

The fifth moment of modular L -functions

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Statement of result

- ▶ Let q be prime, and $\kappa \in \{4, 6, 8, 10, 14\}$.
- ▶ Let $H_\kappa(q)$ be the set of weight κ Hecke eigenforms on $\Gamma_0(q)$ with trivial nebentypus.

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Theorem (Kiral, Y.)

We have

$$\sum_{f \in H_\kappa(q)} L(1/2, f)^5 \ll q^{1+\theta+\varepsilon}$$

where $\theta = \frac{7}{64}$.

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- ▶ The central values are nonnegative, by Waldspurger/Kohnen-Zagier/Kohnen.
- ▶ Subconvexity:

$$L(1/2, f) \ll q^{\frac{1+\theta}{5} + \varepsilon}.$$

Previous results

- ▶ Duke, Friedlander, Iwaniec (1992) bounded the amplified fourth moment, obtaining
- ▶ Kowalski, Michel, VanderKam (2000) obtained an asymptotic formula with a power-saving error term for the twisted fourth moment.
- ▶ Balkanova, Frolenkov (2016) improved the error term in the twisted fourth moment, obtaining

$$L(1/2, f) \ll q^{\frac{47}{192} + \varepsilon}.$$

$$L(1/2, f) \ll q^{\frac{27-30\theta}{112-128\theta} + \varepsilon}$$

- ▶ Many other results in different aspects varying other parameters or generalizing to other settings.

Higher moments

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- ▶ Analogous problem: Conrey and Iwaniec (2000) showed

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- ▶ Here the second moment is the barrier to subconvexity.

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- ▶ The cubic moment also has generalizations varying other aspects (Ivic, Z. Peng, Petrow, Y., Petrow-Y.)
- ▶ Other than these examples, and now the fifth moment, there are no known sharp bounds on a moment that is one larger than the barrier to subconvexity.

- ▶ We know

$$\int_0^T |\zeta(1/2 + it)|^4 dt \ll T^{1+\varepsilon}.$$

- ▶ We don't know

$$\int_0^T |\zeta(1/2 + it)|^6 dt \ll T^{1+\varepsilon}.$$

Moment identities

We have a rough formula of the shape

$$\sum_{f \in H_\kappa(q)} L(1/2, f)^5 \leftrightarrow \sum_{\substack{m_1 \ll q^{1/2} \\ t_j \text{ level } m_1 \\ t_j \ll q^\varepsilon}} \sum \lambda_j(q) L(1/2, u_j)^4 + \dots,$$

and the RHS can be bounded using $|\lambda_j(q)| \leq 2q^\theta$, and the spectral large sieve.

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and the RHS can be bounded using $|\lambda_j(q)| \leq 2q^\theta$, and the spectral large sieve.

Reminiscent of other moment identities of Kuznetsov/Motohashi, but in an approximate form.

Initial steps

Using approximate functional equations, write

$$L(1/2, f)^2 \approx \sum_{m \leq q} \frac{\lambda_f(m) \tau(m)}{\sqrt{m}},$$

and

$$L(1/2, f)^3 \approx \sum_{n \leq q^{3/2}} \frac{\lambda_f(n) \tau_3(n)}{\sqrt{n}},$$

where $\lambda_f(n)$ is the n th Hecke eigenvalue of f .

The Petersson trace formula says

$$\begin{aligned} & \sum_{f \in H_\kappa(q)} \omega_f \lambda_f(m) \lambda_f(n) \\ &= \delta_{m=n} + 2\pi i^{-\kappa} \sum_{c \equiv 0 \pmod{q}} \frac{S(m, n; c)}{c} J_{\kappa-1} \left(\frac{4\pi \sqrt{mn}}{c} \right). \end{aligned}$$

Here ω_f are Petersson weights which satisfy $\omega_f = q^{-1+o(1)}$, and the Kloosterman sum is

$$S(m, n; c) = \sum_{x \pmod{c}}^* e\left(\frac{xm + \bar{x}n}{c}\right),$$

where $x\bar{x} \equiv 1 \pmod{c}$.

