

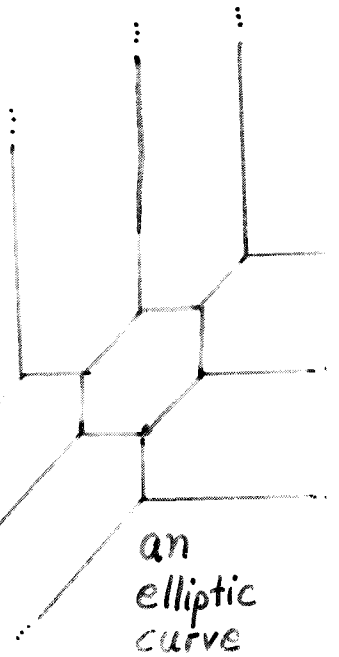
Tropical Algebraic Geometry

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UC Berkeley
HP-MSRI '03-'04

Outline of the talk

- ① Elementary construction of tropical hypersurfaces
- ② The general definition of tropical varieties
- ③ Linear spaces and Grassmannians



References

math.AG/0306366: "First steps in tropical geometry"
(w. J. Richter-Gebert, T. Theobald)

math.AG/0304218: "The tropical Grassmannian"
(w. David Speyer)

The tropical semiring is $(\mathbb{R}, \oplus, \odot)$

where $X \oplus Y = \min(X, Y)$

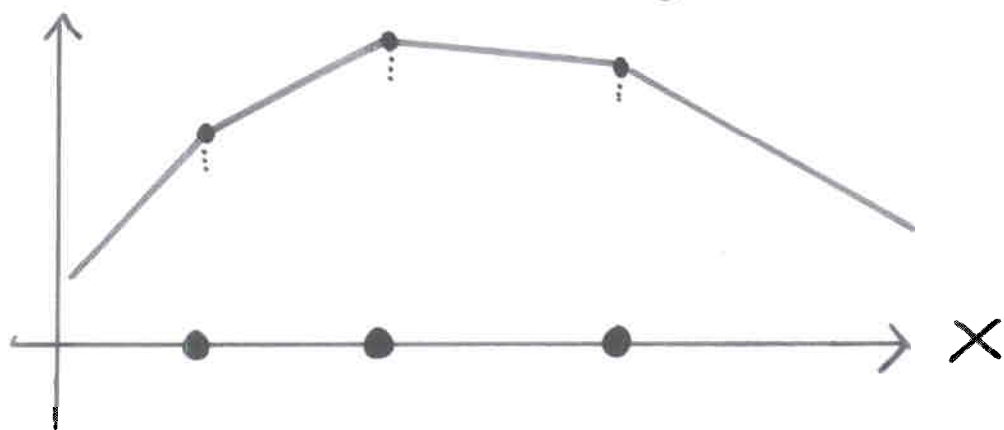
and $X \odot Y = X + Y$

A tropical polynomial

$$F = \bigoplus_{i=1}^{\tau} C_i \odot X_1^{\odot a_{i1}} \odot \dots \odot X_n^{\odot a_{in}}$$

is a piecewise linear concave function

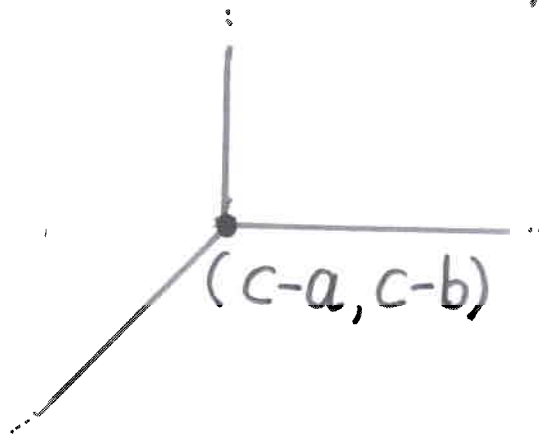
$$F(x_1, \dots, x_n) = \min \left\{ C_i + \sum_{j=1}^n a_{ij} x_j : i=1, \dots, \tau \right\}$$



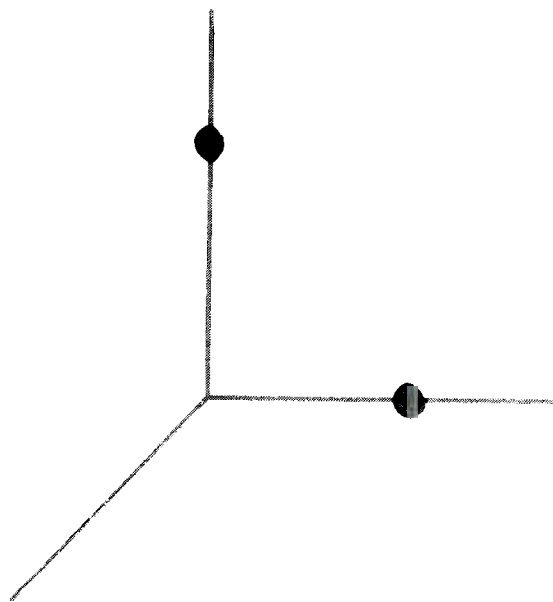
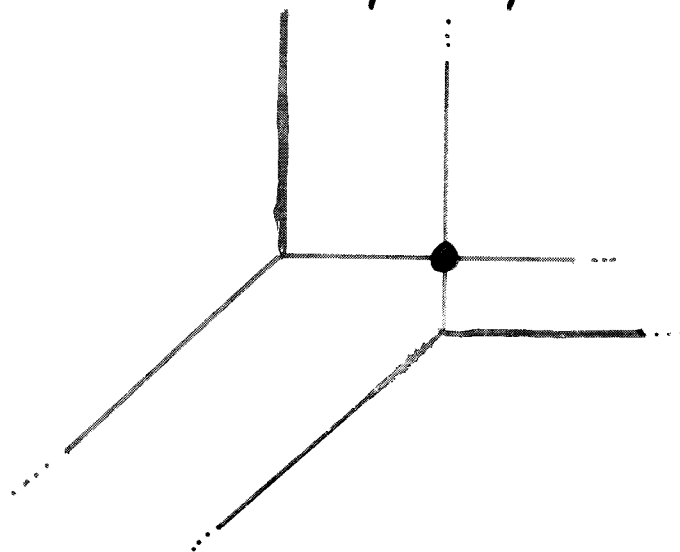
The tropical hypersurface $\mathcal{T}(F)$ is the set of all points (x_1, \dots, x_n) at which $F: \mathbb{R}^n \rightarrow \mathbb{R}$ is not linear.

