# Knots and surfaces in 3-dimensional space

# Jennifer Schultens

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## Definition

A knot is a smooth embedding of the circle into 3-dimensional space.

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Figure: A knot

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**• Fact I:** The mathematical study of knots includes several different branches, each with a very different "flavour": algebraic, geometric, ...

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- **Fact I:** The mathematical study of knots includes several different branches, each with a very different "flavour": algebraic, geometric, ...
- **Fact II:** Knots relate to nature, for instance via the tangles in long strands of DNA.

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## **Definition**

Given a knot  $K$  in 3-dimensional space, an orientable (2-sided) surface S that has boundary  $K$  is called a spanning surface.

# Seifert Surfaces



Figure: A Seifert surface



(Seifert) Every knot admits a spanning surface.

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(Seifert) Every knot admits a spanning surface.

## Seifert's algorithm

- Step 1: Consider a projection of the knot and give it an orientation.
- Step 2: Resolve each crossing to obtain Seifert circles.

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- Step 2: Resolve each crossing to obtain Seifert circles.
- Step 3: The Seifert circles bound disks with a natural orientation.

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## Seifert's algorithm

- Step 1: Consider a projection of the knot and give it an orientation.
- Step 2: Resolve each crossing to obtain Seifert circles.
- Step 3: The Seifert circles bound disks with a natural orientation.
- Step 4: Connect disks via bands to obtain a spanning surface.

For a surface  $S$  constructed via Seifert's algorithm, the Euler characteristic of S, denoted by  $\chi(S)$ , can be computed as

$$
\chi(S) = \# \text{disks} - \# \text{bands}
$$

## **Definition**

A Seifert surface for a knot  $K$  is a spanning surface of maximal Euler characteristic.

Fact I: Many knots  $K$  in  $\mathbb{S}^3$  admit non-isotopic Seifert surfaces. (Eisner 1977)

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- Fact I: Many knots  $K$  in  $\mathbb{S}^3$  admit non-isotopic Seifert surfaces. (Eisner 1977)
- Fact II: Many knots  $K$  in  $\mathbb{S}^3$  admit disjoint non-isotopic Seifert surfaces. (Eisner 1977)

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Figure: Connect sum of knots

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# Seifert Surfaces



# Figure: Connect sum of knots

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Figure: Schematic

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## Definition

The vertices of the Kakimizu complex  $\mathit{Kak}(K)$  of a knot  $K$  in  $\mathbb{S}^3$ are given by the isotopy classes of minimal genus Seifert surfaces for K.

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## **Definition**

The vertices of the Kakimizu complex  $\mathit{Kak}(K)$  of a knot  $K$  in  $\mathbb{S}^3$ are given by the isotopy classes of minimal genus Seifert surfaces for K.

The *n*-simplices of the Kakimizu complex of K, for  $n > 1$ , are given by n-tuples of vertices that admit representatives that are pairwise disjoint.

# Example I: Fibered knots have trivial Kakimizu complexes.

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- Example I: Fibered knots have trivial Kakimizu complexes.
- Example II: Hyperbolic knots have finite Kakimizu complexes.

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(Scharlemann-Thompson) The Kakimizu complex of a knot is connected.

Not stated in these terms.

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(Kakimizu) Suppose that  $K_1, K_2$  are knots with unique minimal genus Seifert surfaces (up to isotopy). Then the Kakimizu complex of  $K = K_1 \# K_2$  is a bi-infinite ray.

More recently, Kakimizu computed the Kakimizu complexes for all prime knots with up to 10 crossings.

(Banks) Suppose that  $K_1, K_2$  are knots, then the Kakimizu complex of  $K_1 \# K_2$  is the product of three complexes: The Kakimizu complex of  $K_1$ , the Kakimizu complex of  $K_2$  and the complex that has underlying space  $\bf{R}$  and vertices at the integers.

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(Banks) There exist knots with locally infinite Kakimizu complex.

### Theorem

(Banks) A knot has locally infinite Kakimizu complex only if it is a satellite of either a torus knot, a cable knot or a connected sum, with winding number 0.

# Jessica Banks' results



## Figure: An essential torus in a knot complement

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 $(S 2007)$  The Kakimizu complex of a knot K is a flag complex.

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 $(S 2007)$  The Kakimizu complex of a knot K is a flag complex.

#### Theorem

(Kapovich 2009) Let M be a Riemannian 3-manifold with smooth strictly convex boundary, together with a compact family  $J$  of smooth curves on  $\partial M.$  Let  $f_i: (S_i,\partial S_i) \rightarrow (M,\mathcal{J}),\ i=1,\ldots,n$  be incompressible surfaces which are pairwise non-isotopic and pairwise disjoint. Let  $\mathsf{g}_i:(\mathsf{S}_i,\partial\mathsf{S}_i)\rightarrow(\mathsf{M},\mathcal{J}),\ i=1,\ldots,n$  be relative area minimizers in the proper isotopy classes of  $f_i$ ,  $i = 1, \ldots, n$ . Then  $g_1(S_1), \ldots, g_n(S_n)$  are also pairwise disjoint.

# Contractibility of the Kakimizu complex

### **Theorem**

(Przytycki-S 2010) The Kakimizu complex of a knot is contractible.

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Implicit in Kakimizu's work is a projection map (coming from considerations involving covering spaces and Kakimizu's formulation of the distance on the Kakimizu complex) that, given two vertices v, w, produces a vertex  $\pi_{\nu}(w)$  that is one step closer to v than w.

$$
d(v, \pi_v(w)) = d(v, w) - 1
$$

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(Przytycki-S 2010) The Kakimizu complex of a knot is contractible.

Idea of proof: Choose a vertex v in  $Kak(K)$  and prove that the projection map onto  $v$  is a contraction of  $Kak(K)$ .

Challenge: Make sure that the projection map behaves well on links of vertices.

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(Scharlemann-Thompson) The Kakimizu complex of a knot is connected.

<span id="page-31-0"></span>Idea of new proof: Given any two vertices  $v, w$ , we construct a path