

# BIG CM MODULES, MORPHISMS OF PERFECT COMPLEXES, INTERSECTION THEOREMS

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## 1. DISCLAIMER

This is the transcription of a lecture given by Luchezar Avramov for the MSRI Hot Topics Workshop on the homological conjectures. Any errors or typos are my responsibility<sup>1</sup>.

This lecture concerns new derivations of some of the classical consequences of the existence of big Cohen-Macaulay modules, and new relations between the theorems involved in the homological conjectures. This is Joint work with Srikanth Iyengar and Amnon Neeman.

## 2. INTRODUCTION

Let  $(R, \mathfrak{m}, k)$  be a Noetherian local ring with unity. The Improved New Intersection Conjecture (INIT), proven in [3], says

**Theorem 2.1.** *Given a complex of finite free  $R$ -modules*

$$\mathcal{F} = 0 \rightarrow F_d \rightarrow \cdots \rightarrow F_0 \rightarrow 0$$

*such that*

- (1)  $\mathfrak{m}^n H_i(F) = 0$  for  $i > 0$ , and
- (2) *There exists  $z \in H_0(\mathcal{F}) \setminus \mathfrak{m}H_0(\mathcal{F})$  such that  $\mathfrak{m}^n z = 0$ .*

*Then  $d \geq \dim R$ .*

The original proof in [3], is done using big Cohen-Macaulay modules. Hochster, in [4] showed that it also follows from the Canonical Element Conjecture (CEC). Dutta in [2] showed that in fact,  $\text{CEC} \iff \text{INIT}$ .

**Remark 2.2.** We actually have  $d = \dim R$  for the Koszul complex  $K(\mathbf{x})$  where  $\mathbf{x}$  is a system of parameters for  $R$ .

We want to show that the statement of the theorem can be improved. In particular, we will change the length  $d$  of the complex as a parameter in the theorem.

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## 3. PERFECT COMPLEXES

**Definition 3.1.** Let  $R$  be a ring and  $\mathcal{F}$  be a bounded complex of  $R$ -modules. We define  $\text{level}^R(\mathcal{F})$  to be the minimal number of extensions which are necessary to build  $\mathcal{F}$  from complexes of finitely generated projective modules with zero differential maps.

This is motivated from Beilinson-Bernstein-Deligne's way of building thick subcategories of derived categories.

**Proposition 3.2.**

$$\text{level}^R(\mathcal{F}) = \inf\{n \in \mathbf{N} : F \simeq Q \text{ with a semi-projective filtration}\},$$

where  $F \simeq Q$  is taken in the derived category, and  $Q$  is a direct summand of a complex  $P$  with a semi-projective filtration, i.e. a sequence

$$0 = P_0 \subseteq P_1 \subseteq \dots \subseteq P_\ell = P$$

such that  $P_i/P_{i-1}$  is a complex of finitely generated projective modules with 0 differentials.

Now in the INIT, our new statement, following [1], is

**Theorem 3.3.**

$$\text{level}^R(\mathcal{F}) - 1 \geq \dim R.$$

**Example 3.4.**

- (1) If  $\mathcal{F}$  is a minimal projective resolution of a finitely generated  $R$ -module  $M$ , then  $\text{level}^R(\mathcal{F}) = \text{pd}(M) + 1$ .
- (2)  $\text{level}^R(\mathcal{F}) \leq \text{span}(\mathcal{F}) + 1$ .
- (3)  $\text{span}(\mathcal{F}) - \text{level}^R(\mathcal{F})$  can be arbitrarily large.

**Definition 3.5.** A ghost map in  $D(R)$  is a map  $f : X \rightarrow Y$  with  $H_i(f) = 0$  for all  $i$ .

**Definition 3.6.** A complex  $X \in D(R)$  is  $I$ -torsion for some ideal  $I \subseteq R$  if every element of  $H(X)$  is annihilated by some power of  $I$ .

**Remark 3.7.** There exists a map of complexes  $t : R\Gamma_I(X) \rightarrow X$  for every  $X$  where  $R\Gamma_I(X)$  is an  $I$ -torsion complex and  $t$  universal for such a map.

**Proposition 3.8.** Let  $C$  be a balanced big Cohen-Macaulay  $R$ -module for a local Noetherian  $R$ . Then

- (1)  $t : R\Gamma_I C \rightarrow C$  is a composition of  $c$  ghosts, where  $c$  is the height of the ideal  $I$ , for any ideal  $I \subseteq R$ .
- (2) (Ghost Lemma) If  $g : G \rightarrow C$  factors through  $G \rightarrow X \rightarrow C$  with  $X$  an  $I$ -torsion complex and  $\text{level}^R(G) \leq c$ , then  $g = 0$  in  $D(R)$ .

