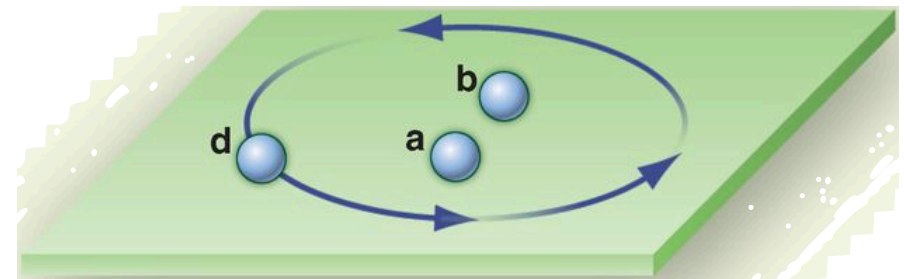




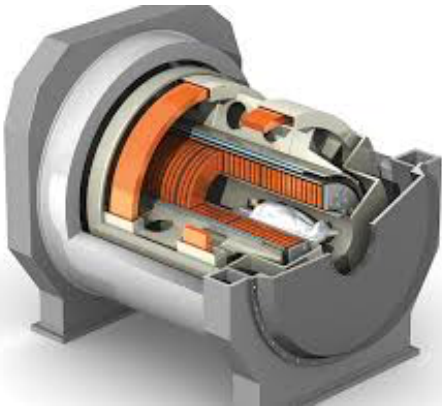
# Quantum symmetries and phases of matter: a physicist's perspective

