

# ORIENTIFOLDS

AN INTRODUCTION

MARCH 24, 2004 MSRI

K. HORI

I. Brunner, K. Hosomichi, J. Walcher, KH :

"Orientifolds of Gepner Models" 04

I. Brunner, KH : "Orientifolds & Mirror Symmetry" 07

B. Acharya, M. Aganagic, C Vafa, KH

"Orientifolds, Mirror Symmetry, Superpotentials" 0

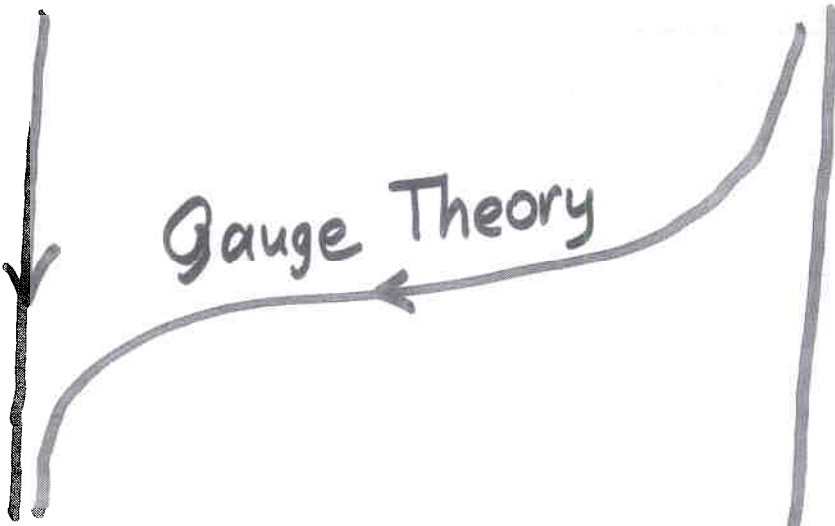
1900

⋮

|

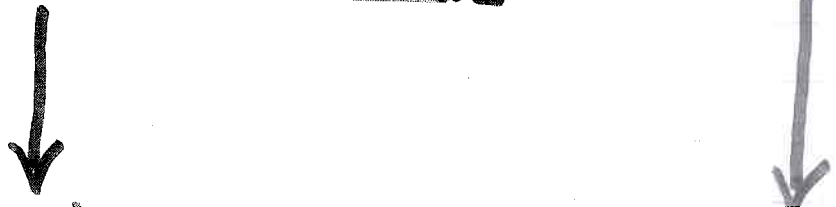
Quantum Mechanics

General Relativity



Gauge Theory

Quantum Field Theory



2000

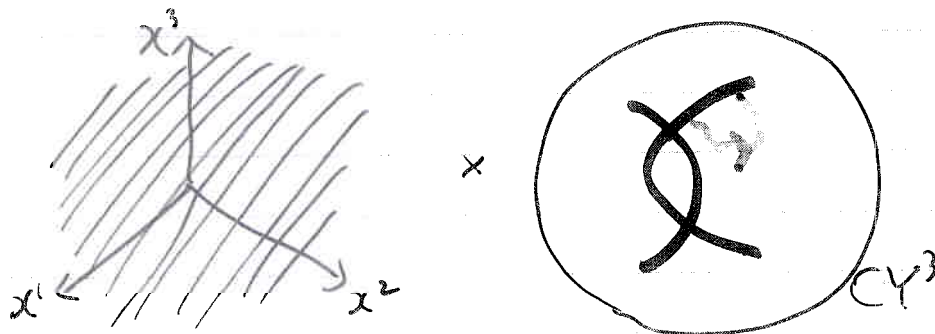
?

