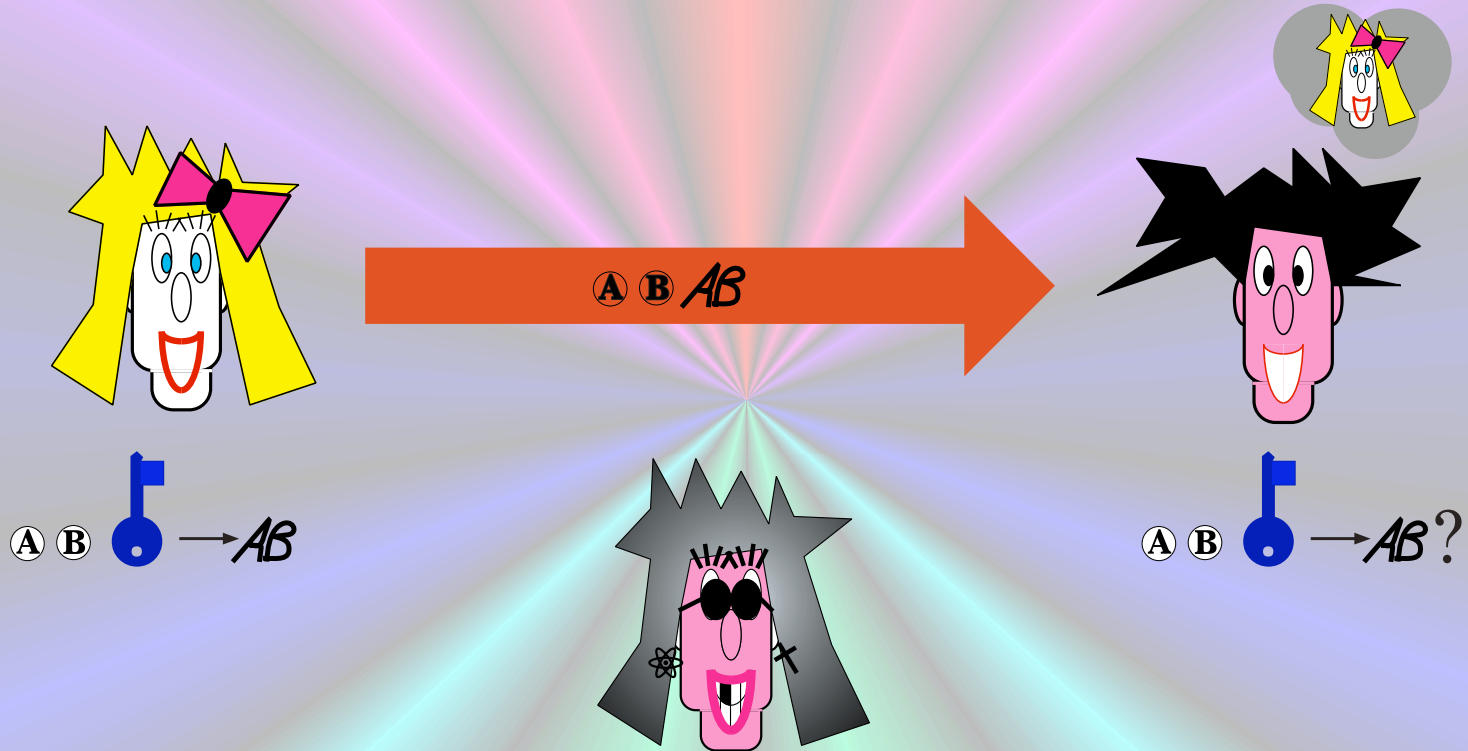
$G = [\mathbf{I}:\mathbf{M}]$  (systematic) generating matrix  
of error correcting code

$[0:b]$  error syndrome = one-time pad  
encryption of tag

$[m:t]$  systematic form of (message,tag)



# Authentication

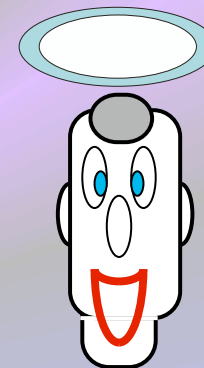
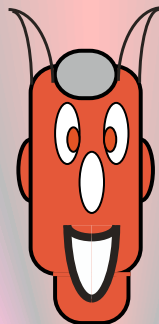
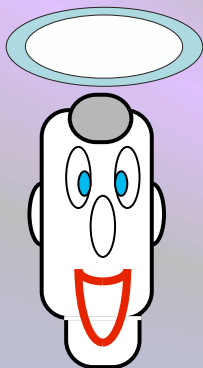


Information Theoretical Security

**(1.2)**

**Complexity Theoretical  
Cryptography**

## (1.2) Complexity Theoretical Cryptography

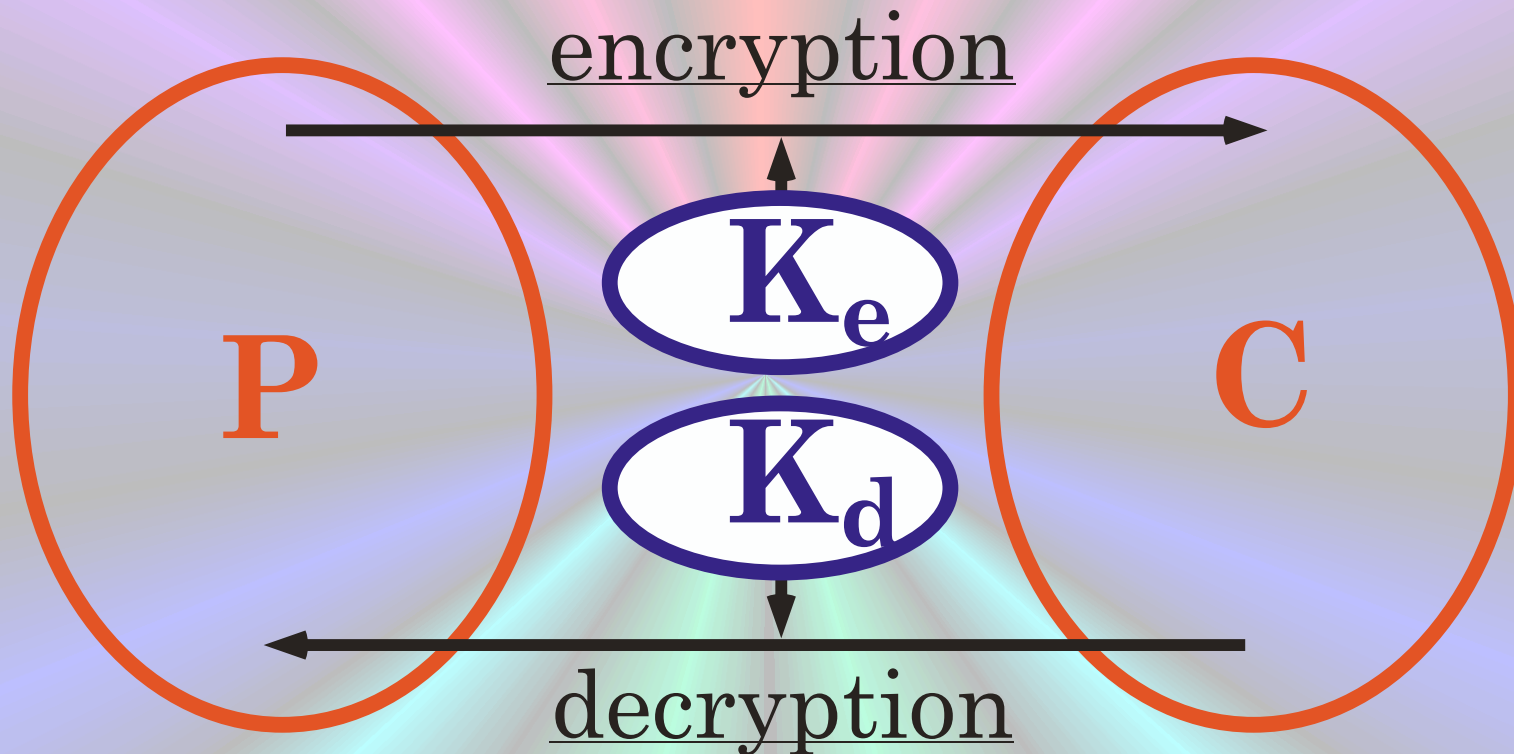


(1.2.1) Public key cryptosystem

(1.2.2) Digital signature scheme

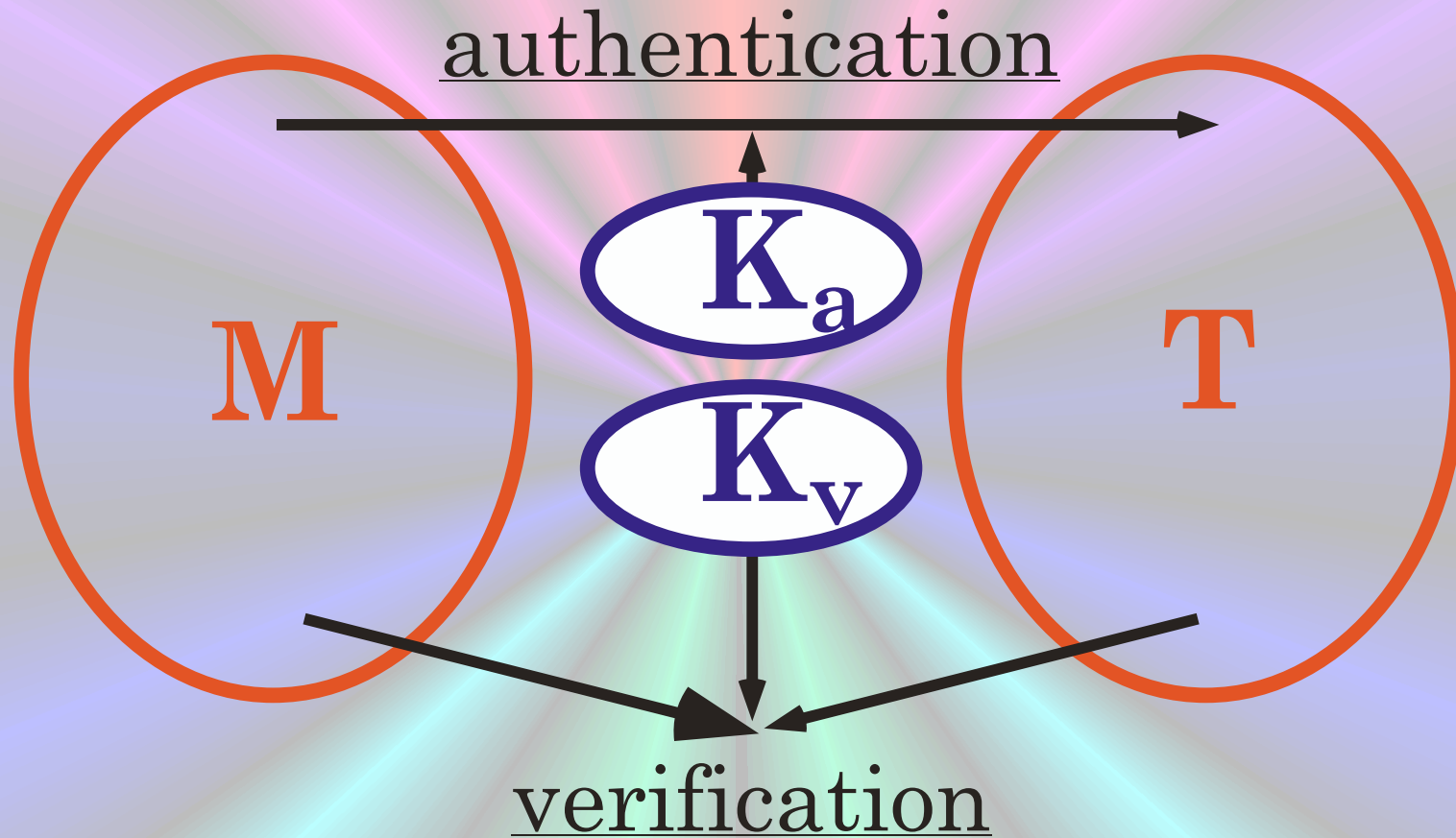


asymmetric encryption  
(public-key cryptography)



**Complexity Theoretical Security**

asymmetric authentication  
(digital signature schemes)



**Complexity Theoretical Security**

**(2)**

# **Quantum Information & Computations**



**(3)**

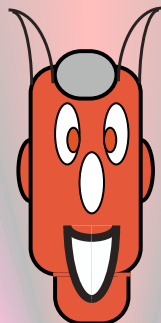
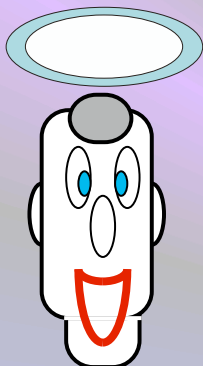
# **Quantum Cryptography**



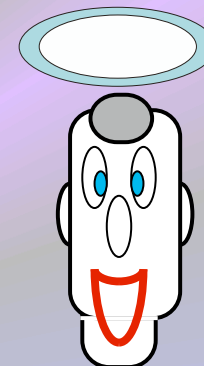
**(3.1)**

**Information Theoretical  
Quantum Cryptography**

## (3.1) Information Theoretical Cryptography



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**(3.1.1) Key distribution** :  $\mathbb{Q}$ -key distribution +  
 $\mathbb{Q}$ -distillation (formerly purification)

**(3.1.2) One-time pad** : one-time  $\mathbb{Q}$ -pad ( $\mathbb{Q}$ -teleportation)  
Vernam  $\mathbb{Q}$ -cipher

**(3.1.3) one-time authentication** : 1x authenticated  $\mathbb{Q}$ -pad +  
1x  $\mathbb{Q}$ -authentication

.....