Applying Petersson

AFE and Petersson give:

$$\sum_{f \in H_k(q)} \omega_f L(1/2, f)^5 \approx q^{o(1)} + \sum_{c \equiv 0 \pmod{q}} \sum_{m \leq q} \sum_{n \leq q^{3/2}} \frac{\tau(m) \tau_3(n) S(m, n; c)}{c \sqrt{mn}} J_{k-1} \left(\frac{4\pi \sqrt{mn}}{c} \right).$$

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- ▶ The Weil bound only gives $\ll q^{7/8+\varepsilon}$, so a lot of cancellation is required.
- ▶ The Bessel function $J_{k-1}(x)$ is largest in absolute value when $x \asymp 1$, so let's assume

$$m \asymp q, \quad n \asymp q^{3/2}, \quad c \asymp \sqrt{mn} \asymp q^{5/4}.$$

Voronoi summation

The usual technique when studying the (amplified/mollified) fourth moment in this family is to apply Voronoi summation, which (roughly) says

$$\sum_{m \asymp q} \frac{\tau(m)}{\sqrt{m}} e\left(\frac{\bar{x}m}{c}\right) \approx \sum_{m \asymp \frac{c^2}{q}} \frac{\tau(m)}{\sqrt{m}} e\left(-\frac{xm}{c}\right).$$

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- ▶ Since $\frac{c^2}{q} \asymp q^{3/2}$, the dual sum is longer than the original one, and so even more cancellation is required after applying this summation formula.

- ▶ However, the exponential sum would convert to a Ramanujan sum eventually leading to the study of a shifted divisor sum

$$\sum_n \tau_3(n) \tau(n+h).$$

- ▶ Work of Hooley (1957), Deshouillers (1981), Pitt (1995), Munshi (2013), Topalogullari (2016), ... potentially allow one to attack the problem with this type of approach.

Poisson summation

Open up $\tau(m) = \sum_{m_1 m_2 = m} 1$, and suppose $m_1 \leq m_2$. Then apply Poisson in m_2 only:

$$\sum_{m_2 \asymp M_2} \frac{1}{\sqrt{m_2}} S(m_1 m_2, n; c) \approx \sqrt{c} \sum_{k \asymp \frac{c}{M_2}} \frac{1}{\sqrt{k}} e\left(\frac{m_1 n \bar{k}}{c}\right).$$

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- ▶ Now $\frac{c}{M_2} \approx \frac{q^{5/4}}{q^{1/2}} = q^{3/4}$.

Reciprocity

- ▶ We can use the reciprocity formula:

$$e\left(\frac{m_1 n \bar{k}}{c}\right) = e\left(\frac{-m_1 n \bar{c}}{k}\right) e\left(\frac{m_1 n}{ck}\right)$$

- ▶ We have $\frac{m_1 n}{ck} \approx \frac{q^{1/2} q^{3/2}}{q^{5/4} q^{3/4}} = 1$ so this part is not very oscillatory.
- ▶ The modulus is greatly reduced, from $c \approx q^{5/4}$ to $k \approx q^{3/4}$.

Now that the modulus is reduced, we may apply Voronoi summation to $\sum_n \tau_3(n) e\left(-\frac{m_1 n \bar{c}}{k}\right)$. This is equivalent to opening $\tau_3(n) = \sum_{n_1 n_2 n_3 = n} 1$ and applying Poisson in each n_j .

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The moment gets converted to

$$\sum_{m_1 \approx q^{1/2}} \sum_{c \equiv 0 \pmod{q}} \sum_{c \approx q^{5/4}} \sum_{k \approx q^{3/4}} \sum_{p \approx q^{3/4}} \frac{\tau_3(p)}{k \sqrt{m_1 p c}} S(p, c \bar{m}_1; k).$$

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- ▶ Now the Weil bound gives $O(q^{5/8})$.
- ▶ Poisson in m_1 would give some more savings, but the Kloosterman sum would become hyper.

Deshouillers-Iwaniec (1982), building on Bruggemann (1978) and Kuznetsov (1980), showed

$$\sum_c \frac{S_{ab}(m, n; c)}{c} f\left(\frac{4\pi\sqrt{mn}}{c}\right) = \sum_j h_f(t_j) \bar{\nu}_{a,j}(m) \nu_{b,j}(n) + \dots$$

Here a, b are cusps for Γ , and $\nu_{a,j}(m)$ is the m -th Fourier coefficient of a Maass form u_j , expanded around the cusp a .