Lines in the plane

$$F(x,y) = a \cdot x + b \cdot y + c$$



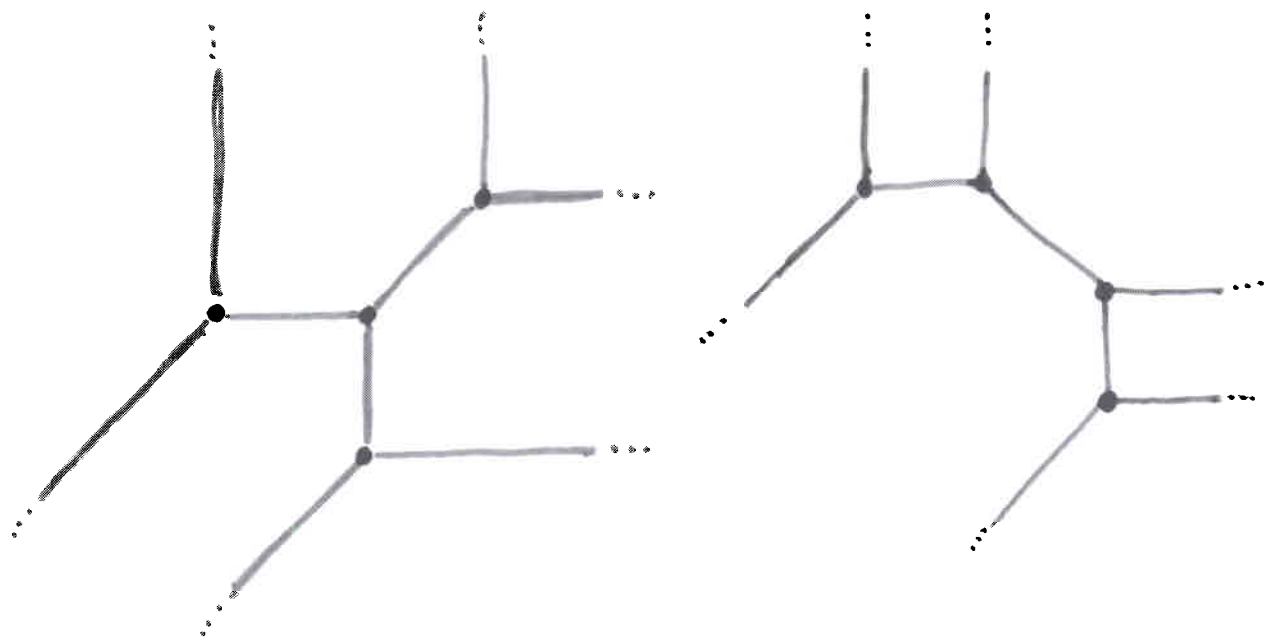
Any two lines meet in a unique point



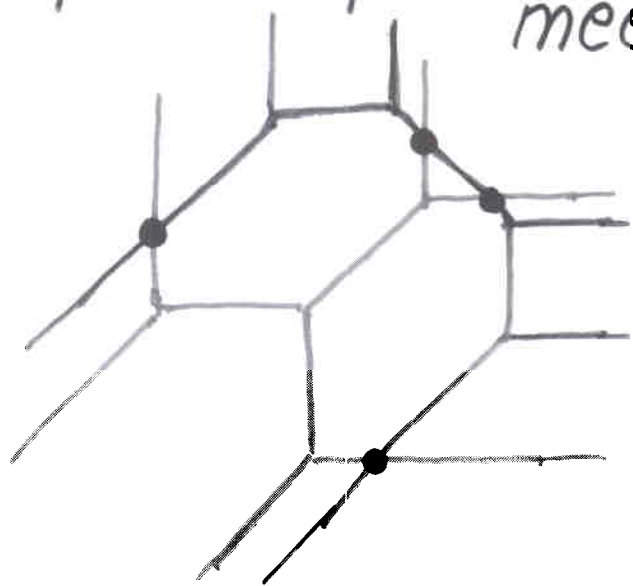
Any two points lie on a unique line

Quadratic curves in the plane

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Any two quadratic curves
meet in four points



- Bézout's Theorem holds tropically

- Bernstein's Theorem holds tropically

- Gromov-Witten invariants can be computed tropically (Mikhalkin)

"There is no monodromy in tropical geometry"

COROLLARY 4.4. Any two curves of degrees c and d in the tropical projective plane \mathbb{TP}^2 intersect stably in a well-defined set of cd points, counting multiplicities.

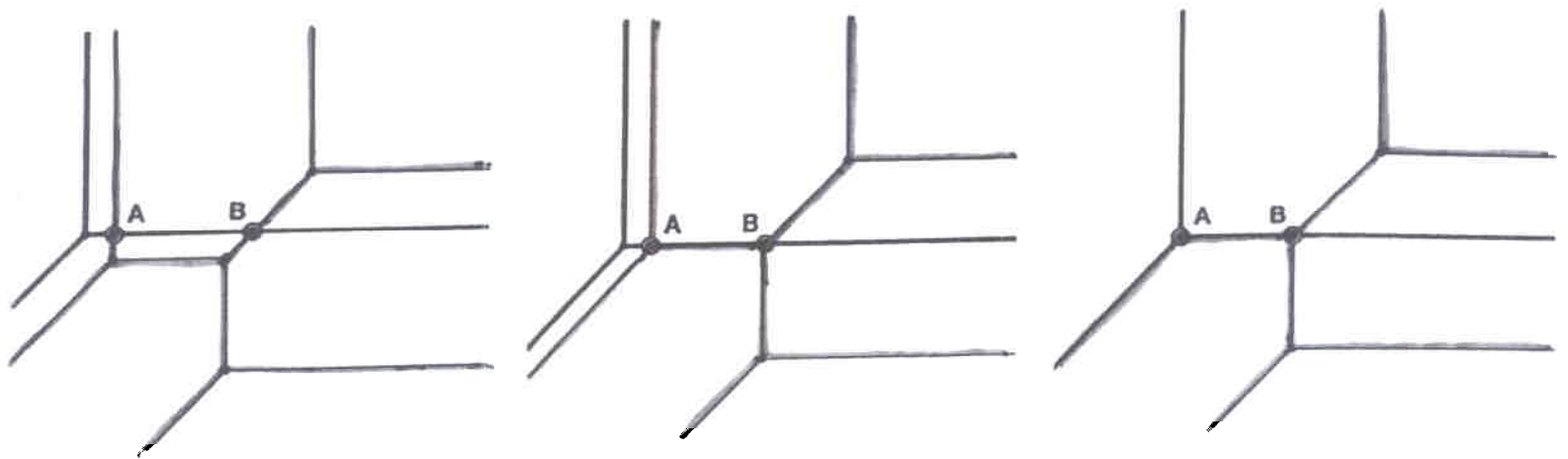


FIGURE 13. Stable intersections of a line and a conic.

