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Quadric rank loci on moduli spaces
of curves and K3 surfaces

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(notes by Charlie Godfrey)

Thom-Farkas formula:

$$\begin{array}{ccc} E & \xrightarrow{\phi} & F \\ \downarrow & \swarrow & \\ X & \subset & F \end{array}$$
 morphism of vector
bundles on X

$e = \text{rk } E, f = \text{rk } F$

$X_k(\phi) = \{x \in X \mid \text{rk } \phi(x) = k\}$

expected codim = $(e-k)(f-k)$

$[X_k(\phi)] = \det \begin{vmatrix} c_{f-k} & c_{f-k+1} & \dots \\ & \dots & \\ & & c_{f-k} \end{vmatrix}$ $\begin{pmatrix} (e-k) \times (e-k) \\ \text{matrix} \end{pmatrix}$

(Joint w/ R. Romany)

E, F vector bundles on X as above

$$e = \text{rk } E, \quad f = \text{rk } F$$

$$\begin{array}{ccc} S^2 E & \xrightarrow{\phi} & F \\ \downarrow & & \downarrow \\ & X & \end{array} \quad \text{fix } \underline{\text{rank}} \ r.$$

$$\sum_{e, f}^r (\phi) = \left\{ x \in X \mid \exists q \neq 0, q \in \ker \phi(x) \right. \\ \left. \text{rk } q \leq e - r \right\}$$

$$\text{Let } \Sigma^r = \left\{ Q \in S^2(\mathbb{C}^e) \mid \text{rk } Q \leq e - r \right\}$$

$$\left(\text{codim} = \binom{r+1}{2} \right)$$

Expected codim of $\Sigma_{ef}^r(\emptyset)$:

$$\mathbb{P}(\ker \phi \cap \Sigma^r) : \dim \binom{e+1}{2} - f - 1 - \binom{r+1}{2}$$

so expected codim: $f+1 + \binom{r+1}{2} - \binom{e+1}{2}$

The universal situation:

$$\Sigma_{ef}^r = \left\{ \varphi: S^2 \mathbb{C}^e \rightarrow \mathbb{C}^f \mid \begin{array}{l} \exists q \neq 0, q \in \ker \varphi \\ \text{rk } q \leq e-r \end{array} \right\}$$

Atiyah Bott localization gives

residue formula for

$$[\Sigma_{ef}^r] = H_G^{\text{pt}}(\text{Hom}(S^2 \mathbb{C}^e, \mathbb{C}^f))$$

Dimensional case: $f = \binom{e+1}{2} - \binom{r+1}{2}$

Thm 1 (Farkas - Rimanyni):

$$[\Sigma_{\text{eff}}^r]_{\text{virt}} = A_e^r (c_1(F) - \frac{2f}{e} c_1(E)) \in H^2(X, \mathbb{Q})$$

where $A_e^r = \frac{\binom{e}{r} \binom{e+1}{r-1} \dots \binom{e+r-1}{1}}{\binom{1}{0} \binom{3}{1} \dots \binom{2r-1}{r-1}}$

Degenerate pencils: $f = \binom{e+1}{2} - 2$

~~ker~~ $\ker \phi(x) =$ pencil of quadrics

pencil ϕ degenerate if $\mathbb{P}(\ker \phi(x)) \cap \Sigma^1$

is non-reduced

Thm 2: $\mathcal{D}_p = \{x \in X \mid \ker \phi(x) \text{ degenerate pencil}\}$

$$[\mathcal{D}_p]_{\text{virt}} = e(e-1) (c_1(F) - \frac{2f}{e} c_1(E))$$

Applications

Moduli of K3 surfaces

$$\tilde{F}_g = \{ (X, L) \text{ polarized K3 surface} \mid L^2 = 2g - 2 \}$$

19-dimensional irred. variety

$\pi: \mathcal{X} \rightarrow \tilde{F}_g$ univ K3 surface

$L \in \text{Pic}(\mathcal{X})$ univ polarization

~~The moduli~~

Morgan-Oprea-Pandharipande:

$$K_{a,b} = \text{Ita}(G_1(X)^a G_2(\mathbb{P}^1)^b)$$

$$\in \mathbb{C}H^{a+2b-2}(\tilde{F}_g)$$

In codimension 1

$$K_{3,0} = \pi_a(C_1(Z)^3), \quad K_{1,1} = \pi_a(C_1(Z)C_2(\Gamma))$$

$$\in CH^1(F_g)$$

Linear combo

$$j = K_{3,0} - \frac{g-1}{4} K_{1,1} \text{ is } \text{intrinsic}$$

(doesn't depend on choice of Z)