String Theory

# Type II String Theory on $\mathbb{R}^{3+1} \times CY^3$

...  $\mathcal{N}=2$  Supersymmetry in  $\mathbb{R}^{3+1}$

+ D-branes at  $\mathbb{R}^{3+1} \times \begin{matrix} E \\ \downarrow \\ W \subset CY^3 \end{matrix}$



...  $\mathcal{N}=1$  Supersymmetry in  $\mathbb{R}^{3+1}$

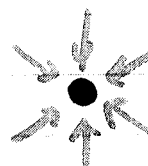
But D-branes generate RR flux



... requires something that absorbs it

⋮

## Orientifolds



( Non-compact  $CY^3$  avoids the problem  
but ~~A~~ 4d Gravity )

$$\text{Math: } t(\mu) = b_1 \log\left(\frac{\mu_1}{\mu}\right) - \sum_i \text{TR}_i \log \underbrace{Z_i\left(t(\mu); \frac{\mu_1}{\mu}\right)}$$

## Mirror Symmetry

Symplectic Geometry  $\leftrightarrow$  Algebraic Geometry

$\rightarrow$ : GW invariants

VHS



$\leftarrow$ : Lagrangian submfds

Coherent Sheaves

orientifolds: "Reality"

needs to be introduced

Orientifold is to gauge a  
parity symmetry of the worldsheet

$$P = \tau\Omega : X(t, \sigma) \rightarrow \tau X(t, -\sigma)$$


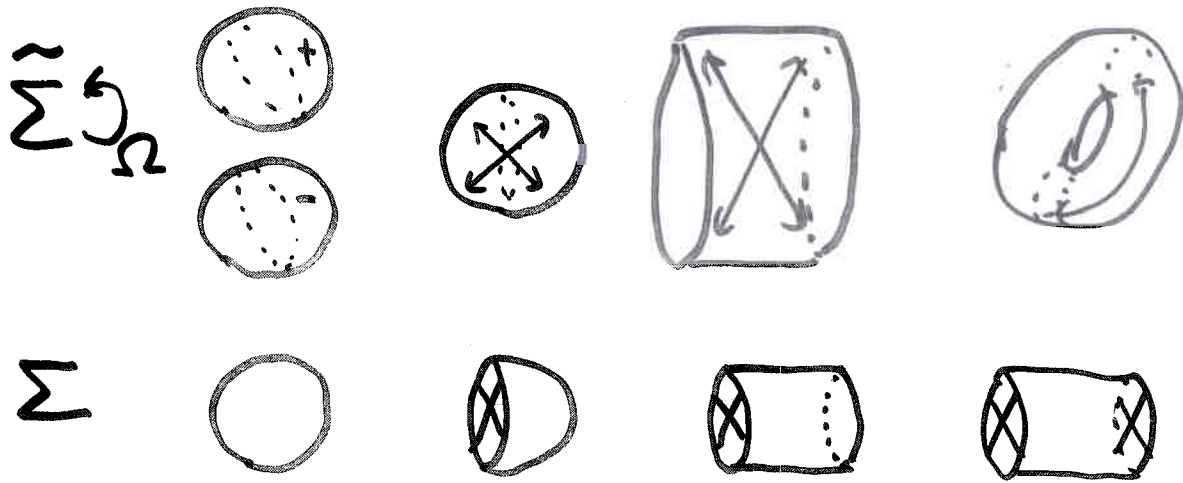
4d  $\mathcal{N}=2$  SUSY  $\Rightarrow$  WS (2,2) SUSY  
 $\cup$   
4d  $\mathcal{N}=1$  SUSY  $\Rightarrow$  diagonal  $\mathcal{N}=2$  SUSY

2 kinds of  $\mathcal{N}=2$  diag.

A-type (Type IIA) :  $\tau : M \rightarrow M$   
antiholomorphic

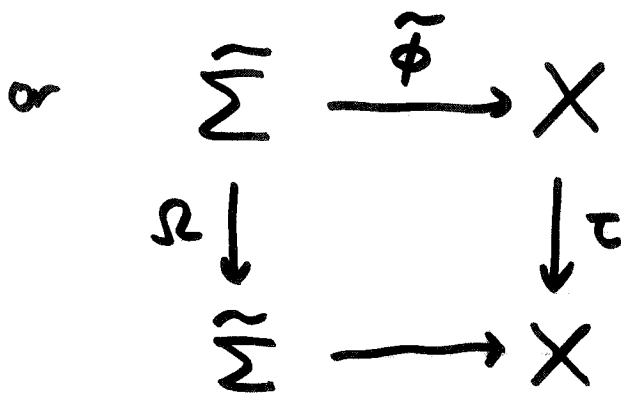
B-type (Type IIB) :  $\tau : M \rightarrow M$   
holomorphic

