

## NOTETAKER CHECKLIST FORM

(Complete one for each talk.)

Name: Elizabeth Gross Email/Phone: egross7@uic.edu

Speaker's Name: Adam Van Tuyl

Talk Title: Persistence of square-free monomial ideals

Date: 12 / 7 / 12 Time: 11 : 30 am / pm (circle one)

List 6-12 key words for the talk: monomial ideals, persistence, associated primes, edge ideals, cover ideals, chromatic number

Please summarize the lecture in 5 or fewer sentences: \_\_\_\_\_

Surveys & introduces ~~new families~~ new families of squarefree monomial ideals that satisfy the persistence property

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# Do square-free monomial ideals have the persistence property?

Adam Van Tuyl

Lakehead University

December 2012

# Associated Primes

Let  $R = k[x_1, \dots, x_n]$ ,  $k$  a field.

## Definition

For any ideal  $I \subseteq R$  a prime ideal  $P$  is an *associated prime* of  $I$  if there is an element  $M \in R$  such that  $I : \langle M \rangle = P$ . The set of associated primes of  $I$  is denoted  $\text{Ass}(I)$ .

A problem about associated primes first(?) raised by Ratliff (1976):

## Problem

*Describe the sets  $\text{Ass}(I^s)$  as  $s$  varies?*

## Theorem (Brodmann (1979))

Let  $I \subseteq R = k[x_1, \dots, x_n]$ . Then there exists an integer  $s_0$  such that

$$\text{Ass}(I^{s_0}) = \text{Ass}(I^s) \text{ for all } s \geq s_0.$$

## Definition (Index of stability)

$$\text{astab}(I) = \min\{s_0 \mid \text{Ass}(I^{s_0}) = \text{Ass}(I^s) \text{ for all } s \geq s_0\}.$$

# Three Problems

1. Bound  $\text{astab}(I)$  in terms of invariants of  $I$  and  $R$ .
2. Describe the elements of  $\text{Ass}(I^{\text{astab}(I)})$ .
3. What is the initial behaviour of  $\text{Ass}(I^s)$  for  $s \leq \text{astab}(I)$ .

This talk will focus on 3.

## Definition

$I \subseteq R$  has the **persistence property** if

$$\text{Ass}(I^s) \subseteq \text{Ass}(I^{s+1}) \quad \text{for all } s \geq 1.$$

# “Wild” initial behaviour

Brodmann showed the existence of an ideal without the persistence property. Here is a monomial example:

## Example (Herzog, Hibi)

Consider

$$I = (a^6, a^5b, ab^5, b^6, a^4b^4c^4, b^4d, a^4e^2f^3, b^4e^3f^2)$$

in the ring  $R = k[a, b, c, d, e, f]$ . Then

$$(a, b, c, d, e, f) \in \text{Ass}(I)$$

$$(a, b, c, d, e, f) \notin \text{Ass}(I^2)$$

$$(a, b, c, d, e, f) \in \text{Ass}(I^3)$$

$$(a, b, c, d, e, f) \notin \text{Ass}(I^4)$$

Original context for this example: studying the depth function  $f(s) = \text{depth}(R/I^s)$ .

The previous example can be generalized:

### Theorem (Bandari, Herzog, Hibi)

*For any given integer  $m \geq 1$ , there exists a monomial  $I$  ideal in  $2m + 4$  variables such that*

$$\mathfrak{m} \in \text{Ass}(I^s) \text{ for all } s \text{ odd and } s \leq 2m + 1$$

*and*

$$\mathfrak{m} \notin \text{Ass}(I^s) \text{ for all } s \text{ even and } s \leq 2m.$$

*Here  $\mathfrak{m} = \langle x_1, \dots, x_{2m+4} \rangle \subseteq k[x_1, \dots, x_{2m+4}]$ .*

Monomial ideals can very “un-persistent”

# HOWEVER....



## HOWEVER....

... there are no examples of square-free monomial ideals that do *not* have the persistence property.

