

## NOTETAKER CHECKLIST FORM

(Complete one for each talk.)

Name: Neil Epstein Email/Phone: nepstei2@gmu.edu

Speaker's Name: Kevin Tucker

Talk Title: F-signature and relative Hilbert-Kunz multiplicity

Date: 05/08/2013 Time: 10:30 am / pm (circle one)

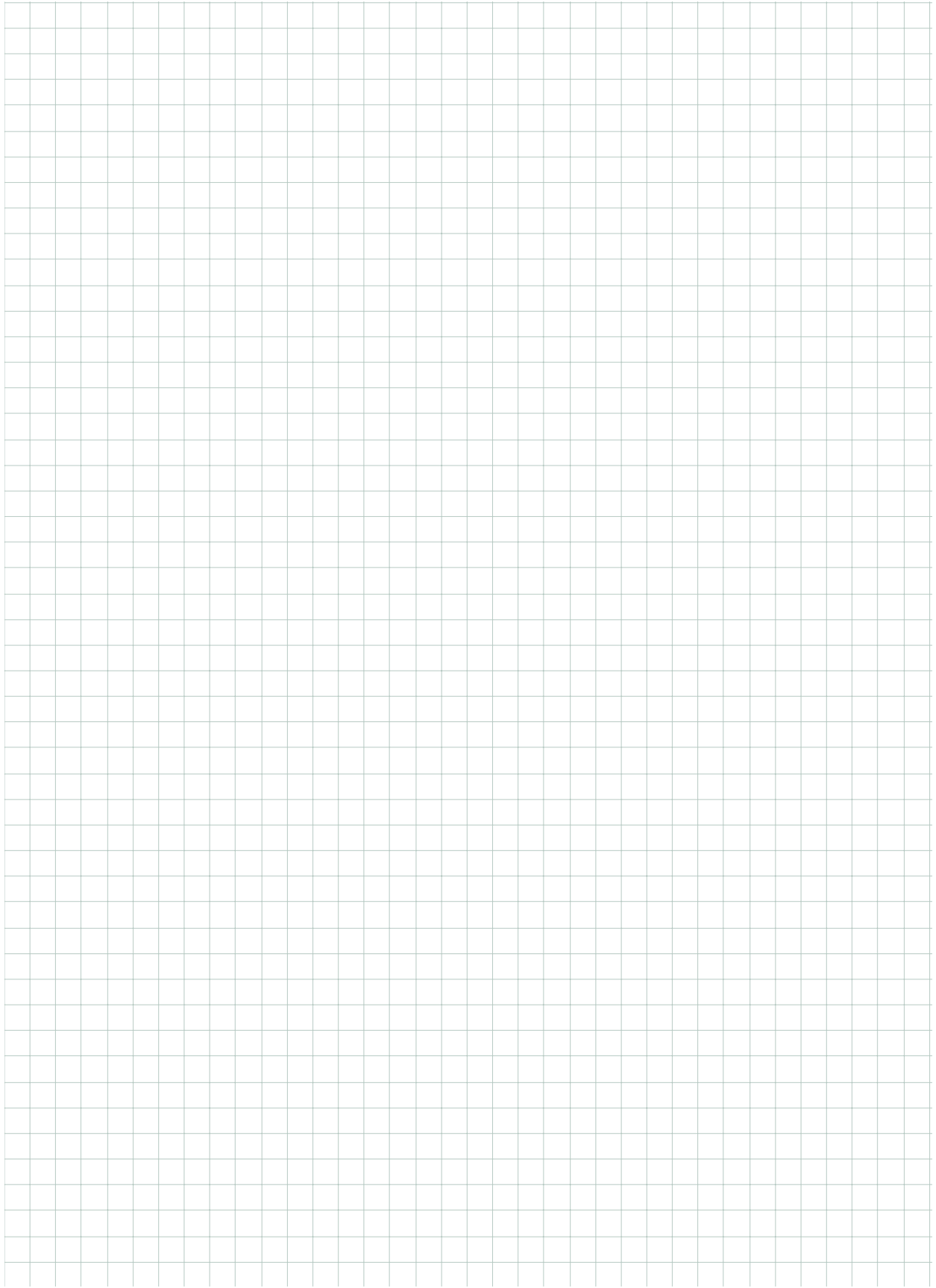
List 6-12 key words for the talk: \_\_\_\_\_

Please summarize the lecture in 5 or fewer sentences: (see abstract)

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# **F-Signature and Relative Hilbert-Kunz Multiplicity**

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The F-signature and Hilbert-Kunz multiplicity of a local ring are among a number of numerical invariants defined via Frobenius in positive characteristic. After introducing these so-called F-invariants, we will sketch a partial answer to a question of Watanabe and Yoshida by showing that the F-signature and relative Hilbert-Kunz multiplicity (for cyclic modules) coincide. The method of proof also suggests a number of generalizations of both invariants that will be described as time allows.

