

C. Sun (Cergy)

$$\Omega = T_y \times (-1, 1)_x$$

$$\begin{cases} (i \partial_t + \underbrace{\partial_x^2 + x^2 \partial_y^2}_H) u = 0 \\ u|_{\partial\Omega} = 0 \\ u|_{t=0} = u_0 \end{cases}$$

{ concentration  
propagation

Fourier transform on  $y$

$$(\partial_x^2 - x^2 n^2) e = x^2 e \leftarrow$$

Not what we are  
interested in

Def: exact controllability iff

$$\forall u_0, u_1 \in L^2(\Omega), \exists f \in L^2_{t,x,y}$$

$$(i \partial_t + H) u = f \mathbb{1}_{w_x(0,1)} + bc$$

$$s.t. \quad u|_{t=0} = u_0, \quad u|_{t=T} = u_1$$



Def: observable  $(T, \omega)$  iff  $\exists c, \forall u_0$

$$\|u_0\|_{L^2}^2 \leq c \int_0^T \int_{\omega} |e^{itH} u_0|^2 dx dy dt$$

Thm (HUM)

$$\text{E.C.}_{TW} \Leftrightarrow \text{Obs } T, \omega$$

$$\partial_x^2 + x^2 \partial_y^2 \rightarrow \partial_x^2 + \partial_y^2$$

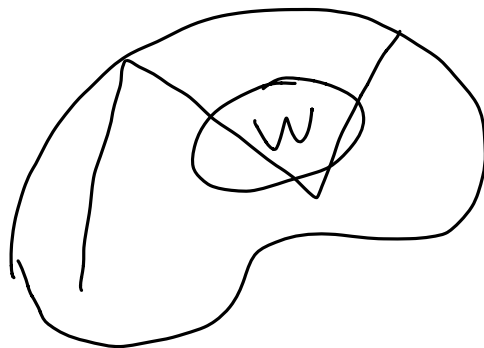
Thm: For heat equation  $\forall \omega, \forall T > 0$ , obs

$$\|e^{T\Delta} u_0\| \leq c \|e^{t\Delta} u_0\|_{L^2(0, T) \times \omega}$$

For wave equation

$$\|u_0\|_{L^2} \leq c \|e^{it\sqrt{\Delta}} u_0\|_{L^2(0, T) \times \omega}$$

$\Leftrightarrow$  geometric control condition



For Schrödinger equation

$$\|u_0\|_{L^2} \leq C \|e^{it\Delta} u_0\|_{L^2(\omega, T) \times \omega}$$

$\Leftrightarrow$  Geometric control condition ( $T_0$ )

Thm:  $M^2$ ,  $\chi < 0$ ,  $\forall \omega$  open,  $\forall T > 0$   
 $\uparrow$  compact

$$\|u_0\|_{L^2} \leq C \|e^{it\Delta} u_0\|_{L^2(\omega, T) \times \omega}$$

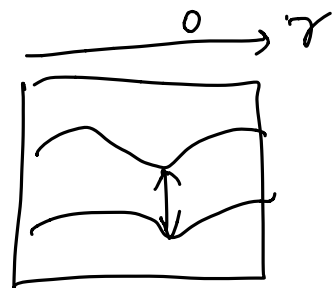
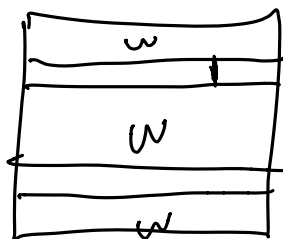
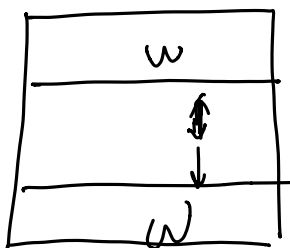

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$M = \mathbb{T}^d$ , OK  $\forall \omega$ ,  $\forall T > 0$   $q_0'$

