

On the History of the
Frobenius- and
Tchebotarev-Density

1 Dirichlet Density. Dirichlet (1837)

Definition 1 M a set of prime numbers.

Density $\delta(M)$ of M :

$$\delta(M) := \lim_{s \rightarrow 1^+} \sum_{p \in M} \frac{1}{p^s} / \log \frac{1}{s-1}, \quad s > 1;$$

$$\sum_{p \in M} \frac{1}{p^{1+w}} = \delta(M) \log\left(\frac{1}{w}\right) + P(w), \quad w > 0,$$

$P(w)$ convergent.

Theorem 2 (Dirichlet 1837)

If $(a, m) = 1$ and

$$M(a) = \{p = mx + a : x \in \mathbb{Z}, p \text{ prime}\},$$

Then

$$\delta(M(a)) = \frac{1}{\varphi(m)} = \frac{1}{\text{number of classes}}$$

is independent of the class $[a]$ modulo m .

φ : Euler function.

Kronecker (2.2.1880)
(programmatic character)

Dedekind (1872)
(Remark on decomposition)

Frobenius (Nov. 1880)

Stickelberger

Dedekind

Frobenius $\xrightarrow{3.6.1882}$

Dedekind

Frobenius $\xleftarrow{8.6.1882}$

Dedekind (abstract
on the decomposition
law in normal extensions
and its subfields.)

Existence of Frobenius aut.)

Published 1896

(Hurwitz: letter
to Frobenius on
the density theorem)

Published 1894:

Zur Theorie der Ideale
(Hilbert 1894: Theory
of Galoisian Number
Fields, Ramification Theory)

Remarks 3:

(1) **Dirichlet:** Theorem 2 follows from $L(1, \chi) \neq 0$ for $\chi \neq \chi_0$.

Without this property one has only

$$\delta(M(a)) \leq \frac{1}{\varphi(m)} \text{ in general.}$$

(2) Theorem 2 $\Rightarrow L(1, \chi) \neq 0$ for $\chi \neq \chi_0$.

(3) **Weber:** Theorem 2 follows from the fact that there is a class field K over \mathbb{Q} to the congruence group $(\mathbb{Z}/m\mathbb{Z})^\times$, namely

$$K = \mathbb{Q}(\zeta_m), \quad \zeta_m = e^{\frac{2\pi i}{m}}.$$

(4) **Kronecker:** Theorem 2 follows from the fact that

$$\phi_m(x) := \text{Irred}(\zeta_m) \text{ is of degree } \varphi(m).$$

(5) Eisenstein (1847) densities \rightarrow Minkowski \rightarrow Siegel (1935-37) \rightarrow Tamagawa (numbers).

(6) Theorem 2 was motivated by the Quadratic Reciprocity Law (Legendre, Gauss).

2 Kronecker. Irreducibility

Theorem 4: (Gauss 1801)

For p a prime number,

$$\phi_p(x) = x^{p-1} + x^{p-2} + \dots + x + 1$$

is irreducible (over \mathbb{Q}).

Proofs:

Gauss (1801), Kronecker (1845),
Schönemann (1846), Eisenstein (1847),
Dedekind (1857, for composite p).

Remark 5: Proof by **Kronecker** (1845)
by means of polynomials in the polynomial ring:
 $\mathbb{Q}(\zeta_p) = \mathbb{Q}[x]/\phi_p(x)$.

Suggested by Kummer (1845).

Theorem 6: (Kronecker 1855, 62, 70, 77)

$K = \mathbb{Q}(\sqrt{-d})$ of discriminant $-d < 0$,

\mathfrak{o}_f order in K of conductor f ,

$h = h_f$ class number of \mathfrak{o}_f ,

$\mathcal{C}_1, \dots, \mathcal{C}_h$ classes of \mathfrak{o}_f ,

$j(\mathcal{C}_i)$ singular modulus of the class \mathcal{C}_i .

Then:

(1) $j(\mathcal{C}_1), \dots, j(\mathcal{C}_h)$ are algebraic integers
(**class invariants**).

(2) $j(\mathcal{C}_1), \dots, j(\mathcal{C}_h)$ are the roots of a polynomial $H(x) \in K[x]$ of degree h (over K)
(**class equation**).

(3) $H(x)$ is **irreducible** over K , hence the $j(\mathcal{C}_i)$ are all conjugate (over K).