### Question

*Do square-free monomial ideals have the persistence property?*

Simplest examples of square-free monomial ideals:

1. monomial ideals generated in degree 1, i.e.,

$$I = \langle x_{i_1}, \dots, x_{i_t} \rangle$$

2. unmixed height 1 monomial ideals, i.e.,

$$I = \langle x_{i_1} \rangle \cap \langle x_{i_2} \rangle \cap \dots \cap \langle x_{i_t} \rangle = \langle x_{i_1} x_{i_2} \cdots x_{i_t} \rangle$$

Both ideals are complete intersections, so

$$\text{Ass}(I^s) = \text{Ass}(I) \quad \text{for all } s \geq 1$$

**Note:** Two classes are related via Alexander Duality.

Next simplest classes of square-free monomial ideals:

1. monomial ideals generated in degree 2.
2. unmixed height 2 monomial ideals.

Again, two classes are related via Alexander Duality.

More common names:

1. *Edge ideals* of finite simple graphs
2. *Cover ideals* of finite simple graphs

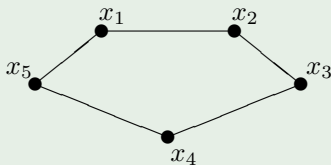
# Edge Ideals

$G = (V_G, E_G)$  will denote a finite simple (no loops or multiple edges) graph with vertex set  $V_G = \{x_1, \dots, x_n\}$  and edge set  $E_G$ .

## Definition (Edge Ideal)

$$I(G) = \langle x_i x_j \mid \{x_i, x_j\} \in E_G \rangle \subseteq R$$

## Example



$$I(G) = \langle x_1 x_2, x_2 x_3, x_3 x_4, x_4 x_5, x_5 x_1 \rangle$$

Theorem (Martínez-Bernal, Morey, Villarreal)

*For any finite simple graph  $G$ ,  $I(G)$  has the persistence property.*

Completes the program of

- Chen, Morey, Sung (2002)
- Morey, Villarreal (2010)

## Definition (Cover Ideal)

$$J = J(G) = \bigcap_{\{x_i, x_j\} \in E_G} \langle x_i, x_j \rangle \subseteq R$$

A subset  $W \subseteq V_G$  is a *vertex cover* if for every edge  $e \in E_G$ ,  $W \cap e \neq \emptyset$ .

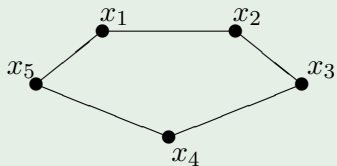
## Lemma

Let  $G$  be a finite simple graph. Then

$$J = \langle x_{i_1} \cdots x_{i_r} \mid W = \{x_{i_1}, \dots, x_{i_r}\} \text{ is a vertex cover} \rangle.$$

## Example

For the graph  $G$  below:



$$J(G) = \langle x_1x_2x_4, x_2x_3x_5, x_3x_4x_1, x_4x_5x_2, x_5x_1x_3 \rangle$$

# Perfect Graphs

- Let  $G$  be a simple graph and let  $P \subseteq V_G$ . The *induced graph* on  $P$ , denoted  $G_P$ , is the graph with

$$V_{G_P} = P \text{ and } E_{G_P} = \{\{x_i, x_j\} \in E_G \mid \{x_i, x_j\} \subseteq P\}.$$

- The *complete graph* of order  $n$ , denoted  $\mathcal{K}_n$ , is the graph on  $n$  vertices and edge set  $E_G = \{\{x_i, x_j\} \mid 1 \leq i < j \leq n\}$ .
- An induced subgraph  $G_P$  is a *clique* if  $G_P = \mathcal{K}_{|P|}$ .
- The *chromatic number* of  $G$ , denoted  $\chi(G)$ , is the least number of colours in a vertex-colouring of  $G$

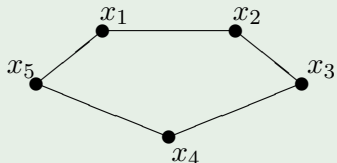
## Definition

A graph  $G$  is *perfect* if for every induced graph  $G_P$ ,  $\chi(G_P)$  equals the size of largest clique in  $G_P$ .



## Example

The graph  $G$  below is not perfect:



We have  $\chi(G) = 3$ , but the size of the largest induced clique is 2.