$M = \mathbb{T}^2$ ,  $\omega \mid \omega| > 0$

Def:  $\omega = \cup$  horizontal bounds

$\mathcal{L}(\omega) =$  the size of largest bound in  $\omega$



Thm (N.B, C. Sun 19)  $\partial_x^2 + x^2 \partial_y^2$

Obs  $\forall T > \mathcal{L}(w)$

obs false  $\forall T \leq \mathcal{L}(w)$

$$-\partial_x^2 - x^2 \partial_y^2$$

Fourier transform in  $y \Rightarrow -\partial_x^2 + x^2 \eta^2 = \eta^2 (-\eta^{-2} \partial_x^2 + x^2)$

$$e_{\eta,1}(x) \sim e^{-\eta \frac{x^2}{2}}, \quad \lambda_{\eta,1} \sim |\eta|$$

$$u_0 = \sum_{\eta} \mu_{\eta} e_{\eta,1} e^{i\eta y}$$

$$i \partial_t u + H u = 0$$

$$(i \partial_t - |D_y|) u \sim 0$$

1) Reduce Pwb to spectrally local data

$$u_0 \rightarrow \chi(h^2 H) u_0$$

2) Understand regimes (semi-classical)

1) Wave

$$z=0, x=0, \eta = +\infty$$

2) Semiclassical propagation

$$\left\{ \begin{array}{l} 0 < \eta < +\infty \\ z, x < +\infty \end{array} \right.$$

3) Transversal propagation  $\eta=0$

$$u_0 = \chi(h^2 H) \chi(\varepsilon |D_y|) u_0$$

$$\chi \in C^\infty(\frac{1}{2}, 2)$$

$$h^2 \leq \varepsilon \leq 1$$

$$\begin{array}{l} H \gg \varepsilon^{-1} \\ \text{SS} \\ h^{-2} \end{array}$$

Semi-Classical

$$h^2 D_t \sim \tau$$

$$\tau \sim z^2 + x^2 \eta^2$$

$$h D_x \sim z$$

$$h D_y \sim \eta$$

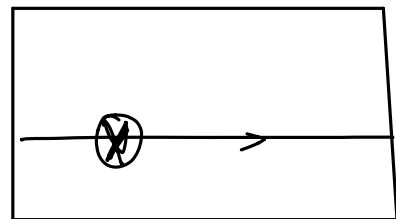
$$u = e^{itH} u_0$$

$$\chi(h^2 H) u = \chi(h^2 D_x^2) u$$

$$u = e^{itH} u_0$$

$$\begin{aligned} v(s, x) &= u(hs, x) \\ &= e^{\frac{ish^2 H}{h}} u_0 \end{aligned}$$

$$(ih \partial_s + h^2 H) v = 0$$



$$GC \Rightarrow \|v_0\|_{L^2} \leq \int_0^d \int_{\omega} |v|^2(s, x) ds dx$$

$$\|u_0\|_{L^2} \leq \frac{1}{h} \int_0^{dh} \int_{\omega} |u|^2(s, x) dt dx$$

$$\leq \frac{1}{h} \int_{kdh}^{(k+1)dh} \text{---}$$

$$\frac{C}{h} \|u_0\|_{L^2} \leq \frac{1}{h} \int_0^T \text{---}$$

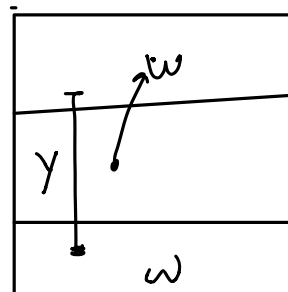
$$z^2 + x^2 \eta^2$$

$$\bar{x} = 2z$$

$$\dot{z} = -2x\eta^2$$

$$\dot{y} = 2x^2\eta$$

$$\dot{\eta} = 0$$



Wave regime:

$$v \quad s \sim \frac{f(\omega)}{h}$$

$$[\underbrace{P}_p + H, x\partial_x + y\partial_y] = 2H$$

$$([P, x\partial_x + y\partial_y] u, u)_{L^2_{t,x,y}} = 2(-Hu, u) \quad \parallel \quad 2\|u\|_{H^1_G}^2$$