## F-signature and relative Hilbert-Kunz multiplicity

Setup:  $(R, \mathfrak{m}, \mathbb{K})$  dim  $d$  complete local domain,  $\text{char } p > 0$ ,  $\mathbb{K} = \mathbb{K}^p$

$$F: R \rightarrow R$$

$$r \mapsto r^p$$

$M$   $R$ -mod  $\rightsquigarrow F_* M = M$  viewed as an  $R$ -module by restriction of scalars

Example:  $R = \mathbb{F}_p[x_1, \dots, x_d]$ ,  $F_*^e R \cong R^{1/p^e} = \mathbb{F}_p[x_1^{1/p^e}, \dots, x_d^{1/p^e}]$  free  $R$ -module with basis  $\{x_1^{a_1} \dots x_d^{a_d}\}$ ,  $0 \leq a_i < 1$ ,  $a_i \in \frac{1}{p^e} \mathbb{Z}$ , so  $\text{rank} = p^{ed}$ .

Thm (Kunz):  $R$  regular  $\Leftrightarrow F$  is flat  $\Leftrightarrow F_*^e R$  free of rank  $p^{ed}$ , same for all  $e > 0$ .

Def:  $F_*^e R = R^{\oplus a_e} \oplus M_e$ ,  $R \nmid M_e$ . Then  $a_e$  is called the  $e^{\text{th}}$  F-splitting number of  $R$ .

(in our example,  $a_e = p^{ed}$ )

Example:  $R = \frac{\mathbb{F}_p[x, y, z]}{(x^2 + y^2 + z^2)}$ . Then  $a_e = \begin{cases} 1, & p \equiv 1 \pmod{3} \\ 0, & p \equiv 2 \pmod{3} \end{cases}$ .

Def:  $R$  is F-split if  $R \rightarrow F_*^e R$  splits (as  $R$ -modules) for some/all  $e > 0$ .

( $\Leftrightarrow \exists \varphi \in \text{Hom}_R(F_*^e R, R)$  surjective for some/all  $R \Leftrightarrow a_e > 0$  for some/all  $e > 0$ )

Def:  $P := \{r \in R \mid R \rightarrow F_*^e R \text{ is not split for any } e > 0\}$  is the F-splitting prime of  $R$ .  $n := \dim R/P$  is the F-splitting dimension of  $R$ .

Thm:  $r_F(R) = \lim_{e \rightarrow \infty} \frac{a_e}{p^{ed}}$ , where  $n$  is the F-splitting dimension of  $R$ .

(call it the F-splitting rank).

It exists! (+) and if  $R$  is F-split, it is positive (Blickle-Schwede-T.)

Example:  $p \neq 2$ ,  $R = \mathbb{F}_p[a, b, c]/(b^2 - a^2c)$ ,  $a_e = \frac{p^e + 1}{2}$ ,  $P = \langle a, b \rangle$ ,  $d=3, n=1$ .

Note that  $R$  is a seminormal monoid ring.  $R \cong \mathbb{F}_p \left[ \begin{smallmatrix} * & & \\ & * & \\ & & * \end{smallmatrix} \right] = \mathbb{F}_p[x, y, y^2]$ .

Let  $M = \mathbb{N}^d \setminus \{(a_1, \dots, a_d) \mid \text{one of } a_1, \dots, a_d \text{ is odd}\}$ . Let  $R = \mathbb{F}_p[M]$ . Then  $r_F(R) = \frac{1}{2^k}$  and  $n=k$ .  
(joint w/Hering)

Def: The F-signature of  $R$  is  $s(R) = \lim_{e \rightarrow \infty} \frac{a_e}{p^{ed}}$ .

note:  $R$  is strongly F-regular  $\Leftrightarrow P=0$  (i.e.  $n=d$ )  $\Leftrightarrow s(R) > 0$  (Aberbach-Kunz)

$R$  is regular  $\Leftrightarrow s(R) = 1$  (Yao)

Ex (Huneke-Lenschke, using a result of Watanabe-Yoshida)  
 ADE singularities in dim 2.

$$s(A_n) = 1/(n+1)$$

$$s(D_n) = 1/4(n-2)$$

$$s(E_6) = 1/24, s(E_7) = 1/48, s(E_8) = 1/120$$

In general,  $s(R) = 1/|G|$ , where  $G$  defines the quotient singularity. Similar formulas hold for all tame quotients. (Yao, T.)

Conjecture [Monksy]:  $R = \mathbb{F}_2[x, y, z, v] / (uv + xyz + x^2 + y^3)$ . Then  $s(R) \stackrel{?}{=} \frac{2}{3} - \frac{5}{14\sqrt{7}}$ .