(4) $L = K(j(\mathcal{C}_i))$
is independent of the class \mathcal{C}_i .

(5) L/K is **abelian** of degree $[L : K] = h$
(hence solvable over \mathbb{Q}).

(6) $\text{Gal}(L/K) \cong \text{Cl}(\mathfrak{o}_f)$ class group of \mathfrak{o}_f .

(7) If \mathfrak{o}_f is the principal order in K , i. e. $\mathfrak{o}_f = \mathfrak{o}(K)$, then L/K is unramified (conjecture of Kronecker \rightarrow class field theory of Weber).

(8) L is an associate species to K ,
i. e. every ideal in K becomes principal in L .

3 Kronecker Density

Kronecker's Program:

$$\begin{array}{rcl} \phi_p(x) \in \mathbb{Q}[x] & \text{irreducible} & \searrow \\ & & F(x) \in K[x] \text{ irreducible?} \\ H(x) \in \mathbb{Q}(\sqrt{-d})[x] & \text{irreducible} & \nearrow \end{array}$$

↓

Kronecker's Program: Algebraic Theory of Polynomial Rings (1882) → Hilbert → Grothendieck.

(1) What are the characteristic properties of irreducible polynomials?

(2) Starting point: Dirichlet's and Kummer's Class Number Formula.

Theorem 7: (Main Theorem)

(Kronecker 1880, dedicated to Kummer)

Let $F(x) \in \mathbb{Z}[x]$,

r : number of irreducible factors of $F(x)$,

ν_p : number of solutions of $F(x) \equiv 0$ modulo p ,

for a prime p .

Then

$$\sum_p \frac{\nu_p}{p^{1+w}} = r \log\left(\frac{1}{w}\right) + P(w), \quad w > 0;$$

$P(w)$ convergent for small w .

$$\lim_{s \rightarrow 1+} \sum_p \frac{\nu_p}{p^s} / \log\left(\frac{1}{s-1}\right) = r, \quad s > 1.$$

Definition 8: (Kronecker 1880)

Let $F(x) \in \mathbb{Z}[x]$ and $k \in \mathbb{N}$.

(1) M_k = set of primes p for which $F(x) \equiv 0$ modulo p has k solutions modulo p
= $\{p : \nu_p = k, p \text{ prime}\}$

(2) $D_k := \delta(M_k) = \lim_{s \rightarrow 1+} \sum_{p \in M_k} \frac{1}{p^s} / \log\left(\frac{1}{s-1}\right)$

Theorem 9:

Let $F(x) \in \mathbb{Z}[x]$, $n = \text{degree of } F(x)$,
 $r = \text{number of irreducible factors of } F(x)$,
 $D_k = \delta(M_k)$, $M_k = \{p : \nu_p = k, p \text{ prime}\}$,
 $k \in \mathbb{N}$.

Then

$$(1) \quad \sum_{k=1}^n kD_k = r, \quad \text{in particular}$$

$$(2) \quad \sum_{k=1}^n kD_k = 1 \Leftrightarrow F(x) \text{ is irreducible.}$$

Remarks 10:

(1) *Kummer, Dedekind:* $F(x) \in \mathbb{Z}[x]$,
 $F(x)$ irreducible, $F(\alpha) = 0$, $K = \mathbb{Q}(\alpha)$,
 p prime, $p \nmid [\mathfrak{o}(K) : \mathbb{Z}[\alpha]]$.

Decomposition of p in $K = \mathbb{Q}(\alpha) \quad \longleftrightarrow$
Decomposition of $F(x)$ modulo p .

Hence

$M_k = \{p \text{ prime: } p \text{ splits off } k \text{ prime divisors}$
 $\mathfrak{p}, \mathfrak{p} \mid p, \text{ of first degree in } K\}$

Hence

D_k depends only on the decomposition law of the primes p with respect to $\mathbb{Q}(\alpha)/\mathbb{Q}$.

(2) *Kronecker*: D_k depends only on the Galois group G of $F(x)$: $G = \text{Gal}(F(x))$, in particular on the **affect** $\mathcal{A} = (\mathcal{S}_n : G)$ or the **order of affect** $a = |\mathcal{A}| = \frac{|\mathcal{S}_n|}{|G|} = \frac{n!}{g}$ of G .

(3) *Kronecker*: The densities D_k exist, if $G = \text{Gal}(F(x)) = \mathcal{S}_n$

Hilbert (1897): If $n - 1$ among the n densities D_k exist, then all n densities exist.

