

SIEVE WEIGHTS AND THEIR SMOOTHINGS

Notation: $n \sim x$ if $x < n \leq 2x$

Counting twin primes

$$\#\{n \sim x : n, n+2 \text{ prime}\} = \#\{n \sim x : (a, m) = 1\},$$

where

$$m = \prod_{p \leq \sqrt{2x}} p, \quad a = n(n+2).$$

Mobius inversion:

$$1_{(a,m)=1} = \sum_{d|(a,m)} \mu(d)$$

gives a formula

$$\begin{aligned} & \#\{n \sim x : n, n+2 \text{ prime}\} \\ &= \sum_{n \sim x} \sum_{d|(a,m)} \mu(d), \\ &= \sum_{d|m} \mu(d) \sum_{\substack{n \sim x \\ n(n+2) \equiv 0 \pmod{d}}} 1 \end{aligned}$$

$m = \prod_{p \leq \sqrt{2x}} p \approx e^{\sqrt{2x}}$, problems arise when d is big.
want to find a strong correlation:

$$1_{(a,m)=1} \approx \sum_{d|(a,m), d \leq D} \rho_d, \quad \rho_d = 0, \text{ if } d > D = x^\theta$$

Combinatorial sieve:

$$\rho_d = \mu(d) 1_{d \in \mathcal{D}}, \quad d = p_1 \dots p_r$$

Selberg's sieve :

$$1_{(a,m)=1} \leq \left(\sum_{d|(a,m)} \lambda_d \right)^2, \quad \lambda_1 = 1, \lambda_d = 0, \text{ if } d > R, D = R^2$$

$$\begin{aligned}
\#\{n \sim x : n, n+2 \text{ prime}\} &\leq \sum_{n \sim x} \left(\sum_{d|(a,m)} \lambda_d \right)^2 \\
&= \sum_{\substack{d_1, d_2 \\ d=[d_1, d_2]}} \lambda_{d_1} \lambda_{d_2} \sum_{\substack{n \sim x \\ n(n+2) \equiv 0 \pmod{d}}} 1 \\
&= \sum_{d_1, d_2 \leq R} \lambda_{d_1} \lambda_{d_2} \left(s \frac{\rho(d)}{d} + O(\rho(d)) \right),
\end{aligned}$$

where $\rho(d) = \#\{n \in \mathbb{Z}/d\mathbb{Z} : n(n+2) \equiv 0 \pmod{d}\}$.

$$\lambda_d \approx c\mu(d) \left(\frac{\log R/d}{\log R} \right)^2 1_{d \leq R}$$

$$\#\{n \sim x : n, n+2 \text{ prime}\} \leq (8 + o(1)) \frac{c_2 x}{\log^2 x},$$

where $c_2 = 2 \prod_{p \geq 3} (1 - \frac{2}{p})(1 - \frac{1}{p})^{-2}$, twin prime constant
Counting k -tuple primes

$$\#\{n \sim x : n + h_1, n + h_2, \dots, n + h_k \text{ all prime}\}$$

need

$$\lambda_d \approx c\mu(d) \left(\frac{\log(R/d)}{R} \right)^k 1_{d \leq R}$$

General

$$\mathcal{M}_f(n; R) = \sum_{d|n} \mu(d) f \left(\frac{\log d}{\log R} \right)$$

$\text{supp}(f) \subset (-\infty, 1]$, $f(1) = 1 \implies \mathcal{M}_f(n; R) = 1$ if $p | n \implies p > R$

$$\#\{n \leq x : p | n \implies p > R\} \approx \frac{x}{\log R}$$

$$\mathcal{M}(n; R) = \sum_{d|n} \mu(d)$$

If $n = 2m, 2 \nmid m$,

$$\begin{aligned}
\mathcal{M}(n; R) &= \sum_{d|n} \mu(d) \\
&= \sum_{\substack{d|m \\ d \leq R}} \mu(d) + \sum_{\substack{d|m \\ d \leq R/2}} \mu(2d) \\
&= \sum_{\substack{d|m \\ R/2 < d \leq R}} \mu(d) \\
&= \pm 1 \text{ if } m \text{ has a unique square free divisor in } (R/2, R]
\end{aligned}$$