NZ divisor: $R \times d, h$.

$$D_{d,h}^g = \left\{ [X, Z] \mid \exists Z_1 \otimes Z_2 \rightarrow R \otimes X \right. \\ \left. C \cdot L = d, C^2 = 2h - 2 \right\}$$

Bergerson-Li-Mulson-Moeglich

$Ric(F_g)$ is spanned by NZ loci

Bordier & Bruinier computes
the rank of $\text{Pic}(F_g)$

Question: Find explicit NL
representations for

$$\mathcal{X} = \pi_*(W_\pi),$$

$$\mathcal{Y} = K_{3,0} - \frac{g-1}{4} K_{1,1}$$

1) KS's + rlc 4 quadrics.

$$[X, Z] = F_g, \quad X \xrightarrow{|Z|} \mathbb{P}^3$$

Look @ ex. seq

$$0 \rightarrow \mathcal{O}_Z(Z) \rightarrow \mathcal{O}_X^2 \rightarrow H^0(X, Z^2) \rightarrow 0$$

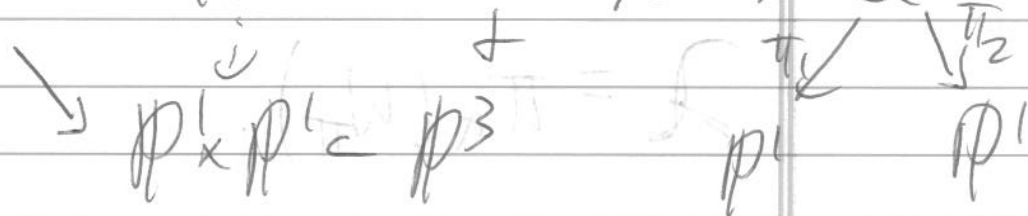
Res: $\begin{pmatrix} g-2 \\ 2 \end{pmatrix} \quad \begin{pmatrix} g+2 \\ 2 \end{pmatrix} \quad \mathbb{Q}^{4g-2}$

$$\mathcal{O}_g^{\text{rk} 4} = \{ [X, Z] \mid \exists q \neq 0, q \in \ker \mu_L \}$$

$$\text{rk } q \leq 4$$

$\mathcal{O}_g^{\text{rk} 4}$ is a NL divisor.

Have $Q \subset \mathbb{P}^3$



$$L = \underbrace{\pi_1^* \mathcal{O}_{\mathbb{P}^1}(1)}_{A_1} \otimes \underbrace{\pi_2^* \mathcal{O}_{\mathbb{P}^1}(1)}_{A_2}$$

Fact: $h^0(X, A_i) \geq 2$ for $i=1, 2$

$$[\mathcal{O}_g^{\text{rk} 4}] = A_{g+1}^{-3} \left((2g+1)A + \frac{2}{g+1} \delta \right) \text{ for } g=2i$$

g odd

Lazarsfeld-Mukai bundle

$$\begin{array}{l} E^2 \rightarrow X, \det E = \mathcal{L} \quad [M_X(2, h, i) = \{E\}] \\ h^0(X, E) = i+2 \end{array}$$

Let $\mathcal{E} \rightarrow \mathcal{X}$ be the universal
 $\downarrow \pi$ LM bundle
 \mathcal{F}_g (we'll have $\mathcal{L} = \det \mathcal{E}$)

$$\text{set } \mathcal{V} = \pi_*(G_1(\mathcal{E}) \otimes G_2(\mathcal{E}))$$

Consider

$$0 \rightarrow \mathcal{O} \otimes I_2(E) \rightarrow S^2 H^0(X, E) \xrightarrow{\mu_E} H^0(X, S^2 E) \rightarrow 0$$

rk $\begin{pmatrix} i+3 \\ 2 \end{pmatrix} \quad \begin{pmatrix} i+1 \\ 3 \end{pmatrix} \quad 6i-3$

$$\text{set } \mathcal{O}_g^{rk G} = \{ [X, Z] \in \widetilde{G} \mid \text{rk } \mathcal{O}_g \neq 0, \text{rk } \mathcal{O}_g \leq G \}$$

$$\text{We have a map } X \xrightarrow{\phi_E} G(2, H^0(E)^V) \\ = G(2, i+2)$$

$$\text{and } \text{Im } \phi_E \subseteq G(2, g) =: G_g \\ = \{ \text{lines on } g \subset \mathbb{P}H^0(E)^V \}$$

when $\text{rk } g \leq G$, $\mathcal{O}_{G_g}(1)$ splits as a tensor product of 2 line bundles with ≥ 2 sections

