

# TOPOLOGICAL STRINGS AND INTEGRABLE HIERARCHIES

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12/03/2085

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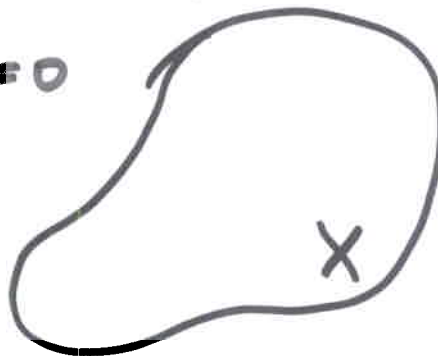
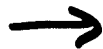
Recall: 2 types of topological string theories

## A-model topological string

Amplitudes in terms of holomorphic maps

$$\phi: \Sigma_g \rightarrow X$$

$$\bar{\partial}\phi = 0$$



+ open version with boundaries on lag. submanifolds

A-model top. strings:

studied intensively in both  
physics and mathematics

E.g. recently: exact to all genera  
amplitudes when

↗  $X$  is toric CY 3-fold

Based on a duality  
symmetry relating open and  
closed A-model strings.

B-model topological string on  $X$

$X$  is Kähler  
+  $c_1(X) = 0$

B-model is a point-particle theory.

= quantum theory  
of variations of  
complex structures on  $X$

Kodaira - Spencer

theory of gravity

→ studied comparatively little.

In appropriate settings, topological  
B-model on Calabi-Yau  $d_0=3$   
is in a natural way  
related to theory of integrable  
hierarchies and Hermitian  
matrix models.

Integrable hierarchies  
are known to have deep  
relations with A-model topological  
strings

The results I'll present  
today (together with mirror  
symmetry) shed some light on this.

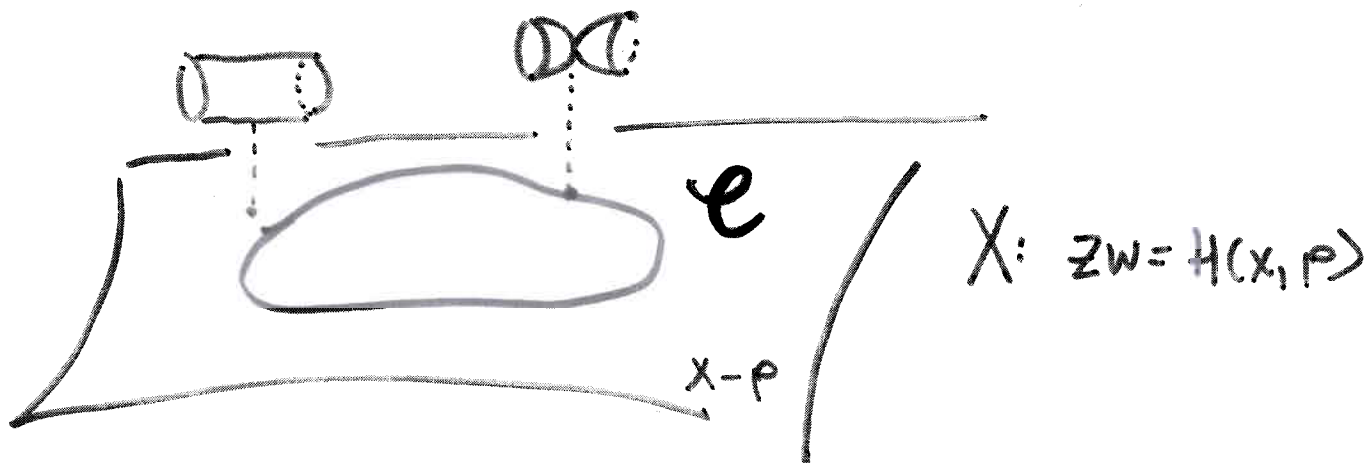
Today, I'll consider the case  
when  $X$  can be written as a  
hypersurface

$$\underline{X: zw = H(X, P)} \quad \swarrow \text{Calabi-Yau 3-fold}$$

Hol. 3-form:  $\underline{\Omega = \frac{dz}{z} dx dp.}$

In this case KS theory simplifies  
significantly and can  
be solved exactly to all genera.