$\Sigma$  unoriented  $\rightsquigarrow \tilde{\Sigma}$  oriented double cover



Sigma model with target  $X$ , involution  $\tau$

field  $\phi$ : section of  $\tilde{\Sigma} \times_{\mathbb{Z}_2} X$



$\mathbb{Z}_2$ -equiv. map

# Linear Sigma Model : $U(1)$ gauge theory

matters  $P \quad X_1 \quad X_2 \quad X_3 \quad X_4 \quad X_5$

charges  $-1 \quad 1/h_1 \quad 1/h_2 \quad 1/h_3 \quad 1/h_4 \quad 1/h_5 \quad \sum_{i=1}^5 \frac{1}{h_i} = 1$

superpotential  $W = P(X_1^{h_1} + X_2^{h_2} + X_3^{h_3} + X_4^{h_4} + X_5^{h_5})$

FI  $\gg 0$  : NLSM on a Calabi-Yau

$$X_1^{h_1} + \dots + X_5^{h_5} = 0 \quad \text{in a } WCP^4$$

FI  $\ll 0$  :  $\langle P \rangle \neq 0$  Landau Ginzburg Orbifold

- $W = X_1^{h_1} + \dots + X_5^{h_5}$
- $\Gamma =$  generated by  $\gamma: X_i \rightarrow e^{\frac{2\pi i}{h_i}} X_i \quad \forall i$   
 $\cong \mathbb{Z}_H \quad H = \text{l.c.m.} \{h_1, \dots, h_5\}$

= Gepner Model

$$M_{h_1} \times M_{h_2} \times M_{h_3} \times M_{h_4} \times M_{h_5} / \mathbb{Z}_H$$

$M_h$  : IR fixed point of LG  $W = X^h$

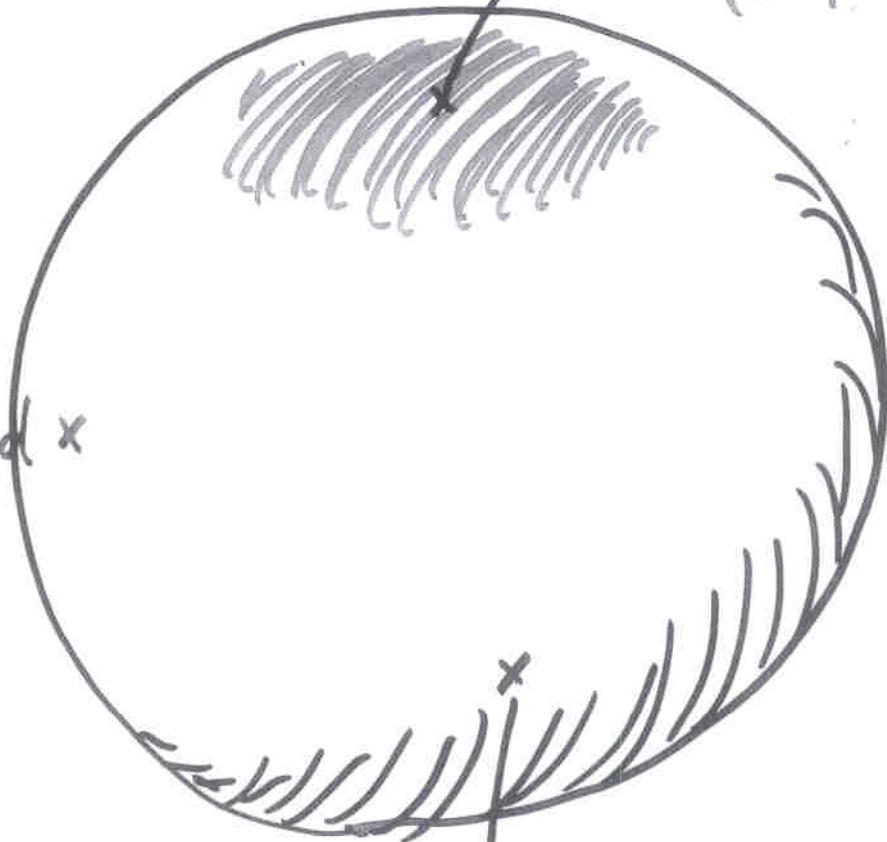
=  $SU(2)_{k=h-2} / U(1)$  super coset

" $\mathcal{N}=2$  minimal model"

