

## ROOT NUMBER IN FAMILIES OF ELLIPTIC CURVES

$E : y^2 = x^3 + ax + b, a, b \in \mathbb{Q}$ ,  $w(E)$  = root number is defined as follows:

$$L(s, E) = \sum_{n=1}^{\infty} \frac{a_n}{n^s},$$

$$\Lambda(s, E) = \left( \frac{\sqrt{N_E}}{2\pi} \right)^s \Gamma(s) L(s, E) = w(E) \Lambda(2-s, E).$$

$w(E) \in \{\pm 1\}$ , and  $w(E) = -1 \implies L(1, E) = 0$ .

Differentiate  $w(E) = -1 \Leftrightarrow \text{ord}_{s=1} L(s, E) = \text{odd}$ .

$$w(E) = (-1)^{\text{ord}_{s=1} L(s, E)} \underset{\substack{\uparrow \\ \text{parity conjecture} \\ (\Leftarrow \text{BSD})}}{=} (-1)^{rk(E)}$$

Conjecture:

$$\begin{aligned} Av(w(E(a, b))) &= 0 \\ Av(rk(E(a, b))) &= \frac{1}{2} \end{aligned}$$

What about one parameter families?

$$\mathcal{E} : y^2 = x^3 + a_2(t)x^2 + a_4(t)x + a_6(t), \quad a_i(t) \in \mathbb{Z}[t].$$

For “most”  $\mathcal{E}$ ,  $Av_{\mathbb{Z}}(w(\mathcal{E}(t))) = 0$  and  $Av_{\mathbb{Q}}(w(\mathcal{E}(t))) = 0$ .

$$Av_{\mathbb{Z}}(w_{\mathcal{E}}) = \lim_{T \rightarrow \infty} \frac{1}{2T} \sum_{|t| \leq T, t \in \mathbb{Z}} w(\mathcal{E}(t))$$

$$Av_{\mathbb{Q}}(w_{\mathcal{E}}) = \lim_{X \rightarrow \infty} \frac{1}{\#\{t \in \mathbb{Q}, h(t) \leq X\}} \sum_{t \in \mathbb{Q}, h(t) \leq X} w(\mathcal{E}(t))$$

**Theorem 1** (Rizzo).

1.  $\mathcal{F} : y^2 = x^3 + tx^2 - (t+3)x + 1, rk = 1/\mathbb{Q}(t), w(\mathcal{F}(t)) = -1, \forall t \in \mathbb{Z}$ .
2.  $\mathcal{E} : y^2 = x^3 + \frac{t}{4}x - \frac{36t^2}{t-1728}x + \frac{t^3}{t-1728}, j(t) = t, Av_{\mathbb{Z}}(w(\mathcal{E}(t))) = 0.003\dots$

Other examples: Romano, Helfgott  $Av_{\mathbb{Q}} \notin \{-1, 0, 1\}$

Applications: one level density in family  $\mathcal{F}$ .

Want:

- (1) Find families with non zero  $Av_{\mathbb{Z}}, Av_{\mathbb{Q}}$ .
- (2) Can we have excess rank?

- (3) Can we get  $Av_{\mathbb{Z}} = \frac{h}{k}$  (or  $Av_{\mathbb{Q}} = \frac{h}{k}$ ) for a given  $\frac{h}{k}$ ?  
How do we compute  $w(E), E/\mathbb{Q}$ ?

$$w(E) = \prod_{p|N_E} w_p(E),$$

where  $w_p(E)$  are local root numbers which can be computed and recorded in tables of Halberstadt, Connell and Rohrlich. For  $p \geq 5$ ,

$v_p(c_4, c_6, \Delta)$	$v_p(N_E)$	$w_p(E)$
$(0, 0, \geq 0)$	0	1
$(0, 0, \geq 1)$	1	$-\left(\frac{-(c_6)_p}{p}\right)$
9 cases	2	$\left(\frac{-1}{p}\right), \left(\frac{-2}{p}\right)$ or $\left(\frac{-3}{p}\right)$

where  $x = p^{v_p(x)}x_p$ .