$$(-Hu, u) = \int |\partial_x u|^2 + |x\partial_y u|^2$$

$$= (PQ - QP)u, u)$$

$$= -(Q, P^*u) = 0$$

$$u \mapsto \varphi\left(\frac{t}{T}\right) \chi(x) \zeta(y) u$$

\* Lots of terms including  $\varphi', \chi', \zeta'$

\* Hypocoellipticity

$$\|(\partial_y)^{\frac{1}{2}} u\|_{L^2} \leq C \|u\|_{H^1_G}$$

$$2t \|u\|_{H^1_G}^2 \leq \text{Observ} + 2f(\omega) \|u\|_{H^1_G}^2 + \text{b.t.}$$

# Observability and control for Grushin Schrödinger equations

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# Outline

- 1 Grushin-Schrödinger equation
  - Controllability and observability
  - The geometric control condition
  - Main results and motivations

- 2 The different regimes
  - The half wave regime
  - The different regimes
    - Half-wave
    - Semi-classical propagation
    - Transversal propagation

- 3 Proofs
  - Semi-classical propagation
  - The half wave regime
  - Transversal propagation

## 2D Grushin-Schrödinger equation

Let  $\mathbb{T} = \mathbb{R}/2\pi\mathbb{Z}$  be the 1D torus, and  $\Omega = (-1, 1)_x \times \mathbb{T}_y$ . Let  $\Delta_G = \partial_x^2 + x^2\partial_y^2$  be the Grushin operator with domain

$$D(\Delta_G) = \{f \in L^2(\Omega) : \Delta_G f \in L^2(\Omega), f|_{\partial\Omega} = 0\}.$$

$$\Delta_G = X_1^2 + X_2^2: \text{ type I}$$

and

$$X_1 = \partial_x, X_2 = x\partial_y; [X_1, X_2] = \partial_y, \text{span}\{X_1, X_2, [X_1, X_2]\} = \mathbb{R}^2 = T_x\mathbb{R}^2.$$

**Objective:** Observability and Controlability for Grushin-Schrödinger equation with Dirichlet boundary condition:

$$\begin{cases} i\partial_t u - \Delta_G u = 0, & (t, x, y) \in \mathbb{R} \times \Omega \\ u|_{t=0} = u_0 \\ u|_{\mathbb{R} \times \partial\Omega} = 0 \end{cases}$$

## 2D Grushin-Schrödinger equation

- Conservation of mass:

$$M(u) = \int_{\Omega} |u(t, x, y)|^2 dx dy.$$

- Conservation of energy:

$$\|u\|_{H_G^1}^2 = \int_{\Omega} (|\partial_x u(t, x, y)|^2 + |x \partial_y u(t, x, y)|^2) dx dy.$$

- Subellipticity: Energy controls  $H^{1/2}$  norm

$$[\partial_x, x \partial_y] = \partial_y \Rightarrow \exists C > 0; \|u\|_{H_{x,y}^{1/2}}^2 \leq C \|u\|_{H_G^1}^2$$

# Controllability

Let  $\omega \subset \Omega$  be a non-empty open set, and  $T > 0$ .

## Definition (Exact-controllability on $\omega$ at time $T$ )

$\forall u_0, u_1 \in L^2(\Omega), \exists f \in L^2([0, T] \times \omega)$  such that the solution  $u$  of

$$(i\partial_t - \Delta_G)u = f, \quad u|_{\partial\Omega} = 0, \quad u|_{t=0} = u_0$$

satisfies  $u|_{t=T} = u_1$

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$\forall u_0 \in L^2(\Omega), \exists f \in L^2([0, T] \times \omega)$  such that the solution  $u$  of

$$(i\partial_t - \Delta_G)u = f, \quad u|_{t=0} = u_0, \quad u = e^{it\Delta_G} u_0,$$

$$\|(e^{iT\Delta} u_0)\|_{L^2(\Omega)}^2 \leq C_T \int_0^T \|(e^{it\Delta_G} u_0)\|_{L^2(\omega)}^2 dt$$

# Observability results for three typical PDE's

- **Heat:** (Lebeau-Robbiano, Fursikov-Imanuvilov):  $\omega \neq \emptyset$ ,  $T > 0$ ,

$$\|e^{T\Delta} u_0\|_{L^2(\Omega)}^2 \leq C_T \int_0^T \|e^{t\Delta} u_0\|_{L^2(\omega)}^2 dt.$$

Spectral inequality (Carleman) + very fast dissipation of HF.