Large Volume limit  
(supergravity)

$$e^t = \infty$$

Conifold  $\times$   
point



Gepner point  $e^t = 0$   
(exactly solvable CFT)

$$t = \overset{FI}{r} - i\theta$$

= Kähler class -  $i$  B-field



# Parity Symmetries

basic parity  $\times$   $\left\{ \begin{array}{l} \vec{m} : X_i \text{ phase rotation } \frac{\pi Z_{hi}}{Z_H} \\ \omega : \text{quantum symmetry } Z_H \\ \sigma : \text{permutation } h_{\sigma(i)} = h_i, \sigma^2 = 1 \end{array} \right.$

A - Parity  $P_{\omega, \vec{m}, \sigma}^A$

$$P \rightarrow \bar{P}$$

$$X_i \rightarrow e^{2\pi i \frac{m_i}{h_i}} \bar{X}_{\sigma i}$$

dual variables  $\tilde{X}_i \rightarrow e^{\frac{\pi i}{h_i}} \omega_i \tilde{X}_{\sigma i}$

$$\omega_i \omega_{\sigma i} \equiv 1$$

B - Parity  $P_{\omega, \vec{m}, \sigma}^B$

$$P \rightarrow -P$$

$$X_i \rightarrow e^{2\pi i \frac{m_i}{h_i}} X_{\sigma i}$$

$$m_i + m_{\sigma(i)} \equiv 0$$

$$\tilde{X}_i \rightarrow \omega_i \overline{\tilde{X}_{\sigma i}}$$

Quintic  $X_1^5 + X_2^5 + X_3^5 + X_4^5 + X_5^5 = 0$  in  $\mathbb{C}P^4$   $\begin{cases} K = 1c \\ C = 101c \end{cases}$

A:  $X_i \rightarrow \bar{X}_{\sigma(i)}$   $\forall \sigma: K = 1c, C = 101c$

06 at an  $\mathbb{R}P^3$

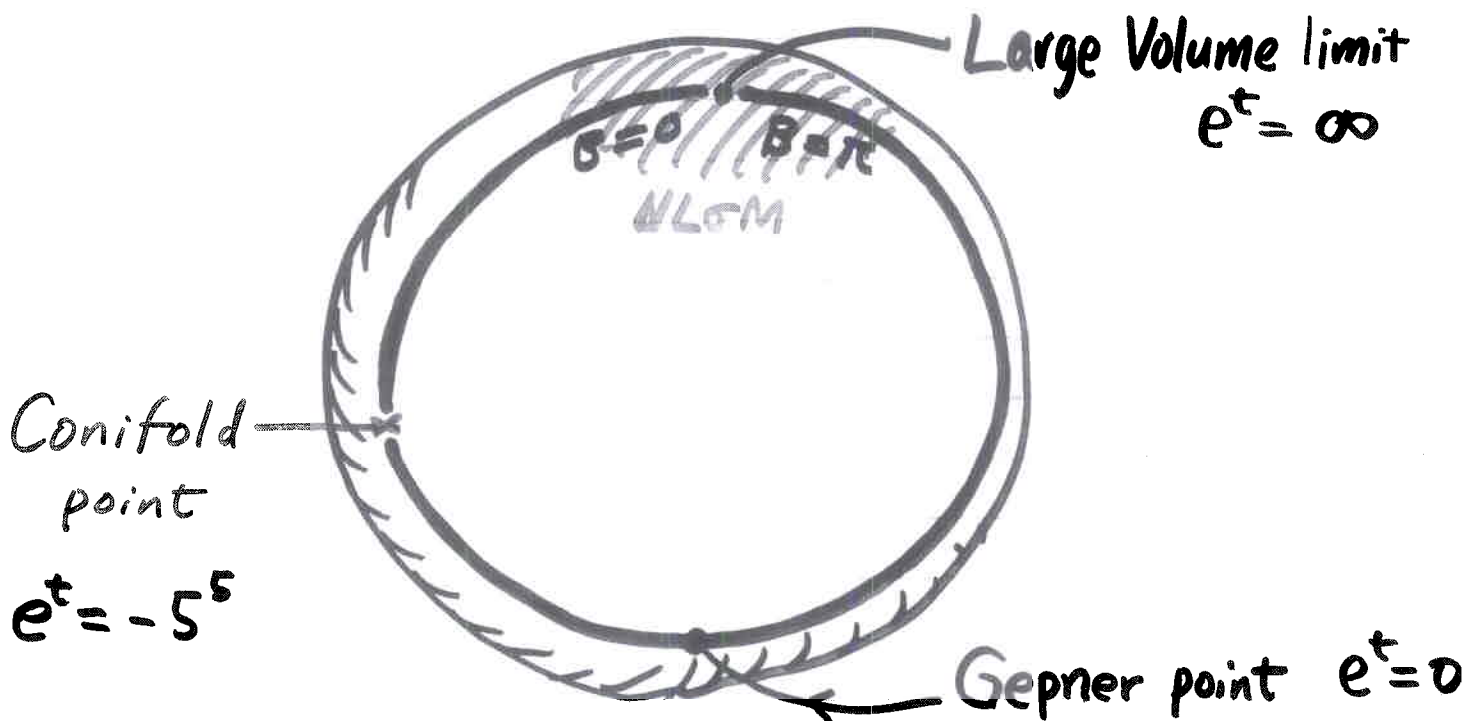
B:  $X_i \rightarrow X_{\sigma(i)}$   $\sigma = \text{id}: (K, C) = (1c, 101c)$  09