Ford:

$$\#\{n \leq x : n \text{ has a unique square free divisor in } (R/2, R]\} \asymp \frac{x}{(\log R)^\delta (\log \log R)^{3/2}},$$

where $\delta = 1 - \frac{1+\log \log 2}{\log 2} = 0.086071 \dots < 1$. This implies that

$$\sum_{n \leq x} \mathcal{M}(n; R)^2 \gg \frac{x}{(\log R)^{\delta+o(1)}},$$

while

$$\#\{n \leq x : p \mid n \implies p > R\} \approx \frac{x}{\log R}$$

If $f \in C^1(\mathbb{R})$, $n = p^v m$, $p \nmid m$, then

$$\begin{aligned}
\mathcal{M}_f(n; R) &= \sum_{d|m} \mu(d) f\left(\frac{\log d}{\log R}\right) - \sum_{d|m} \mu(d) f\left(\frac{\log(dp)}{\log R}\right) \\
&= - \int_0^{\frac{\log p}{\log R}} \sum_{d|m} \mu(d) f'\left(u + \frac{\log d}{\log R}\right) du
\end{aligned}$$

If $f \in C^A(\mathbb{R})$ and $n = p_1^{v_1} \cdots p_A^{v_A} m$, (p_j is the j -th smallest prime factor of n),

$$\begin{aligned}
\mathcal{M}_f(n; R) &= (-1)^A \int_0^{\frac{\log p_1}{\log R}} \cdots \int_0^{\frac{\log p_A}{\log R}} \sum_{d|m} \mu(d) f\left(u_1 + \cdots + u_A + \frac{\log d}{\log R}\right) du \\
&\lesssim \frac{\log p_1}{\log R} \cdots \frac{\log p_A}{\log R} \mathcal{M}_{f^{(A)}}(m; R)
\end{aligned}$$

If n is typical, then

$$\mathcal{M}_f(n; R) \lesssim \frac{\mathcal{M}_{f^{(A)}}(m; R)}{(\log R)^A}$$

(soft) Conjecture:

$$\sum_{n \leq x} \mathcal{M}_f(n; R)^{2k} \lesssim \max \left\{ \frac{x}{\log R}, \frac{\sum_{m \leq x} \mathcal{M}(m; R)^{2k}}{(\log R)^{2k}} \right\}.$$

↑ ↑
almost primes typical integers

Conjecture :

$$\sum_{n \leq x} \mathcal{M}(n; R) \sim c_k x (\log R)^{E_k}$$

need $E_k - 2kA < 0 \Leftrightarrow A > \frac{E_k}{2k}$ for $\mathcal{M}_f(n; R)^{2k}$ to behave like a sieve weight.

Two questions:

1. $E_k = ?$
2. Is (soft) conjecture true?

For the second question, we have

Theorem 1 (GKM '16). Suppose $f \in C^A(\mathbb{R})$, $f, f', \dots, f^{(A)}$ are uniformly bounded, $\text{supp}(f) \subset (-\infty, 1]$,

- a) If $A > \frac{E_k}{2k} + 1$, then

$$\sum_{\substack{n \leq x \\ \exists p | n, p \leq R^\alpha}} \mathcal{M}_f(n; R)^{2k} \ll \alpha^{3/2} \frac{x}{\log R}, \quad \left(x \geq R \geq \alpha, 1 \geq \alpha \geq \frac{2}{\log R} \right)$$

$$\sum_{n \leq x} \mathcal{M}_f(n; R)^{2k} \sim c_{k,f} \frac{x}{\log R}, \quad (x \geq R^{2k} \log^2 R, R \rightarrow \infty)$$

- b) If $A \leq \frac{E_k}{2k} + 1$, then

$$\sum_{n \leq x} \mathcal{M}_f(n; R)^{2k} \ll x (\log R)^{E_k - 2k(A-1)}$$

For the first question on E_k :