Frobenius (1896): The densities D_k exist.

(4) *Kronecker* gives a series of remarkable properties for D_k (without proofs) \rightarrow
Frobenius (1887) on double congruences \rightarrow
on group theory.

Theorem 11:

(1) $F(x) \in \mathbb{Z}[x]$ irreducible, of degree n
and galois \Rightarrow

$$D_i = 0 \quad \text{for } i = 1, \dots, n-1, \quad D_n = \frac{1}{n}.$$

(2) $F(x) \in \mathbb{Z}[x]$ irreducible, of degree $n \Rightarrow$

$$D_n = \frac{1}{a} = \frac{g}{n!},$$

where $g = |G|$, $G = \text{Gal}(F(x))$.

(3) $F(x) \in \mathbb{Z}[x]$ irreducible \Rightarrow there are infinitely many primes p such that

$$F(x) \equiv (x - a_1) \cdots (x - a_n) \text{ modulo } p, \quad a_i \in \mathbb{Z}.$$

(4) $F(x) \in \mathbb{Z}[x]$ irreducible,

$F(\alpha) = 0$, $K = \mathbb{Q}(\alpha) \Rightarrow$ there are infinitely many primes p such that p is completely split in $K = \mathbb{Q}(\alpha)$.

(5) $F(x), F'(x) \in \mathbb{Z}[x]$,

$\deg F(x) = \deg F'(x) = q$ prime.

$$\nu_p = \nu'_p \quad \text{for all } p \quad \Rightarrow \quad D_i = D'_i$$

$$\text{for all } i = 1, \dots, q \quad \Rightarrow \quad N = N',$$

where N, N' are the normal fields of F and F' .

Remarks 12:

(1) *Kronecker*: (5) is a Local-Global-Principle (Boundary Problem for all primes).

(2) For $F(x)$ *abelian*, this boundary problem is solved by Class Field Theory (Decomposition Law).

Theorem 13:

Let α be a primitive λ -th root of unity,
 $F(x) = x^{\lambda-1} + x^{\lambda-2} + \dots + x + 1$, λ prime,
 $F(\alpha) = 0$, $G(x) = \text{Irred}(\alpha)$, $r = \deg G(x)$.
 $M_1 = \{p = \lambda x + 1 : x \in \mathbb{Z}, p \text{ prime}\}$
 $= \{p \text{ prime: } F(x) \equiv 0 \text{ modulo } p \text{ admits}$
 $\lambda - 1 \text{ roots}\}$

Then

$$(1) \delta(M_1) = \frac{1}{r}$$

$$(2) r = \lambda - 1,$$

hence $F(x) = G(x)$, and $F(x)$ is irreducible.

Proof: From the Class Number Formula

(Kronecker gives only a sketch \rightarrow Weber)

$$\begin{aligned}\lim_{s \rightarrow 1^+} \log \frac{\prod_{\chi \neq \chi_0} L(s, \chi)}{s-1} &= \lim_{s \rightarrow 1^+} \sum_{p \in M_1} \frac{\lambda-1}{p^s} \\ &= \frac{\lambda-1}{r} \log \frac{1}{s-1}.\end{aligned}$$

Remarks 14:

(1) *Kronecker*: Key point

Regulator $\neq 0 \Rightarrow L(1, \chi) \neq 0$ for $\chi \neq \chi_0$.

(2) Can be generalized to λ composite.

(3) Analogous proof for the Class Equation

$H(x) \in K[x]$, $K = \mathbb{Q}(\sqrt{-d})$.

M_1 is replaced by

$M = \{p \text{ prime: } \left(\frac{-d}{p}\right) = 1, p \text{ is represented by the principal class of binary quadratic forms of discriminant } -d\}$.

Euler (1742, 1772)

$\zeta(s)$

Gauss (1801)

Kummer (1845)

Dirichlet (1837)

Dedekind (1871)

Kronecker (1880)

$L(\chi, s)$

$\zeta_K(s)$

Weber (1897)

Hilbert (1897)

Frobenius (1880, 1896)

$L(K, \chi, s)$

Takagi (1920)

Artin (1923)

$L(M/K, \chi, s)$

Čebotarev (1925)

Artin (1927)

4 Frobenius and Tchebotarev Density

Theorem 15: (Frobenius 1896)

N/\mathbb{Q} normal of degree $h = [N : \mathbb{Q}]$ and discriminant $d(N/\mathbb{Q})$, $H = \text{Gal}(N/\mathbb{Q})$, $\mathfrak{o} = \mathfrak{o}(N)$ integers in N .