$\sigma = (12): (1c, 63c)$  03 + 07

$\sigma = (12)(34): (1c, 53c)$  05 + 05  
 $g=0$   $g=6$

Kähler moduli (B)

$e^t$ : real



# A "Two parameter" Model

$$X_1^8 + X_2^8 + X_3^4 + X_4^4 + X_5^4 = 0 \quad \text{in } WCP_{11222}^4$$

$$K = 2c \quad C = 86c$$

$$\sigma = 1$$

(A)  $X_i \rightarrow e^{\frac{2\pi i}{h_i} m_i} \overline{X_i}$

fixed point :  $X_i = e^{\frac{\pi i}{h_i} m_i} x_i \quad x_i \in \mathbb{R}$

$$(-1)^{m_1} x_1^8 + (-1)^{m_2} x_2^8 + (-1)^{m_3} x_3^4 + (-1)^{m_4} x_4^4 + (-1)^{m_5} x_5^4 = 0$$

(i)  $(-1)^{m_i} \equiv 1$  : No solution

NO 0-plane

(ii)  $(-1)^{m_i} = (-1, 1, 1, 1, 1)$

06 at  $S^3$

(iii)  $(-1)^{m_i} = (1, 1, 1, 1, -1)$

06 at  $S^3 \vee S^3$  (meeting at  $S^1$ )  $\xrightarrow{\text{resolve a single}} S^2 \times S^1$

(iv)  $(-1)^{m_i} = (1, 1, 1, -1, -1)$

06 at  $T^3$

(B)  $X_i \rightarrow \epsilon_i X_i \quad \epsilon_i = \pm 1$

$(++++):$  09

$(++-++):$  07 at a hypersurface

$(++--+):$  05's at  $\Sigma_{g=9}$  & four  $S^2$ 's.

$(++---):$  07 at a hypersurface  
& 03's at eight points

$(+-+++):$  07's at two  $K3$  hypersurfaces  
homologous

$(+---++):$  05's at two  $\Sigma_{g=3}$ 's  
homologous

$(+----+):$  03's at Twelve points

$(+-----):$  05's at two  $\Sigma_{g=3}$ 's  
homologous

# Moduli Space

$$K: t \begin{cases} \leftarrow t_1 \\ \leftarrow t_2 \end{cases} \quad t = 2t_1 + t_2 \quad \left( \begin{array}{l} \text{resolution of } \mathbb{C}^2/\mathbb{Z}_2 \text{ s.t.} \\ \text{in } \mathbb{WCP}^4_{11222} \end{array} \right)$$