*Proof.* We will prove (1). We can assume  $I = (x_1, \dots, x_c)$  where  $x_1, \dots, x_c$  is part of a system of parameters for  $R$ . We have

$$R\Gamma_{x_1, \dots, x_c}(C) \rightarrow R\Gamma_{x_1, \dots, x_{c-1}}(C) \rightarrow \cdots \rightarrow R\Gamma_{x_1}(C) \rightarrow C.$$

Since the  $x_i$  are part of a system of parameters,  $H(R\Gamma_{x_1, \dots, x_i})$  lives in degree  $i$ . Then clearly the maps are ghosts.  $\square$

**Definition 3.9.** A map  $\mathcal{G} \rightarrow \mathcal{F}$  of complexes of  $R$ -modules is called tensor-nilpotent if there exists an  $n$  such that  $f^{\otimes L_n} : \mathcal{G}^{\otimes L_n} \rightarrow \mathcal{F}^{\otimes L_n}$  is zero.

We will need the following result from homotopy theory, proved in [5] and [6].

**Theorem 3.10** (Hopkins, Neeman). *If  $\mathcal{F}$  and  $\mathcal{G}$  are perfect complexes of  $R$ -modules, then tensor nilpotence of  $f : \mathcal{G} \rightarrow \mathcal{F}$  is the same as being fiberwise-zero, i.e.*

$$\kappa(\mathfrak{p}) \otimes f : \kappa(\mathfrak{p}) \otimes_R \mathcal{G} \rightarrow \kappa(\mathfrak{p}) \otimes_R \mathcal{F}$$

is a ghost map for all  $\mathfrak{p} \in \text{Spec } R$ .

**Theorem 3.11.** *Let  $f : \mathcal{G} \rightarrow \mathcal{F}$  be a morphism of perfect complexes of  $R$ -modules and let  $I \subseteq R$  be an ideal. Then if*

- (1)  $f$  factors through a complex  $X$  with  $H(X)$   $I$ -torsion and
- (2)  $\text{level}^R(\text{Hom}(\mathcal{G}, \mathcal{F})) \leq \text{ht } I$ ,

then  $f$  is fiberwise zero, hence tensor nilpotent.

*Proof Sketch.* First reduce to the case when  $\mathcal{F} = R$ , by using basic properties of  $\text{level}^R$ . Next, reduce to the case where  $R = (R, \mathfrak{m}, k)$  is local and  $\mathfrak{p} = \mathfrak{m}$ , and assume that  $I$  is  $\mathfrak{m}$ -primary. Then we have to deal with

$$\mathcal{G} \xrightarrow{f} R,$$

which factors through some  $X$  as  $\mathcal{G} \rightarrow X \rightarrow R$ . Note we have a big Cohen-Macaulay module  $C$  with a surjection  $C \rightarrow k$ . So lift the map  $R \rightarrow k$  to a map  $R \rightarrow C \rightarrow k$ . This now gives a diagram

$$\begin{array}{ccc} \mathcal{G} & \xrightarrow{f} & R \\ \downarrow & \nearrow & \downarrow \text{---} \pi \\ X & & C \xrightarrow{\epsilon} k \end{array}$$

By Proposition 3.8, the map  $G \rightarrow X \rightarrow R \rightarrow C$  is zero. There is a map

$$H(G \otimes k) \rightarrow H(R \otimes k) \rightarrow H(k \otimes k)$$

This is 0, and  $H(R \otimes k) \rightarrow H(k \otimes k)$  is an isomorphism, thus  $H(f \otimes k) = 0$ .  $\square$

## 4. APPLICATIONS

We have the first following interpretation.

**Theorem 4.1** (Morphic Intersection Theorem). *Suppose  $R$  is Noetherian and  $f : \mathcal{G} \rightarrow \mathcal{F}$  is a morphism of perfect complexes. If  $f$  is not fiberwise zero, and factors through some  $X$  with  $I$ -torsion homology for some  $I$ , then*

$$\text{span}(\mathcal{F}) + \text{span}(\mathcal{G}) \geq \text{level}^R(\text{Hom}_R(\mathcal{G}, \mathcal{F})) \geq \text{ht } I + 1.$$

The first part is an easy lemma, the second inequality follows from Theorem 3.11. Next, we have

**Theorem 4.2** (Canonical Element Conjecture). *Let  $R$  be a local Noetherian ring, and  $\underline{x}$  be a system of parameters, with  $\mathcal{F}$  a perfect complex of  $R$ -modules, with  $f : K(\underline{x}) \rightarrow \mathcal{F}$  has  $H_0(k \otimes f) \neq 0$ , where  $K$  is the Koszul complex.*

*Then  $H_{\dim R}(S \otimes f) \neq 0$  with  $S = R/\underline{x}$ .*

As a corollary, we obtain

**Corollary 4.3.** *If  $\underline{x}$  is as before and  $\underline{x} \subseteq (\underline{y})$  for some  $\underline{y}$ , so for example  $A\underline{y} = \underline{x}$ , then the  $d \times d$  minor ideal*

$$I_d(A) \not\subseteq (\underline{x}).$$

For example, if we take  $x_i = y_i^n$  where  $y_1, \dots, y_d$  is a system of parameters for  $R$ , then this corollary recovers the monomial conjecture in the classical form.

## REFERENCES

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