## (3.1.1) Key distribution

**Classical key**: Q-distribution of keys(BB84)

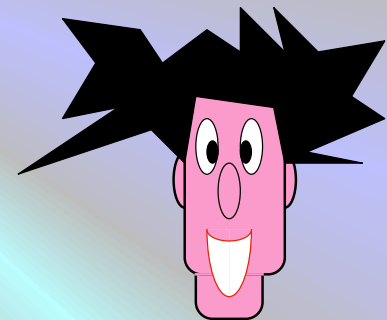
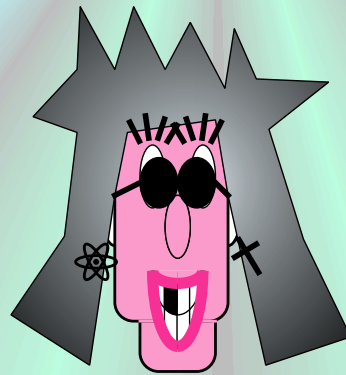
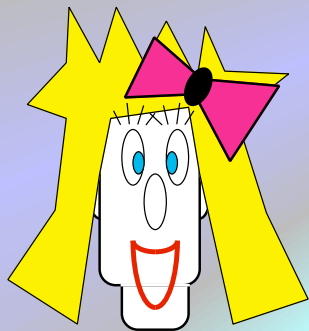


+ error-correction  
+ privacy amplification

**Quantum key**: Q-key distribution(Ekert/Lo-Chau)

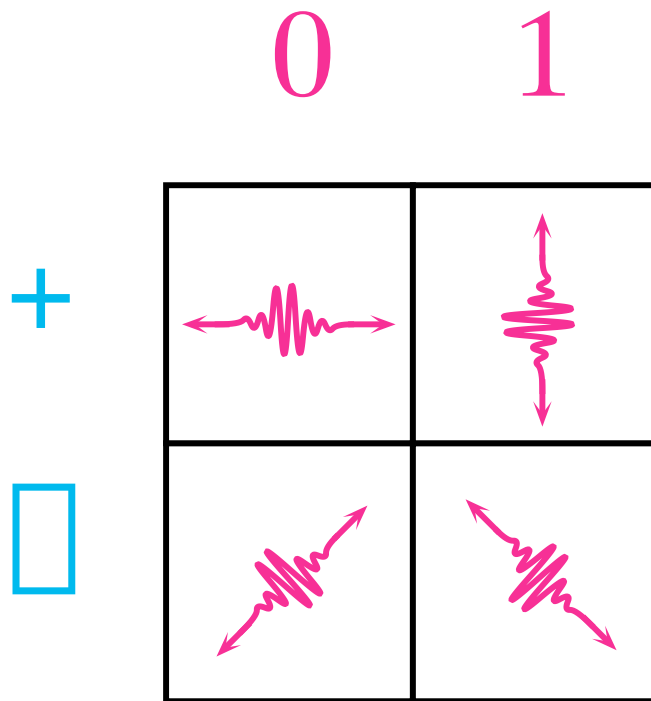


+ Q-error-correction or  
+ Q-Distillation (Purification)

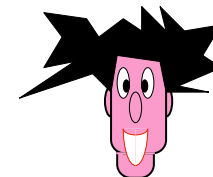
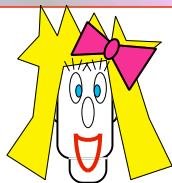


### (3.1.1) Key distribution

# Ambiguous Coding Scheme



# (3.1.1C) Quantum distribution of Keys



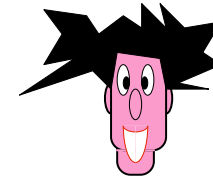
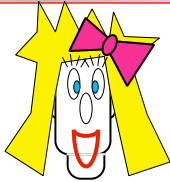
□:	0	1	1	0	0	1	0	0	1	1	0	1	0	0	0	1	1	1	0	1	1	0	0	0
	□	+	□	+	+	+	□	□	□	□	+	+	+	+	□	□	□	+	□	+	+	+	□	+
□:	□	□	+	+	□	+	+	+	□	+	+	□	□	□	+	□	□	□	+	+	□	+	□	+
	0	0	1	0	0	1	0	0	1	0	0	0	0	1	1	1	0	0	0	1	1	0	0	0
□:	□	+	□	+	+	+	□	□	□	□	+	+	+	+	□	□	□	+	□	+	+	+	□	+
□:	0	✘	✘	0	✘	1	✘	✘	1	✘	0	✘	✘	✘	✘	1	0	✘	✘	1	✘	0	0	0
□:	0		0		1			1		0				1	0			1		0	0	0		
□:	0		0		1			1		0				1	1			1		0	0	0		
□:	0				1					0				1				1					0	
□:	=				=					=							□						=	
□:			0					1						1				1			0	0		
□:			0					1						1				1			0	0		

20%



## Bennett- Brassard

# (3.1.1C) Quantum distribution of Keys



□:	?	?	?	?	?	?	?	?	?	?	?	?	?	?	?	?	?	?	?	?	?			
	□	+	□	+	+	+	□	□	□	□	+	+	+	+	□	□	□	+	□	+	+	+	□	+
□:	?	?	?	?	?	?	?	?	?	?	?	?	?	?	?	?	?	?	?	?	?	?	?	?
	□	□	+	+	□	+	+	+	□	+	+	□	□	□	+	□	□	□	+	+	□	+	□	+
	0	0	1	0	0	1	0	0	1	0	0	0	0	1	1	1	0	0	0	1	1	0	0	0
□:	□	+	□	+	+	+	□	□	□	□	+	+	+	+	□	□	□	+	□	+	+	+	□	+
□:	0	✘	✘	0	✘	1	✘	✘	1	✘	0	✘	✘	✘	✘	1	0	✘	✘	1	✘	0	0	0
□:	1		1	0		0	1				0	0			0	0			0	1	1	1		
□:	1			0			1								0								1	
□:	□			□			□								=								□	
□:			0				1								1					1	0	0		
□:			1				0								0					0	1	1		

20%



Ekert

## **(3.1.1C) Quantum distribution of Keys**



- **Produces raw classical key**
- **Observed error rate indicates amount of eavesdropper information**
- **Error-correction is used to fix errors**
- **Random hash function is used to distill a smaller secret classical key**





## (3.1.1) Key distribution

**Classical key**: Q-distribution of keys(BB84)

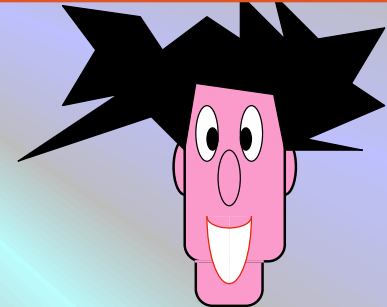
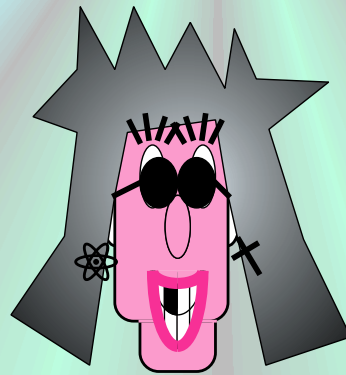
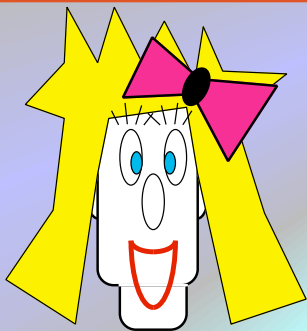


+ error-correction  
+ privacy amplification

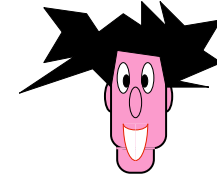
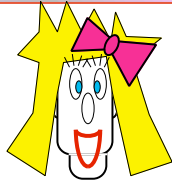
**Quantum key** : Q-key distribution(Ekert/Lo-Chau)



+ Q-error-correction (CSS) or  
Q-Distillation (Purification)



# (3.1.1Q) Quantum-Key distribution



Alice: ?  
 Bob: ?

Alice: 0 0 0 0 0 0 0 1 0 0 0 0 0 0 0 0 0 0 0 0 0 0  
 Bob: 0 0 0 0 0 0 0 1 0 0 0 0 0 0 0 0 0 0 0 0 0 0

Alice: 1 1 0 0 1 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0  
 Bob: 1 1 0 0 1 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0

Alice: 1 1 0 0 1 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0  
 Bob: 1 1 0 0 1 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0

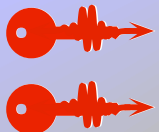
Alice: 1 1 0 0 1 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0  
 Bob: 1 1 0 0 1 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0

Alice: 1 1 0 0 1 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0  
 Bob: 1 1 0 0 1 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0

Alice: 1 1 0 0 1 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0  
 Bob: 1 1 0 0 1 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0

Alice: 1 1 0 0 1 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0  
 Bob: 1 1 0 0 1 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0

Alice: 1 1 0 0 1 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0  
 Bob: 1 1 0 0 1 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0



10%

## Ekert + Lo-Chau



## **(3.1.1Q) Quantum-Key distribution**



- **Produces raw quantum key  
(EPR states)**

- **Observed error rate indicates amount  
of impurity of EPR states**

- **Quantum error-correction is used to purify  
raw EPR states into a smaller pure set**



## (3.1.2) One-time pad



**Classical key:** Vernam Q-cipher (various sources)

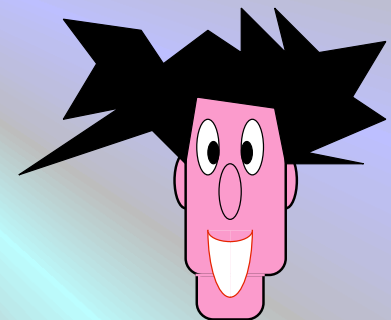
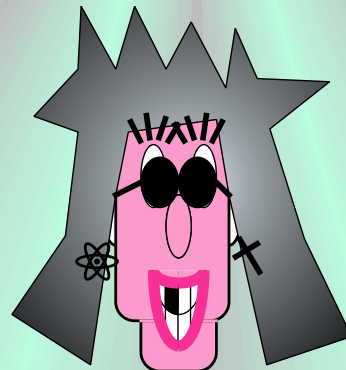
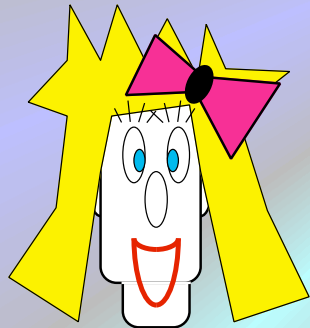
**Quantum Ciphertext**

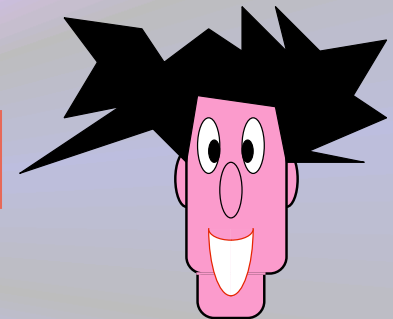
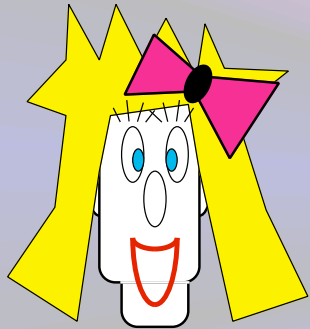
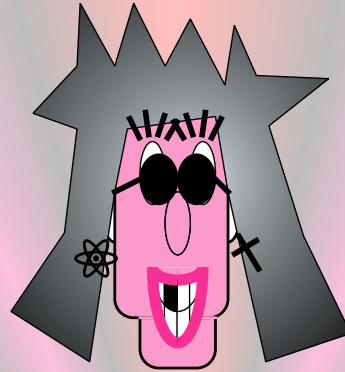