$$U(1) \begin{cases} \leftarrow U(1)_1 \\ \leftarrow U(1)_2 \end{cases} \quad \begin{array}{cccccc} P & X_1 & X_2 & X_3 & X_4 & X_5 & X_6 & X_i^2 = X_i'^2 \\ -4 & 0 & 0 & 1 & 1 & 1 & 1 & i=1,2 \\ 0 & 1 & 1 & 0 & 0 & 0 & -2 & \end{array}$$

$$\text{mirror: } \tilde{W} = \tilde{X}_1^8 + \tilde{X}_2^8 + \tilde{X}_3^4 + \tilde{X}_4^4 + \tilde{X}_5^4 \\ + e^{\frac{2t_1+t_2}{8}} \tilde{X}_1 \tilde{X}_2 \tilde{X}_3 \tilde{X}_4 \tilde{X}_5 + e^{\frac{t_2}{2}} \tilde{X}_1^4 \tilde{X}_2^4$$

Singularity at  $e^{t_2} = 4$  &  $e^{t_2} (1 - 4^{-1} e^{t_1})^2 = 4$

(A) involutive  $\Rightarrow \omega = \pm 1$  :  $\tilde{X}_1 \rightarrow \pm \tilde{X}_1$ ,  $\tilde{X}_i \rightarrow \tilde{X}_i$   $i=2 \sim 5$

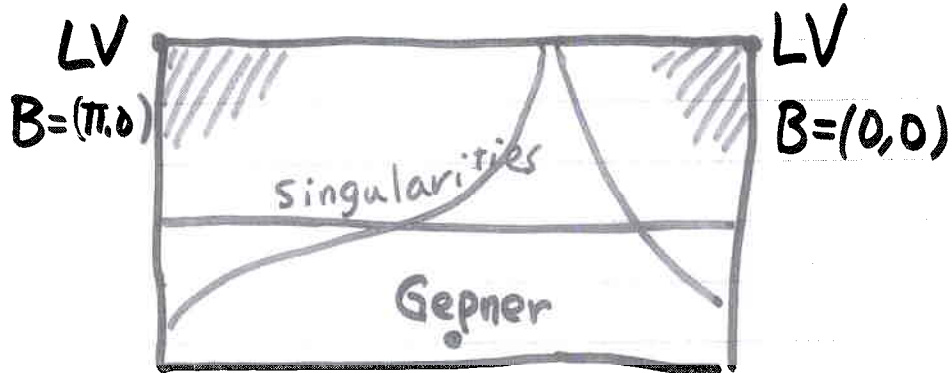
$\omega = 1$   $K$ :  $(t_1, t_2)$  unconstrained  $2\mathbb{C}$   
 $C$ : real constraint  $8\mathbb{S}^1_{\mathbb{R}}$

$\omega = -1$   $K$ :  $e^{\frac{t_1}{8}} = 0$ ,  $e^{\frac{t_2}{2}}$  unconstrained  $1\mathbb{C}$   
 Completely non-geometric  
 $C$ : real constraint  $8\mathbb{S}^1_{\mathbb{R}}$

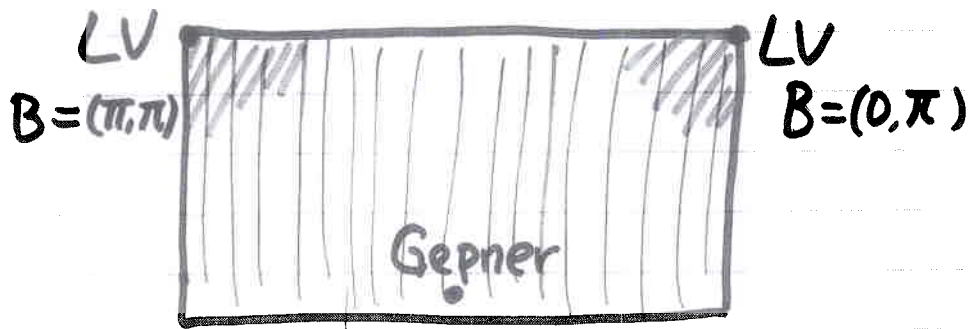
(B)