Fiona Burnell  
University of Minnesota— Twin Cities

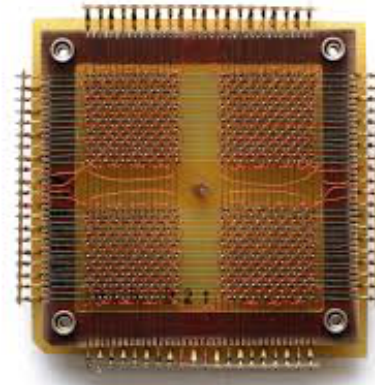


# Condensed matter physics: what can materials do?

Superconductivity



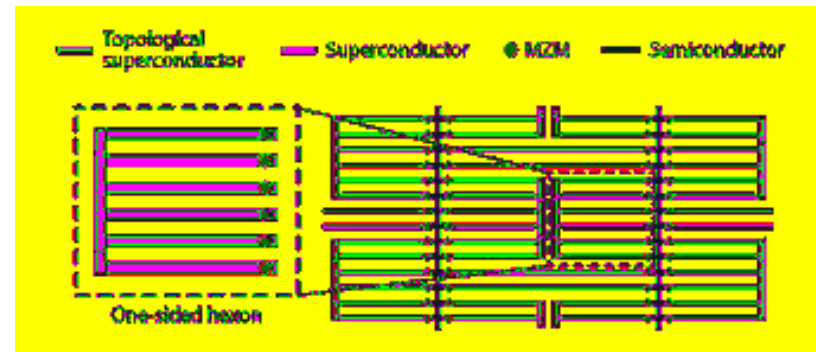
magnetism



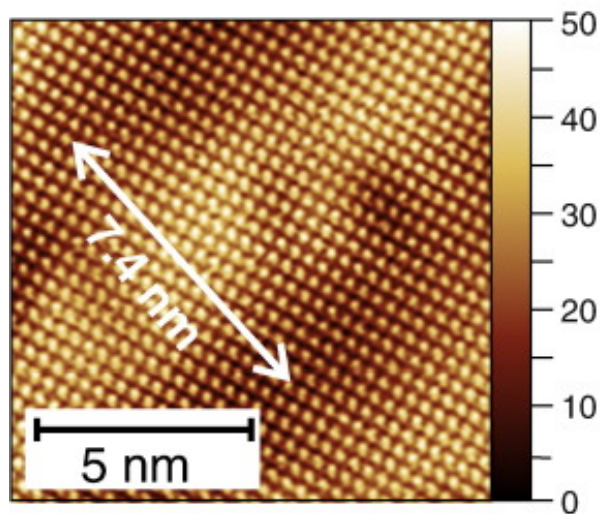
semiconductors



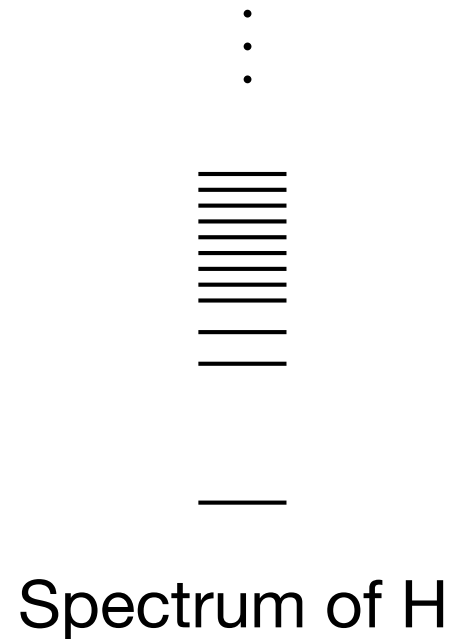
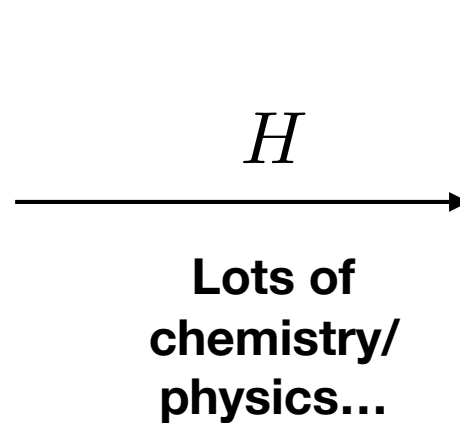
topological phases



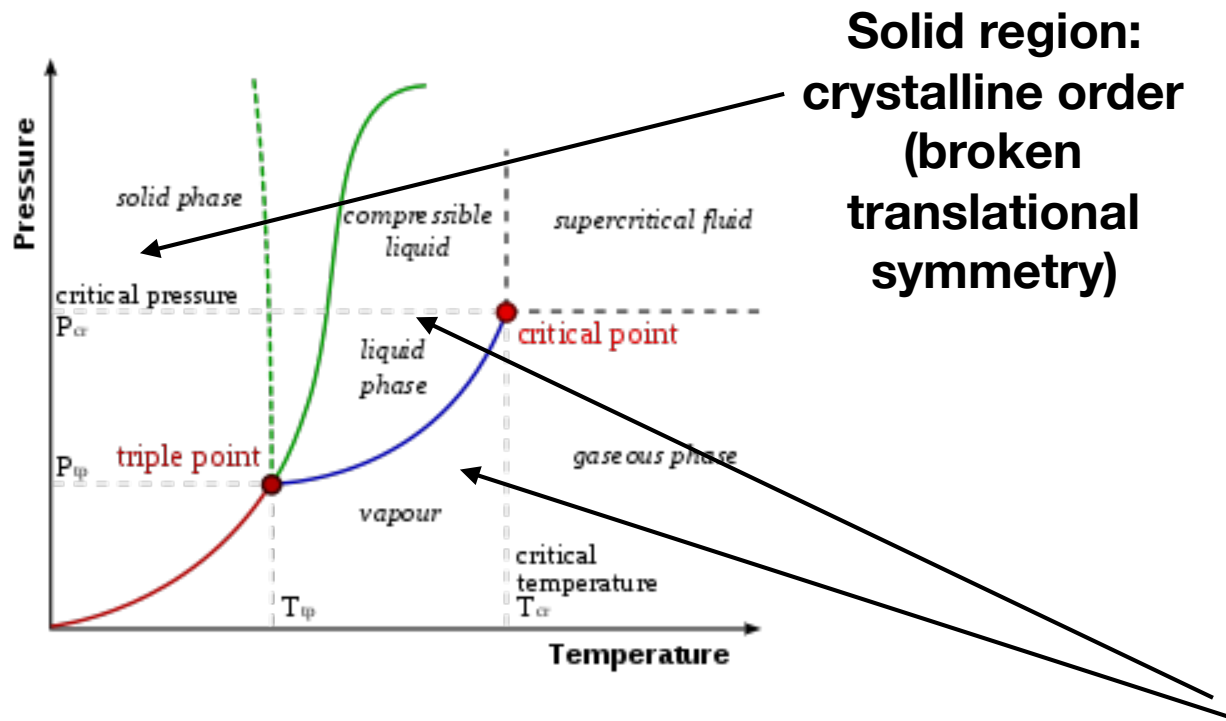
# How to approach this problem?