- **Wave:** (80' Rauch-Taylor, Bardos-Lebeau-Rauch, Burq-Gérard)  
 $\omega$  satisfies the geometric control condition (GCC),  $T > T_{GCC}$ ,

$$\|u_0\|_{L^2(\Omega)}^2 \leq C_T \int_0^T \|e^{it\sqrt{-\Delta}} u_0\|_{L^2(\omega)}^2 dt.$$

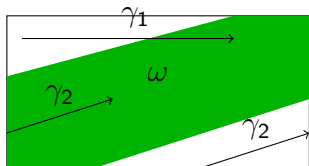
Melrose-Sjöstrand propagation of singularities. Finite propagation speed.

- **Schrödinger:** Infinite propagation speed
  - ▶ (Lebeau 90')  $\omega$  satisfies (GCC),  $T > 0$ , then

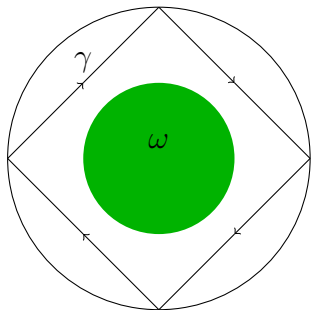
$$\|u_0\|_{L^2(\Omega)}^2 \leq C_T \int_0^T \|e^{it\Delta} u_0\|_{L^2(\omega)}^2 dt.$$

# GCC: pictures

$\omega$  satisfies (GCC) if there exists  $T_{GCC} > 0$ , such that all generalized geodesics of length  $T > T_{GCC}$  intersects with  $\omega$ .



GCC is satisfied



GCC is not satisfied

# Observability for Schrödinger equation beyond GCC

Schrödinger: GCC not necessary (stability/instability) of geodesic flow

Theorem (Jaffard 90, N.B.-Zworski 03, 12, Anantharaman-Macià 14, Bourgain-N.B.-Zworski 13, N.B.-Zworski 17)

$\Omega = \mathbb{T}^2$ ,  $\forall E, |E| > 0$  and  $T > 0$  + stable perturbations  $V \in L^2(\mathbb{T}^2)$  and let  $P = -\Delta + V(x)$ .  $\exists C(T, \omega) > 0$ ,  $\forall u_0 \in L^2(\mathbb{T}^2)$ ,

$$\|u_0\|_{L^2(\mathbb{T}^2)}^2 \leq C(T, E) \int_0^T \|e^{itP} u_0\|_{L^2(E)}^2 dt.$$

Theorem (Schenck 10, Anantharaman-Rivière 10, Bourgain-Dyatlov 16, Jin 17, Dyatlov-Jin-Nonnenmacher 19)

$\Omega$  surface neg curvature,  $\forall \omega$ , open,  $\forall T > 0$ .  $\exists C > 0$ ,  $\forall u_0 \in L^2(\mathbb{T}^2)$ ,

$$\|u_0\|_{L^2(\Omega)}^2 \leq C(T, \omega) \int_0^T \|e^{it\Delta} u_0\|_{L^2(\omega)}^2 dt.$$

# Bibliographic for the results of parabolic-Grushin:

**Question:** Hypoelliptic geometry?

- **Heat type equation:** ( Alabau, Beauchard, Cannarsa, Duprez, Guglielmi, Koenig, Pravda-Starov, ...)  
for different operators  $A$  and control domains  $\omega$ ,  
**new phenomena** happen: observability false for some  $T > 0$  or even for all finite  $T > 0$  !