$$\omega \equiv 1 \text{ or } e^{2\pi i/8}$$

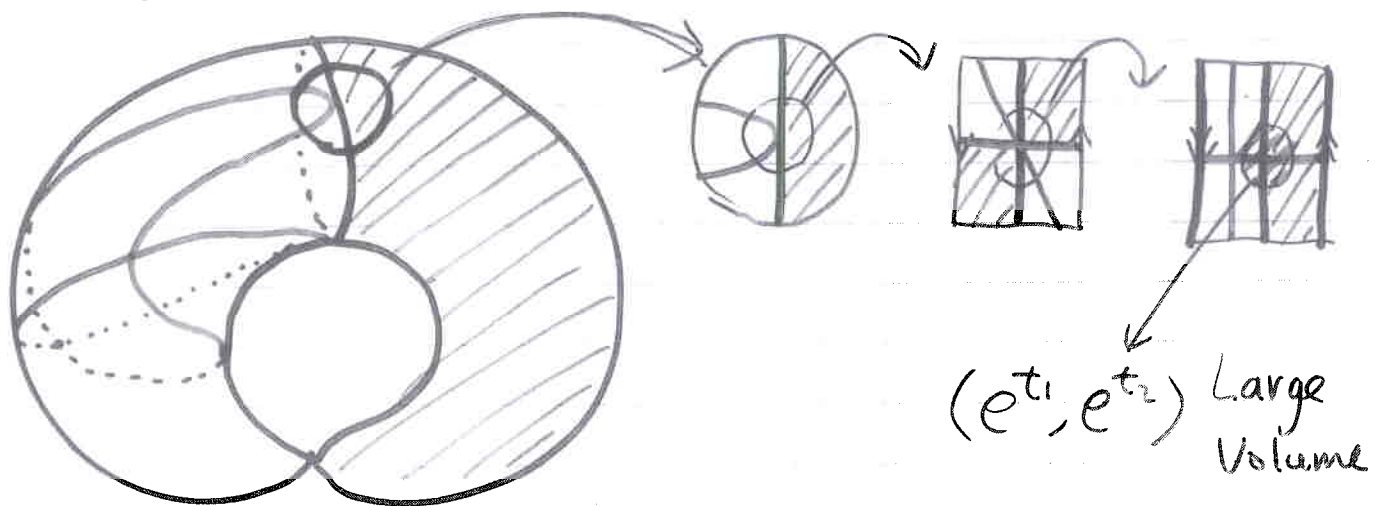
$\omega \equiv 1$   $K: e^{t_1} \in \mathbb{R} \quad e^{t_2} \in \mathbb{R}_{\geq 0}$



$\omega \equiv e^{2\pi i/8}$   $K: e^{t_1} \in \mathbb{R} \quad e^{t_2} \in \mathbb{R}_{\leq 0}$



Global picture:



C: Recall: 86 = 83 polynomial (untwisted) + 3 non-polynomial (twisted)

Gepner pt Large Volume

		Gepner pt		Large Volume	
(++++)	$\omega = 1$	83	$\neq$	86	Start here
	$\omega = e^{2\pi i/8}$	86		86	
			Continuity		
(++-++)	$\omega = 1$	56	$\neq$	57	
	$\omega = e^{2\pi i/8}$	57	$\longrightarrow$	57	
(++--+)	$\omega = 1$	47	$\neq$	46	
	$\omega = e^{2\pi i/8}$	46	$\longrightarrow$	46	
(++---)	$\omega = 1$	44	$\neq$	41	
	$\omega = e^{2\pi i/8}$	41	$\longrightarrow$	41	
(+-+++)	$\omega = 1$	56	$\neq$	53	
	$\omega = e^{2\pi i/8}$	53	$\longrightarrow$	53	
(+---++)	$\omega = 1$	47	$\neq$	46	
	$\omega = e^{2\pi i/8}$	46	$\longrightarrow$	46	
(+----+)	$\omega = 1$	44	$\neq$	45	
	$\omega = e^{2\pi i/8}$	45	$\longrightarrow$	45	
(+-----)	$\omega = 1$	43	$\neq$	46	
	$\omega = e^{2\pi i/8}$	46	$\longrightarrow$	46	