**Quantum key :** one-time Q-pad (Q-teleportation)

**Classical Ciphertext**

**(BBCJPW)**





|Ωιλλ ψου μαρρψ με ?>

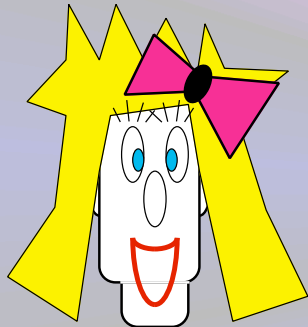
|Διτωρχε ψουρ ωιφε φιρστ !>

|Τηε παπερσ αρε ιν τηε μαιλ...>

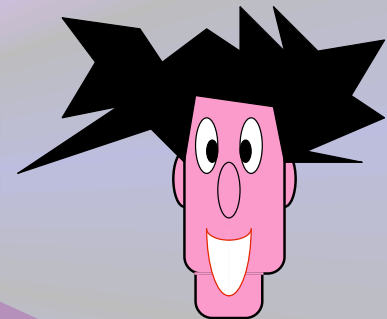
|ΟΚ, Ι ωιλλ !>



## (3.1.2Q) One-time Q-pad



8RdewtU5qkLa\$es!T9@

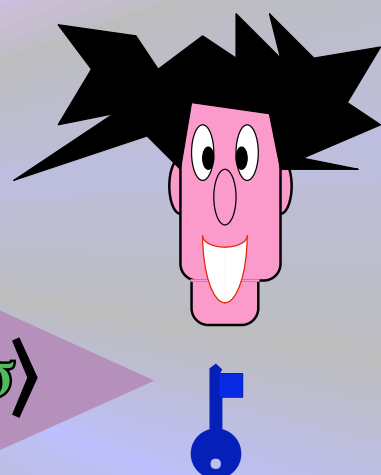
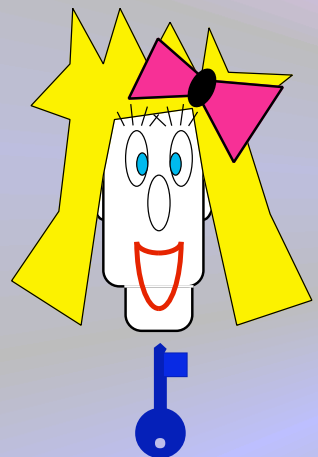


I(D%eXhDqliykl#2cV7dEwnMs

H&fs@tyHvFGhaOKpTrGbl.Z/rUih\*

B7B3tdsjUila

# (3.1.2C) Vernam Q-cipher



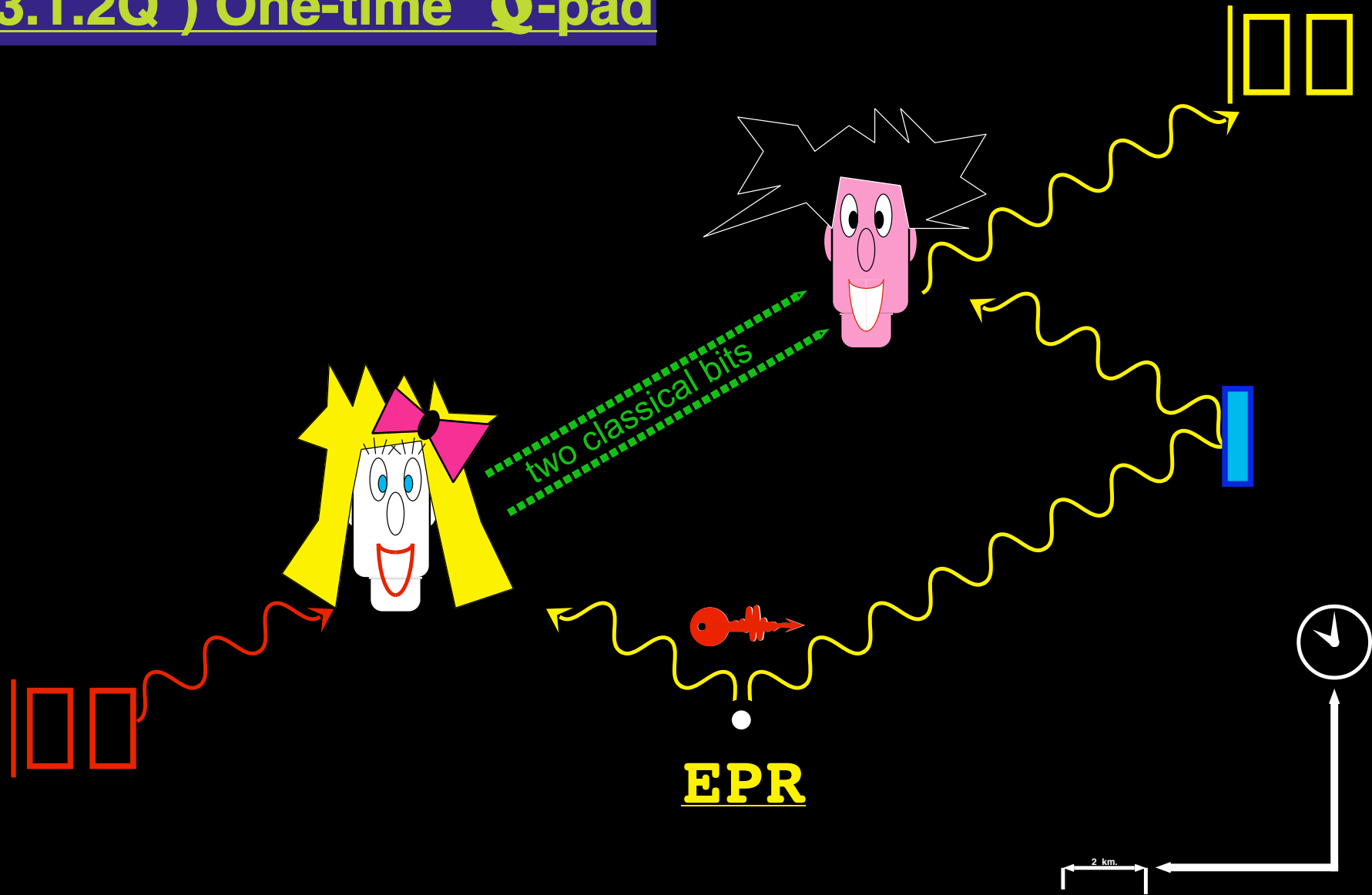
|8PδεωτΥ5θκΛαΞεσ!Τ9≡|

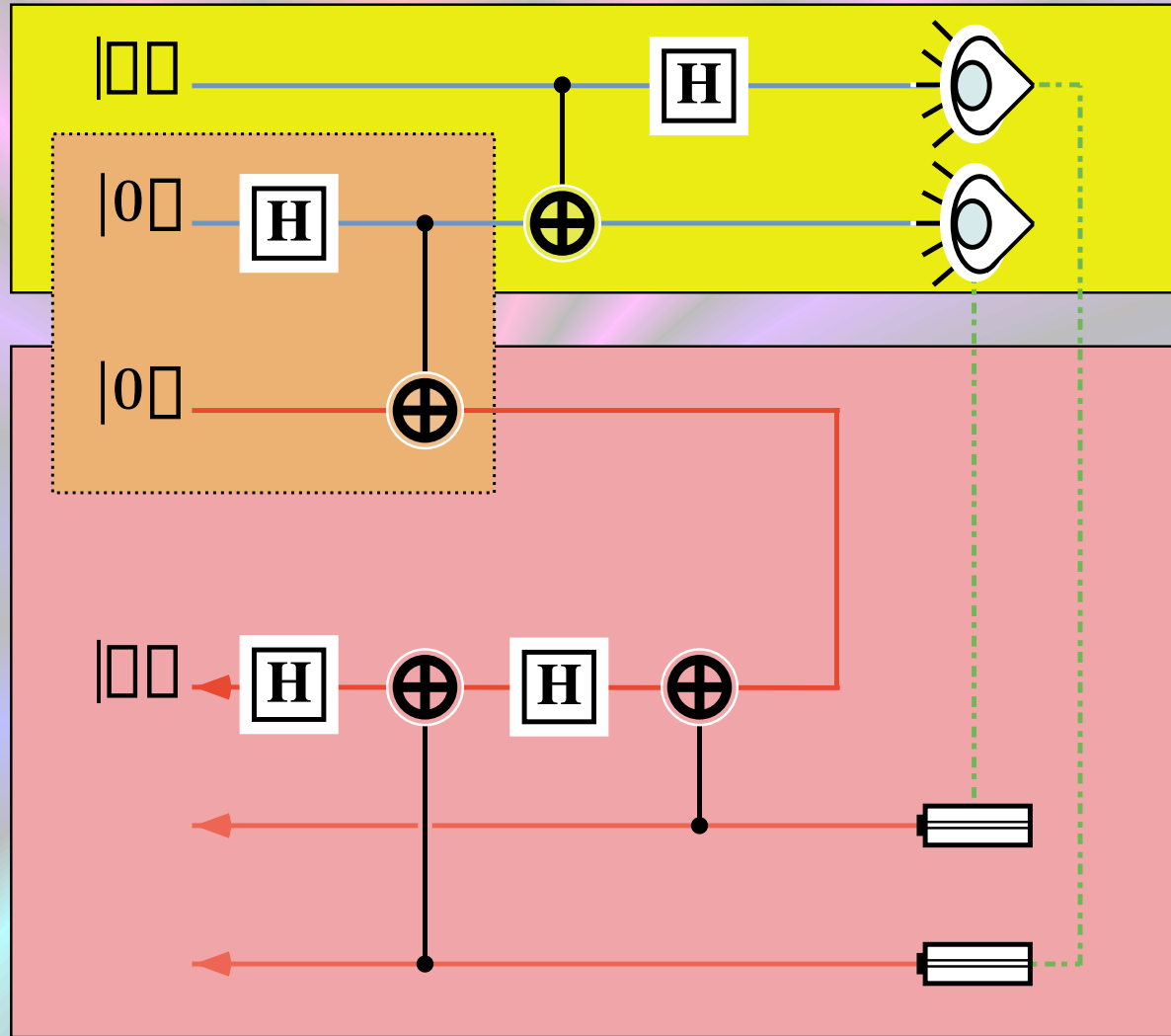
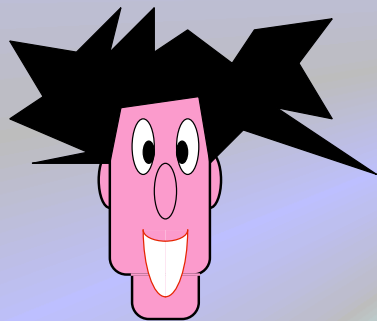
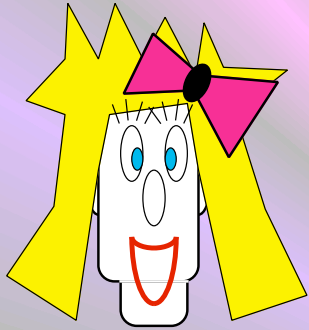
|Ι(Δ%εΞηΔθΙιψκλ#2χς7δΕωνΜσ|