$X$  can be viewed as



$$e: \underline{H(x, p) = 0}$$

$$\underline{\int \Omega} = \int dp dx = \underline{\int p dx}$$

Deformations of complex structure:

$$\underline{\delta \Omega} \rightarrow \underline{\delta p dx}$$

$$\bar{\partial} \delta p = 0 \Rightarrow \underline{\delta p dx = \bar{\partial} \psi(x)}$$

"chiral" scalar field  $\psi$ ,  $\bar{\partial}_x \psi = 0$

Where does this get us?

Riemann surface  $\mathcal{C}$  typically has  
some #  $M$  of asymptotic regions  
 $\rightarrow M$  boundaries.

KS theory on  $X$  associates to  
 $\mathcal{C}$  a state

$$\underline{|V\rangle \in \mathcal{H}^{\otimes M}}$$

$\mathcal{H}$  = Hilbert space of a boson on  $S^1$ .

At every puncture:  $(X_i, P_i)$ ,  $X_i \rightarrow \infty$

$$\delta_{P_i}(X) = \partial_{X_i} \varphi_i(X) = \sum_{n>0} d_{-n}^i X_i^{n-1} + \sum_{n>0} d_{+n}^i X_i^{-n-1}$$

$$[d_n^i, d_m^j] = \delta_{ij} m \delta_{m+n,0} \delta^{ij}$$

$$\mathcal{H} = \left\{ \prod_{n>0} (d_{-n}^i)^{k_n} |0\rangle \right\}, \quad d_{n>0}^i |0\rangle = 0$$



It is useful to express  $|V\rangle$   
in a coherent state basis

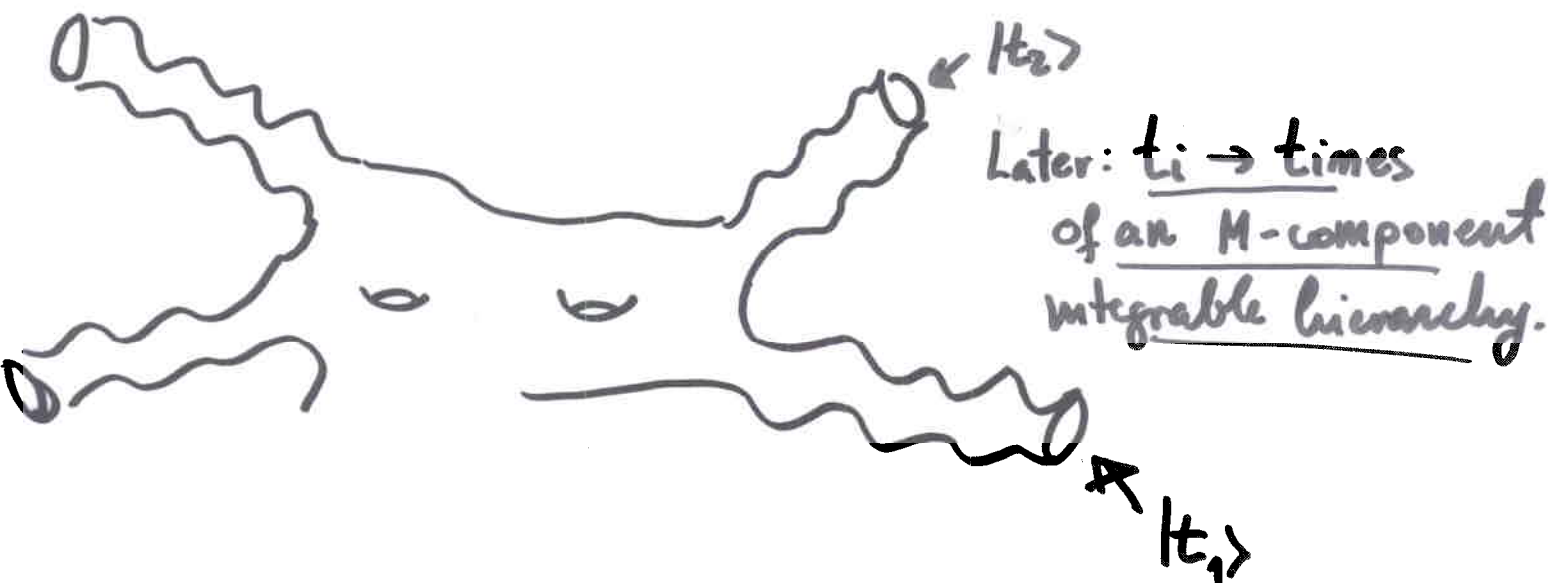
$$|t_i\rangle = e^{\sum_{n>0} \frac{t_n^i d_{-n}^i}{n}} |0\rangle_i$$

$$\langle t_1, \dots, t_M | V \rangle = e^{F(t_i, q_s)}$$

$$F(t_i, q_s) = \sum_g F_g(t_i) q_s^{2g-2}$$

$$\langle t_1, \dots, t_M | \partial \Psi_i | V \rangle = \sum_{n>0} \frac{t_{i,n}}{n} x_i^{n-1} + n \frac{\partial F}{\partial t_i} x_i^{-n-1}$$