Kloosterman sums with cusps

Question: Given a sum of Kloosterman sums, with various coprimality conditions, congruences, etc., can we recognize it as the sum of $S_{ab}(m, n; c)$?

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- ▶ Deshouillers-Iwaniec worked out many cases.
- ▶ Blomer-Milicevic (2015) showed how to decompose

$$\sum_{c \equiv a \pmod{q}} S(m, n; c)$$

into S_{ab} 's.

Kloosterman sums with cusps

Goal:

$$\sum_{(k, m_1) \equiv 1} \frac{S(p, c\bar{m}_1; k)}{k}.$$

Example.

$$S_{\infty, 1/r}(m, n; c) = e\left(m \frac{\bar{r}}{s}\right) S(m\bar{s}, n; \ell r),$$

where $N = rs$, $(r, s) = 1$, and ℓ runs over integers coprime to s .

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where $N = rs$, $(r, s) = 1$, and ℓ runs over integers coprime to s .

This is with scaling matrices

$$\sigma_{\infty} = \begin{pmatrix} 1 & \\ & 1 \end{pmatrix}, \quad \sigma_{1/r} = \begin{pmatrix} \sqrt{s} & \\ r\sqrt{s} & 1/\sqrt{s} \end{pmatrix}.$$

(The Kloosterman sum and the Fourier coefficients both depend on the choice of scaling matrices)

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“Theorem.” Suppose that \mathfrak{a} and \mathfrak{b} are Atkin-Lehner cusps. Then we have an explicit formula for $S_{\mathfrak{a}\mathfrak{b}}(m, n; c; \chi)$.

Example. Let $N = rs$ with $(r, s) = 1$, and let $\sigma_{1/r}$ be an appropriate Atkin-Lehner operator. Then

$$S_{\infty, 1/r}(m, n; c) = S(\bar{s}m, n; c),$$

and c runs over integers $\equiv 0 \pmod{r}$, coprime to s .

Eisenstein series

We also explicitly calculated the Fourier coefficients of $E_\alpha(z, s)$, expanded around an arbitrary Atkin-Lehner cusp.

Back to L -functions

After Kuznetsov, we are led to

$$\sum_{m_1 \ll q^{1/2}} \sum_{\substack{t_j \ll q^\varepsilon \\ \text{level } m_1 \equiv 0 \pmod{q}}} \sum_{\substack{p_1, p_2, p_3 \ll q^{1/4} \\ c \approx q^{5/4}}} \frac{\nu_j(p_1 c) \nu_j(p_2 p_3)}{\sqrt{p_1 p_2 p_3 c}}.$$

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This can be expressed in terms of L -functions as

$$\sum_{m_1 \ll q^{1/2}} \sum_{\substack{t_j \text{ level } m_1 \\ t_j \ll q^\varepsilon}} |\nu_j(\mathbf{1})|^2 \lambda_j(q) L(1/2, u_j)^4.$$

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Finally, we use $|\lambda_j(q)| \leq 2q^\theta$, and an “easy” bound on the fourth moment in this family, leading to the main theorem.

Oscillatory integrals

Problem 0. How to understand

$$I = I(\phi, w) = \int_{-\infty}^{\infty} e^{i\phi(t)} w(t) dt.$$

E.g. $\phi(t) = A\sqrt{t} - \lambda t$, $w(t) = \omega(t/T)$.

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In practice this may say that unless A, T, λ are constrained in a certain way (e.g. $A\sqrt{T} \asymp \lambda T$) then I is very small.

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Stationary phase method: If $|\phi''(t)|$ is not too small, and $\phi'(t_0) = 0$ for some t_0 , (and additional technical conditions hold), then

$$I \sim ce^{i\phi(t_0)} \frac{w(t_0)}{\sqrt{|\phi''(t_0)|}}.$$

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Problem 1. The error term is not small enough for some applications. How to improve?

