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# Finite Embeddability of groups

## and its applications to geometry and topology

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We will discuss some connections between topology and group Theory, where the bridge between them is analysis.

$M$  = compact oriented topological manifold

We are interested in deciding whether  $M$  is rigid or not, in the sense that we want to know if there exists another manifold  $N$  that is homotopy equivalent to  $M$  but not homeomorphic to  $M$ . And if such manifolds exist, how big is their collection?

Introduce the structure group

$$S(M) = \left\{ (X, f) \mid \begin{array}{l} X = \text{cpt. oriented manifold} \\ f: X \rightarrow M \text{ orientation preserving} \\ \text{homotopy equivalence} \end{array} \right\}$$

The collection of pairs  $(X, f)$  is modded out by an appropriate equivalence relation and the result is an abelian group.

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Goal: measure the size of this group, in terms of data coming from  $G = \pi_1(M)$  (maybe also from the topology of the manifold).

This is joint work with Shmuel Weinberger and Zhi Zhang Xie. (Some papers were written already on this subject, by these three authors.)

Sample results we are after:

Conjecture 1. Look at the free part of  $S(M)$ . Then

$$\text{rank of } S(M) \geq N_{\text{finite}}(G) = \#\left\{ d \in \mathbb{N} \mid d = \text{order}(g), \text{ for some } g \in G \right\}$$

[Note: This is some basic information that measures the amount of torsion in the group.]

[Note: They believe that this result should hold in general.]

Conjecture 2. Let  $n = \dim(M)$ . Then

$$\text{rank of } S(M) \geq \text{rank of } KO_n^G(\underline{E}G, \tilde{M}),$$

where  $\underline{E}G = \lim_{d \rightarrow \infty} P_d(G)$ .

Rip, complex

Note:  $KO_n^G$  is relative KO-homology

Note: Conjecture 2 is more precise than Conjecture 1. Conjecture 1 is a consequence of Conjecture 2.

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Conjecture 2 implies that  $S(M)$  is infinitely generated if  $KO_n^G(\underline{EG}, \tilde{M})$  is infinitely generated.

Question: Construct natural groups  $G$  s.t.  
 $KO_n^G(\underline{EG})$  is infinitely generated.

Note. In topology is hard to prove that the structure group is infinitely generated. This would mean that  $M$  is highly non rigid, in the sense that there are many manifolds that are homotopy equivalent to  $M$  but not homeomorphic to  $M$ .

What condition(s) need to be imposed on  $\tilde{\epsilon}_1 M$  for the conjectures to become true?

Theorem. if  $G$  is coarsely embeddable into a Hilbert space then Conjecture 2 holds

Coarse embeddability means that the large scale geometry of the group is compatible with the Euclidean geometry, in the infinite dimensional sense.

We do have now a lot of examples of groups that are embeddable into a Hilbert space.

Theorem 2. If  $G$  is finitely embeddable into Hilbert space then conjecture 1 holds.

Here we use:

Definition:  $G$  is said to be finitely embeddable

if for any finite subset  $F \subseteq G$ , there exists a map  $\phi: F \rightarrow H$ , where  $H$  is another group which is coarsely embeddable into a Hilbert space such that:

$$(0) \quad \phi(e) = e;$$

$$(1) \quad \text{if } g, h, gh \in F, \text{ then } \phi(gh) = \phi(g) \cdot \phi(h);$$

(2) Order is preserved for torsion elements:

$$\xrightarrow{\text{main condition}} \text{order}(\phi(g)) = \text{order}(g), \forall g \in F.$$

Examples of finitely embeddable groups:

(1) residually finite groups

(2)  $\text{Out}(F_n)$

(3) Gromov monster groups.

Point of the definition: one only cares about large multiplication tables sitting in the group and not really the structure of the big group.

Note. There exist examples of groups that are NOT finitely embeddable. The construction is based on facts from logic, not groups that "come from nature".

Next we get to the main tool, bridging group theory and topology: analysis.

Note: The structure group only makes sense for topological manifolds. For smooth manifolds it is surprising that the definition does not work. Nevertheless, to give the main idea behind the construction without being too technical, he will exemplify with smooth manifolds.

## Higher rho invariants

[S. Weinberger, John Lott]

in this context, by N. Higson & J. Roe (smooth context)  
Zelobidze (topological context)

The idea is quite natural.

$M$  smooth manifold,  $X$  smooth,  $f: X \rightarrow M$  smooth

The first invariant to look at is (de Rham) cohomology. We want to consider the above as a system. So we construct a complex that computes relative cohomology:

$$\Omega^*(X) \oplus \Omega^{*+1}(M), \text{ acted by } d_f = \begin{pmatrix} d_f^* \\ 0 \end{pmatrix}.$$

Consider  $D_f = d_f + d_f^*$  (we actually need the signature operator, which is part of it, but let's not worry about it now).

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When  $f$  is a homotopy equivalence, The relative Cohomology is trivial. But we want to construct something secondary, like passing from characteristic classes to Chern-Simons classes. We are going to do this using operator Theory.

$f$  is a homotopy equivalence  $\Rightarrow D_f$  is invertible, so it's Fredholm index is zero. We want to construct a more subtle invariant. First normalize the unbounded self-adjoint  $D_f$  by setting  $F = \frac{D_f}{\|D_f\|}$ .  $F$  is still invertible and  $F^2 = I$ .

Crucial idea. The differentiation operation is local, but  $\frac{D_f}{\|D_f\|}$  becomes more global. How can we make  $F$  more local while still keeping it invertible? This does not always happen, and here is where the invariant is coming from.