Theorem (Villarreal; Francisco, Hà, VT)

*For any finite simple graph  $G$  that is perfect,  $J(G)$  has the persistence property. Moreover,*

$$\chi(G) - 1 = \text{astab}(J(G))$$

- There are also families of non-perfect graphs for which we know that  $J(G)$  has the persistence property. For example if  $G$  is an odd cycle or odd anti-cycle (the complement of a cycle).
- To come: a colouring conjecture that implies that for all  $J(G)$  has the persistence property for all  $G$

# Positive Evidence III

1. monomial ideals generated in degree  $d \geq 2$ .
2. unmixed height  $d \geq 2$  monomial ideals.

For the first class, we have the following results:

## Theorem

1. *[Herzog, Rauf, Vladioiu] Let  $I$  be a polymatroidal ideal (so  $I$  is a square-free monomial ideal generated in degree  $d$ ). Then  $I$  has the persistence property.*
2. *[Herzog, Qureshi] Let  $I$  be square-free monomial ideal with the property that  $I^s$  has a linear resolution for all  $s \geq 1$ . Then  $I$  has the persistence property.*

## Definition

Fix an integer  $t \geq 1$ . The **partial  $t$ -cover ideal** of  $G$  is the monomial ideal

$$J_t(G) = \bigcap_{x \in V_G} \left( \bigcap_{\{x_{i_1}, \dots, x_{i_t}\} \subseteq N(x)} \langle x, x_{i_1}, \dots, x_{i_t} \rangle \right).$$

- When  $t = 1$ ,  $J_1(G) = J(G)$ , the cover ideal
- $J_t(G)$  is a unmixed height  $(t + 1)$  square-free monomial ideal

## Theorem (Bhat-Biermann-VT)

Fix a  $t \geq 1$ . If  $G$  is a tree, then  $J_t(G)$  has the persistence property.

Moreover

$$\text{astab}(J_t(G)) = \begin{cases} 1 & \text{if } t = 1 \\ \min\{s \mid s(t-1) \geq \Delta(G) - 1\} & \text{if } t > 1 \end{cases}$$

where  $\Delta(G)$  is largest degree of a vertex in  $G$ .

# Strategies for proving persistence

Because  $I$  is square-free, all associated primes are monomial, i.e.,  
 $P = \langle x_{i_1}, \dots, x_{i_t} \rangle$ . Localization gives:

## Theorem

*$P = \langle x_{i_1}, \dots, x_{i_t} \rangle$  is an associated prime of  $I^s$  in  $k[x_1, \dots, x_n]$  if and only if  $PR_P = \langle x_{i_1}, \dots, x_{i_t} \rangle$  is an associated prime of  $(I_P R_P)^s$  in  $R_P = k[x_{i_1}, \dots, x_{i_t}]$*

To prove persistence, enough to show:

## Question

*Let  $I$  be a square-free monomial ideal. If  $\mathfrak{m} \in \text{Ass}(I^s)$ , then is  $\mathfrak{m} \in \text{Ass}(I^{s+1})$ ?*

# New annihilators from old

## Lemma

Suppose that  $I^s : \langle T \rangle = \langle x_1, \dots, x_n \rangle$ . If there exists an element  $M \in I$  such that  $MT \notin I^{s+1}$ , then  $I^{s+1} : \langle MT \rangle = \langle x_1, \dots, x_n \rangle$ .

## Proof.

Since  $MT \notin I^{s+1}$ ,

$$I^{s+1} : \langle MT \rangle \subseteq \langle x_1, \dots, x_n \rangle.$$

For any  $i$ ,  $Tx_i \in I^s$ , so  $MTx_i \in I^{s+1}$ . Thus

$$\langle x_1, \dots, x_n \rangle \subseteq I^{s+1} : \langle MT \rangle.$$



When does such an element  $M$  exist?

## Theorem

*Let  $I$  be a square-free monomial ideal such that  $I^{s+1} : I = I^s$  for all  $s \geq 1$ . Then  $I$  has the persistence property.*

Under the hypothesis, if there exists a  $T$  such that  $I^s : \langle T \rangle = \mathfrak{m}$ , then there must exist a generator  $M \in I$  such that  $MT \notin I^{s+1}$ .



## Theorem (Martínez-Bernal, Morey, Villarreal)

*If  $I = I(G)$ , then  $I^{s+1} : I = I^s$  for all  $s$ .*

To prove this result, a graph theory result of Berge on matchings in a graph was required.