$\Rightarrow$   $t_i$  can be viewed as non-normalizable  
deformations of complex structure.



What is  $|V\rangle$ ?

$|V\rangle$  is highly constrained: KS theory has a huge symmetry group of symplectic diffeomorphisms preserving  $dx \wedge dp$  and

$$\underline{H(x, p) = 0}$$

In fact: "Turning off"  $H(x, p) \rightarrow 0$

(by putting  $\partial\psi_{qu} \rightarrow \partial\psi = p(x) + \partial\psi_{qu}(x)$ )

$\Rightarrow$  full Witten algebra is realized

$$f(x, p): \quad \delta x = \frac{\partial}{\partial x} f(x, p)$$

$$\delta p = -\frac{\partial}{\partial p} f(x, p)$$

$$x^m p^n \rightarrow W_m^{n+1} = \int x^m \frac{(\partial\psi)^{n+1}}{n+1}$$

Globally, constrained by the deformations matching up:



Schematically:  $\sum_i \langle \oint_{P_i} W \rangle = 0$

This crucially depends on how  $\varphi$  transforms ...

Recall:

B model admits boundaries

on Holomorphic submanifolds

of X



D-branes

KS on  $X$  has a class  
of D-branes which are related  
to  $\Psi$  by Bose-fermi correspondence

$$\Psi(x) = e^{\varphi(x)/g_s}$$

inserts a D-brane at  $x$

Moreover, on  $\varphi(x)$ ,  $p$  and  $x$

act as canonically conjugate  
operators  $[p, x] = g_s$

$\Rightarrow \Psi(x) = e^{\varphi(x)/g_s}$  transforms like  
a wave-function!

In terms of fermions  $W_{1+d}$  algebra is generated by fermion bilinears:

$$X^m p^n \rightarrow \underline{W_m^{n+1}} = \int \psi^\dagger(x) \underline{x^m \left(\frac{\partial}{\partial x}\right)^n} \psi(x)$$

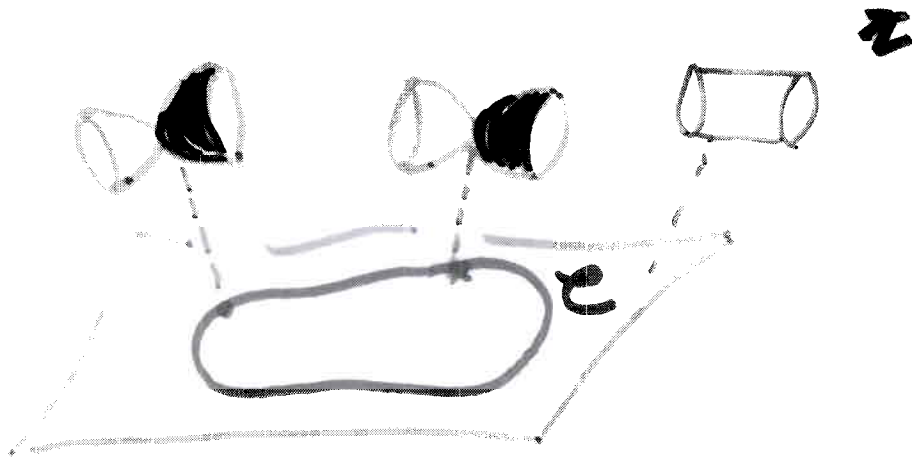
$W_{1+d}$  Symmetries:

$$\langle \int_{P_i} \psi^\dagger(x_i) x_i^m p_i^n \psi(x_i) \rangle = \sum_{i \neq j} \langle \int_{P_j} \psi^\dagger(x_j) x_i^m(x_j) p_i^n(x_j) \psi(x_j) \rangle$$

FIXES IV >  
COMPLETELY!