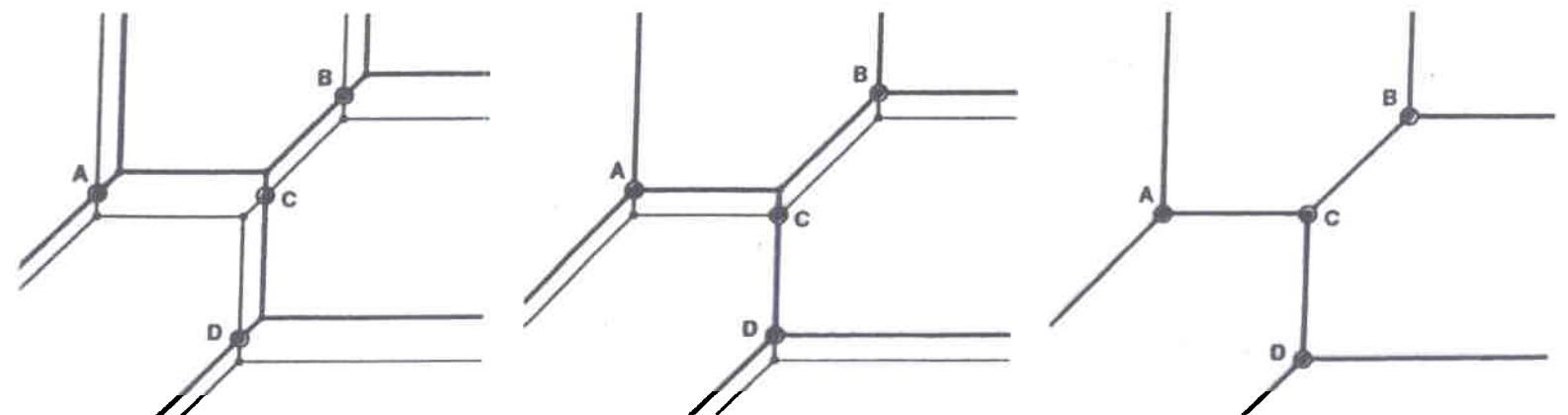


FIGURE 14. The stable intersection of a conic with itself.

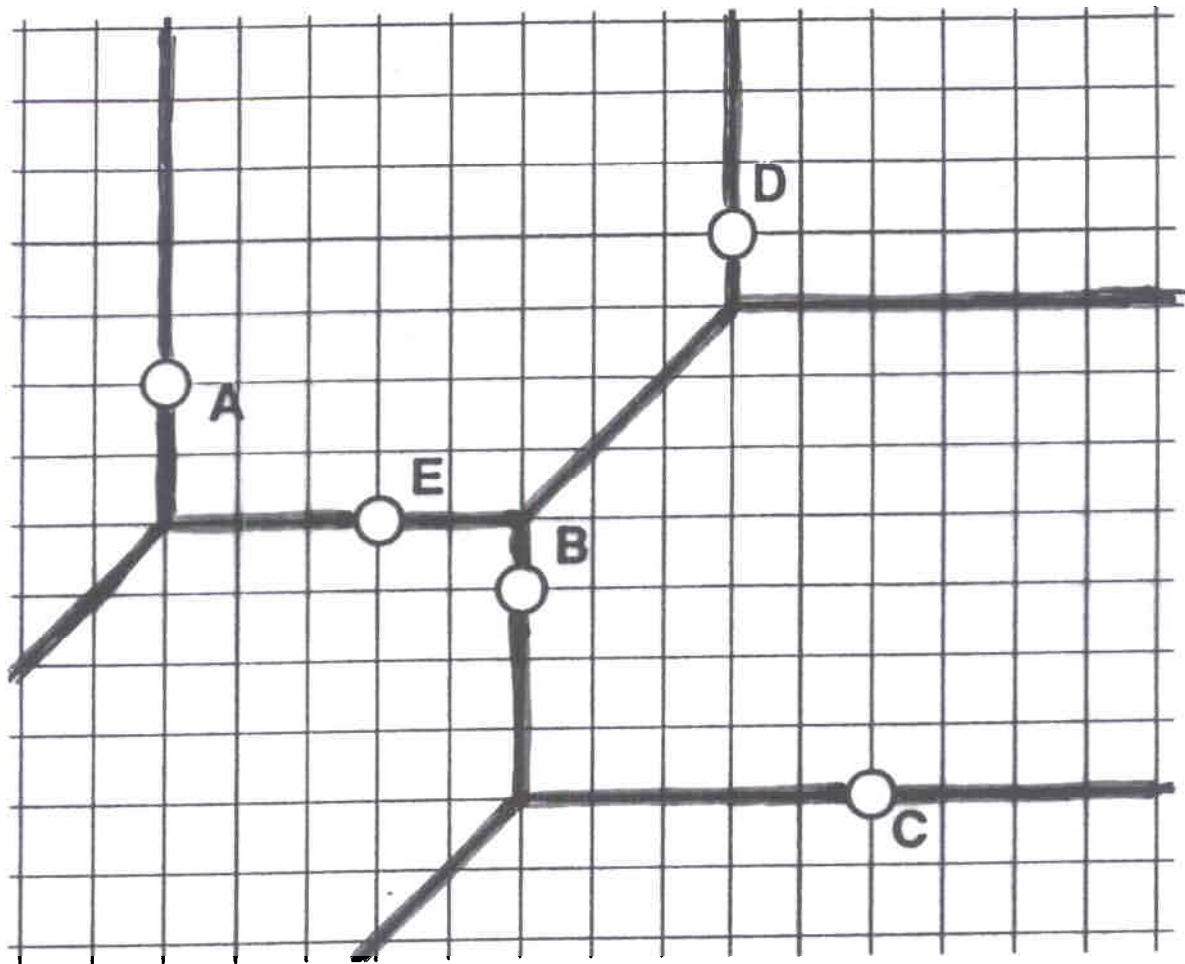


FIGURE 15. Conic through five points.