For any prime ideal $\mathfrak{p} \subseteq \mathfrak{o}$ with $\mathfrak{p} \nmid d(N/\mathbb{Q})$, there exists a unique *substitution*

$\sigma = F = F_{\mathfrak{p}} \in H$ such that

$F(\omega) \equiv \omega^p \pmod{\mathfrak{p}}$, for all $\omega \in \mathfrak{o}$,

where $\mathfrak{p} \mid p$, i. e. $p\mathbb{Z} = \mathfrak{p} \cap \mathbb{Z}$.

Theorem 16:

Let $\mathfrak{p} \subseteq \mathfrak{o}$, \mathfrak{p} a prime ideal in N , $\mathfrak{p} \nmid d(N/\mathbb{Q})$, $H = \text{Gal}(N/\mathbb{Q})$.

Then

$$(1) F_{\mathfrak{p}\sigma} = \sigma^{-1}F_{\mathfrak{p}}\sigma, \quad \sigma \in H.$$

$$(2) p \mapsto [F_{\mathfrak{p}}] = \{\sigma^{-1}F_{\mathfrak{p}}\sigma : \sigma \in H\} = F(p)$$

is well defined and depends only on p .

Problem:

Given $\tau \in H = \text{Gal}(N/\mathbb{Q})$,

$$C = [\tau] = \{\sigma^{-1}\tau\sigma : \sigma \in H\},$$

the conjugacy class of τ ,

$$M_C = \{p \text{ primes: } F(p) = C\},$$

determine $D_C := \delta(M_C)$.

Theorem 17: (Frobenius 1896)

Let N/\mathbb{Q} be normal, $H = \text{Gal}(N/\mathbb{Q})$,
 C_1, C_2, \dots, C_l the conjugacy classes in H ,
 $h_\lambda = |C_\lambda|$, $\lambda = 1, 2, \dots, l$.

\mathfrak{p} a prime ideal in N , $\mathfrak{p} \nmid d(N/\mathbb{Q})$, $p\mathbb{Z} = \mathfrak{p} \cap \mathbb{Z}$,

$F = F_{\mathfrak{p}}$ the Frobenius substitution of \mathfrak{p} , $F \in C_\lambda$,

$$v_\lambda = |\{\sigma \in H : \sigma^{-1}F\sigma = F\}|.$$

$$h = |H| = h_\lambda v_\lambda, \quad \lambda = 1, 2, \dots, l.$$

$$M_\lambda = \{p \text{ primes: } F(p) = C_\lambda\}.$$

If $H = \mathcal{S}_n$, then

$$\sum_{p \in M_\lambda} \frac{1}{p^{1+w}} = \frac{h_\lambda}{h} \log\left(\frac{1}{w}\right) + P_\lambda(w), \quad \text{i. e.}$$

$$D_\lambda = \delta(M_\lambda) = \frac{h_\lambda}{h} = \frac{1}{v_\lambda}.$$

Remark:

For general $H = \text{Gal}(N/\mathbb{Q})$, Frobenius could only show a weaker result:

Theorem 18:

N/\mathbb{Q} normal, $H = \text{Gal}(N/\mathbb{Q})$, $h = |H|$.

$F \in F(p)$, $f = |\langle F \rangle|$ the order of F ,

$$\mathcal{A}(F) = \bigcup_{(r,f)=1} F(p)^r = \bigcup_{(r,f)=1} [F^r]$$

the **division** of F ,

$\mathcal{A}_1, \dots, \mathcal{A}_l$ all divisions in H ,

$$a_\lambda = |\{\sigma \in H : \sigma \in \mathcal{A}_\lambda\}| = |\mathcal{A}_\lambda|$$

the number of substitutions lying in \mathcal{A}_λ ,

$$A_\lambda = \{p \text{ primes: } F(p) \subseteq \mathcal{A}_\lambda\}.$$

Then

$$\delta(A_\lambda) = \frac{a_\lambda}{h}.$$

Theorem 19: (Tchebotarev, 1925)

Theorem 17 is true for any Galois group $H = \text{Gal}(N/\mathbb{Q})$ over \mathbb{Q} .

Remark 20:

Theorem 19 was already conjectured by Frobenius (1896).