Microscopically, a real material with a complicated Hilbert space

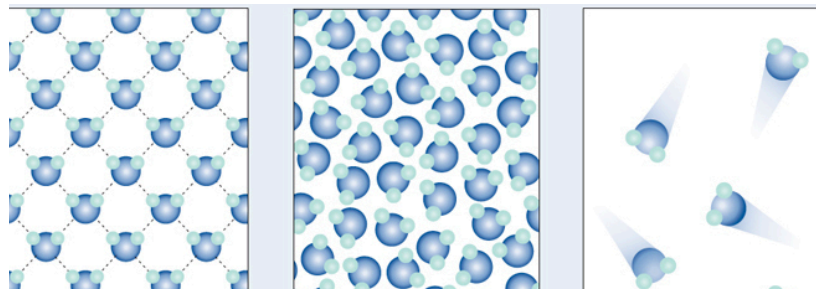


# Phases of matter: a paradigm for classifying possible material behaviors



**Solid region:  
crystalline order  
(broken  
translational  
symmetry)**

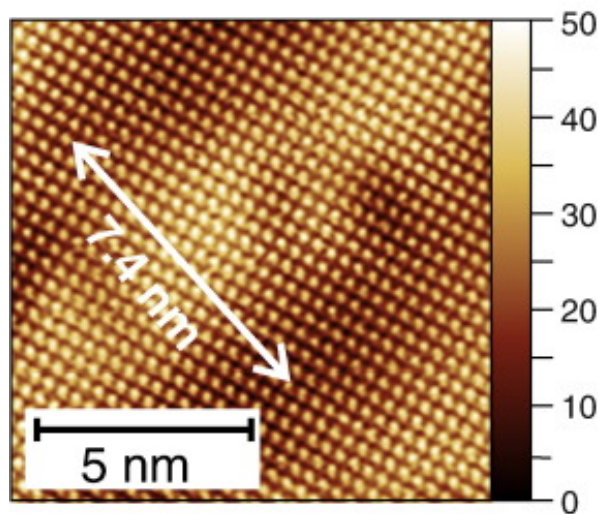
**Liquid and gas:  
no broken  
translational  
symmetry.**



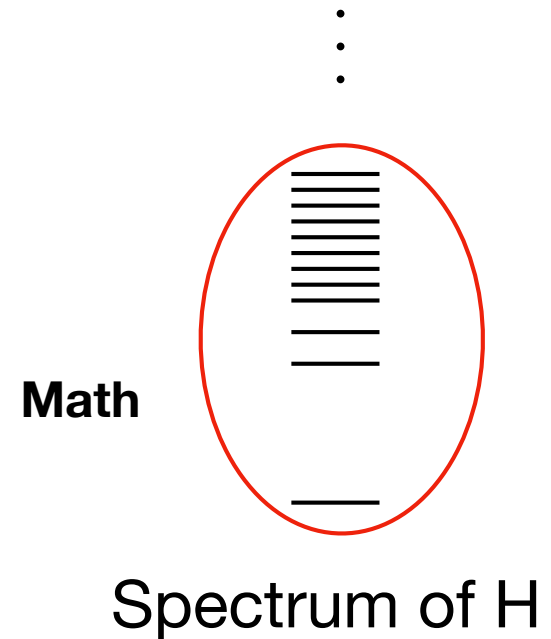
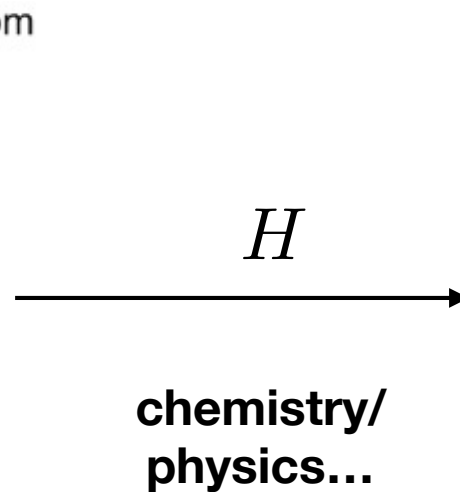
**Many different microscopic systems in a phase, but all have the same low-temperature behavior**