**Theorem 2** (Helfgott). *Let  $\mathcal{F}$  be a family of elliptic curves, then*

$$w(\mathcal{E}(t)) = \text{sgn}(g_{\infty}(t))\lambda(\mathcal{M}_{\mathcal{F}}(t)) \prod_p g_p(t),$$

where

$$\mathcal{M}_{\mathcal{F}}(t) = \prod_{P(t)\text{mult}} P(t), \quad \mathcal{B}_{\mathcal{F}}(t) = \prod_{P(t)\text{quite bad}} P(t),$$

$g_p(t)$  are  $p$ -adic locally constant and  $g_p(t) = 1$  for  $v_p(\mathcal{B}_{\mathcal{F}}(t)) = 0, 1, \forall p \notin S$  (finite). Furthermore, assuming Chowla's conjecture and square free sieve conjecture, if  $\mathcal{M}_{\mathcal{F}}(t) \neq 1$ , then  $Av_{\mathbb{Z}}(w(\mathcal{F}(t))) = 0$ . If  $\mathcal{M}_{\mathcal{F}}(t) = 1$ , assuming SF conjecture, then

$$Av(w_{\mathcal{F}}(t)) = \frac{c_+c_-}{2} \prod_p \int_{\mathbb{Z}_p} g_p(t) dt$$

where  $c_{\pm} = \lim_{x \rightarrow \pm\infty} \text{sgn}(g_{\infty}(t))$

Definition:

- (1)  $\mathcal{F}$  is potentially parity biased if  $\mathcal{M}_{\mathcal{F}}(t) = 1$ .
- (2)  $\mathcal{F}$  is parity biased if  $Av_{\mathbb{Z}}(\mathcal{F}(t)) \neq 0$ .
- (3)  $\mathcal{F}$  has excess rank if  $Av_{\mathbb{Z}} = -(-1)^{rk(\mathcal{F})/\mathbb{Q}(t)}$ .

**Theorem 3** (Bettin, David & Delaunay). *We can classify all potentially biased  $\mathcal{F}$  s.t.  $\deg a_i(t) \leq 2$ , which fall in 6 families of families.*

- $\mathcal{E}_s : y^2 = x^3 + 3tx^3 + 3sx + st, s \in \mathbb{Z}_{\neq 0}$ .
- $\mathcal{G}_w : wy^2 = x^3 + 3tx^3 + 3tx + t^2, w \in \mathbb{Z}_{\neq 0}$
- $\mathcal{H}_w, \mathcal{I}_w, \mathcal{J}_{m,w}, \mathcal{L}_{m,s,v}$

all of whose rank/ $\mathbb{Q}(t)$  are 0, 1 except  $\mathcal{L}_{m,s,v}$  whose rank are 0, 1, 2, 3.

Example:

$$\mathcal{F}_a : y^2 = x^3 + tx^2 - a(t + 3a)x + a^3, a \in \mathbb{Z}_{\neq 0},$$

$$\Delta(t) = 16a^2 f_a^2(t), f_a(t) = t^2 + 3at + 9a^2, rk = 0(a \neq \pm\Box), 1(a = \pm\Box)$$

$$\begin{aligned} w(\mathcal{F}_a(t)) &= -w_2(t) \prod_{\substack{p \geq 3 \\ 0 \leq v_p(a) \leq v_p(t)}} \left( \frac{-1}{p} \right)^{v_p(a) + v_p(f_a(t))} \prod_{\substack{p \geq 3 \\ 0 \leq v_p(t) \leq v_p(a)}} \left[ \begin{array}{l} \left( \frac{-t_p}{p} \right) \quad v_p(t) \text{ even} \\ \left( \frac{-1}{p} \right) \quad v_p(t) \text{ odd} \end{array} \right] \\ &= -w_2(t) \prod_{p \geq 3} \left( \frac{-1}{p} \right)^{v_p(a) + v_p(f_a(t))} \prod_{\substack{p \geq 3 \\ 0 \leq v_p(t) \leq v_p(a)}} \left( \frac{-t_p}{p} \right)^{1 + v_p(t)} \left( \frac{-1}{p} \right)^{v_p(a) + v_p(t)} \\ &\equiv -w_2(t) (af_a(t))_2 \operatorname{sgn}((af_a(t))) \prod_{p|a} w_p^*(t) \pmod{4} \\ &\equiv \operatorname{sgn}(af_a(t)) w_2^*(t) \prod_{p|a} w_a^*(t) \pmod{4} \end{aligned}$$

$$\implies Av_{\mathbb{Z}}(\mathcal{F}_a(t)) = \operatorname{sgn}(a) \prod_{p|2a} \int w_p^*(t) dt$$

