

# An Introduction to Lorentzian 3-manifold

Youngju Kim  
Notes by Serena Yuan

## 1. Lorentzian Geometry

DEFINITION 1.1. We define a *Lorentzian vector space*  $V \in \mathbb{R}^{2,1}$  as a 3-dimensional real vector space with *Lorentzian inner product*, a symmetric, non-degenerate bilinear form of signature  $(2, 1)$ .

Consider the system given by  $u \cdot v = u_1v_1 + u_2v_2 - u_3v_3$ , that with the standard basis  $\{e_1, e_2, e_3\}$  may be represented by  $\begin{pmatrix} 1 & & \\ & 1 & \\ & & -1 \end{pmatrix}$ .

### 1.1 Vectors in Lorentzian Vector Spaces

A vector  $v \neq 0 \in V$  is called

- *timelike* if  $v \cdot v < 0$
- *lightlike* (null) if  $v \cdot v = 0$
- *spacelike* if  $v \cdot v > 0$ .

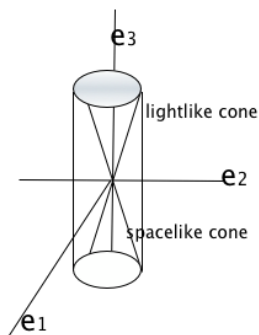


Figure 1: Diagram of spacelike and timelike cones

We define the *Lorentz-orthogonal space*  $v^\perp$  as the 2-dimensional linear subspace given by  $\{u \in \mathbb{R}^{2,1} | u \cdot v = 0\}$ .

- For *timelike*  $v$ , we have that  $v^\perp$  is positive definite.
- For *lightlike*  $v$ , we have that  $v^\perp$  is tangent to the null cone.
- For *spacelike*  $v$ , we have that  $\{s, s^-, s^+\}$  forms the null-basis and we see that  $v$  is a spine as shown in Fig. 2.

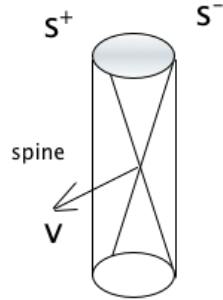


Figure 2: spacelike  $v$

## 1.2 Properties

**DEFINITION 1.2 (TIME ORIENTATION).** We define a non-spacelike vector  $v$  to be *future-pointing* if its third component  $v_3 > 0$ , and it  $v$  is *past-pointing* otherwise ( $v_3 \leq 0$ ).

**DEFINITION 1.3 (CROSS PRODUCT).** We relate the determinant of a matrix formed by column vectors  $u, v, w$  with the cross product,

$$\text{Det}(u, v, w) = u \times v \cdot w.$$

**EXAMPLE.**

For null basis  $\{s, s^-, s^+\}$ ,

$$\begin{aligned} s \times s^- &= s^-, \\ s \times s^+ &= -s^+. \end{aligned}$$

## 1.3 Minkowski Space

We let  $E$  be the affine space modeled on  $\mathbb{R}^{2,1}$ .  $E$  is also a smooth, oriented manifold with a Lorentzian (semi-Riemannian) metric, and it is oriented since  $\mathbb{R}^{2,1}$  is oriented.

Considering its time orientation, it is additionally defined as a flat *Lorentzian manifold*.

EXAMPLE.

$\mathbb{H}_{\mathbb{R}}^2 = \{v: \text{future-pointing} | v \cdot v = -1\}$  (or  $\{\text{timelike lines}\} / \sim$ ), where  $\cosh(\rho(u, v)) = u \cdot v$ . We can see that this hyperbolic plane sits in Minkowski space.

### 1.4 Linear Lorentzian Isometries

We give a few examples of Linear Lorentzian Isometries,

- $O(2, 1)$ : Group of linear transformations that preserves  $B = \begin{pmatrix} 1 & & \\ & 1 & \\ & & -1 \end{pmatrix}$   
 $= \{A \in GL(3, \mathbb{R}) | A^T B A = B\}$ .

- $SO(2, 1) : O(2, 1) \cap GL^+(3, \mathbb{R})$ , which has positive determinant if and only if it is orientation-preserving.

- $\text{Isom}^+(E) =$  orientation-preserving isometries of  $E$ .

REMARKS.

(i)  $O(2, 1)$  has four components.  $SO^0(2, 1)$  is the identity component; it is orientation and time-orientation preserving.

For example, for a spacelike vector  $s$ ,  $\begin{pmatrix} 1 & & \\ & -1 & \\ & & -1 \end{pmatrix}$  is in the null basis  $\{s, s^-, s^+\}$  of  $s$ . We may see this in Fig. 3.