|Η&φσ≡τψωΦηαΟΚπΤρΓβλ.Ζ/ρΥιη\*|

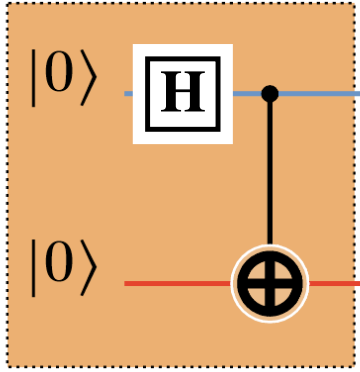
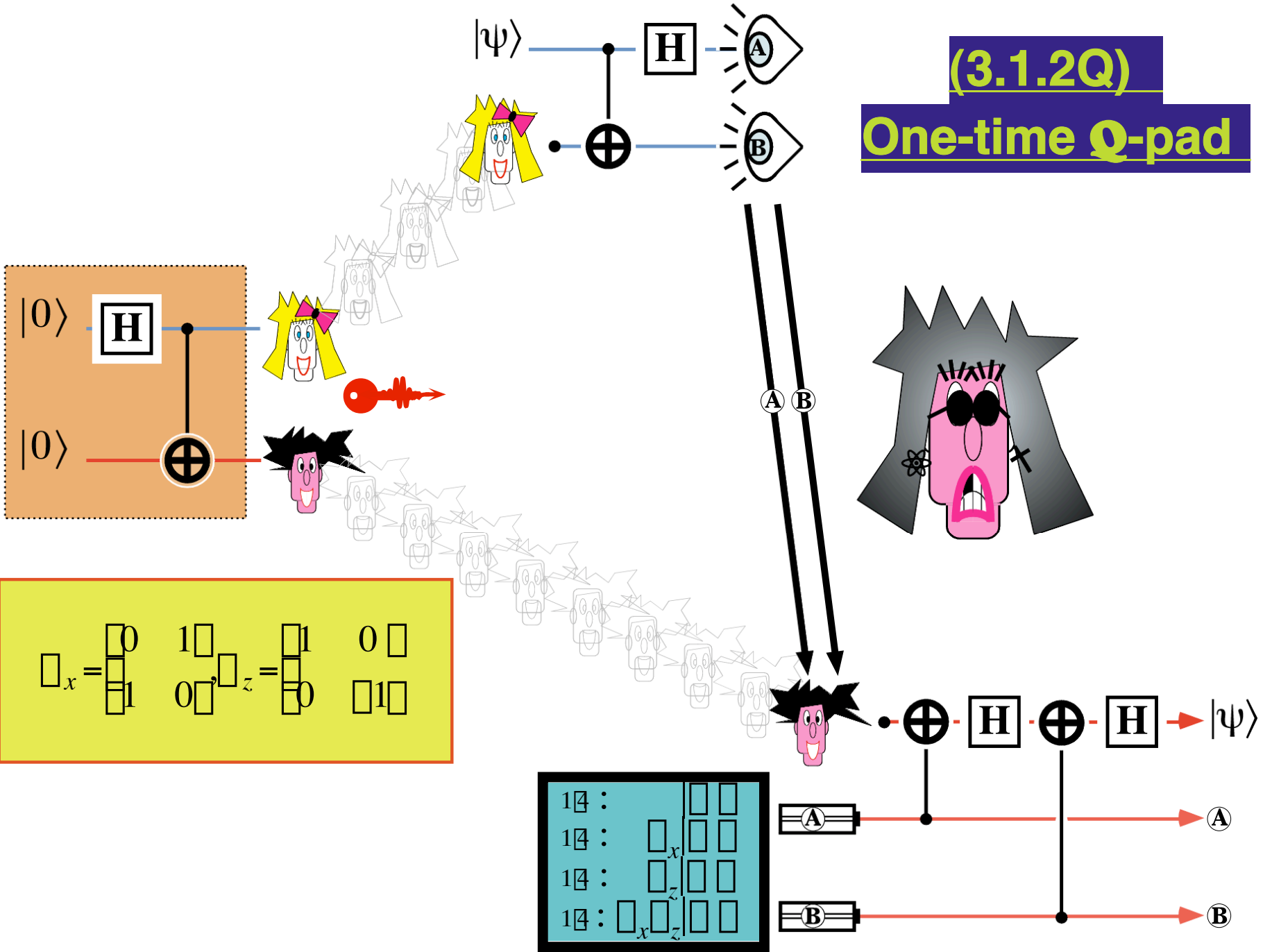
|Β7Β3τδσφΥίλα|

# (3.1.2Q ) One-time Q-pad





**(3.1.2Q)**  
**One-time Q-pad**



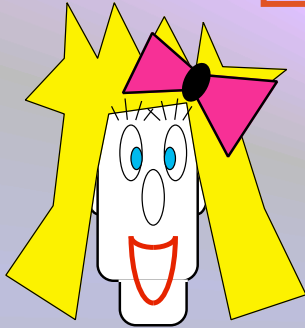
$$\begin{matrix} \square_x = \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix} & 1 \square & \square_z = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} & 0 \square \\ & 0 \square & & 1 \square \end{matrix}$$

14 :					
14 :					
14 :		$x$			
14 :		$z$			
	$x$		$z$		

# (3.1.2Q) One-time Q-pad



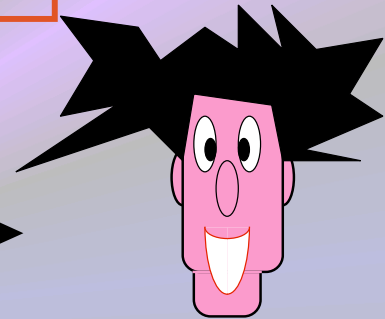
Quantum key : one-time Q-pad  
Classical Ciphertext



A B



two random bits





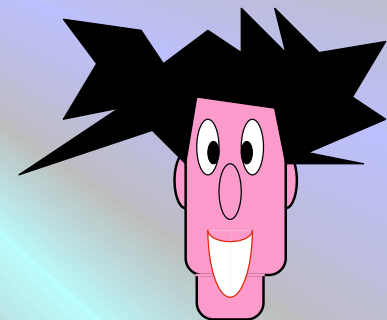
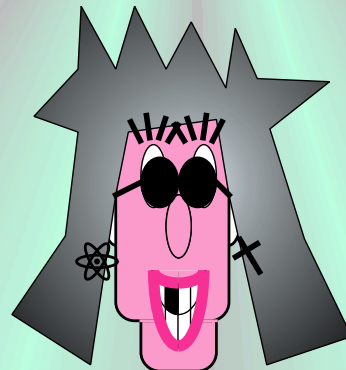
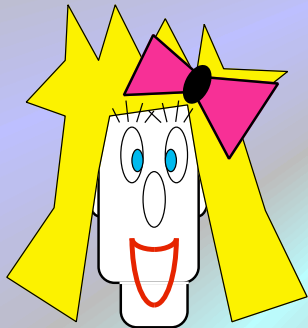
## (3.1.2) One-time pad



**Classical key:** Vernam Q-cipher (various sources)  
**Quantum Ciphertext**



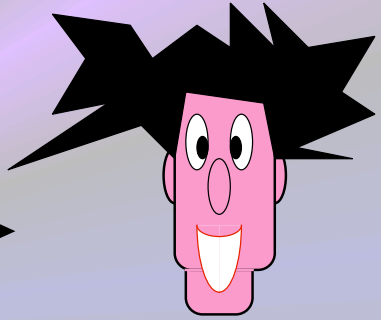
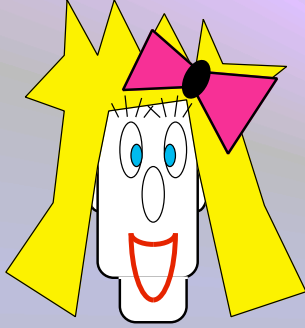
**Quantum key :** one-time Q-pad (BBCJPW)  
**Classical Ciphertext**



# (3.1.2C) Vernam Q-cipher

  
**Classical key: Vernam Q-cipher**  
**Quantum Ciphertext**

**Quantum key: one-time Q-pad**  
**Classical Ciphertext**



a,b random bit key

$$| \square \square \rangle = (\square_x)^a (\square_z)^b | \square \square \rangle$$

1□	:						
1□	:	□ <sub>x</sub>					
1□	:	□ <sub>z</sub>					
1□	:	□ <sub>x</sub>	□ <sub>z</sub>				

a,b random bit key

$$| \square \square \rangle = (\square_z)^b (\square_x)^a | \square \square \rangle$$

$$\square_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \square_z = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$



### (3.1.3) One-time Authentication



**Classical key: 1x Q-Authentication (BCGST)**

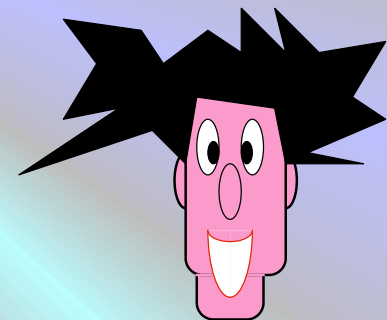
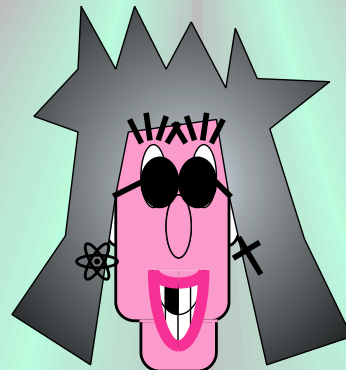
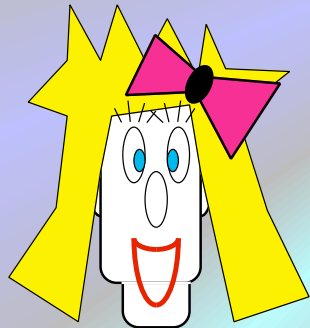
**Quantum message+tag**



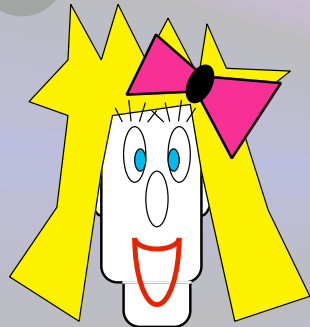
**Quantum key : 1x Authenticated Q-pad**

**Classical message+tag**

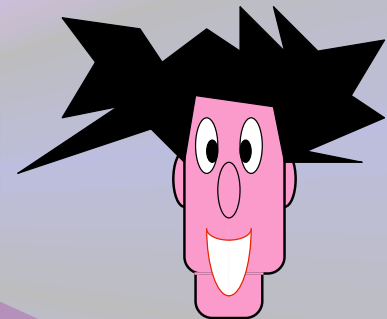
**(BBCJPW)**



## (3.1.3Q) One-time Authenticated Q-pad



8RdewtU5qkLa\$es!T9@

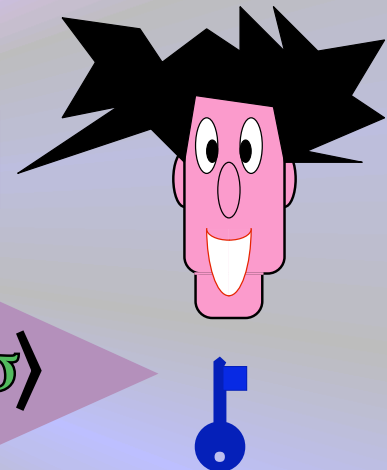
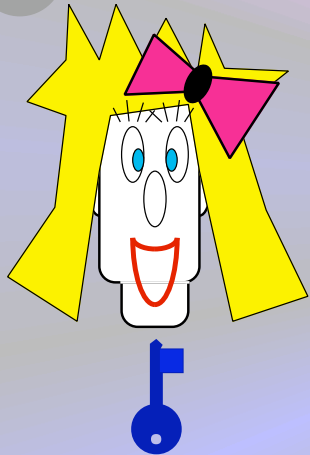