D-branes on  $X$      $X: zw = H(x, p)$

$$W=0, \quad \underline{H(x, p)}=0 : \mathcal{C}$$



$$S_{ce} = \frac{i}{2} \int d^2z d\bar{z} P(z, \bar{z}) \bar{\partial}_{\bar{z}} X(z, \bar{z})$$

Reduction  
to zero  
modes

$$\Rightarrow [x, p] = \eta_s$$

$$\Rightarrow S_{ce} = \int_{\mathcal{I}_s}^x p(x) dx$$

$$\Rightarrow \langle \psi(x) \rangle = e^{\int_{\mathcal{I}_s}^x p dx} + \dots$$

↗ background  
value of  $\psi(x)/\eta_s$

Recall

$$\langle \psi(x) \rangle = e^{\frac{i}{\hbar} \int^x p dx + \dots}$$

WKB approximation to

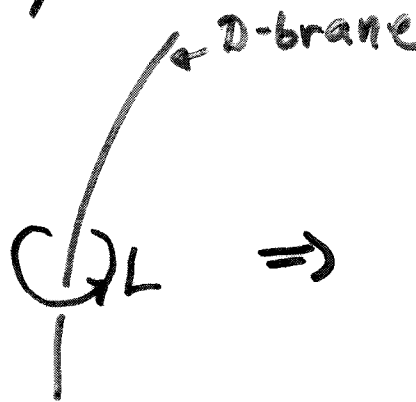
$$\underline{H(x, p) \langle \psi(x) \rangle = 0}$$

$$p = \hbar \frac{\partial}{\partial x}$$

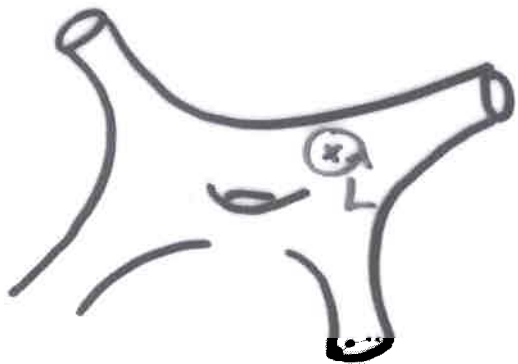
$\Rightarrow$  Riemann surface is the  
Hamiltonian!



The deep reason for why  
 D-brane is a fermion is  
 that B-branes deform B-model  
 geometry:


 $\int_L \Omega = g_s \neq 0$

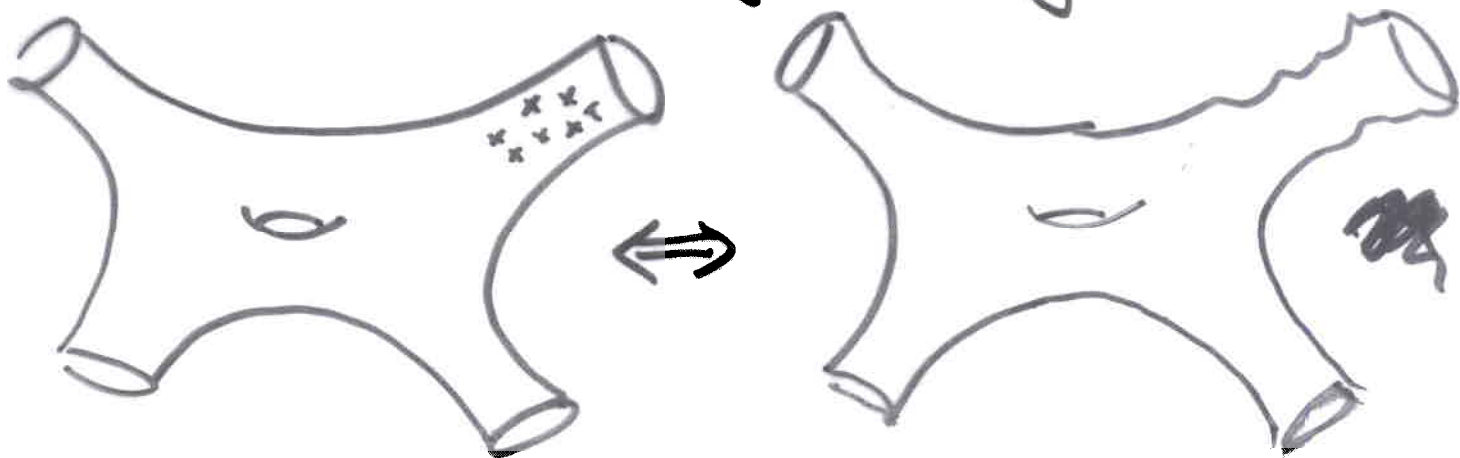
D-brane fixed to  $\mathcal{C}$ :