In particular, for Grushin (heat) equation

$$\partial_t u - \Delta_G u = 0$$

on  $\Omega = (-1, 1)_x \times \mathbb{T}_y$ , the following striking result holds:

## Theorem (A. Koenig '17 )

*Assume that there exists a horizontal strip  $(-1, 1)_x \times (a, b)_y$  which does not encounter  $\omega$ . Then for any  $T > 0$ , the heat-observability is untrue (as well as null-controllability).*

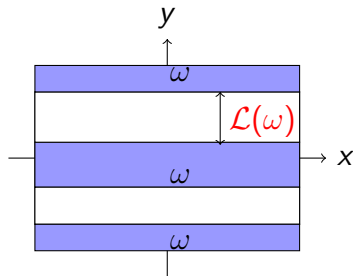


## Schrödinger: No $T \leq \mathcal{L}(\omega)$ observability!

Let  $\omega$  be of the form  $(-1, 1)_x \times I$ , where  $I \subset \mathbb{T}$  is a finite union of intervals. For such  $\omega$ , we define  $\mathcal{L}(\omega)$ :

$$\mathcal{L}(\omega) := \sup\{s : \exists y_1, y_2 \in \mathbb{T}, \text{dist}_{\mathbb{T}}(y_1, y_2) = s, [(0, y_1), (0, y_2)] \cap \omega = \emptyset\}$$

the length of largest interval in  $\Omega \setminus \omega \cap \{x = 0\}$ .

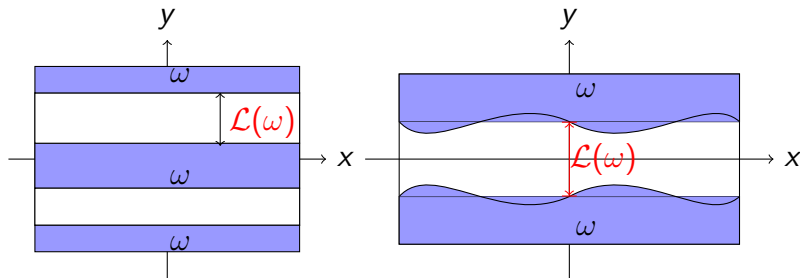


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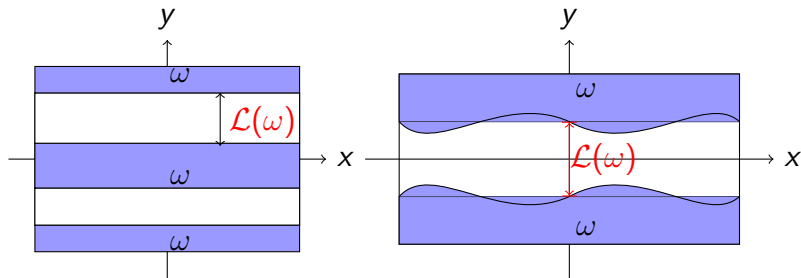


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the length of largest interval in  $\Omega \setminus \omega \cap \{x = 0\}$ .



Theorem (N. B, Chenmin Sun. '19)

If  $T \leq \mathcal{L}(\omega)$ , the observability by  $(T, \omega)$  is false.

## Observability $T > \mathcal{L}(\omega)$

### Theorem (N. B, Chenmin Sun '19)

Assume that  $T > \mathcal{L}(\omega)$ . There exists  $C_T > 0$ , such that for all  $u_0 \in L^2(\Omega)$ ,

$$\|u_0\|_{L^2(\Omega)}^2 \leq C_T \int_0^T \|e^{it\Delta_G} u_0\|_{L^2(\omega)}^2 dt.$$

### Corollary (Exact-controllability)

Assume that  $T > \mathcal{L}(\omega)$ . For any  $u_0, v_0 \in L^2(\Omega)$ , there exists  $f \in L^2([0, T] \times \omega)$ , such that the solution of

$$i\partial_t u + \Delta_G u = \mathbf{1}_\omega f, \quad u|_{\partial\Omega} = 0, \quad u|_{t=0} = u_0$$

satisfies that  $u|_{t=T} = v_0$ .