## Definition (Herzog-Qureshi)

An ideal  $I$  is *Ratliff* if  $I^{s+1} : I = I^s$  for all  $s \geq 1$ .

- For any ideal in  $R$ , Ratliff showed that  $I^{s+1} : I = I^s$  for  $s \gg 0$ .
- Edge ideals
- Polymatroidal ideals
- If  $I$  is normal, i.e.,  $I^k = \overline{I^k}$  for all  $k$ , where  $\overline{J}$  is the the integral closure of  $J$ .

Villarreal proved that  $J(G)$ , the cover ideal of  $G$ , is normal when  $G$  is perfect.

Not all square-free monomial ideals are Ratliff.

### Example (Martínez-Bernal, Morey, Villarreal)

The ideal

$$I = \langle x_1x_2x_5, x_1x_3x_4, x_1x_2x_6, x_1x_3x_6, x_1x_4x_5, \\ x_2x_3x_4, x_2x_3x_5, x_2x_4x_6, x_3x_5x_6, x_4x_5x_6 \rangle$$

has  $I^3 : I \neq I^2$ . (It does satisfy the persistence property!)

# A combinatorial interpretation

Return to the cover ideal of  $J(G)$ .

## Definition

A graph  $G$  is **critically  $s$ -chromatic** if  $\chi(G) = s$ , but  $\chi(G \setminus x) = s - 1$  for every  $x \in V_G$ . If  $G$  is critically  $s$ -chromatic for some  $s$ ,  $G$  is called a **critical graph**.

## Example

Any odd cycle is a critically 3-chromatic graph.

## Definition

The **expansion** of  $G$  at a vertex  $x_i$  is the graph  $G' = G[\{x_i\}]$  whose vertex set is given by  $V_{G'} = (V_G \setminus \{x_i\}) \cup (x_{i,1}, x_{i,2})$  and with edge set

$$E_{G'} = \{\{u, v\} \in E_G \mid u \neq x_i \text{ and } v \neq x_i\} \cup$$

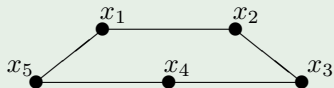
$$\{\{u, x_{i,1}\}, \{u, x_{i,2}\} \mid \{u, x_i\} \in E_G\} \cup \{\{x_{i,1}, x_{i,2}\}\}$$

For any  $W \subseteq V_G$ , the **expansion** of  $G$  at  $W$ , denoted  $G[W]$ , is formed by successively expanding all the vertices of  $W$  in any order.

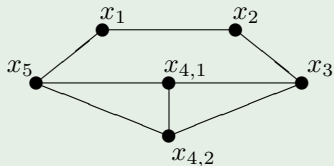
# Example of Expansion

## Example

Consider the 5-cycle on the vertex set  $\{x_1, \dots, x_5\}$ .



Then the expansion of  $G$  at the vertex  $x_4$  is  $G[\{x_4\}]$ :



# The $s$ -th expansion

## Definition

Let  $G$  be a simple graph with vertex set  $V_G$ . The **second expansion** of  $G$ , denoted  $G^2$ , is the graph  $G^2 = G[V_G]$ , i.e., expand all the vertices of  $G$ .

The  **$s$ -th expansion** of  $G$ , denoted  $G^s$ , is the graph  $G^s = G^{s-1}[V_G]$  for  $s \geq 2$ . ( $G^{s-1}$  contains a copy of  $G$ , expand those vertices inside  $G^{s-1}$ .)

# Associated Primes of Powers of Cover Ideals

Theorem (Francisco, Hà, VT)

Let  $G$  be a finite simple graph with cover ideal  $J = J(G)$ . Then

$$\langle x_{i_1}, \dots, x_{i_r} \rangle \in \text{Ass}(J^s)$$

if and only if there exists some set  $T$  with

$$\{x_{i_1,1}, \dots, x_{i_r,1}\} \subseteq T \subseteq \{x_{i_1,1}, \dots, x_{i_1,s}, \dots, x_{i_r,1}, \dots, x_{i_r,s}\}$$

such that  $G_T^s$  is critically  $(s+1)$ -chromatic.

