# EXPONENTIAL DECAY OF MATRIX COEFFICIENTS

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## 1. INTRODUCTION

We will look at the exponential decay of matrix coefficients of functions over  $\Gamma \setminus G$  where G is a connected, simple, non-compact linear real group,  $\Gamma < G$  a discrete subgroup and dx an invariant measure on  $\Gamma \setminus G$ . We want to ask the following questions,

**Question 1.** For  $f_1, f_2 \in C_c(\Gamma \setminus G)$ , to every g we can associate a correlation function:  $g \mapsto \int_{\Gamma \setminus G} f_1(xg) f_2(x) dx$ .

- (1) As  $g \to \infty$  is there a limit for the correlation function?
- (2) Is there a limit with exponential rate of convergence?
- (3) is there a limit with *uniform* exponential rate of convergence for a given family  $\{\Gamma_i < \Gamma\}$  of finite index subgroups?

**Definition 1.** A unitary representation of G is a group homomorphism  $G \to U(\mathcal{H})$ , where  $\mathcal{H}$  is a separable Hilbert space, such that the map  $G \times \mathcal{H} \to \mathcal{H}$ , where  $(g, v) \mapsto gv$ , is continuous.

**Definition 2.** For  $v, w \in \mathcal{H}$ , the function  $G \to \mathbb{C}$ ,  $g \mapsto \langle gv, w \rangle$  is called the *matrix coefficient* with respect to v and w.

Consider  $L^2(\Gamma \setminus G, dx)$ , here the inner product is given by  $\langle f_1, f_2 \rangle := \int_{x \in \Gamma \setminus G} f_1(x) \overline{f_2(x)} dx$ . This is Hilbert space and G acts on this space by right translation,  $(g \cdot f)(x) = f(xg)$  and it preserves the inner product

$$\langle gf_1, gf_2 \rangle = \int_{\Gamma \setminus G} f_1(xg) \overline{f_2(xg)} \, \mathrm{d}x = \langle f_1, f_2 \rangle$$

so this gives us a unitary action of G. The matrix coefficient gives us exactly our correlation function. Thus any properties of a unitary representation and any statements about the matrix coefficient will also apply to the correlation function.

### 2. Limit of the correlation function

**Theorem 1** (Howe-Moore '79). If  $\rho$  is a unitary representation of G with no G-invariant vector, then for all  $v, w \in \rho$  (i.e. v, w are in the Hilbert space associated to  $\rho$ ),

$$\lim_{g \to \infty} \langle \rho(g) v, w \rangle = 0$$

**Corollary 1.** Let  $f_1, f_2 \in L^2(\Gamma \backslash G)$ , then

$$\lim_{g \to \infty} \int_{\Gamma \setminus G} f_1(xg) f_2(x) \, \mathrm{d}x = \begin{cases} \frac{1}{\operatorname{vol}(\Gamma \setminus G)} \int f_1 \, \mathrm{d}x \int f_2 \, \mathrm{d}x & \text{if } \Gamma < G \text{ is a lattice} \\ 0 & \text{otherwise} \end{cases}$$

*Proof.*  $L^2(\Gamma \setminus G)$  has no *G*-invariant vector if  $vol(\Gamma \setminus G) = \infty$ . If  $\Gamma < G$  is a lattice, then we can decompose  $L^2(\Gamma \setminus G) = \mathbb{C} \oplus L^2_o(\Gamma \setminus G)$ .

*Date*: February 02, 2015.

### 3. EXPONENTIAL RATE

Fix the Cartan decomposition  $G = KA^+K$  where K is a maximal compact subgroup of G and A a maximal real-diagonalizable subgroup of G,  $A^+$  is the positive Weyl chamber of this subgroup.  $A^+$  is uniquely determined and can be written as  $A^+ = \{\exp X \mid X \in \mathfrak{a}^+\}$ .

**Example 1.** If  $G = SL(n, \mathbb{R})$ , then K = SO(n),  $A = \{ diag(e^{t_1}, \ldots, e^{t_n}) \mid \sum t_i = 0 \}$  and  $A^+ = \{ diag(e^{t_1}, \ldots, e^{t_n}) \mid t_1 \ge t_2 \ge \cdots \ge t_n, \sum t_i = 0 \}.$ 

We define  $\mathbb{R}$ -rank $(G) = \dim A$ . Then we consider the following cases,  $\mathbb{R}$ -rank $(G) \ge 2$ , and  $\mathbb{R}$ -rank(G) = 1, which further breaks into  $\operatorname{Sp}(n, 1)$  and  $F_4^{-20}$ , and  $\operatorname{SO}(n, 1)$  and  $\operatorname{SU}(n, 1)$ .