$$\int_L \omega = g_s$$

$$\Rightarrow \psi(x_i) = e^{\psi(x)/g_s}$$

# Open - Closed string duality:



$$\psi(x_1) \dots \psi(x_n) = \prod_{i < j} (x_i - x_j) e^{\sum_i \psi(x_i) / g_s}$$

$$\begin{aligned} \langle N | \psi(x_1) \dots \psi(x_n) | V \rangle &= \prod_{i < j} (x_i - x_j) \langle e^{\sum_i \psi(x_i) / g_s} | V \rangle \\ &= \prod_{i < j} (x_i - x_j) \langle t | V \rangle \end{aligned}$$

$$t_n = g_s \sum_i \frac{(x_i)^{-n}}{n} = g_s \text{Tr} \frac{X^{-n}}{n}$$

$$Z_{\text{closed}}(M) Z_{\text{open}} = Z_{\text{closed}}(M)$$

$$X = \begin{pmatrix} x_1 & & \\ & \dots & \\ & & N \end{pmatrix}$$

3 classes of examples,

in increasing level of complexity,  
depending on # of infinites

i)  $(1, m)$  minimal models + gravity

$$\mathcal{H}(x, p) = x^m + p$$

ii) A-model on  $\mathbb{C}P^1$

$$\mathcal{H}(x, p) = p + e^x + e^{-x} q$$

iii) A-model on  $\mathbb{C}^3$  with D-branes  
 $\rightarrow$  "topological vertex"

$$\mathcal{H}(x, p) = e^x + e^{-p} + 1$$

(1,m) minimal models have 2  
different matrix model  
descriptions:

1) Kontsevich-type matrix  
model

2) Double scaling  
matrix model.

Both naturally arise in the  
context of B model  
on Calabi-Yau 3-fold.

Double scaling matrix

model:

Dijkgraaf, Vafa

Consider compact B-branes  
wrapping  $P^1$  on CY3.

$\Rightarrow$  Ordinary Hermitian  
matrix models

Large  $N$  + double-scaling

limits  $\rightarrow$  theory

with closed ~~strings~~

B-model strings on CY.

$$2w = y^2 + (W')^2$$

Recall: Hermitian Matrix Model 

then B model

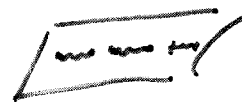
$$Z = \frac{1}{\text{Vol}(U(N))} \int DX_{N \times N} e^{\frac{\text{Tr} W_N(X)}{g_s}}$$

↓ 1/2 root + large N limit

Riemann surface

planar limit →

$$y^2 + (W'_n(x))^2 - f_{n-1}(x) = 0$$

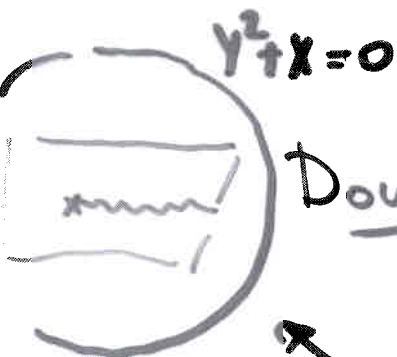


Comes from B-model on

$$2w = y^2 + (W'_n(x))^2 - f_{n-1}(x)$$

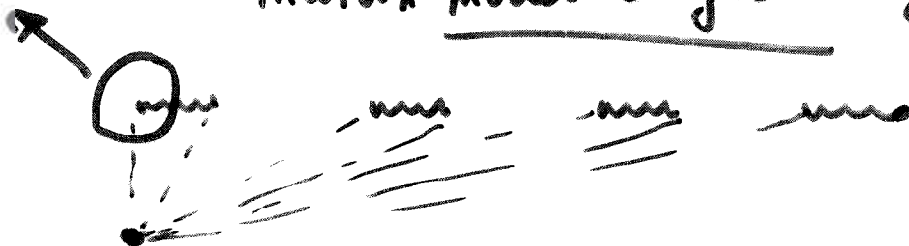
All genus + geometric transitions

$$\rightarrow \underline{y = \partial \Phi_{cl} + \partial \Phi_{qu}(x)} \leftarrow \begin{matrix} \text{Quantum} \\ \text{Kodaira-Spencer} \\ \text{field} \end{matrix}$$