Thm/Def (Monksy). Let  $I \subset R$  be  $m$ -primary. The Hilbert-Kunz mult. of  $I$  is

$$e_{HK}(I) = \lim_{e \rightarrow \infty} \frac{l(R/I^{[p^e]})}{p^{ed}}, \text{ where } I^{[p^e]} := \langle i^{p^e} \mid i \in I \rangle. \text{ This exists.}$$

Conj (Monksy):  $e_{HK}(m) = \frac{4}{3} + \frac{5}{14\sqrt{7}}$ .

Example [Han-Monksy]:  $p \neq 2$ ,  $R = \mathbb{F}_p[x, y, z, w] / \langle x^2 + y^2 + z^2 + w^2 \rangle$ .  $l(R/m^{[p^e]}) = \frac{4}{3} p^{3e} - \frac{1}{3} p^e$   
 Thus  $e_{HK}(m) = 4/3$ .

Ex (Skleler):  $p \equiv 1 \pmod{3}$ ,  $n = \frac{p-1}{3}$ ,  $R_p = \mathbb{F}_p[x, y, z, w] / \langle x^3 + y^3 + z^3 + w^3 \rangle$

$$a_e = \frac{27n^2 + 27n + 6}{216n^2 + 216n + 64} p^{3e} + \frac{192n^2 + 192n + 58}{216n^2 + 216n + 64} (n+1)^e$$

$s(R_p)$

Note:  $\lim_{p \rightarrow \infty} s(R_p) = 1/8$ .

main theorem!

Conj (Watanabe-Yoshida) / Thm (T):  $s(R) = \inf_{I \subseteq J} (e_{HK}(I) - e_{HK}(J)) =: e_{HK}^{ord}(I \subseteq J \subseteq R)$

Thm (Hochster-Huneke)

$$e_{HK}^{ord}(I \subseteq J \subseteq R) = 0 \Leftrightarrow J \subseteq I^* \Leftrightarrow \bigcap_{e > 0} (I^{[p^e]} : J^{[p^e]}) \neq 0$$

Def:  $R$  is weakly  $F$ -regular if  $I^* = I \forall$  ideals  $I$ . ( $\Leftrightarrow e_{HK}(I \subseteq J \subseteq R) > 0 \forall I \subseteq J$ )

Question: Is the inf a min?

Note: Yes  $\Rightarrow$  (weak  $F$ -reg = strong  $F$ -reg.)

Sketch pf of result

Main tool: improved limit existence thms. w/ better "uniformity".

Fix  $0 \neq \sigma \in \text{Hom}_R(R, F_* R)$  and  $0 \neq \psi \in \text{Hom}_R(F_* R, R)$ .

Let  $\{I_e\}$  be a seq. of ideals,  $m$ -primary, s.t.  $m^{[pe]} \subseteq I_e$ , such that

- ①  $\sigma(I_e) \subseteq F_* I_{e+1}$   
and ②  $\psi(F_* I_{e+1}) \subseteq I_e$ .

Thm (1):  $\lim_{e \rightarrow \infty} \frac{l(R/I_e)}{p^{ed}} = \gamma$  exists and  $l(R/I_e) = \gamma p^{ed} + O(p^{e(d-1)})$   
↑ same unit const.  $\forall$  such seqs  $\{I_e\}$ .

Examples:  $I_e = m^{[pe]} \xrightarrow{R \rightarrow F_* R} e_{HK}$

$I_e = \{r \in R \mid 1 \mapsto F_* r \text{ not split}\} \xrightarrow{R \rightarrow F_* R} s(R)$

any two ideals  $I \subseteq J$ ,  $I \subseteq J$ ,  $l(J/I) = 1$ .  $I_e = (I^{[pe]}, J^{[pe]}) \xrightarrow{R \rightarrow F_* R} e_{HK}(I \subseteq J \subseteq R)$ .

Now let  $\{J_t\}$  be an approx Gorenstein sequence. Let  $u_t$  generate the socle of  $R/J_t$

$$\xrightarrow{t \rightarrow \infty} I_e = (J_t^{[pe]}, u_t^{[pe]}) \quad \forall t \gg 0$$

$$s(R) = \lim_{e \rightarrow \infty} \lim_{t \rightarrow \infty} \frac{l(R/(J_t^{[pe]}, u_t^{[pe]}))}{p^{ed}} = (\text{interchange limits!}) = \lim_{t \rightarrow \infty} e_{HK}(J_t \subseteq (J_t, u_t) \subseteq R) \quad \square$$

Why can you interchange the limits?

$$\text{because } \left| \gamma - \frac{l(R/I_e)}{p^{ed}} \right| \leq \frac{C}{p^e}, \quad C \text{ a uniform constant.}$$