(ii) *Spine reflection*: orientation-preserving but with reverse time-orientation in  $\mathbb{R}$ .

(iii) We explicitly choose a point  $o \in E$ . Any *affine transformation* can be written as

$$\gamma(p) = o + g(p - o) + \vec{v}, \vec{v} \in \mathbb{R}^{2,1}, g \in GL(3, \mathbb{R}).$$

(iv)  $\gamma \in \text{Isom}^+(E) \iff g \in SO(2, 1)$ , where  $\gamma$  is the "affine deformation of  $g$ " and  $L(\gamma)$  is the "linear part of  $\gamma$ ".

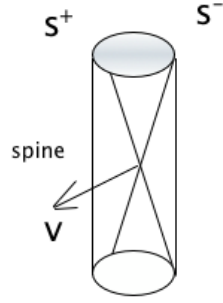


Figure 3: spacelike  $v$

(v)  $\gamma \in \text{Isom}^+(E)$  is called hyperbolic, parabolic, or elliptic if  $g \in SO(2, 1)$  is hyperbolic, parabolic or elliptic. ( $g$  is the linear part of  $\gamma$ ).

EXAMPLE (“HYPERBOLIC BOOST”).

We have  $\gamma$  such that  $\gamma$  is conjugate to  $g$ , where  $g$  has the representation (where  $ch = \text{hyperbolic cosine}$ ,  $sh = \text{hyperbolic sine}$ )

$$g = \begin{pmatrix} 1 & 0 & 0 \\ 0 & cht & sht \\ 0 & sht & cht \end{pmatrix}, \text{ for } t \in \mathbb{R}^+ \iff cht \neq 1. \text{ Then we can write } \gamma(p) =$$

$0 + g(p - 0) + \vec{v}, \vec{v} \in \mathbb{R}^{2,1}$  where  $g$  keeps  $\langle e_2, e_3 \rangle$  invariant.

CASE 1:  $g$  keeps  $\langle e_2, e_3 \rangle$  invariant. If  $v \in \langle e_2, e_3 \rangle$ , then  $\gamma|_{\langle e_2, e_3 \rangle}$  has a fixed point  $p \in \langle e_2, e_3 \rangle$ .

PROOF OF CASE 1.

$$\gamma|_{\langle e_2, e_3 \rangle} : \begin{pmatrix} cht & sht \\ sht & cht \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} + \begin{pmatrix} v_1 \\ v_2 \end{pmatrix} = \begin{pmatrix} x \\ y \end{pmatrix}.$$

With  $ch^2t - sh^2t = 1$  we have

$$\begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} cht - 1 & sht \\ sht & cht - 1 \end{pmatrix}^{-1} \begin{pmatrix} v_1 \\ v_2 \end{pmatrix} \text{ for } cht \neq 1.$$

□

So we have that  $\gamma(p) = p \in 0+ \langle e_2, e_3 \rangle$  and therefore  $\gamma$  fixes a line  $L$  passing through  $p$  and parallel to  $\langle e_1 \rangle$  pointwisely.

CASE 2:  $g$  does not keep  $\langle e_2, e_3 \rangle$  invariant.

In this case,  $\gamma$  has no fixed points and  $L$  is kept invariant with translations denoted by  $\gamma|_L$ .

This implies that  $\langle \gamma \rangle$  acts freely and properly discontinuously on  $E$  and  $E / \langle \gamma \rangle = \mathbb{R}^2 \times S^1$  is noncompact.

## 2. Group Actions by Isometries

DEFINITION 2.1. For  $X$  a locally compact space and  $G$  a group that acts on  $X$ ,  $G$  acts *properly discontinuously* on  $X$  if for every compact set  $\kappa \subset X$ , the set  $\{\gamma \in G \mid \gamma\kappa \cap \kappa \neq \emptyset\}$  is finite.

We say that  $G$  acts *freely* if it does not fix any points.

REMARK.

Milnor (77) asked whether a non-amenable group could act properly by affine transformations. In other words, we have the question: can a discrete free group act properly discontinuously on  $\mathbb{R}^n$ ? By the Tits' alternative, every finitely generated linear group is either virtually solvable or contains a subgroup isomorphic to the free group of rank 2. Milnor proposed an affine deformation of a free discrete subgroup of  $SO(2,1)$  to answer this question (for example, a Schottky group).

EXAMPLE 2.2

An example of a free and properly discontinuous action is given by  $E/G$ , where  $E$  be the affine space modeled on  $\mathbb{R}^{2,1}$  and a group  $G$ . This is a covering space, Hausdorff manifold, and a flat Lorentzian manifold.