# How to approach this problem?



Microscopically, a real material with a complicated Hilbert space

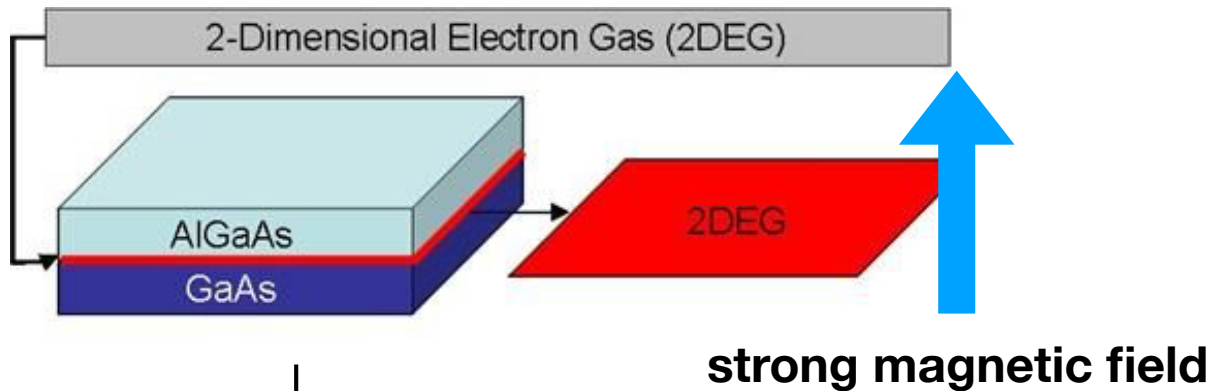


Math

Spectrum of  $H$

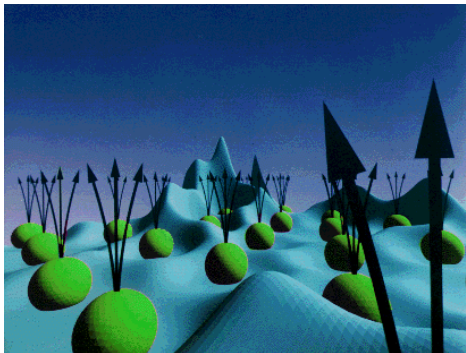
- Phase of matter (and low-temperature properties): understand the ground state and the low-energy excited states of the simplest possible  $H$  in that phase.

# What does this have to do with you? An example



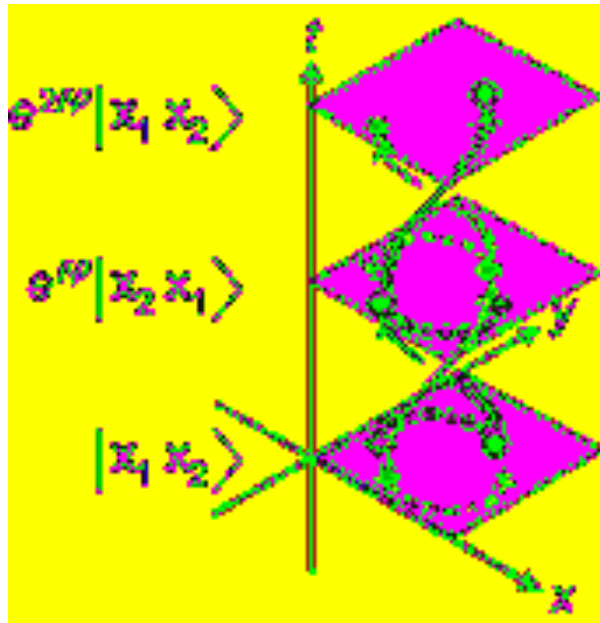
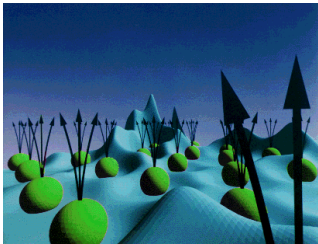
Microscopically, electrons (*charge 1*) in a strong magnetic field