The equation of this curve is the

tropical determinant of the 6×6 -matrix

$$\begin{pmatrix} 0 & a_1 & a_2 & 2a_1 & a_1+a_2 & 2a_2 \\ 0 & b_1 & b_2 & 2b_1 & b_1+b_2 & 2b_2 \\ 0 & c_1 & c_2 & 2c_1 & c_1+c_2 & 2c_2 \\ 0 & d_1 & d_2 & 2d_1 & d_1+d_2 & 2d_2 \\ 0 & e_1 & e_2 & 2e_1 & e_1+e_2 & 2e_2 \\ 0 & x & y & 2x & x+y & 2y \end{pmatrix}$$

The tropical determinant

e.g. for a 3×3 -matrix

$$\det \begin{pmatrix} a & b & c \\ d & e & f \\ g & h & i \end{pmatrix} =$$

$$\min \{ a+e+i, a+f+h, b+d+i, \\ b+f+g, c+d+h, c+e+g \}$$

$\mathcal{J}(\det) \subset \mathbb{R}^{3 \times 3}$ consists of all matrices $\begin{pmatrix} a & b & c \\ d & e & f \\ g & h & i \end{pmatrix}$ for which this minimum is attained at least twice.

This happens if and only if the points (a, b, c) , (d, e, f) and (g, h, i) lie on a common line in $\mathbb{T}P^2 = \mathbb{R}^3 / \mathbb{R}(1, 1, 1)$

Remark The tropical determinant of an $n \times n$ -matrix can be computed fast.

The incidence version of Pappus' Theorem is false in tropical geometry

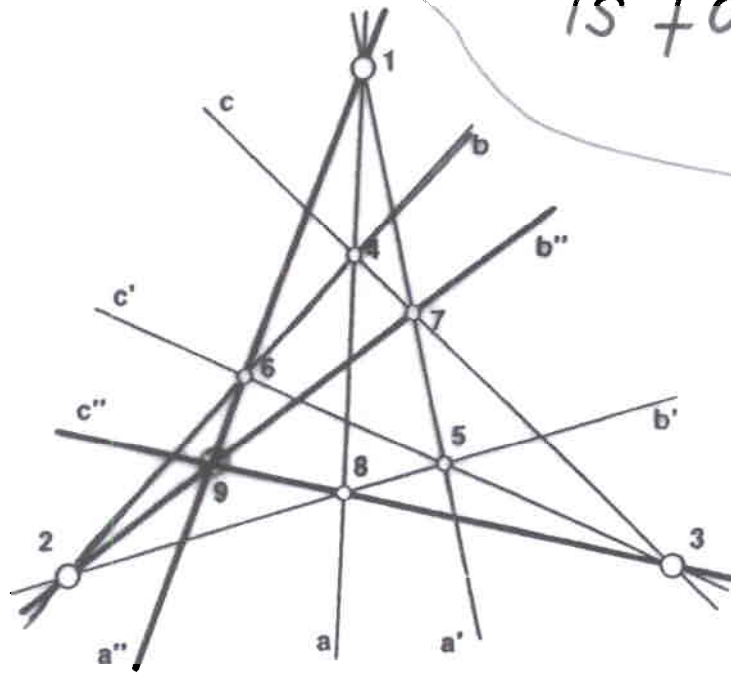


FIGURE 21. Pappus' theorem in classical projective geometry. The lines a'' , b'' and c'' are drawn in bold.

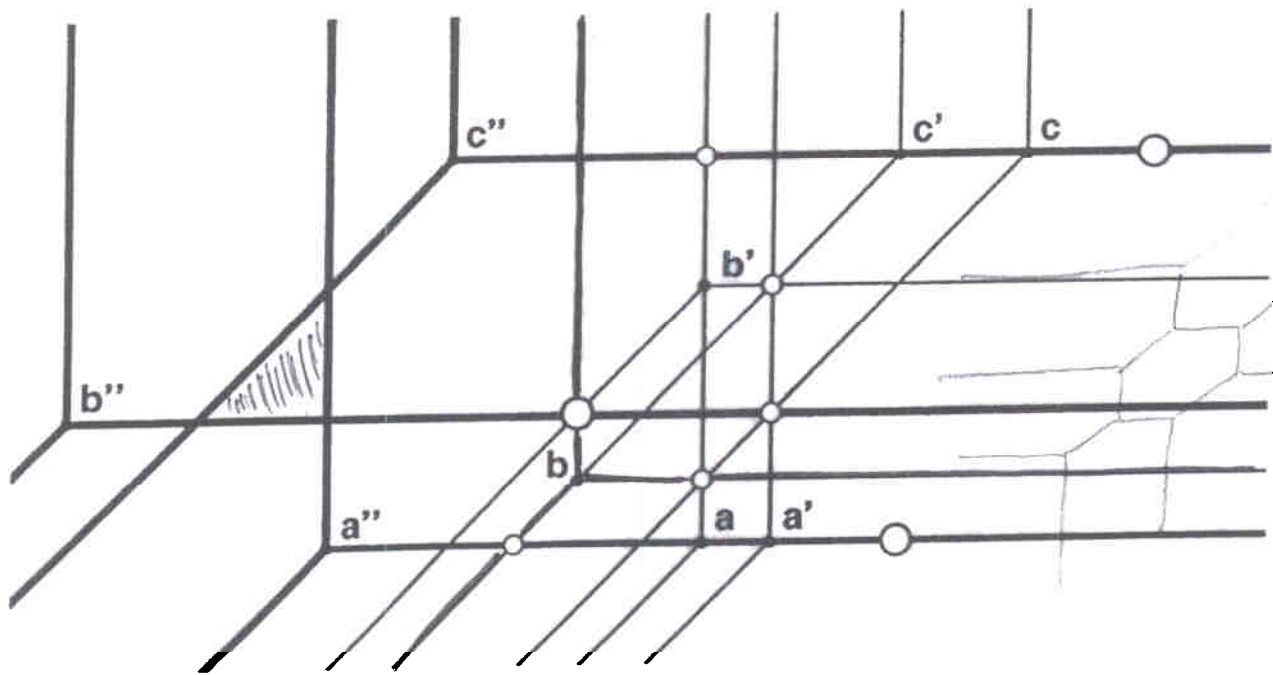


FIGURE 22. A tropical non-Pappus configuration: the triples $[a, a', a'']$, $[b, b', b'']$, $[c, c', c'']$, $[a, b, c]$, $[a', b', c']$, $[a'', b, c'']$, $[a', b'', c]$, $[a, b', c'']$ are concurrent, but $[a'', b'', c'']$ is not.