# A harmonic oscillator

Take fourier transform w.r.t.  $y$

$$(i\partial_t u + \partial_x^2 u - x^2 \eta^2) \widehat{u}(t, x, \eta) = 0,$$

The harmonic oscillator

$$-\partial_x^2 u + x^2 \eta^2 = \eta^2 (-\eta^{-2} \partial_x^2 u + x^2)$$

has a fundamental (ground) state, with eigenvalue  $\lambda_{\eta,1}$

$$e_{\eta,1}(x) \sim e^{-\frac{|\eta|x^2}{2}}, \quad \lambda_{\eta,1} \sim |\eta|,$$

Take initial data distributed on these ground state

$$u_0 = \sum_{\eta \in \mathbb{Z}} \alpha_n e^{i\eta y} e_{\eta,1}(x),$$

Then solution of Grushin-Schrödinger with initial data  $u_0$  is a solution of (half) wave equation

$$(i\partial_t + |D_y|)u \sim 0.$$

## Harmonic oscillator: consequences

- Finite speed of propagation for Half wave equation responsible for the requirement  $T \geq \mathcal{L}(\omega)$ .
- If  $|D_y| \sim \epsilon^{-1}$ , then  $-H \geq \epsilon^{-1}$ .

Semi-classical reduction and prove observability only for initial data

$$u_0 = \chi(-h^2 H) u_0, \chi \in C_0^\infty\left(\frac{1}{2}, 2\right), \chi|_{(\frac{1}{\sqrt{2}}, \sqrt{2})} = 1$$

Work on Characteristic manifold after (anisotropic) semi-classical scaling,  $\tau \sim h^{-2}$ ,  $-\Delta_G \sim h^{-2}$

$$\{(t, \tau, x, \xi, y, \eta); \tau = \xi^2 + x^2 \eta^2, \tau \in \left(\frac{1}{2}, 2\right)\}$$

Second microlocalize w.r.t.  $\eta$  variable and assume

$$u_0 = \chi(-h^2 H) \chi(\epsilon |D_y|) u_0, \chi \in C_0^\infty\left(\frac{1}{2}, 2\right), \chi|_{(\frac{1}{\sqrt{2}}, \sqrt{2})} = 1$$

We can assume

$$h^2 \leq \epsilon \leq 1$$

# The semi-classical regimes ( $\xi = hD_x, \eta = hD_y$ )

- The **Half wave** regime  $\xi = 0, x = 0, \eta = +\infty$ ,

$$|D_x| \ll h^{-1}, \quad |x| \ll 1, \quad |D_y| \gg h^{-1} \text{ (but } |D_y| \leq h^{-2})$$

$\eta \sim h^{-2}$  responsible for finite time observation. Careful positive commutator estimates

- The **Semi-classical propagation** regime  $0 < \eta < +\infty$

$$(x, hD_x) \text{ bounded, } ch^{-1} < |D_y| < Ch^{-1}$$

semi-classical propagation  $\Rightarrow$  arbitrary small time observation

- The **Transversal propagation** regime  $\eta = 0$ 
  - ▶ **Rapid propagation** regime  $h^{-\delta} \leq |D_y| \ll h^{-1}, 0 < \delta < 1/4$  : semi-classical propagation + positive commutator
  - ▶ **Normal form** regime:  $|D_y| \leq h^{-\delta}$  : normal form + positive commutator

# Semi-classical propagation: Lebeau's method I

## Theorem (Lebeau '92)

*Non degenerate Laplace. Assume geometric control condition.*

$$\forall T > 0, \exists C_T > 0, \quad \|u_0\|_{L^2(\Omega)}^2 \leq C_T \int_0^T \|e^{it\Delta}(t, \cdot)\|_{L^2(\omega)}^2 dt,$$

- **Unique continuation** + semi-classical observation  $0 < h \ll 1$  ( $\psi \in C_c^\infty(1/2 \leq |r| \leq 2)$ .)

$$\|\psi(h^2\Delta)u_0\|_{L^2(\Omega)} \leq C_T \int_0^T \|\psi(h^2\Delta)u(t, \cdot)\|_{L^2(\omega)}^2 dt,$$