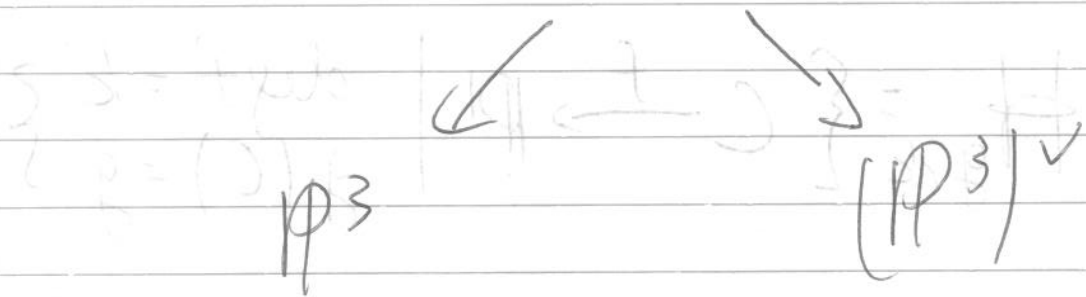
$$Q = G(2, 4) = G(2, U) \subset \mathbb{P}^5$$

$$\mathbb{P}(U) = \mathbb{P}^3$$

Any line on Q has the form

$$\mathbb{P}^1 \subset \mathbb{P}^3 \subset \mathbb{P}^5, \text{ w/ } p_0 \in \mathbb{P}^3, H \subset \mathbb{P}^3)^V$$

$$\omega \quad G_Q = \{ (p_0, H) \in \mathbb{P}^3 \times (\mathbb{P}^3)^\vee \mid p_0 \in H \}$$



(incidence correspondence)

3rd relation: ~~consider~~

consider $\det: \wedge^2 H^0(K, E) \rightarrow H^0(K, L)$

(recall $\det E = L$)

$$D_{\text{res}} = \left\{ [X, L] \in \overline{\mathbb{F}}_{2^i} \mid \begin{array}{l} \left. \begin{array}{l} S^i H^0(K, E) \otimes H^0(K, E) \\ S^{i+1} H^0(K, E) \end{array} \right\} \\ \rightarrow S^{i+1} H^0(K, E) \otimes H^0(K, L) \end{array} \right\}$$

is degenerate

{ 4 } Another application: Hurwitz spaces.

$$H_{g,k} = \left\{ C \xrightarrow{f} \mathbb{P}^1 \mid \begin{array}{l} \deg f = k \\ g(C) = g \end{array} \right\}$$

Question: birational geometry of $H_{g,k}$?

Case $g = 2k - 1$:

$H_{2k-1,k} \rightarrow$ divisor on H_g .

$$A = f^* \mathcal{O}_{\mathbb{P}^1}(1) ; L = \omega_C \otimes A^\vee$$

$$C \xrightarrow{L} \mathbb{P}^{k-1}$$

Consider $0 \rightarrow \mathcal{F}_2(L) \rightarrow S^2 H^0(K_C/L) \xrightarrow{N_L} H^0(K_C/L^2) \rightarrow 0$

ranks $\binom{k-3}{2} \quad \binom{k+1}{2} \quad \binom{2(2k-4)+1}{-2k+1}$

$$D_{\text{Hur}}^{rk 4} = \{ [C, A] \mid \exists q \neq 0, q \in \ker \mu_L, \text{rk } q \leq 4 \}$$

Theorem (Farkas-Rimanyi):

$$\sigma: \mathbb{H}_{2k-1, k} \rightarrow \overline{\mathcal{M}}_{2k-2}$$

$$K_{\overline{\mathbb{H}}_{2k-1, k}} = (k-12) D_{\text{Hur}}^{rk 4} + \sigma^*(7\lambda - \delta_0)$$

Corollary: for $k \geq 12$ $\overline{\mathbb{H}}_{2k-1, k}$ "g" of
"general type"

(Modulo questions about singularities
of $\overline{\mathbb{H}}_{2k-1, k}$).

also: $k \leq 8$: $\overline{\mathbb{H}}_{2k-1, k}$ is unirational.

Also: if $g = 16$

$$\mathbb{H}_{16, g} \xrightarrow{\text{finite}} \mathbb{M}_{16} \text{ unramified}$$

Kodaira dimension ≥ 0