... but the constructive version of Pappus' Theorem is conjectured to be true. '9.

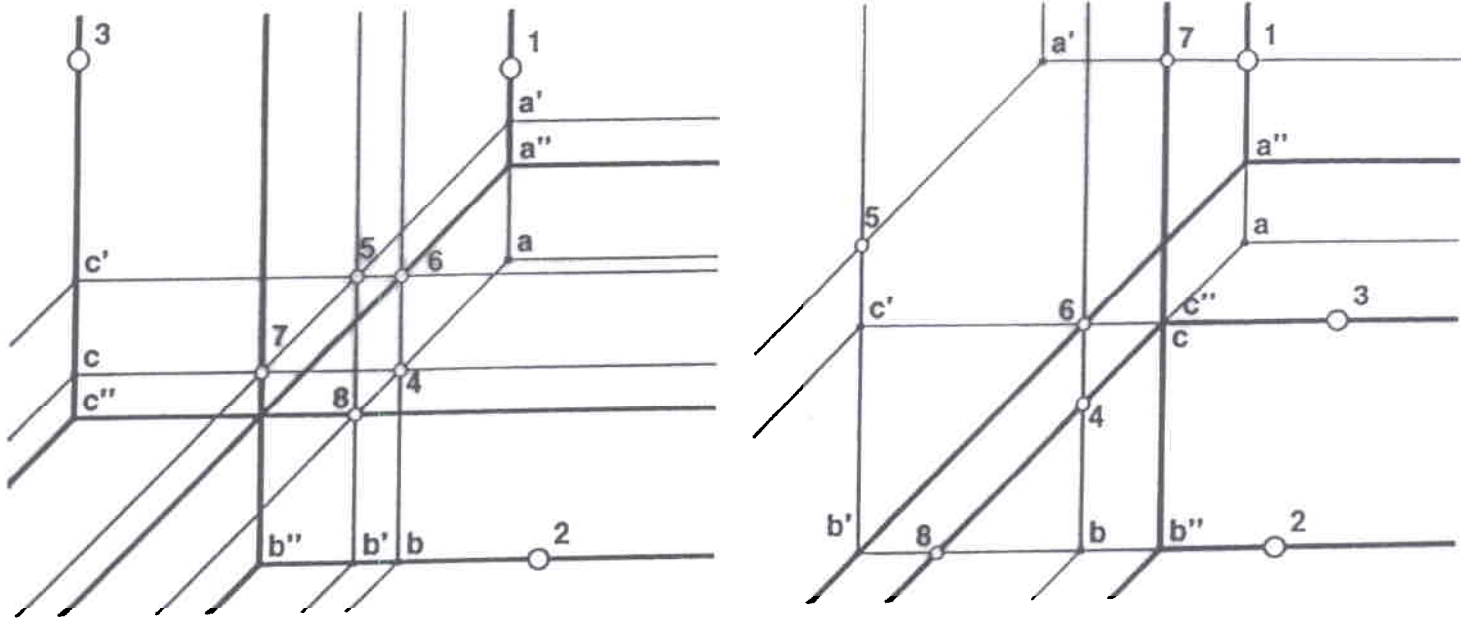


FIGURE 23. The constructive tropical Pappus' Theorem.

PAPPUS' THEOREM, CONSTRUCTIVE VERSION: Let 1, 2, 3, 4, 5 be five freely chosen points in the projective plane given by homogeneous coordinates. Define the following additional three points and nine lines by a sequence of (stable) join and (stable) meet operations (carried out by cross-products):

$$\begin{aligned}
 a &:= 1 \otimes 4, & b &:= 2 \otimes 4, & c &:= 3 \otimes 4, & a' &:= 1 \otimes 5, & b' &:= 2 \otimes 5, & c' &:= 3 \otimes 5, \\
 6 &:= b \otimes c', & 7 &:= a' \otimes c, & 8 &:= a \otimes b', & a'' &:= 1 \otimes 6, & b'' &:= 2 \otimes 7, & c'' &:= 3 \otimes 8.
 \end{aligned}$$

Then the three tropical lines a'' , b'' and c'' are concurrent.

Thanks to
CINDERELLA

Ideals, Varieties and Algorithms

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$K = \mathbb{C}\{\{t\}\}$, the field of Puiseux series, has the valuation

$$\text{order} : K^* \rightarrow \mathbb{Q}$$

$$c \cdot t^\alpha + \dots \mapsto \alpha$$

Every polynomial in $K[x_1, \dots, x_n]$,

$$f = c_1(t) \cdot x^{a_1} + \dots + c_r(t) \cdot x^{a_r},$$

has an associated tropical polynomial

$$F = \text{trop}(f) = \bigoplus_{i=1}^r \text{order}(c_i(t)) \odot X^{\odot a_i}$$

For any $w \in \mathbb{R}^n$, the weight of the polynomial f equals $F(w)$. The initial form $\text{in}_w(f)$ is the subsum of all terms $t^v \cdot x^{a_i}$ of weight $F(w)$.

Example in $K[x, y]$

$$f(x, y) = (t^{1/2} + 3t^7) \cdot x^2 y + (t^{-5/2} + 11) \cdot xy^5 + 8y^4$$

$$F(x, y) = \frac{1}{2} \circ x^{\circ 2} \circ y \oplus \left(-\frac{5}{2}\right) \circ x \circ y^{\circ 5} \oplus y^{\circ 4}$$

If $w = (1, 1)$ then

$$\text{in}_w(f) = t^{1/2} x^2 y + t^{-5/2} xy^5$$

For an ideal $I \subset K[x_1, \dots, x_n]$
we have the initial ideal

$$\text{in}_w(I) = \langle \text{in}_w(f) : f \in I \rangle$$

Two vectors $w, \tilde{w} \in \mathbb{R}^n$ lie in the same cell of the Gröbner complex of I if

$$\text{in}_w(I) = \text{in}_{\tilde{w}}(I)$$

Theorem For any ideal $I \subset K[x_1, \dots, x_n]$
the following subsets of \mathbb{R}^n coincide:

- (a) The closure of the image of $\mathcal{V}(I) \subset (K^*)^n$ under the map $\text{order} : (K^*)^n \rightarrow \mathbb{R}^n$.
- (b) The set of $w \in \mathbb{R}^n$ such that $\text{in}_w(I)$ contains no monomial.
- (c) The intersection of all the tropical hypersurfaces $\mathcal{J}(\text{trop}(f))$ where f runs over I .
a universal Gröbner basis of I .