**Definition 3.** A non-compact subgroup H < G is  $L^1$ -tempered if for every unitary representation of G,  $\rho$  with no invariant vector, and every K-fixed vectors v, w the matrix coefficient of v, w is in  $L^1(H)$ .

**Theorem 2** (Margulis '97). Let H < G be a closed and non-compact subgroup. Suppose H is an  $L^1$ -tempered subgroup, then G/H does not admit any compact quotient, that is, there is no discrete subgroup  $\Gamma < G$  such that  $\Gamma$  acts properly discontinuously on G/H and  $\Gamma \setminus H$  is compact.

**Remark 1.** For this, it is not enough to show that there exists exponential decay we really want to prove very sharp exponential decay.

We define the function  $\eta_G$ , a bi-K-invariant function, such that  $\eta_G(\exp x) = \frac{1}{2} \sum_{\alpha \in S} \alpha(x)$  for  $x \in \mathfrak{a}^+$ , where S is a maximal strongly orthogonal system of  $\Phi_+(G, A)$ , the positive root system. We call S strongly orthogonal if for distinct  $\alpha, \beta \in S$ , then  $\alpha \pm \beta \notin \Phi$ .

**Theorem 3** (Oh, 2002). Assume  $\mathbb{R}$ -rank $(G) \geq 2$ . For all  $\varepsilon > 0$ , there exists a constant  $C_{\varepsilon} > 0$  such that for any unitary representation  $\rho$  of G with no invariant vectors, and any v, w, K-finite unit vectors of  $\rho$ , then

$$\langle \rho(g)v, w \rangle | \leq C_{\varepsilon} \sqrt{\dim \langle Kv \rangle \dim \langle Kw \rangle} e^{-(1-\varepsilon)\eta_G(g)}$$

**Example 2.** If  $G = SL(n, \mathbb{R})$ , we have, for a as in the previous example,  $\Phi_+ = \{\alpha_{ij}(a) = t_i - t_j \mid i < j\}$ . Then  $S = \{\alpha_{i,n+1-i} \mid 1 \le i \le \lfloor \frac{n}{2} \rfloor\}$  is a maximal strongly orthogonal system, and

$$\eta_G = \frac{1}{2} \sum_{i=1}^{\lfloor \frac{n}{2} \rfloor} (t_i - t_{n+1-i})$$

In particular, if n = 3, we have

$$|\langle \rho(g)v, w \rangle| \le C_{\varepsilon} (\dim \langle Kv \rangle \dim \langle Kw \rangle)^{1/2} e^{-(t_1 + \frac{t_2}{2})(1-\varepsilon)}$$

Since  $S = \{t_1 - t_3\}$ , and we can replace this with  $2t_1 + t_2$ .

**Theorem 4** (Oh, 2002). If  $G = SL(n, \mathbb{R})$ ,  $n \geq 3$ , or  $Sp(2n, \mathbb{R})$ , then this bound is optimal in "every" direction of g. That is, there exists an irreducible unitary representation  $\rho_0$  of G and a K-fixed vector  $v_0$  such that  $C \cdot e^{-\eta_G(g)} \leq |\langle \rho_0(g)v, v \rangle|$  for all  $g \in G$ .

3.1. Where do we get the strongly orthogonal system. To any  $\alpha \in \phi$ , we can associate a Lie group  $H_{\alpha}$ , locally isomorphic to  $SL(2,\mathbb{R})$ , and generated by . In general the root space is not one dimensional, but we can generate a one dimensional subgroup from  $\pm \alpha$ . Look at the group

$$G_S := \langle H_\alpha, A \mid \alpha \in S \rangle$$

where S is strongly orthogonal. This group is reductive.

**Proposition 1** (Main proposition). For any representation  $\rho$  with no *G*-invariant vector, if we consider  $\rho|_{G_S}$  is a tempered, as defined by Harish-Chandra, representation of  $G_S$ .

*Proof of Thm 3.* If we have a tempered representation, we understand its matrix coefficients completely, they are bounded by the Harish-Chandra function of S.