- (1) $k = 1$, $E_1 = 0$, (Dress, Iwaniec and Tenenbaum, '83)
- (2) $k = 2$, $E_2 = 0$, (Motohashi, '04)
- (3) $\forall k$, E_k exists, (Balazard, Naimi, and Pétermann, '08, de la Bréteche, '01)

$$\begin{aligned}
\sum_{n \leq x} \mathcal{M}(n; R)^{2k} &= \sum_{n \leq x} \left(\sum_{d|n, d \leq R} \mu(d) \right)^{2k} \\
&= \sum_{d_1, \dots, d_{2k} \leq R} \mu(d_1) \cdots \mu(d_{2k}) \sum_{\substack{n \leq x \\ d_1 \cdots d_{2k} | n}} 1 \\
&= x \sum_{d_1, \dots, d_k \leq R} \frac{\mu(d_1) \cdots \mu(d_k)}{[d_1, \dots, d_{2k}]} + O(R^{2k}) \\
x \geq R^{2k+\epsilon} &\sim \frac{x}{(2\pi i)^{2k}} \int_{\Re(s_1) = \frac{1}{\log R}} \cdots \int_{\Re(s_{2k}) = \frac{1}{\log R}} \sum_{d_1, \dots, d_{2k}} \frac{\mu(d_1) \cdots \mu(d_{2k})}{[d_1, \dots, d_{2k}]} \prod_{i=1}^{2k} \frac{R^{s_i}}{s_i d_i^{s_i}} d\bar{s} \\
[d_1, \dots, d_{2k}] = p &\implies \exists I \neq \emptyset, s.t. \\
&\quad d_i = p, i \in I \\
&\quad d_j = 1, j \notin I \\
&\rightsquigarrow (-1)^{|I|} \frac{1}{p^{1+s_I}}, \quad s_I = \sum_{i \in I} s_i \\
\sum_{d_1, \dots, d_{2k}} \frac{\mu(d_1) \cdots \mu(d_{2k})}{[d_1, \dots, d_{2k}]} \prod_{i=1}^{2k} \frac{R^{s_i}}{s_i d_i^{s_i}} &= F(\bar{s}) \frac{\prod_{I \text{ even} \neq \emptyset} \zeta(1+s_I)}{\prod_{I \text{ odd}} \zeta(1+s_I)}
\end{aligned}$$

Shift s_{2k} to the left, poles when $s_I = 0$, $2k \in I$ I even,

$$\text{order} = \sum_{I \neq \emptyset, 2k \in I, s_I = 0} (-1)^{|I|}$$

Denote $s_{2k} = \mathcal{L}(s_1, \dots, s_{2k})$, where \mathcal{L} is a linear form. Shift s_{2k-1} , pick poles when $s_I = 0$ and $2k-1 \in I$. $s_{2k-1} = \mathcal{L}_1(s_1, \dots, s_{2k-2}) \rightsquigarrow s_{2k} = \tilde{\mathcal{L}}(s_1, \dots, s_{2k-2})$. Shift $2k-m$ variables, $s_i = \sum_{j=1}^m a_{i,j} s_j$,

$$\begin{aligned}
s_I = 0 &\Leftrightarrow \sum_{j=1}^m \left(\sum_{i \in I} a_{i,j} \right) s_j = 0 \Leftrightarrow \sum_{i \in I} a_{i,j} = 0, \forall j \\
E_k &= \max_{\substack{m \geq 1 \\ A \text{ contains } I_m}} \left(\sum_{\substack{I \neq \emptyset, \subset \{1, \dots, 2k\} \\ \sum_{i \in I} a_{i,j} = 0 \forall j}} (-1)^{|I|} - 2k + m \right)
\end{aligned}$$

optimal: $m = 1, (a_1, \dots, a_{2k}) = (1, -1, \dots, 1, -1)$

$$E_k = \binom{2k}{k} - 2k$$