The set (a) = (b) = (c) is a polyhedral complex $\mathcal{J}(I)$, called the tropical variety of I .

Corollary If $I \subset \mathbb{C}[x_1, \dots, x_n]$

then $\mathcal{J}(I)$ is a fan,
namely, it is a subfan
of the Gröbner fan of I .



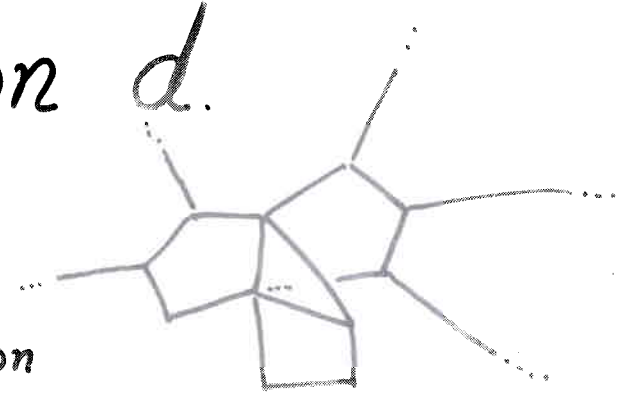
In this case, $\mathcal{J}(I)$
coincides with the
logarithmic limit set of G. Bergman (1971)

Theorem (R. Bieri & J. Groves 1984
M. Einsiedler, M. Kopronov, D. Lind & T. Ward 2003)

Suppose I is a prime ideal of
dimension d .

Then $\mathcal{J}(I)$ is connected and
pure of dimension d .

↖ all maximal cells
have the same dimension



Example: A line in 3-space

$$I = \langle x + y + z + 1, \\ x + ty + t^2z + t^3 \rangle$$

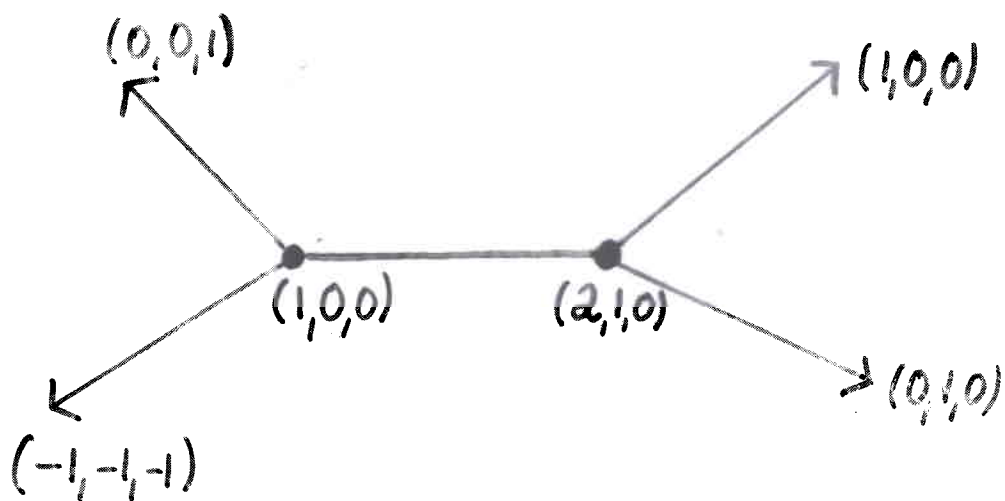
Two points on $\mathcal{J}(I) \subset \mathbb{R}^3$ are
 $(1, 0, 0)$ and $(2, 1, 0)$.

Reason: These are the orders of
the following two points on $\mathcal{V}(I)$,

$$(x, y, z) = (2t + t^2, -2 - 2t - t^2, 1)$$

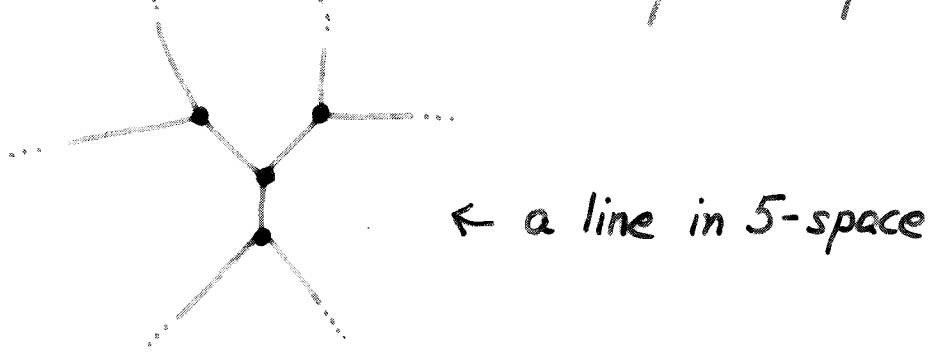
$$(x, y, z) = \left(\frac{t^2}{2 + 2t}, \frac{t}{2}, -1 - \frac{t}{2} - \frac{t^2}{2 + 2t} \right)$$

The tropical
line $\mathcal{J}(I)$
looks like
this \rightarrow



Tropical planes

If I is generated by linear polynomials $c_0(t) + c_1(t)x_1 + \dots + c_n(t)x_n$ then we call $\mathcal{T}(I)$ a tropical plane.



Theorems

- A tropical d -plane is a contractible polyhedral complex of pure dimension d .
- Most tropical planes are not complete intersections of tropical hyperplanes.
- The tropical d -planes in \mathbb{R}^n are parametrized by the tropical Grassmannian.