- **Rescaling in time**  $v(s, x) = u(hs, x)$ : semi-classical Schrödinger equation:

$$ih\partial_s v_h + h^2\Delta v_h = 0, \quad \text{where } v_h(s, x) = \psi(h^2\Delta)u(hs, x).$$



# Semi-classical propagation: Lebeau's method I

- **Propagation of singularities:** for semi-classical Schrödinger

$$ih\partial_s v_h + h^2 \Delta v_h = 0,$$

$$\text{WF}_h(v_h) \subset \text{Char}(P_h) = \{(s, x; \tau, \xi) \in T^*(\mathbb{R}_s \times \Omega_x) : \tau - |\xi|_g^2 = 0\}.$$

$\text{WF}_h(v_h)$  invariant under Hamiltonian (geodesic) flow of  $p = \tau - |\xi|_g^2$ .

- **(GCC) assumption**

$$(\star) \quad \exists \alpha > 0, \quad \|v_h|_{t=0}\|_{L^2(\Omega_x)}^2 \leq C \int_0^\alpha \|v_h(s, x)\|_{L^2(\omega)}^2 ds.$$

- **Back to the classical time scale  $t$ :** From  $(\star)$

$$\|u_h|_{t=0}\|_{L^2(\Omega_x)}^2 \leq \frac{C}{h} \int_0^{\alpha h} \|u_h(t, x)\|_{L^2(\omega)}^2 dt.$$

Write for  $t = 0, t = \alpha h, \dots, t = T$ , combine with conservation  $L^2$  norm,  $\exists 0 < h_0 = h_0(T, a) \ll 1, \forall 0 < h < h_0$ ,

$$\|u_h(0)\|_{L^2(\Omega)}^2 \leq C_T \int_0^T \|u_h(t, x)\|_{L^2(\omega)}^2 dt.$$

# The half wave regime $x = 0, \xi = 0, \eta = +\infty$

- Key point

$$(\star) \quad [i\partial_t - \Delta_G, x\partial_x + y\partial_y] = -2\Delta_G$$

- Idea: compute

$$([i\partial_t - \Delta_G, x\partial_x + y\partial_y]u, u)_{L^2}$$

integrate by parts and use coercivity to control  $H_H^1$  norm of  $u$  from  $(\star)$

- Problems: boundary terms in  $x, t$ , and  $y\partial_y$  is *not*  $y$  periodic
- Solution introduce cut of in  $x, t, y$  (and deal with the additional terms)

# A priori estimates

- Hypocoelliptic estimate

$$\|f\|_{L^2(\Omega)}^2 + \| |D_y|^{1/2} f \|_{L^2(\Omega)}^2 \leq \|f\|_{H_G^1}^2 = ((-\Delta_G)f, f)_{L^2(\Omega)}.$$

- Elliptic estimate: Characteristic manifold

$$\text{Char} = \{ \tau = \xi^2 + x^2 \eta^2 \in (\frac{1}{2}, 2) \}$$

We deduce that if  $|D_y| \geq Ch^{-1}$  then  $|x| \leq \frac{\sqrt{2}}{C}$ . i.e. for any  $\chi \in C_0^\infty(\mathbb{R})$  equal to 1 on  $(-1, 1)$ ,

$$\| (1 - \chi(\frac{Cx}{\sqrt{2}})) u \|_{H^1} = \mathcal{O}(h^\infty).$$

## Positive commutator

Let  $\varphi_T \in C_0^\infty(\mathbb{R}_t)$  with support in  $(-0, T)$  equal to 1 on  $\varepsilon, T - \varepsilon$  and  $\zeta \in C_0^\infty(\mathbb{R}_y)$ . Compute