We want to do this at the level of universal cover:

$$\mathcal{L}^*(\mathbb{R}) \oplus \mathcal{L}^{*+1}(\tilde{M}).$$

For each  $n \in \mathbb{N}$ ,  $\exists$  a  $G$ -invariant open cover  $\{U_i^{(n)}\}$  of  $\tilde{M}$ , and  $\{c_i^{(n)}\}$  a smooth partition of unity subordinate to  $\{U_i^{(n)}\}$ , s.t.

$$\text{diam}(U_i^{(n)}) < \frac{1}{n}.$$

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Construct a local version of  $F$ :

$$F_n = \sum_i (\varphi_i^{(n)})^{1/2} F (\varphi_i^{(n)})^{1/2}$$

$F_n$  is essentially  $F$  (they are htpy. equivalent). This process does not change the "primary" information about  $F$ .  $F_n$  is a local operator.

We put together all the  $F_n$ 's in a family of operators. Define, for  $n \leq t \leq n+1$ , a linear homotopy:

$$F_t = (t-(n+1))F_n + (t-n)F_{n+1}$$

Also let  $F_0 = F$ .

So now we have a family  $\{F_t\}_{t \in [0, \infty)}$ . As  $t \rightarrow \infty$ , the operator becomes more and more local. Can we still keep the invertibility of the operator?

In general, when making the operator local we lose invertibility. How can we measure the loss of invertibility? Here is the trick: consider

$$e^{\frac{2\pi i}{\lambda} (F_t + 1)}$$

This is defined as a formal power series, so it makes sense. It is idempotent and invertible. How far is it from trivial?

We want to put this invariant somewhere...

We assumed in the above that  $M$  is odd dimensional. (if  $\dim M = \text{even}$  things are a bit more technical.)

$$\left[ e^{2\pi i \left( \frac{f_k+1}{2} \right)} \right] \in K_1(C^*_{\mathbb{M}}(\tilde{M})^G).$$

Note: This is a secondary invariant defined when the primary invariant (the Fredholm index) is zero.

[John Roe] Consider an operator  $T : L^2(\tilde{M}) \rightarrow L^2(M)$ .

(Something like  $(Tz)(x) = \int_M k(x,y) \cdot z(y) dy$ )

$\int_M$  "big matrix"  
"continuous matrix"

s.t. (i)  $k$  continuous and bounded

Supported near diagonal (ii)  $\text{Supp}(k) \subseteq \{(x,y) \in \tilde{M} \times \tilde{M} \mid d(xy) \leq r \text{ for some } r\}$

[The smallest such  $r$  is called the propagation of  $T$ .]

G-inv (iii)  $k(gx, gy) = k(xy) , \forall g \in G$ .

Def:  $C^*(\tilde{M})^G = \overline{\{ T : L^2(M) \otimes \mathbb{C}^G \mid \text{satisfying (i), (ii), and (iii)} \}}$

Fact:  $C^*(\tilde{M})^G = C_r^*(G) \otimes K$

$\uparrow$   $C^*$ -algebra of compact operators.

Def:  $C_L^*(\tilde{M})^G = \overline{\left\{ f: [0, \infty) \rightarrow C^*(\tilde{M})^G \right.}$

- uniformly bounded
- uniformly continuous
- propagation ( $f(t) \xrightarrow{t \rightarrow \infty} 0$ )

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!!!

Consider the evaluation map  $e$  and form an exact sequence:

$$0 \rightarrow C_{L_0}^*(\tilde{M})^G \rightarrow C_L^*(\tilde{M})^G \xrightarrow[e]{\text{evaluation}} C^*(\tilde{M})^G \rightarrow 0.$$

!!

$f \mapsto f(0)$

$\{f \in C^*(\tilde{M})^G \mid f(0) = 0\}$

Pass to K-Theory:

this is the Baum-Connes map

$$e_*: K_*(C_{L_0}^*(\tilde{M})^G) \rightarrow K_*(C^*(\tilde{M})^G)$$

↑  
very computable  
K-homology

↑  
hard to compute!

$C_{L_0}^*(\tilde{M})^G$  is an obstruction to  $e_*$  being an isomorphism. It mixes the localness with the globalness.

$(\text{ob}) := \left[ e^{2\pi i \frac{(f_t+1)}{2}} \right] \in K_*(C_{L_0}^*(\tilde{M})^G)$  is the obstruction for the index of  $F$  (or  $D_F$ ) being local.

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We constructed a map  $f: S(M) \rightarrow K_*(C^*_{\ell^1}(\tilde{M})^G)$

A technical result (which was an open question for a number of years):

Theorem:  $f$  is a homomorphism.  
(see a paper on arXiv, 2016)

How can we prove Theorem 1 or 2? The last effort goes into getting information out of  $K_*(C^*_{\ell^1}(\tilde{M})^G)$ .

Theorem: if  $G$  is coarsely embeddable, Then  $f_{\text{Nov}}$  is surjective.

Here  $f_{\text{Nov}}$  is what makes the following diagram commutative:

$$\begin{array}{ccc} S(M) & \xrightarrow{\quad f \quad} & K_*(C^*_{\ell^1}(\tilde{M})^G) \\ & \searrow f_{\text{Nov}} & \downarrow \\ & & KO_*^G(\underline{E\tilde{G}}, \tilde{M}) \xleftarrow{\text{computable}} \end{array}$$

Novikov Conjecture is used here, which requires coarse embedding

Question from the audience: Is  $f$  surjective?

A: This is a hard question.

$S(M)$  is mysterious;  $K_*(C^*_{\ell^1}(\tilde{M})^G)$  is still mysterious but we can get some information out of it. If we understood it, we would solve more than Baum-Connes conjecture.