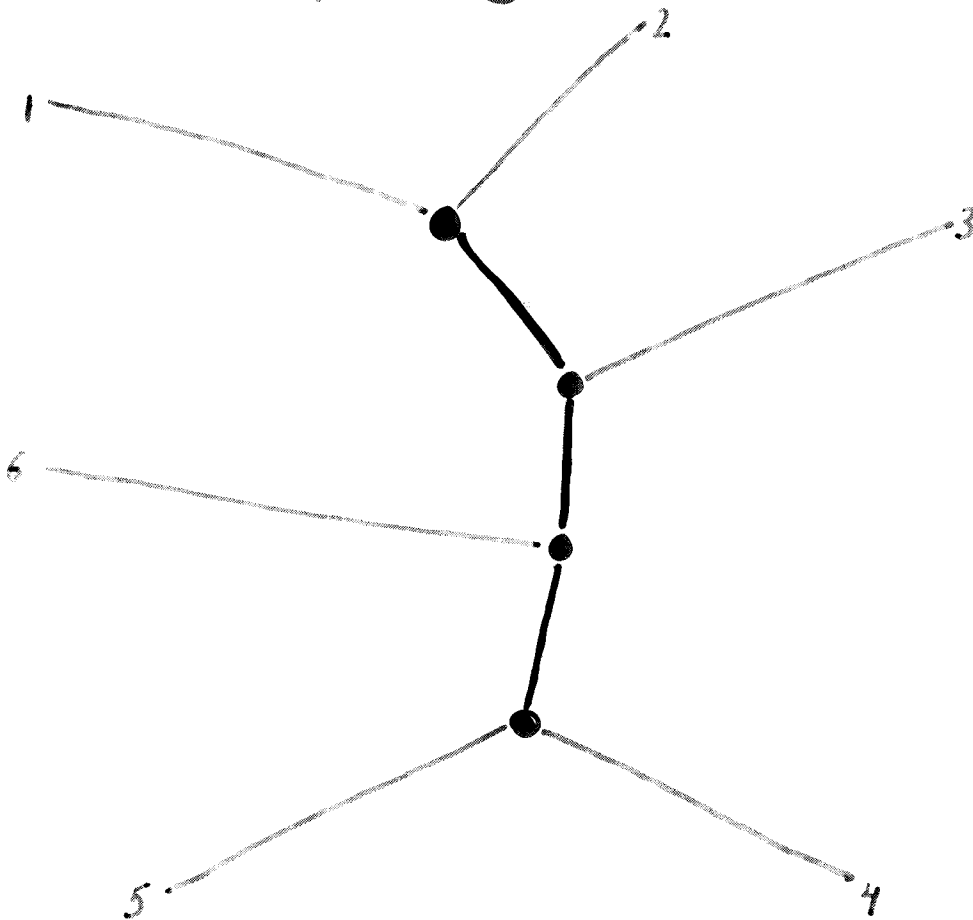
Tropical Lines

$$\text{in } \mathbb{TP}^{n-1} = \mathbb{R}^n / \mathbb{R}(1,1,\dots,1)$$

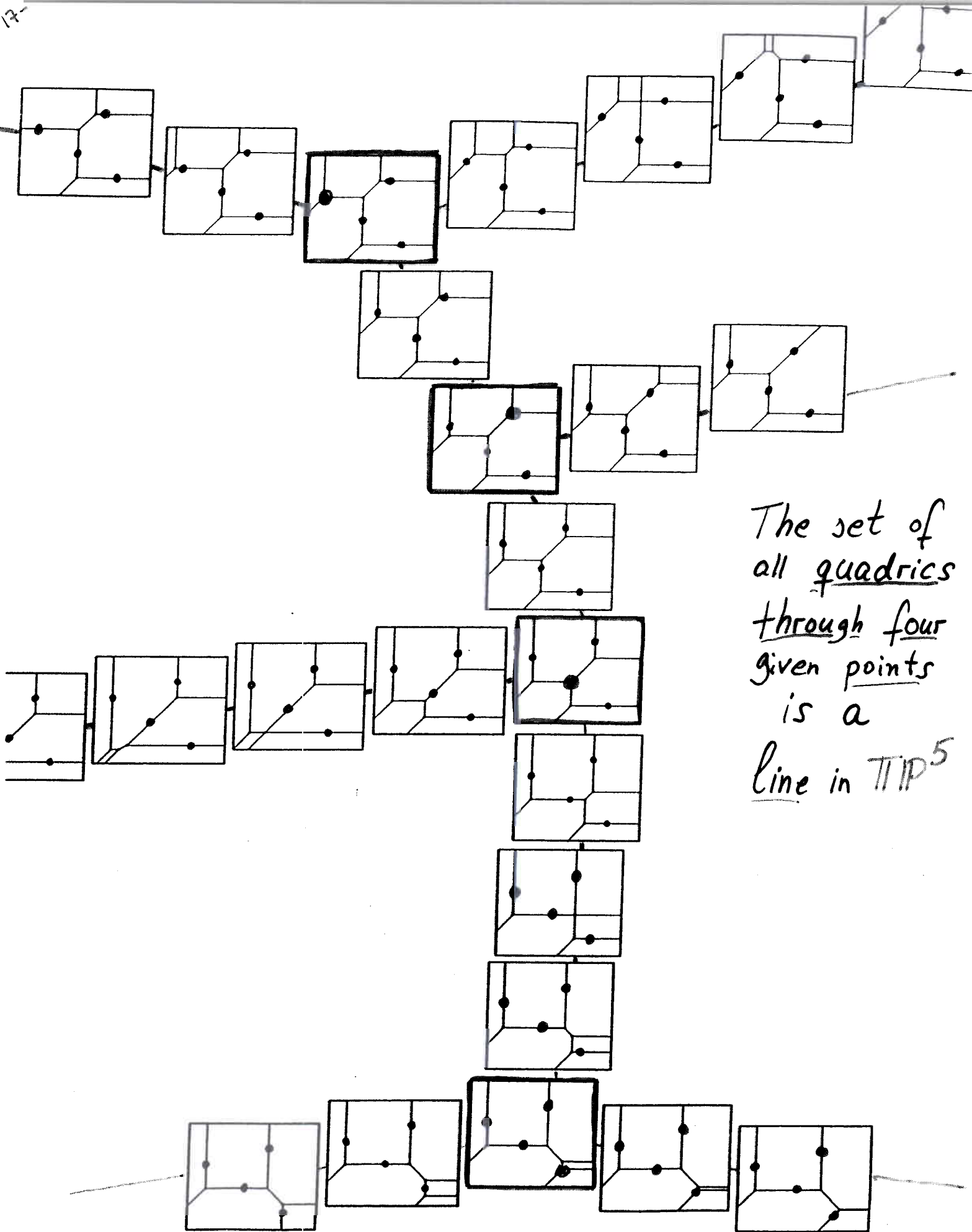
are trees with $n-3$ bounded edges and n half rays.