**Remark 2.** Theorem 3 also holds for G = Sp(n, 1) and  $F_4^{-20}$  as follows from the classification of the spherical unitary dual (Kostant '69), but the bound we get is not optimal

**Corollary 2.** Suppose  $\mathbb{R}$ -rank of  $G \geq 2$ , or  $G = \operatorname{Sp}(n, 1)$  or  $F_4^{-20}$ . Let  $f_1, f_2 \in C_c^{\infty}(\Gamma \setminus G)$ , then

$$\langle gf_1, f_2 \rangle = \begin{cases} \frac{1}{\operatorname{vol}(\Gamma \setminus G)} \int f_1 \cdot \int f_2 + O(\|f_1\|_{\operatorname{sob}} \|f_2\|_{\operatorname{sob}} \rho^{-(1-\varepsilon)\eta_G(g)}) & \text{if } \Gamma < G \text{ is a lattice} \\ O(\|f_1\|_{\operatorname{sob}} \|f_2\|_{\operatorname{sob}} \rho^{-(1-\varepsilon)\eta_G(g)}) & \text{otherwise} \end{cases}$$

**Remark 3.** We have uniform exponential decay for any  $\Gamma$ .

3.2. Rank 1. If  $G = SO(n, 1) = Isom(\mathbb{H}^n_{\mathbb{R}})$  or  $G = SU(n, 1) = Isom(\mathbb{H}^n_{\mathbb{C}})$ , theorem 3 is not true. However, we can prove something for a very specific representation. Define

$$\rho_0 = \begin{cases} n-1 & \text{if } G = \mathrm{SO}(n,1) \\ 2n & \text{if } G = \mathrm{SU}(n,1) \end{cases}$$

let  $\Delta$  denote the Laplacian on  $\mathbb{H}^n$ .

**Theorem 5** (Lax-Phillips, Hamenstädt). Consider  $L^2(\Gamma \setminus \mathbb{H}^n)$ ,  $\Gamma$  a lattice. Then there exists only finitely many eigenvalues of  $-\Delta$  on  $L^2(\Gamma \setminus \mathbb{H}^n)$  in  $[0, \rho_0^2/4)$ . In particular, there is a spectral gap.

We have

$$0 = \lambda_0 < \lambda_1(\Gamma) \le \lambda_2(\Gamma) \le \dots \le \lambda_m(\Gamma) < \frac{\rho_0^2}{4}$$

and we can write each eigenvalue as  $\lambda_i(\Gamma) = s_i(\Gamma)(\rho_0 - s_i(\Gamma))$ , where  $\frac{\rho_0}{2} < S_i(\Gamma) \le \rho_0$ .

**Corollary 3** (Shalom 2000). Let  $\Gamma < G$  be a lattice, then if  $f_1, f_2 \in C_c^{\infty}(\Gamma \setminus G)$ , we have

$$\int_{X\in\gamma\backslash G} f_1(xa_t)f_2(x)\,\mathrm{d}x = \frac{\int f_1 \int f_2}{\mathrm{vol}(\Gamma\backslash G)} + O(\|f_1\|_{\mathrm{sob}}\|f_2\|_{\mathrm{sob}}e^{-(1-\varepsilon)(\rho_0 - s_1(\Gamma))}$$

We cannot expect this uniform exponential error term for arbitrary finite index subgroups of  $\Gamma$ .

**Example 3.** Consider the case when  $\Gamma \twoheadrightarrow \mathbb{Z}$ , let  $\Gamma_m = \ker(\Gamma \twoheadrightarrow \mathbb{Z}/m\mathbb{Z})$  and  $\inf_m \lambda_1(\Gamma_m) = 0$ 

**Definition 4.** If there is a  $\mathbb{Q}$ -embedding  $G \hookrightarrow SL(N)$  and  $\Gamma = G \cap SL(N, \mathbb{Z})$ , then  $\Gamma$  is called an *arithmetic lattice*.

**Theorem 6** (Selberg, Burger-Sarnak, Clozel, Kelmer-Silberman<sup>1</sup>). Let G = SO(n, 1) or SU(n, 1). Let  $\Gamma$  be an arithmetic lattice, then for all  $q \in \mathbb{N}$ ,  $\Gamma_1 = \{\gamma \in \Gamma | \gamma \equiv e \mod(q)\}$ , then  $\inf_q \lambda_1(\Gamma_q) > 0$ .

Thus along these congruence subgroups we have uniform spectral gap and so Corollary 3 applies and we have uniform exponential mixing.

In the case when  $G = SO(2, 1) = SL(2, \mathbb{R})$  and  $\Gamma = SL(2, \mathbb{Z})$ , Selberg's  $\frac{3}{16}$  theorem tells us that  $\inf \lambda_1(\Gamma_q) \ge \frac{3}{16}$ , but Selberg's eigenvalue conjecture would show that  $\inf \lambda_1(\Gamma_q) \ge \frac{1}{4}$ .

 $<sup>^{1}</sup>$ At the end of the second lecture it is noted that Kelmer-Silberman must be included in the credit if we would like to make a statement about arithmetic lattices.