$H$  (Specifics not important)



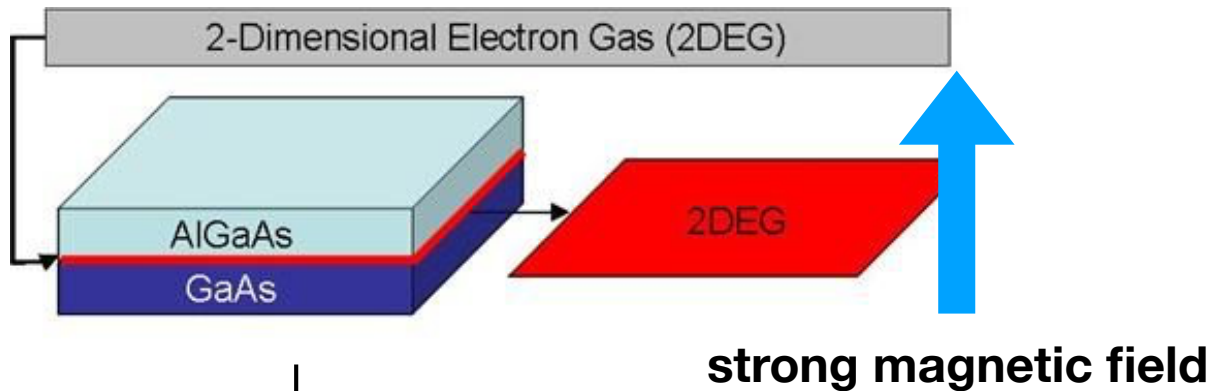
Low-lying excited states: quasiparticles with fractional charge (e.g  $1/(2l+1)$ )

# What does this have to do with you? An example



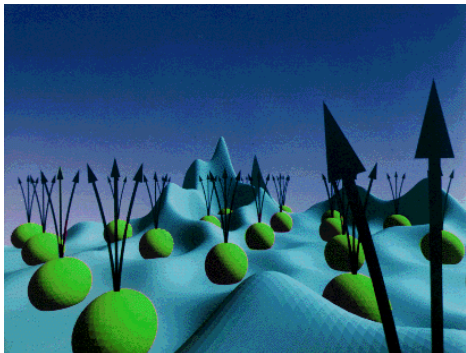
- Quasiparticles with fractional charge are “anyons”
- Exchange them twice and the wave function changes by a phase

# What does this have to do with you? An example



Microscopically, electrons (*charge 1*) in a strong magnetic field

$H$  (Specifics not important)