I(D%eXhDqliykl#2cV7dEwnMs

H&fs@tyHvFGhaOKpTrGbl.Z/rUih\*

B7B3tdsjUila

## (3.1.3C) One-time Q-Authentication

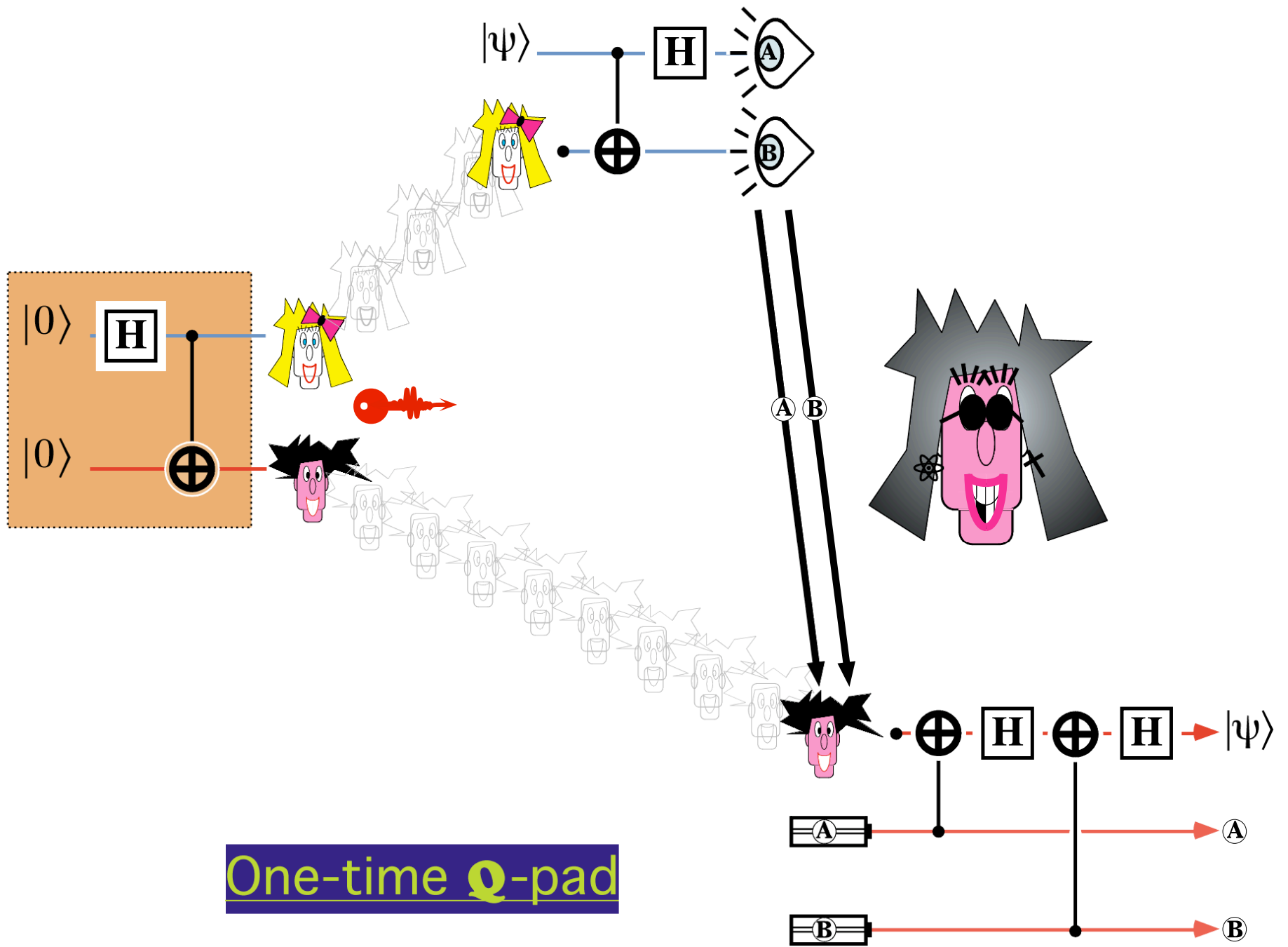


|8PδεωτY5θκΛαΞεσ!T9≡>

|I(Δ%εΞηΔθΙιψκλ#2χς7δΕωνΜσ>

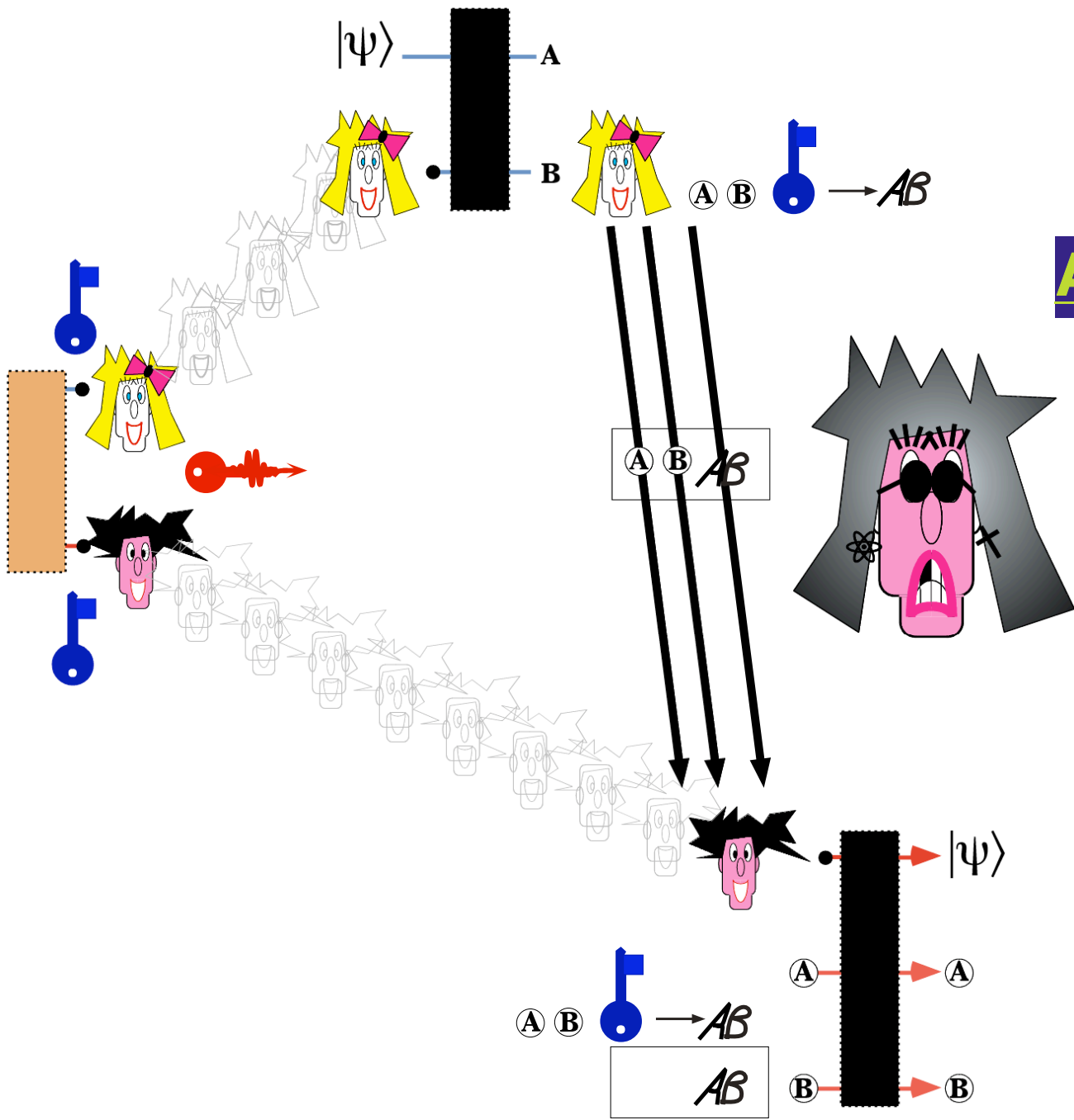
|Η&φσ≡τψωΦηαΟΚπΤρΓβλ.Z/ρΥιη\*>

|B7B3τδσφΥίλα>

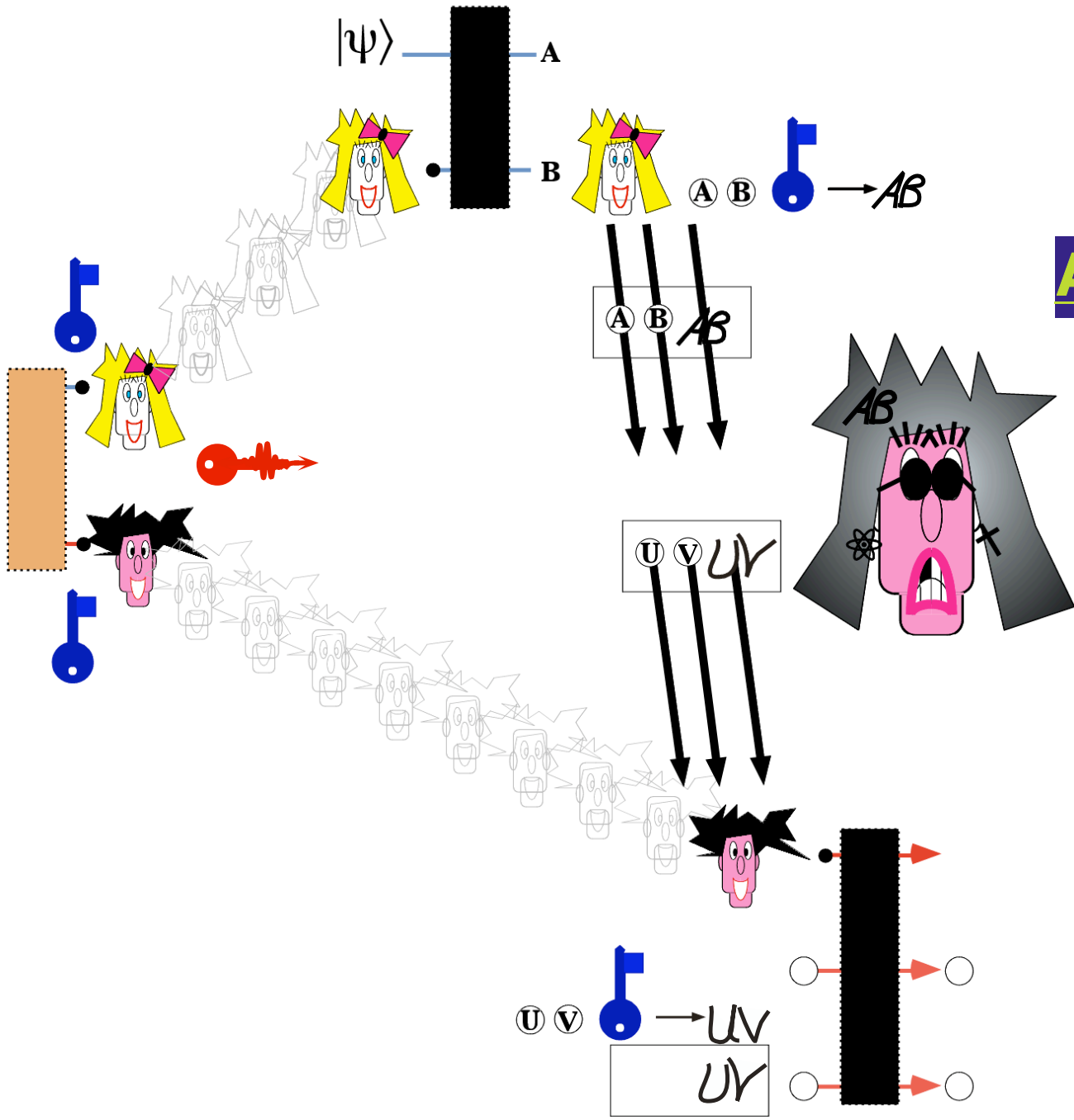


**One-time Q-pad**





**(3.1.3Q)**  
**One-time**  
**Authenticated**  
**Q-pad**

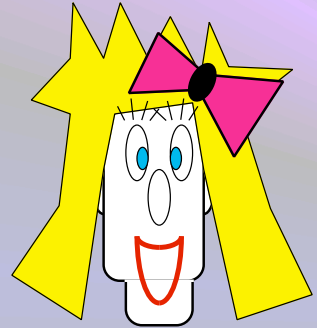


**(3.1.3Q)**  
**One-time**  
**Authenticated**  
**Q-pad**

# (3.1.3Q) One-time Authenticated Q-pad

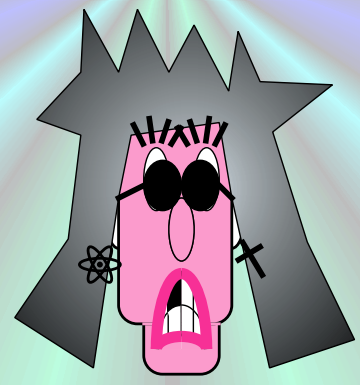
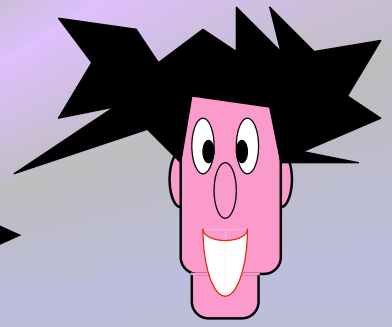


Quantum key : 1x Authenticated Q-pad  
Classical message+tag



A B AB

two authenticated random bits



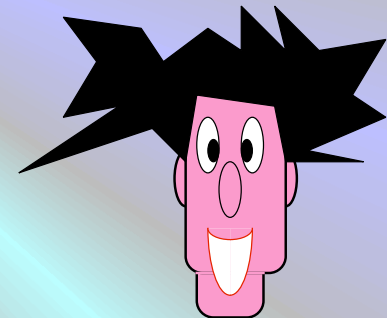
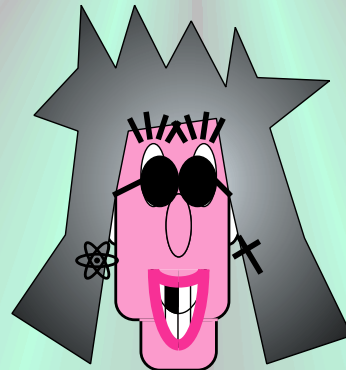
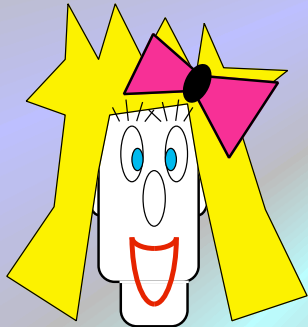
## (3.1.3) One-time Authentication