They are parametrized by the tropical Grassmannian $\text{Gr}(2,n)$.

Theorem $\text{Gr}(2,n)$ equals the space of phylogenetic trees (Billera Holmes Vogtmann)



← a tropical line in \mathbb{TP}^5



The set of all quadrics through four given points is a line in \mathbb{TP}^5

The Tropical Grassmannian

$$Gr(d, n) = \mathcal{Y}(I_{d, n})$$

is defined by the Plücker ideal

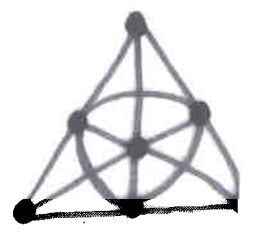
$$I_{d, n} \subset \mathbb{Z}[p_{i_1 i_2 i_3 \dots i_d} : 1 \leq i_1 < \dots < i_d \leq n]$$

of algebraic relations among the $d \times d$ -minors of a $d \times n$ -matrix.

It is a fan of dimension $d(n-d)+1$ in $\mathbb{R}^{\binom{n}{d}}$ but, factoring out the lineality space, we regard $Gr(d, n)$ as a spherical polyhedral complex of dimension $nd - n - d^2$.

Caveat $Gr(3, 7)$ depends on the characteristic of K .

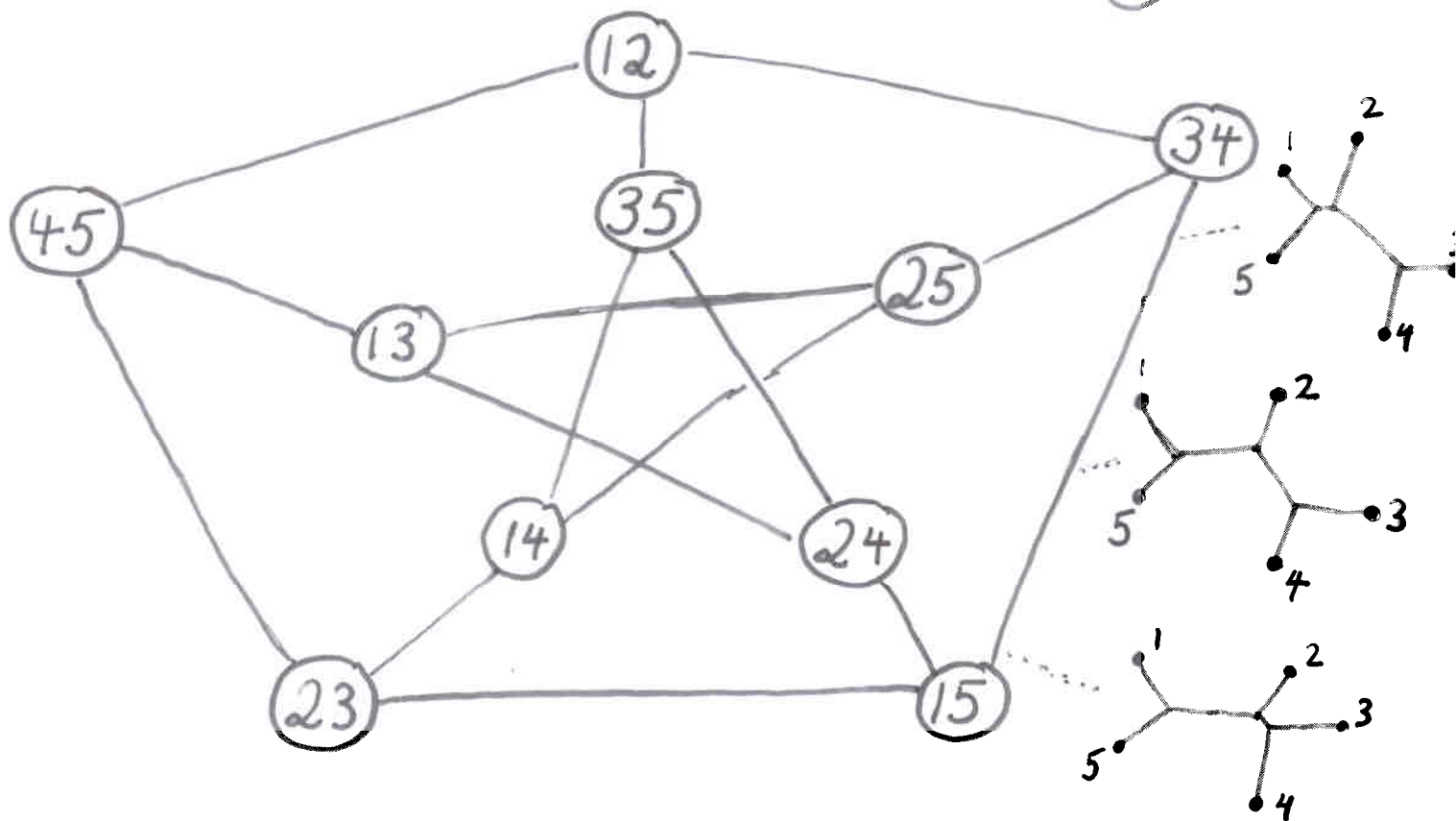
(D. Speyer
July '03)



Lines in 4-space

$$I_{2,5} = \langle \begin{aligned} &P_{12}P_{34} - P_{13}P_{24} + P_{14}P_{23}, \\ &P_{12}P_{35} - P_{13}P_{25} + P_{15}P_{23}, \\ &P_{12}P_{45} - P_{14}P_{25} + P_{15}P_{24}, \\ &P_{13}P_{45} - P_{14}P_{35} + P_{15}P_{34}, \\ &P_{23}P_{45} - P_{24}P_{35} + P_{25}P_{34} \end{aligned} \rangle$$

$Gr(2,5)$ is the Petersen graph.



$Gr(2,n)$ is well-understood for all n .


We also computed $Gr(3,6)$.

$$f = (65, 550, 1395, 1035,$$

Three Conjectures

-20-

- ① $Gr(d, n)$ is a simplicial complex.
- ② Every ridge of $Gr(d, n)$ lies in either 2 or 3 facets.
- ③ The number of i -faces of a tropical $(d-1)$ -plane in $(n-1)$ -space is at most
$$\binom{n-i-2}{d-i-1} \binom{2n-d-1}{i}$$

 This is tight for trees ($d=2$) and sagbi planes.



There are many good open problems in tropical geometry.