**Mathematical description:**  
anyons = simple objects  
in a UMTC (with projective  
U(1) symmetry action)

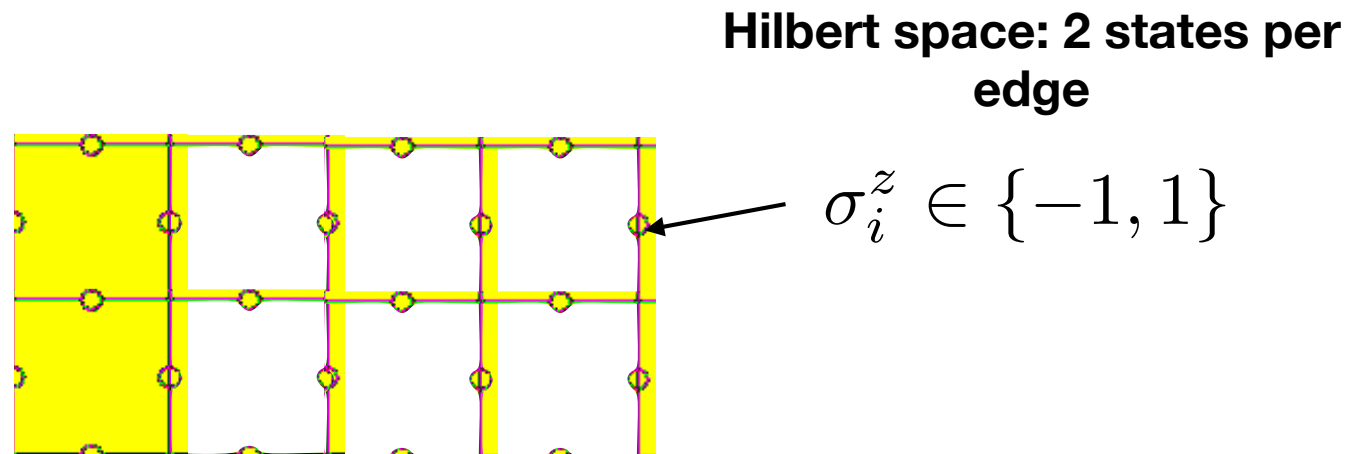
# Summary: from materials to math

- Material properties (at 0 temperature and long lengthscales):
  - Determined by ground state and low-lying excited states (quasiparticles)
  - basically the same within a phase
- Effective description:
  - Simplified Hilbert space & Hamiltonian (maybe nothing to do with real material)
  - Can be used to deduce correct mathematical structure (e.g. UMTC)

# Goals of the rest of the talk

- Introduce some (simple) Hamiltonians that describe “fracton” phases in  $3+1$  d.
- Tell you some things that are understood about their structure and how to generate more examples
- Describe some open questions about these types of systems which may be mathematically interesting

# Simple Hamiltonian warm-up: the Toric code

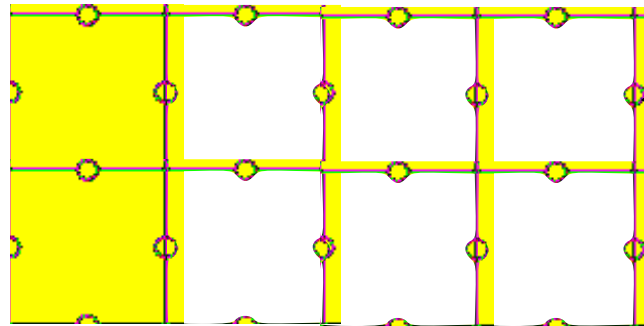


- Example of a “simple” Hamiltonian leading to a topological phase (Particles are simple objects in  $D(\mathbb{Z}_2)$ )



# Simple Hamiltonian warm-up: the Toric code

Hilbert space: 2 states per edge



$$\sigma_i^z \in \{-1, 1\}$$

Abuse of notation!

State:  $\sigma^z =$  Eigenvalue

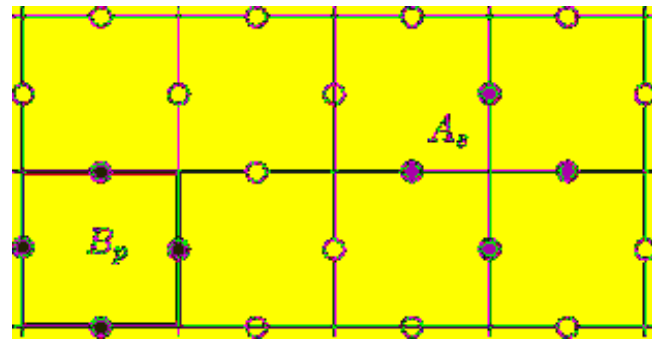
Operator:  $\sigma^z =$  Pauli matrix

$$\sigma^x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad \sigma^z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