EXAMPLE 2.3

We have linearly independent vectors  $t_1, t_2, t_3 \in \mathbb{R}^{2,1}$ .

$$G = \langle Z_1, Z_2, Z_3 \rangle \cong \mathbb{Z}^3.$$

$Z_i : p \mapsto p + t_i$  where  $Z_i$  acts freely and properly discontinuously on  $E$ .

$\Rightarrow E/G$  is a compact Lorentzian manifold for  $E$  and  $G$  defined in the previous example.

Next, we look at developments in the efforts to understand "affine deformations" of a discrete group  $\Gamma < O(2, 1)$ ; i.e.,  $G < \text{Isom}(E)$  with  $L(G) = \Gamma$ .

[Fried-Goldman, 1983]: If a group  $G$  of affine transformations acts properly discontinuously on  $\mathbb{R}^3$  then it is either virtually solvable or it does not act cocompactly in which case  $L(G)$  is conjugate to a subgroup of  $O(2, 1)$ .

[Mess 2009]: An affine deformation of a closed surface group *cannot* act properly discontinuously on  $E$ .

## 3. Margulis Invariants

We consider an element  $g \in O^0(2, 1)$ , a hyperbolic group. There exists three distinct eigenvalues  $(1, \lambda, \frac{1}{\lambda})$  such that  $0 < \lambda < 1 < \frac{1}{\lambda}$ . We may note that the eigenspaces of  $\lambda, \frac{1}{\lambda}$  are null.

Then we have that

$g^0$  = unit spacelike 1-eigenvector  
 $g^+$  = future-pointing  $\frac{1}{\lambda}$ -eigenvector  
 $g^-$  = future-pointing  $\lambda$ -eigenvector,  
 and  $[g^0, g^-, g^+] > 0$ , giving that  $g$  is positively oriented.

DEFINITION 3.1. Let  $\gamma \in \text{Isom}(E)$ ,  $L(\gamma) = g$ . Then  $\langle \gamma \rangle$  acts freely if and only if  $\forall p \in E, \gamma(p) - p \notin \langle g^-, g^+ \rangle$  so there exists a unique  $l_\gamma$  invariant line.

So we have that  $\gamma(p) = p + \alpha g^0$ ,  $\alpha \neq 0$  on  $l_\gamma$ . Then  $\alpha = \alpha(\gamma)$  is called the *Margulis invariant* of  $\gamma$ .

PROPOSITION 3.2.

$$\alpha(\gamma) = (\gamma(p) - p)g^0 \quad \forall p \in E.$$

PROPOSITION 3.3.

$\alpha(\gamma) = 0$  if and only if  $\gamma$  fixes a point.

PROPOSITION 3.4.

$$\alpha(\gamma^{-1}) = \alpha(\gamma) \quad (\text{from } (g^{-1})^0 = -g^0).$$

PROPOSITION 3.5.

$$\alpha(\eta\gamma\eta^{-1}) = \alpha(\gamma) \quad \forall \eta \in \text{Isom}(E).$$

OPPOSITE SIGN LEMMA [MARGULIS]:

Let  $\gamma, \eta \in \text{Isom}(E)$  be hyperbolic or parabolic. If  $\alpha(\gamma)\alpha(\eta) < 0$ , then  $\langle \gamma, \eta \rangle$  cannot act properly on  $E$ .

REMARK.

[Margulis 83] has shown there exist affine deformations of Schottky groups which act properly discontinuously on  $\mathbb{R}^3$ .

[Charette-Drumm] has generalized the results about Margulis invariants in the Opposite Sign Lemma for parabolic surfaces.

[Drumm 90]: Every generalized Schottky group (including parabolic groups) admits a lot of affine deformations.

THEOREM 3.6.

Take group  $\Gamma$  generated by  $\gamma_1, \dots, \gamma_n$ ,

$$\Gamma = \langle \gamma_1, \dots, \gamma_n \rangle$$

Then,  $L(\Gamma) = \langle g_1, \dots, g_n \rangle$  is a Schottky group.

Suppose there exists a simply connected region  $\Delta$  bounded by  $2n$  pairwise disjoint *crooked planes*  $c_1^-, c_1^+, \dots, c_n^-, c_n^+$  such that  $\gamma_i c_i^- = c_i^+$  for  $i = 1, \dots, n$ . Then,  $\Delta$  is a fundamental domain for  $\Gamma$  and  $\Gamma$  acts freely and properly discontinuously on  $E$ .