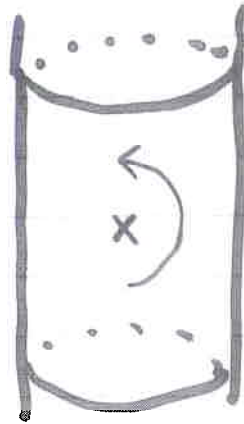
# Full String Theory

$\mathbb{R}$ -Kähler moduli  
 $\mathbb{R}$ -RR periods  $\rightarrow$   $\mathbb{C}$ -field  $\mathcal{N}=1$  chiral

Oftentimes



$\Rightarrow$

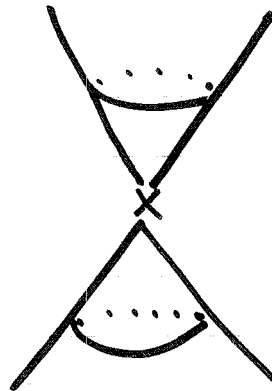


But here

$\exists$  discontinuity in  $\dim \mathbb{C}$



$\Rightarrow$

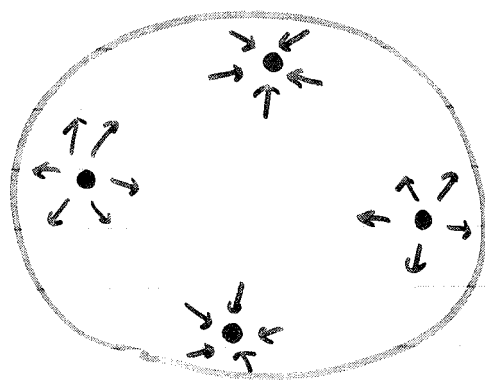


?

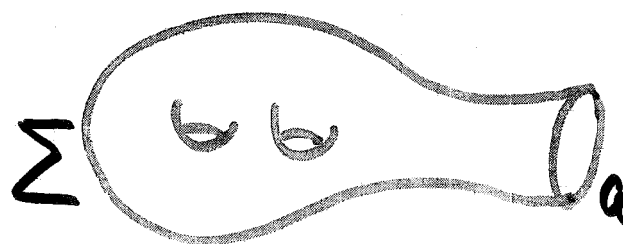


# Construction of Consistent & supersymmetric models

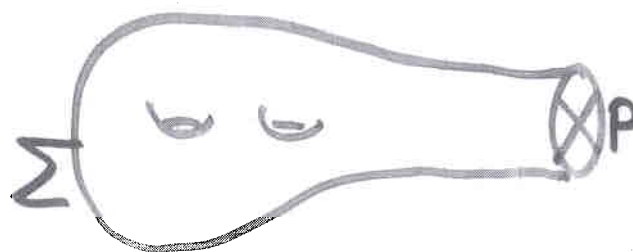
## Tadpole Cancellation



# Boundary & Crosscap states



$$\Sigma \text{ (teardrop with circles) } = \langle \Sigma | B_a \rangle$$



$$\Sigma \text{ (teardrop with circles and X) } = \langle \Sigma | C_p \rangle$$

$|B\rangle$  &  $|C\rangle$  knows about

★ Tension of D-brane/O-plane  $_{NSNS} \langle 0 | B \text{ or } C \rangle$

★ RR charge of D/O  $_{RR} \langle i | B \text{ or } C \rangle$

★ Spacetime SUSY preserved by D/O

$$_{RR} \langle 0 | B \text{ or } C \rangle = e^{i\alpha} \text{ } _{NSNS} \langle 0 | B \text{ or } C \rangle$$

$\Rightarrow Q_R + e^{i\alpha} Q_L$  is preserved.

We are interested in **Consistent & supersym**  
brane configurations in Type II Orientifolds

Consider party  $P$   $\leftrightarrow$  branes  $\sum_a n_a B_a$

### Consistency Conditions

① Parity invariance

$$n_{P(a)} = n_a$$

② Tadpole cancellation

$$\sum_a n_a [B_a] + [O_P] = 0$$

③ Rank condition : If  $P(a) = a$

$n_a B_a$  supports  $O(n_a)$  or

$$Sp(n_a/2)$$

$\hookrightarrow n_a$  must be even

### Condition of spacetime SUSY

④ All  $B_a$  &  $O_P$  preserve the same SUSY  
 $n_a > 0$

$$e^{i d_a} = e^{i d_P}$$