Double scaling limit:

matrix model & geometry:



$$y^2 + x^{2p+1} \rightarrow \dots \rightarrow 0$$

$\Rightarrow$  Describes  $(2, 2p+1)$

bosonic minimal model

More generally

B model

$m$

$$wt = y^p + x^q + \dots$$

double  
scaling  
 $\Leftarrow$

Quiver  
matrix  
model

double  
scaling  $(p, q)$   
 $\Rightarrow$

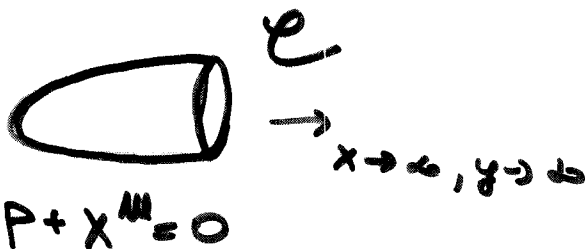
bosonic minimal  
model

(1, m) topol. minimal model (m ≥ 2)  
 + topol. gravity

(1, 2) - pure gravity

Calabi-Yau:

$$WZ = P + X^m$$



$$P = \partial\varphi(x) = X^m + \sum_{n>0} a_n t_n X^n + \partial_u F X^{-u}$$

B branes in X coordinate

Ward identity

$$\langle \oint \psi^\dagger X^n \psi \rangle = 0$$

$$= \langle \oint X^n \partial\varphi \rangle$$

$$\Rightarrow \partial_u F = 0$$

Classical B-brane action is exact

$$S = \int^x p dx = \frac{X^{m+1}}{m+1}$$



Turning on descendants corresponds to putting B-branes at fixed  $p$ .

$$H = \left( g \frac{\partial}{\partial p} \right)^m + p$$

$H \rightarrow$  Lax operator of KdV

$$H \Psi(p) = 0$$

$\langle \Psi(p) \rangle$  - Baker-Akhiezer function

$$\Psi(p) = \int dx e^{\frac{xp}{g_s}} \Psi(x)$$

$$\langle N | \Psi(x_1) \dots \Psi(x_N) | V \rangle = \prod_{i < j} (x_i - x_j) e^{\sum_i \frac{x_i^{m+1}}{(m+1)g_s}}$$

$$\langle N | \Psi(p_1) \dots \Psi(p_N) | V \rangle = \int \mathcal{D}X_{N \times N} e^{\text{Tr} \frac{XP}{g_s} + \text{Tr} \frac{X^{m+1}}{(m+1)g_s}} \left. \begin{array}{l} N \text{ branes} \\ \text{at fixed } p \end{array} \right\}$$

Kontsevich matrix model

for  $(1, m)$  top. minimal model!

Mirror of  $\mathbb{C}P^1$

Landau-Ginzburg

$$W(u) = e^u + g e^{-u}$$

$$g = e^{-t} \leftarrow \text{size of } \mathbb{P}^1$$

$$\Leftrightarrow \text{CY: } \underline{wz = H(p, u)}$$

$$\underline{H(p, u) = p + e^u + g e^{-u}}$$

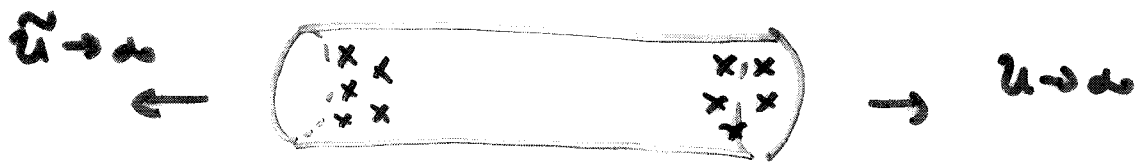
With  $u = g_s \frac{\partial}{\partial p} \rightarrow$  Lax operator of  $\mathbb{P}^1$

$$\partial \Psi(p) = \partial \Psi_d + \sum t_n p^n + \partial_n F p^{-n}$$

$t_n \rightarrow$  descendants of  
Kähler class

$\Rightarrow$  B-branes in  $p$ -patch

The theory is simple in the  $u$ -coordinate



$$\tilde{h} = k - 4$$

→ Free boson on a cylinder

$$\mathcal{Z} = \langle s | q^{L_0} | \tilde{s} \rangle$$

↗

Partition function of B-branes  
in  $u$ -patch.