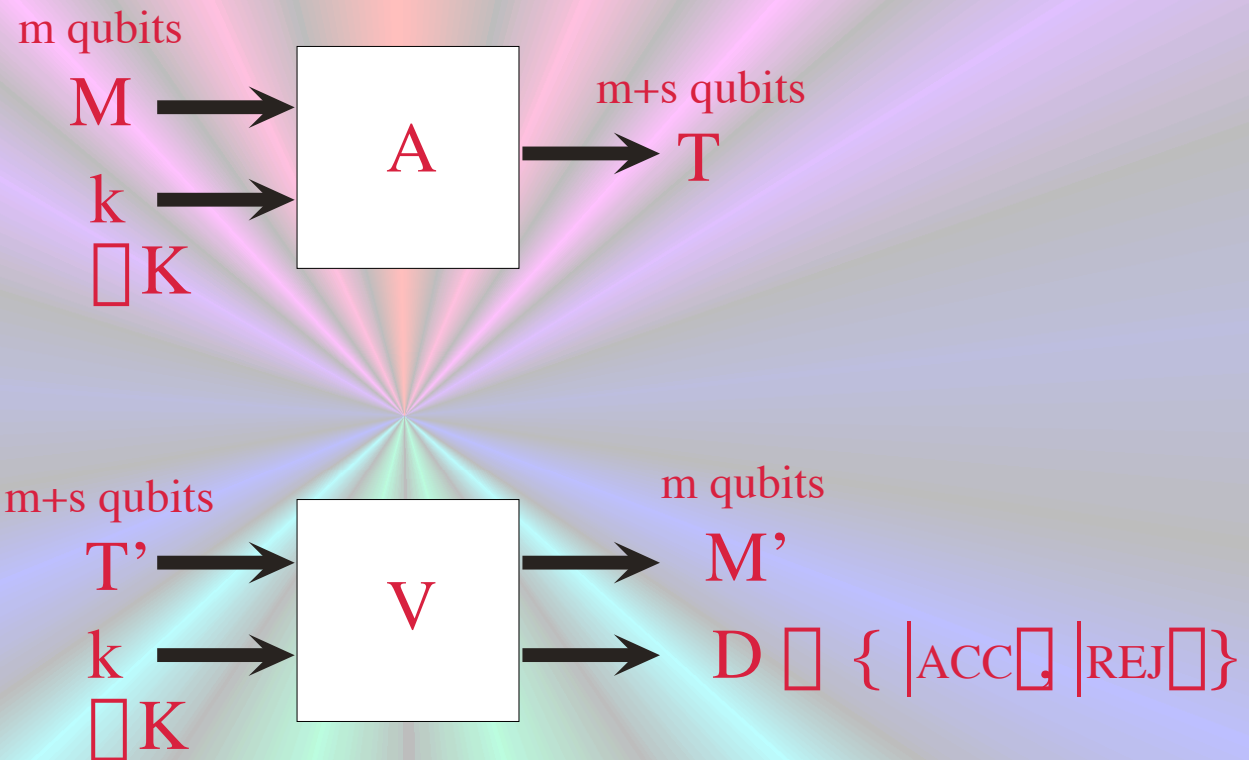
**Classical key: 1x Q-Authentication (BCGST)**  
**Quantum message+tag**



**Quantum key : 1x Authenticated Q-pad**  
**Classical message+tag** (BBCJPW)



### (3.1.3C) One-time Q-Authentication



### (3.1.3C) One-time Q-Authentication

For any pure state  $|\varphi\rangle$  consider the measurement on  $(M', D)$  such that

- output Right if  $M' = |\varphi\rangle$  or if  $D = |\text{REJ}\rangle$
- output Wrong otherwise



The corresponding projectors are

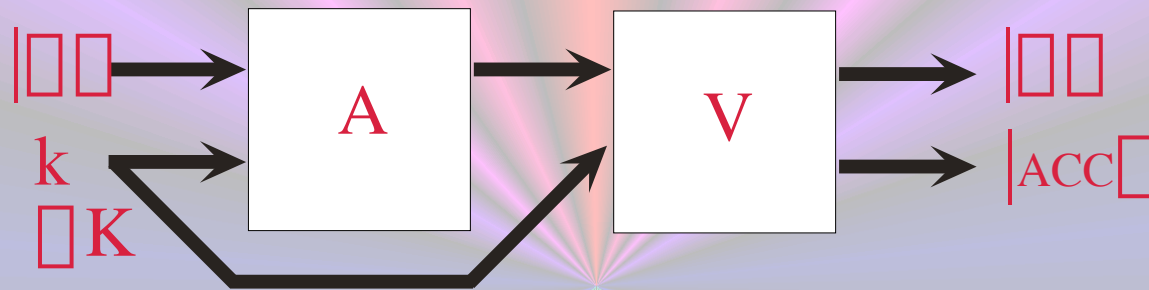
$$R_{|\varphi\rangle} = |\varphi\rangle\langle\varphi| \otimes I_D + I_{M'} \otimes |\text{REJ}\rangle\langle\text{REJ}| - |\varphi\rangle\langle\varphi| \otimes |\text{REJ}\rangle\langle\text{REJ}|$$

$$W_{|\varphi\rangle} = (I_{M'} - |\varphi\rangle\langle\varphi|) \otimes |\text{ACC}\rangle\langle\text{ACC}|$$

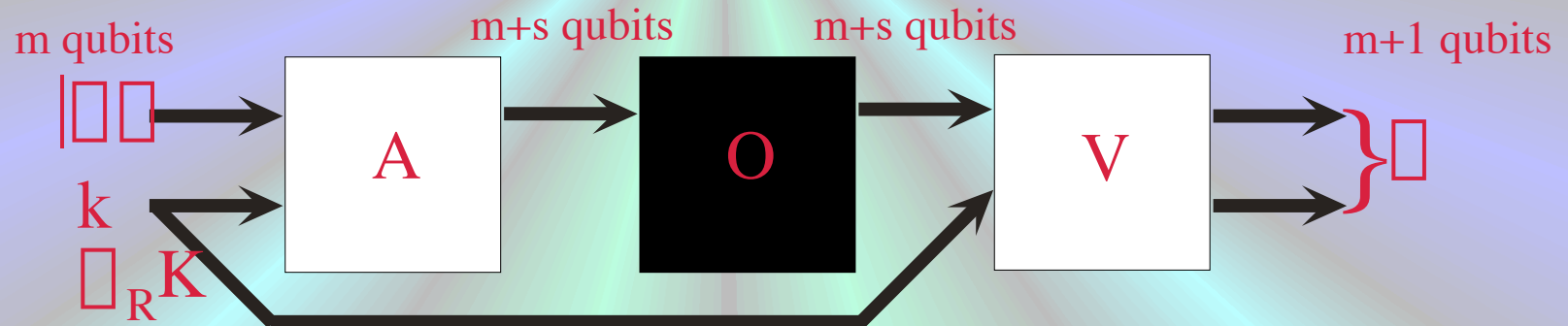


# (3.1.3C) One-time Q-Authentication

## Completeness:



## Soundness:



$$\text{Tr}(R_{|\psi\rangle}) \leq 1 - 2^{-s}$$

### (3.1.1Q) Quantum-Key distribution



A: 1 ? ? 1 ? 0 ? ? 0 ? 1 ? ? ? ? 0 0 ? ? 0 ? 1 1 1  
 × + + + + × + + + + × × + + × +  
 B: \ i i | i - ? i / i | i i i i / / i i - i | \ |

A: × + + + + × + + + + × × + + × +  
 B: 1 1 0 0 1 0 1 0 1 1 0 1 1 1

A: 1 1 0 0 1 0 0 0 1 1 1

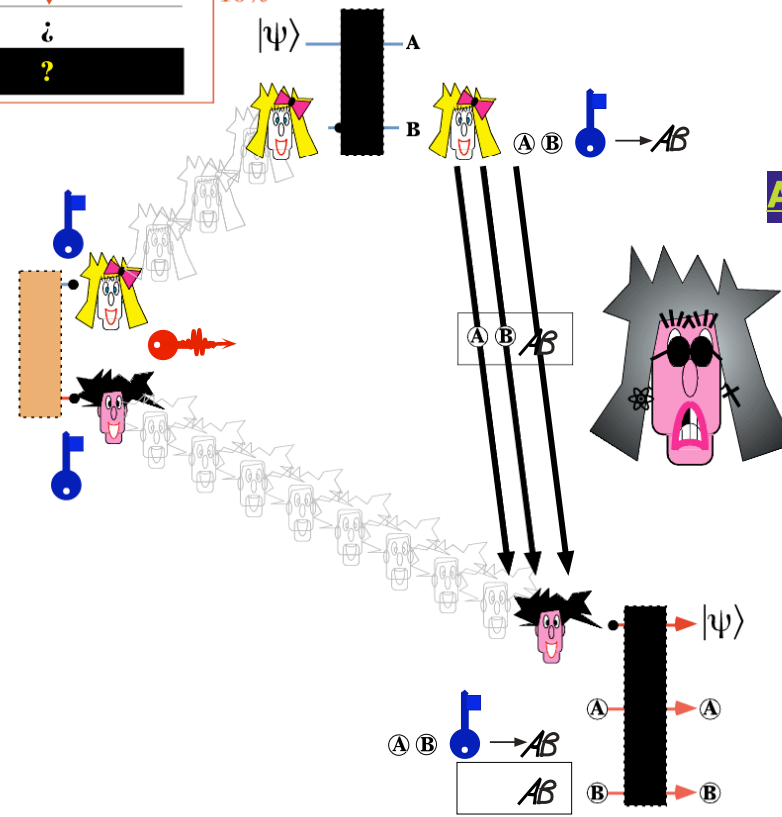
A: 1 1 0 0 1 0 0 0 1 1 1

B: = = = = = = = = ≠ = = = =

B: i i i ? i i i i i i i i  
 A: ? ? ? ? ? ? ? ? ? ?

### Shor-Preskill

10%



### (3.1.3Q) One-time Authenticated Q-pad

## **(3.1.3C ) One-time *interactive* Q-Authentication**

.....

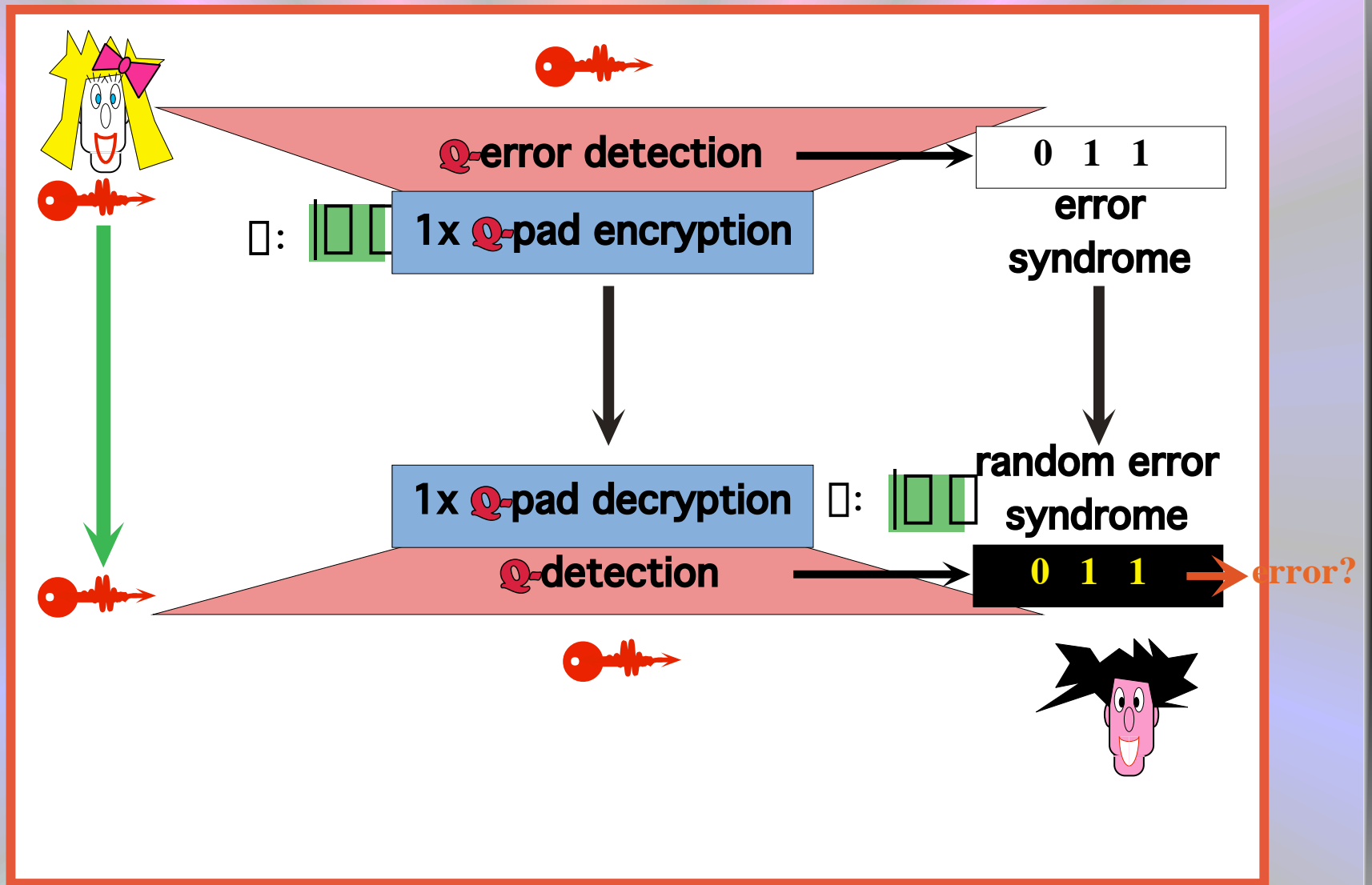
- **Transmit quantum key (EPR states)**

- **Quantum error-correction is used to purify  
(or test purity of) EPR states  
to form a smaller pure set**