Oscillatory integrals

Solution 1. (Blomer, Khan, Y., 2013): There exists a “nice” function F so that

$$I = ce^{i\phi(t_0)} \frac{F(t_0)}{\sqrt{|\phi''(t_0)|}},$$

plus a very small error term.

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plus a very small error term.

What is F ? Complicated: subtract off the quadratic Taylor polynomial expansion to ϕ centered at y , include the rest into w , and call this function G . Then

$$F(y) = \sum_n p_n(y), \quad p_n(y) = c_n (\phi''(y))^{-n} G^{(2n)}(t)|_{t=y}.$$

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Problem 2. If ϕ and w depend on other variables, how does F depend on these variables?

What is a “nice” function?

Suppose that a collection of smooth functions has support on a product of dyadic intervals. Say that the collection is X -inert if for all $j_1, \dots, j_d \geq 0$, there exists a constant $C = C(j_1, \dots, j_d) > 0$ (depending on the family) so that

$$|w^{(j_1, \dots, j_d)}(x_1, \dots, x_d)| \leq \frac{C(j_1, \dots, j_d) X^{j_1 + \dots + j_d}}{|x_1|^{j_1} \dots |x_d|^{j_d}}$$

Example: Fix ω supported on $[1, 2]^d$, and let $w(x_1, \dots, x_d) = \omega(x_1/X_1, \dots, x_d/X_d)$.

Stationary phase preserves inertness

Solution 2. Y. (2014), Petrow-Y. (2016), Kiral-Y. (2017).

Theorem. Suppose that $w(t_1, \dots, t_d)$ and $\phi(t_1, \dots, t_d)$ are part of an X -inert family of functions, and the conditions for stationary phase hold for t_1 . Then $F(t_0) = F(t_0, t_2, \dots, t_d)$ is an X -inert family of function of t_2, \dots, t_d .

Main terms

Poisson summation says

$$\sum_{n \in \mathbb{Z}} f(n) = \sum_{\nu \in \mathbb{Z}} \int_{-\infty}^{\infty} f(x) e(-\nu x) dx.$$

The zero-frequency $\nu = 0$ is often the source of the main term on the right hand side, amounting to an approximation of the original sum by an integral.

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In the sketch of the proof so far, all the zero frequencies were ignored.

Fake main terms

In this problem, the zero frequencies (when some or all of p_1, p_2, p_3 are zero), when trivially bounded, give back a bound that is $O(q^{1/4+\varepsilon})$, not $O(q^\varepsilon)$.

It is a delicate analytic/arithmetic calculation to show that these fake main terms are truly bounded by $O(q^\varepsilon)$.

Toy example

Suppose that

$$V(x) = \frac{1}{2\pi i} \int_{(1)} \frac{G(s)}{s} x^{-s} ds,$$

where G is holomorphic, even, rapid decay in vertical strips, and $G(0) = 1$. (Here V is the type of weight function that arises from an approximate functional equation, and one can choose G subject to these conditions).

Now consider

$$S = \sum_{n=1}^{\infty} \frac{1}{\sqrt{n}} V\left(\frac{n}{\sqrt{q}}\right).$$

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Using $V(x) \ll 1$ for $x \ll 1$, and $V(x) \ll x^{-1}$ for $x \gg 1$, shows that $S \ll q^{1/4}$.

Toy example

Applying the definition of V , and reversing the order of summation and integration, then

$$S = \frac{1}{2\pi i} \int_{(1)} q^{s/2} \zeta(1/2 + s) \frac{G(s)}{s} ds.$$

Moving the contour to the left shows

$$S = q^{1/4} \frac{G(1/2)}{1/2} + O(q^\varepsilon).$$

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So choose G so that $G(1/2) = 0$.

Toy example

Note

$$\frac{G(1/2)}{1/2} = \int_0^\infty \frac{V(x)}{\sqrt{x}} dx.$$

By contrast, one cannot use G to completely cancel the main term from

$$S' := \sum_{n=1}^{\infty} \frac{1}{n} V\left(\frac{n}{\sqrt{q}}\right),$$

since

$$S' = \frac{1}{2\pi i} \int_{(1)} q^{s/2} \zeta(1+s) \frac{G(s)}{s} ds.$$