$$\begin{aligned}
 (\star) &= \left( [i\partial_t - \Delta_G, x\partial_x + y\partial_y] \varphi_T(t) \chi(x) \zeta(y) u, u \right)_{L^2_{t,x,y}} \\
 &= \left( -2\Delta_G \varphi_T(t) \chi(x) \zeta(y) u, u \right)_{L^2_{t,x,y}} \\
 &= 2 \int_{t,x,y} \varphi_T(t) \chi(x) \zeta(y) (|\partial_x u|^2 + x^2 |\partial_y u|^2) dx dy dt + \mathcal{O}(\|u\|_{H_G^1} \|u\|_{L^2})
 \end{aligned}$$

$$\begin{aligned}
 (\star) &= ((x\partial_x + y\partial_y)[i\partial_t - \partial_x^2 - x^2\partial_y^2, \varphi_T(t) \chi(x) \zeta(y)] u, u)_{L^2_{t,x,y}} \\
 &= \int_{t,x,y} \varphi_T'(t) \chi(x) \zeta(y) u y \partial_y \bar{u} dx dy dt \\
 &\quad + \mathcal{O}(\|u\|_{H_G^1} \|u\|_{L^2}) + \mathcal{O}(h^\infty) \|u\|_{L^2}^2 + \mathcal{O}(\|u\|_{H_G^1(\omega)}^2)
 \end{aligned}$$

$$2T \|u\|_{H_G^1}^2 \leq \text{Observation} + 2\mathcal{L}(\omega) \|u\|_{H_G^1}^2 + l.o.t.$$

## Transversal propagation regime $\eta = 0$

The method in this regime is inspired from control for Schrödinger on  $\mathbb{T}^2$  (Burq-Zworski 03)

- Semi-classical propagation does not give result because geodesics are horizontal !
- Step 1: apply semi-classical propagation to escape set  $\{x = 0\}$
- Step 2 apply positive commutator  $[-\Delta_G, y\partial_y] = -x^2\partial_y^2 \geq -c\partial_y^2$  (away from  $\{x = 0\}$ )
- Since  $u$  microlocalized  $|D_y| \sim \epsilon^{-1}$ ,  $u_0 = \chi(-h^2H)\chi(\epsilon|D_y|)u_0$

$$\forall T > 0; \exists C > 0, h_0 > 0, \epsilon_0 > 0, \forall 0 < h \leq h_0, \forall h^2 < \epsilon \leq \epsilon_0,$$

$$\|u_0\|_{L^2(\Omega)}^2 \leq C_T \int_0^T \|u(t, x)\|_{L^2(\omega)}^2 dt$$

Problem: How to glue the second semi-classical estimates? Defect of compactness! (i.e. errors  $O(|D_y|^{-\infty})$  are not necessarily compact)!

Solution: stop at  $\epsilon < h^\delta$

Transversal propagation: The normal form regime  
 $|D_y| \lesssim h^{-\delta}$  (inspired from Burq-Zworski 12)

$$i\partial_t u + \partial_x^2 u + x^2 \partial_y^2 u = 0$$

$$v = (\text{Id} + hQ(x, hD_x)\partial_y^2)u.$$

$$(i\partial_t v + \partial_x^2 v + x^2 \partial_y^2 - h[\Delta_G, Q]\partial_y^2)v = 0$$

$$\begin{aligned} h[\Delta_G, Q] &= 2(i\xi\partial_x q)(x, hD_x)\partial_y^2 + h(\partial_x^2 q)(x, hD_x)\partial_y^2 + h[x^2, Q]\partial_y^4 \\ &= 2(i\xi\partial_x q)(x, hD_x) + \mathcal{O}_{\mathcal{L}(L^2)}(h^{1-2\delta} + h^{1-4\delta}). \end{aligned}$$

choose

$$q(x, \xi) = \frac{1}{2i\xi} \int_{-1}^x (z^2 - M) dx \Leftrightarrow x^2 - 2i\xi\partial_x q(x, \xi) = M$$

$$i\partial_t v + \partial_x^2 v + \underbrace{M}_{\text{average of } x^2 \text{ along } x = \text{const.}} \cdot \partial_y^2 v = O_{L^2}(h^\theta)$$

Conclude from the observability for Schrödinger on  $\mathbb{T}^2$ .