**Corollary 1.** *If  $a$  is odd and square free,*

$$Av_{\mathbb{Z}} = \pm \frac{1}{a}, \pm \frac{1}{2a},$$

*depending on  $a \pmod{8}$ .*

**Corollary 2.** *If  $a$  is a prime and  $a \equiv \pm 1 \pmod{8}$ ,  $b$  is a non residue  $\pmod{a}$ . Then  $\mathcal{F}_a(at+b)$  has rank 0 and  $w(\mathcal{F}_a(t)) = -1 \forall t$ .  $\mathcal{F}_{a^2}(at+b^2)$  has rank 1 and  $w(\mathcal{F}_{a^2}(t)) = -1 \forall t$ .*

Density result:

**Theorem 4** (Rizzo (isotrivial families)).  *$Av_{\mathbb{Q}}(w(\mathcal{E}(t)))$  is dense in  $[-1, 1]$  when  $\mathcal{E}$  varies over the twists by  $f(t)$  of  $\mathcal{E}/\mathbb{Q}$ .*

**Theorem 5** (Bettin, David & Delaunay).

$$Av_{\mathbb{Z}}(w(\mathcal{E}(t))) \supset [-1, 1] \cap \mathbb{Q},$$

$$Av_{\mathbb{Q}}(w(\mathcal{E}(t))) \text{ is dense in } [-1, 1],$$

*where  $\mathcal{E}$  varies over non-isotrivial families.*

0.1.  $Av_{\mathbb{Z}}$ . In fact, fix  $\frac{h}{k}$ , can build  $\mathcal{E}$  s.t.  $Av_{\mathbb{Z}}(w(\mathcal{E}(t))) = \frac{h}{k}$ .  $\mathcal{E}(t) = \mathcal{F}_{a(t)}(Q(t))$ , where  $a(t)$  and  $Q(t)$  depend on  $h$  and  $k$  and such that the root number is localized at 1 prime.

$$w(\mathcal{E}(t)) = (-1)^{1+v_p(Q(t))} \left(\frac{t_p}{p}\right)^{1+v_p(Q(t))}, \quad p \equiv -1 \pmod{2k},$$

where  $a(t) = 2^4 p^{P(t)}$ ,  $Q(t) = (4pt^2 + 1)P(t)$ ,  $P(t) = -p \prod_{i=1}^m (t - i)$ ,  $m = p + 1 - 2rh$ ,  $p + 1 = 2kr$ .

0.2.  $Av_{\mathbb{Q}}$ . Trick: the root number will be the variation of the sign of a polynomial  $\mathcal{F}_{a_x(t)}(Q_x(t))$  as  $x \rightarrow \infty$ .

**Theorem 6.** *Let  $w(r, s) = w_{\infty}(r, s) \prod_p w_p(r, s)$ , where  $w_p(r, s)$  are  $p$ -adic locally constant and  $w(r, s) = 1$  when  $v_p(B(r, s)) = 0, 1$  for some  $B(r, s) \in \mathbb{Z}[r, s]$ ,  $\forall p \notin S$ , a finite set. Then*

$$Av_{\mathbb{Z}^2, \text{coprime}}(w(r, s)) = c_{\infty} \prod_p \frac{1}{1 - p^{-2}} \int_{\mathbb{Z}_p \times \mathbb{Z}_p \setminus p\mathbb{Z}_p \times p\mathbb{Z}_p} w_p(r, s) dr ds,$$

where  $c_{\infty} = \frac{1}{4N^2} \int_{-N}^N \int_{-N}^N g_{\infty}(r, s) dr ds$ ,  $g_{\infty}(r, s) = \text{sgn}$  of a polynomial of 2 variables such that  $c_{\infty} = \frac{h}{k}$ .