From u-patch:

$$Z = \langle S | g^{L_0} | \tilde{S} \rangle$$

to p-patch:



$$Z_{p'} = \langle S | W^{-1} g^{L_0} W^{\dagger} | \tilde{S} \rangle$$

Okounkov  
& Pandharipande

$W$  - "moves" branes from  
u to p

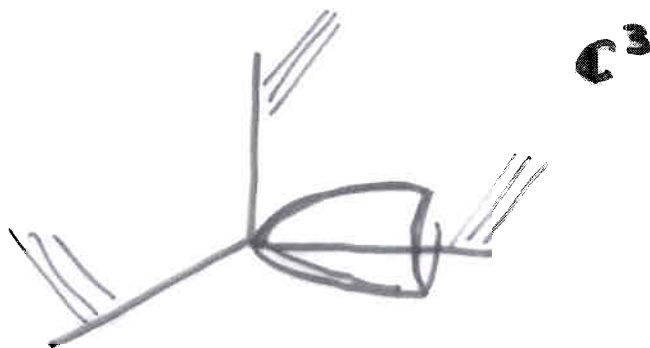
Conjecture:

$$Z_{p'} = \int \mathcal{D}_H u \, e^{\text{Tr} \frac{P u}{q_s}} e^{\text{Tr} \frac{e^u - g}{q_s} e^{-u}}$$

$\mathcal{D}_H u$  - Haar measure  
on tangent space to  $U(N)$   
group manifold

$$t_{n,W} = \frac{q_s}{n} \text{Tr} P^{-n}$$

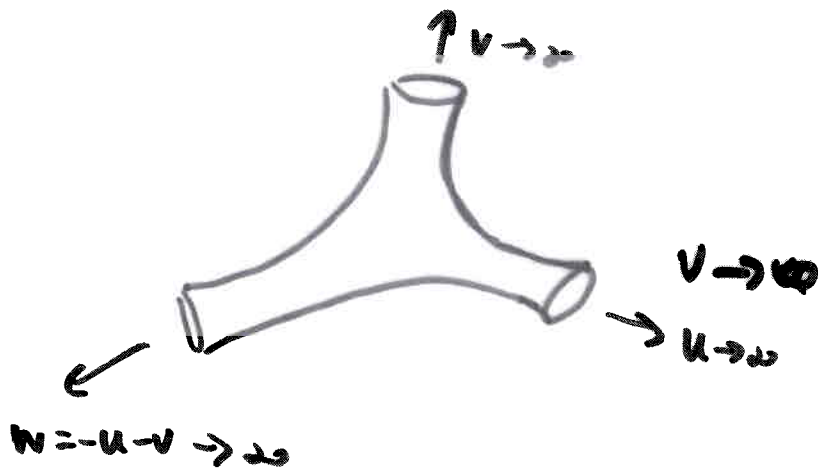
# Topological Vertex



Relation to Chern-Simons, Classical Crystals, Quantum Foam ...

Mirror of  $C^3$

$$WZ = e^{-u} + e^v + 1$$



$\mathbb{Z}_3$  Symmetry  
generated  
by  $k \rightarrow k + \underline{wv}$

$$ST: \begin{pmatrix} u \\ v \end{pmatrix} \rightarrow \begin{pmatrix} v \\ w \end{pmatrix} \quad \tau = u$$

$$(ST)^3 = 1$$

\* Ward identities

$$W_n^{m+1} = \int \psi^\dagger(u) e^{nu} e^{m\nu} \psi(u)$$

$$\sum_{l=1}^3 \langle 0 | \oint \psi^\dagger W \psi | V \rangle = 0$$

$\Rightarrow$  Fixer  $|V\rangle$  completely

\*

$$\underline{H(u, \nu) = e^{-u} + e^{\nu \frac{\partial}{\partial u} - 1}}$$

$$H(u, \nu) \Psi(u) = 0$$

$$\rightarrow \Psi(u) = \exp\left(\sum_{n>0} \frac{e^{-nu}}{n} \alpha_n\right)$$

\*

$|V\rangle$  is bilinear in fermions

$$|V\rangle = e^{\sum a_{mn} \psi_c^m \psi_b^{n+1}} |0\rangle$$