$$\sigma^x \sigma^z = -\sigma^z \sigma^x$$

# Simple Hamiltonian warm-up: the Toric code

Hilbert space: 2 states per edge



$$\sigma_i^z \in \{-1, 1\}$$

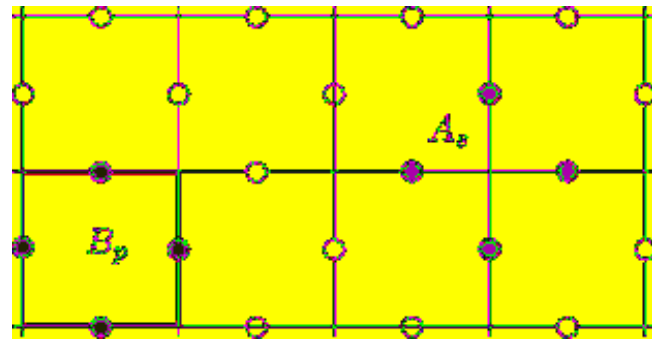
$$B_P = \prod_{i \in \partial p} \sigma_i^x \quad A_v = \prod_{i \in *v} \sigma_i^z$$

$$\sigma^x \sigma^z = -\sigma^z \sigma^x$$

$$[A_v, B_p] = 0$$

# Simple Hamiltonian warm-up: the Toric code

Hilbert space: 2 states per edge



$$\sigma_i^z \in \{-1, 1\}$$

$$B_P = \prod_{i \in \partial p} \sigma_i^x \quad A_v = \prod_{i \in *v} \sigma_i^z$$