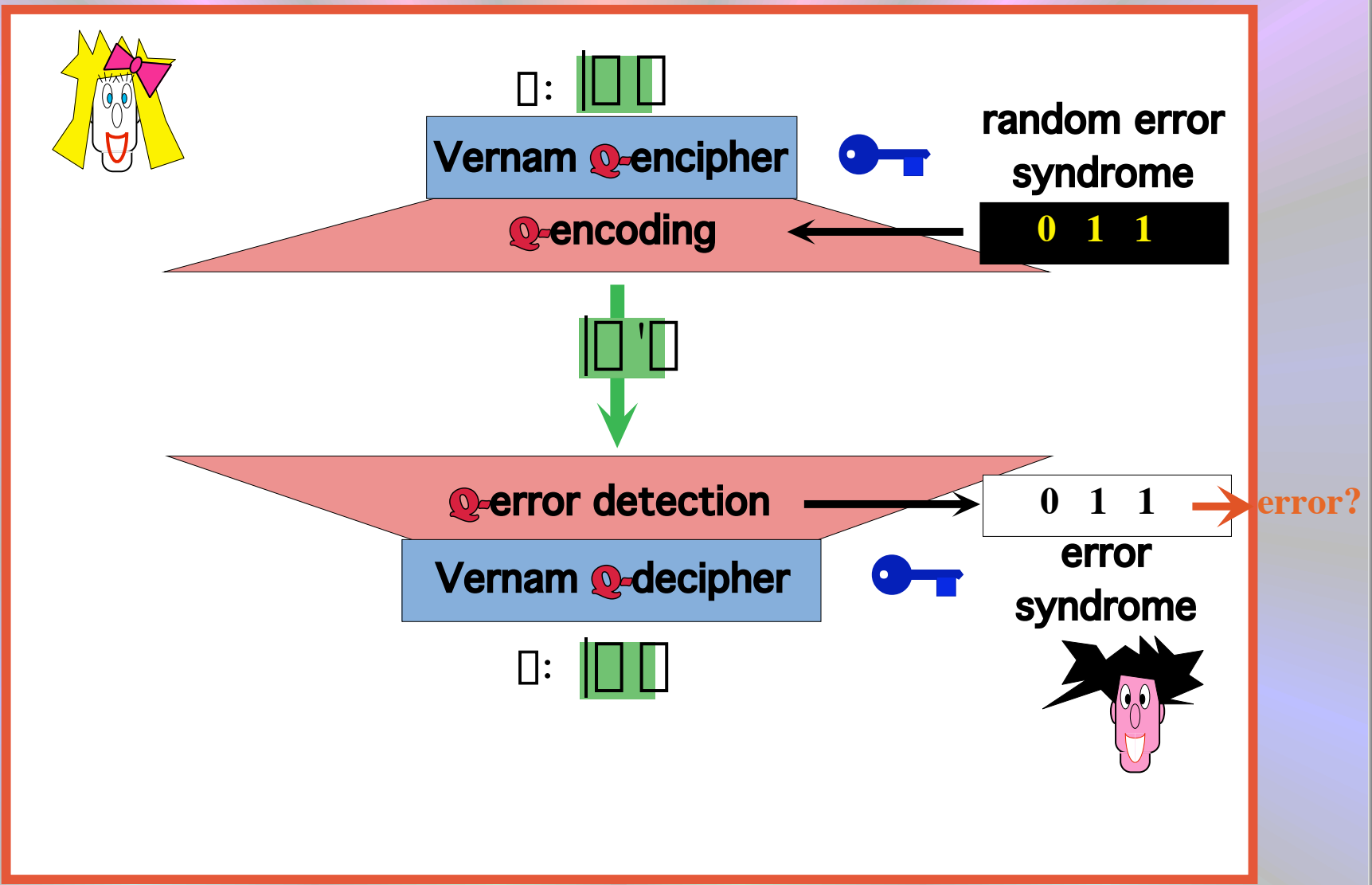
- **one-time Authenticated Quantum pad  
is used to send message**

.....

# (3.1.3C) One-time *interactive* Q-Authentication



# (3.1.3C) One-time Q-Authentication



Barnum-Crépeau-Gottesman-Smith-Tapp

## **(3.1.3C ) One-time Q-Authentication**

.....

- **Quantum Vernam cipher message**

- **encode using Quantum error-correction with random syndrome**

- **Transmit result**

.....



## (3.1.3C) One-time Q-Authentication

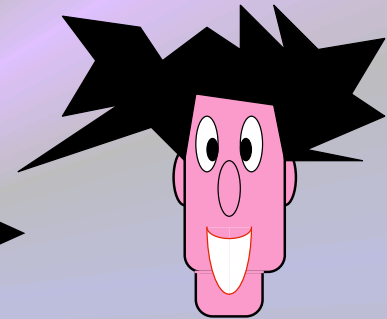
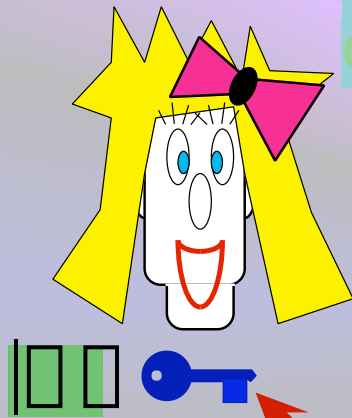


Classical key : 1x Q-authentication

Quantum message+tag

Quantum key : 1x Authenticated Q-pad

Classical message+tag



- Q-error-correcting code
- secret key for encryption & syndrome



**one-time Q-authentication**



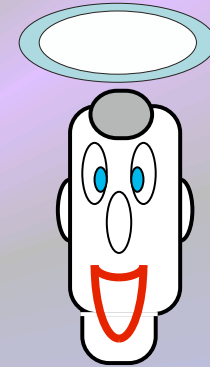
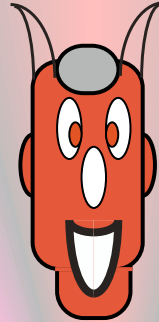
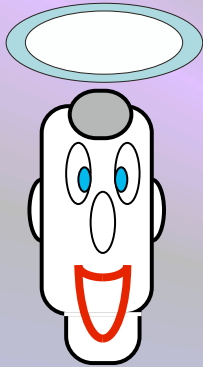
**Vernam Q-cipher**

**( authenticated messages must be encrypted ;  
this is false with classical messages! )**

**(3.2)**

**Complexity Theoretical  
Quantum Cryptography**

## (3.2) Complexity Theoretical Cryptography



.....

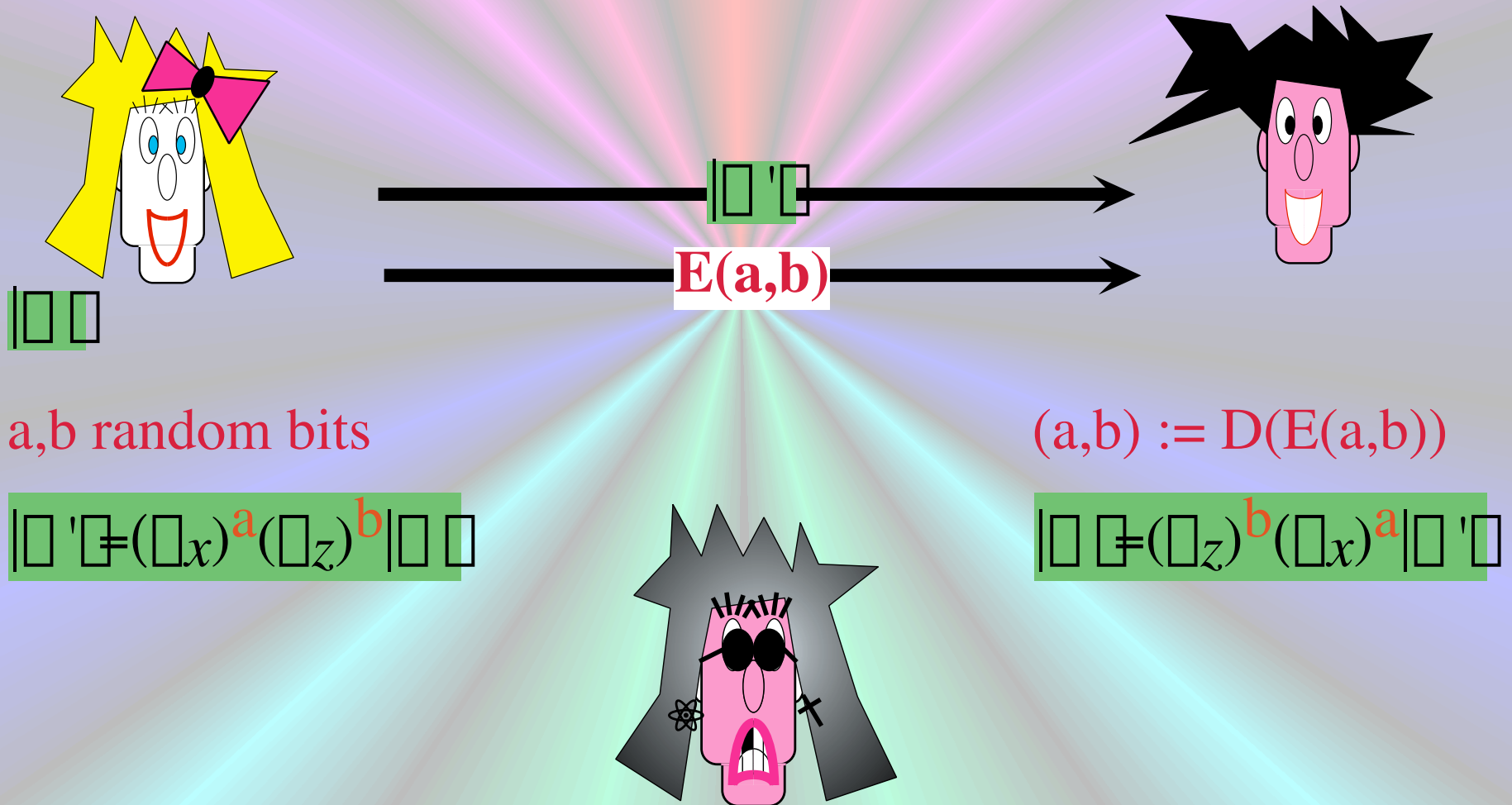
**(3.2.1) Public key cryptosystem** : public-key  $\mathcal{Q}$ -cryptosystem

**(3.2.2) Digital signature scheme** : public-key  $\mathcal{Q}$ -Authentication  
 $\mathcal{Q}$ -digital signature scheme

.....