Holds for *all* square-free monomial ideals. Identify the square-free monomial ideal with a *hypergraph*. The associated primes are then related to critically chromatic hypergraphs.



# A conjecture

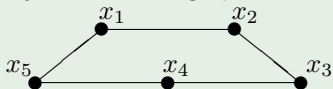
## Conjecture

*If  $G$  is a critically  $s$ -chromatic graph, then there exists a subset  $W \subseteq V_G$  such that  $G[W]$  is a critically  $(s + 1)$ -chromatic graph.*

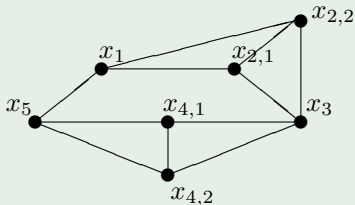
- If the conjecture is true, we can construct critically  $(s + d)$ -chromatic graphs for any  $d \geq 1$  by repeated applying the result.
- Conjecture true for cliques  $\mathcal{K}_s$  (expand a clique at any vertex and the new graph is the clique  $\mathcal{K}_{s+1}$ ).

## Example

The 5-cycle is a critically 3-chromatic graph:



If we expand  $G$  at  $W = \{x_2, x_4\}$ , we get a critically 4-chromatic graph  $G[W]$ :



# The persistence property and the conjecture

## Theorem

*Suppose the Conjecture is true. Then the cover ideal  $J$  has the persistence property.*

## Proof.

(Sketch) Suppose  $\langle x_{i_1}, \dots, x_{i_r} \rangle \in \text{Ass}(J^s)$ . There exists a set  $T$  with

$$\{x_{i_1,1}, \dots, x_{i_r,1}\} \subseteq T \subseteq \{x_{i_1,1}, \dots, x_{i_1,s}, \dots, x_{i_r,1}, \dots, x_{i_r,s}\}$$

such that  $G_T^s$  is critically  $(s+1)$ -chromatic.

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such that  $G_T^s$  is critically  $(s+1)$ -chromatic.

By Conjecture, there exists  $W \subseteq V_{G_T^s}$  such that  $G_T^s[W]$  is a critically  $(s+2)$ -chromatic graph.

# The persistence property and the conjecture

## Theorem

*Suppose the Conjecture is true. Then the cover ideal  $J$  has the persistence property.*

## Proof.

(Sketch) Suppose  $\langle x_{i_1}, \dots, x_{i_r} \rangle \in \text{Ass}(J^s)$ . There exists a set  $T$  with

$$\{x_{i_1,1}, \dots, x_{i_r,1}\} \subseteq T \subseteq \{x_{i_1,1}, \dots, x_{i_1,s}, \dots, x_{i_r,1}, \dots, x_{i_r,s}\}$$

such that  $G_T^s$  is critically  $(s+1)$ -chromatic.

By Conjecture, there exists  $W \subseteq V_{G_T^s}$  such that  $G_T^s[W]$  is a critically  $(s+2)$ -chromatic graph.

The graph  $G_T^s[W]$  is isomorphic to a subgraph of  $G^{s+1}$ . So, exists  $T'$ :

$$\{x_{i_1,1}, \dots, x_{i_r,1}\} \subseteq T' \subseteq \{x_{i_1,1}, \dots, x_{i_1,s+1}, \dots, x_{i_r,1}, \dots, x_{i_r,s+1}\}$$

such that  $G_{T'}^{s+1}$  is critically  $(s+2)$ -chromatic. So  $\langle x_{i_1}, \dots, x_{i_r} \rangle \in \text{Ass}(J^{s+1})$ .



# Evidence for the Conjecture

## Theorem

*The Conjecture holds if we also assume*

$$\chi(G) - 1 < \chi_f(G) \leq \chi(G)$$

*Here,  $\chi_f(G)$  is the fractional chromatic number.*

(Our proof is algebraic.)

## Corollary

*The Conjecture holds for the following critical graphs: cliques, odd holes, and odd antiholes.*

# Future directions?

- Look at families of square-free monomial ideals which are not generated in the same degree nor height unmixed.
- What square-free monomial ideals are Ratliff?
- Does the colouring conjecture hold?