# (3.2.1) Public-Key Q-Cryptosystem

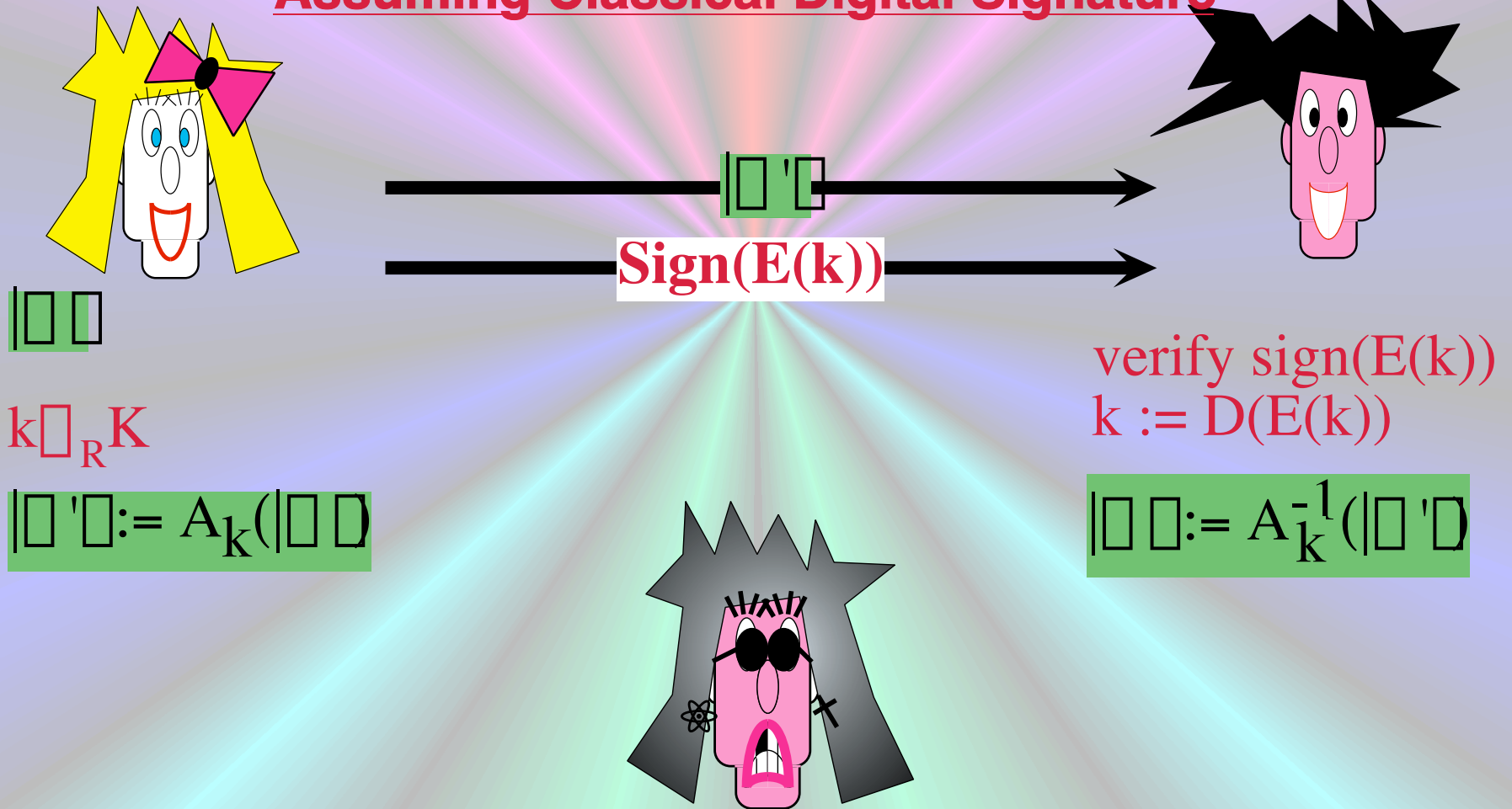
## Assuming Classical Public Key Cryptography



## (3.2.2A) Public-Key Q-Authentication

Assuming Classical Public Key Cryptography

Assuming Classical Digital Signature

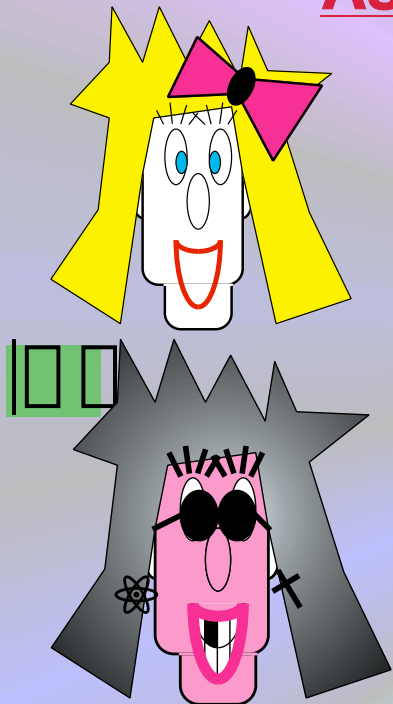




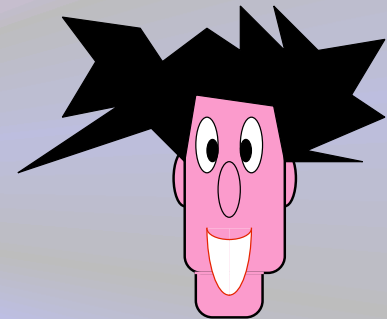
## (3.2.2S ) Q-Digital Signature Scheme

Assuming Classical Public Key Cryptography

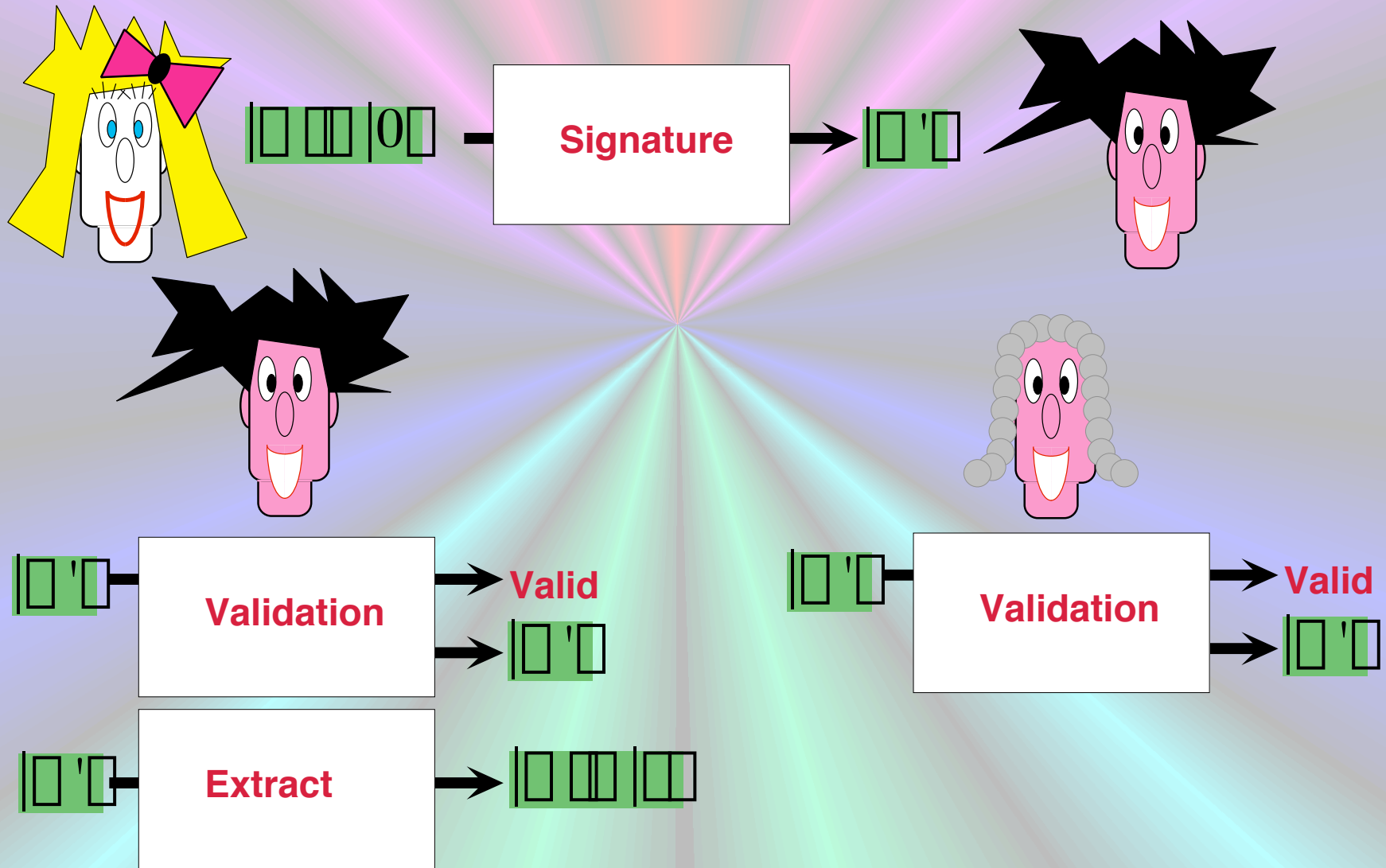
Assuming Classical Digital Signature



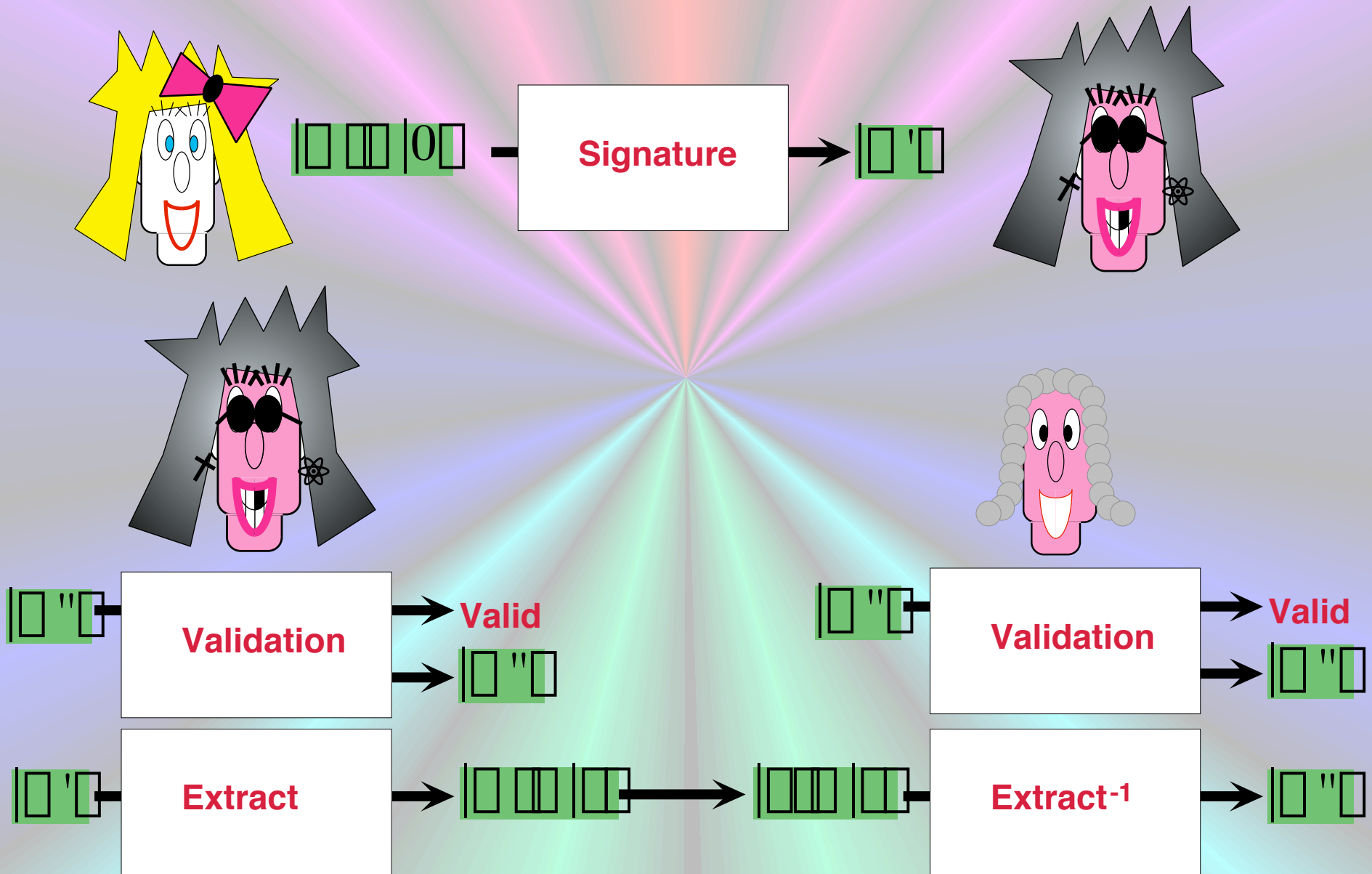
**IMPOSSIBLE**



# (3.2.2S) Q-Digital Signature Scheme



# (3.2.2S) Q-Digital Signature Scheme



## Further Applications of one-time Q-Authentication

- **Uncloneable Encryption**  
(Gottesman)
- **Length  $n$  QECC correcting  $(n-1)/2$  arbitrary errors**  
(with exponentially small probability)  
(Crépeau, Gottesman, Smith)
- **Achieving classical bounds for VQSS and MPQC**  
(Crépeau, Gottesman, Smith)

# Quantum Authentication

**Claude Crépeau**

School of Computer Science  
McGill University