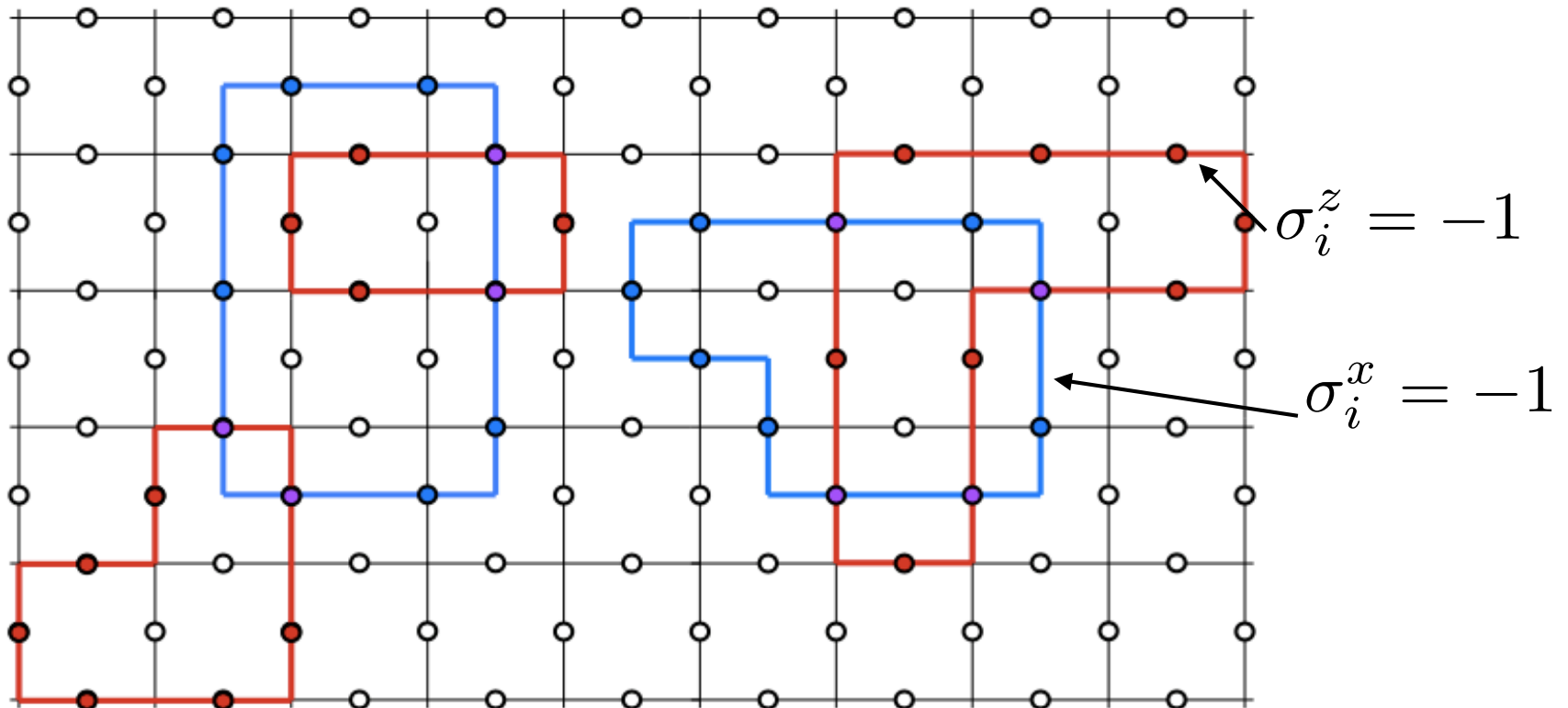
$$H = - \sum_p B_p - \sum_v A_v$$

Hamiltonian: commuting projectors

# Ground state: loop gas /string net

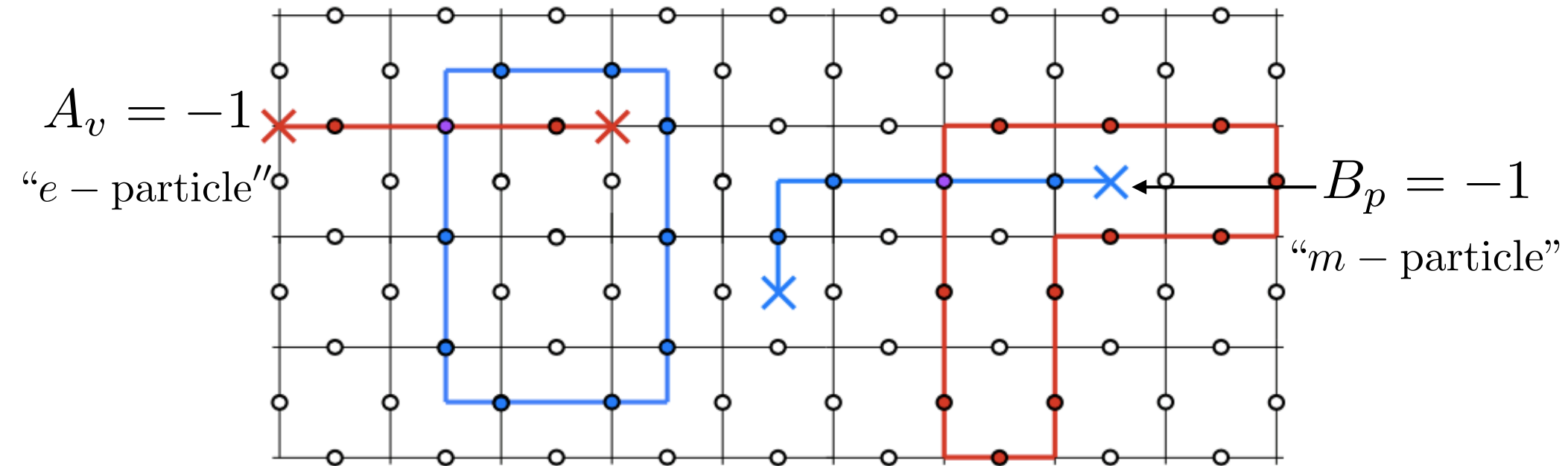
$$H = - \sum_p B_p - \sum_v A_v$$

$$B_p = \prod_{i \in \partial p} \sigma_i^x = 1 \quad A_v = \prod_{i \in *v} \sigma_i^z = 1$$



# Quasiparticles in the Toric code

$$H = - \sum_p B_p - \sum_v A_v$$



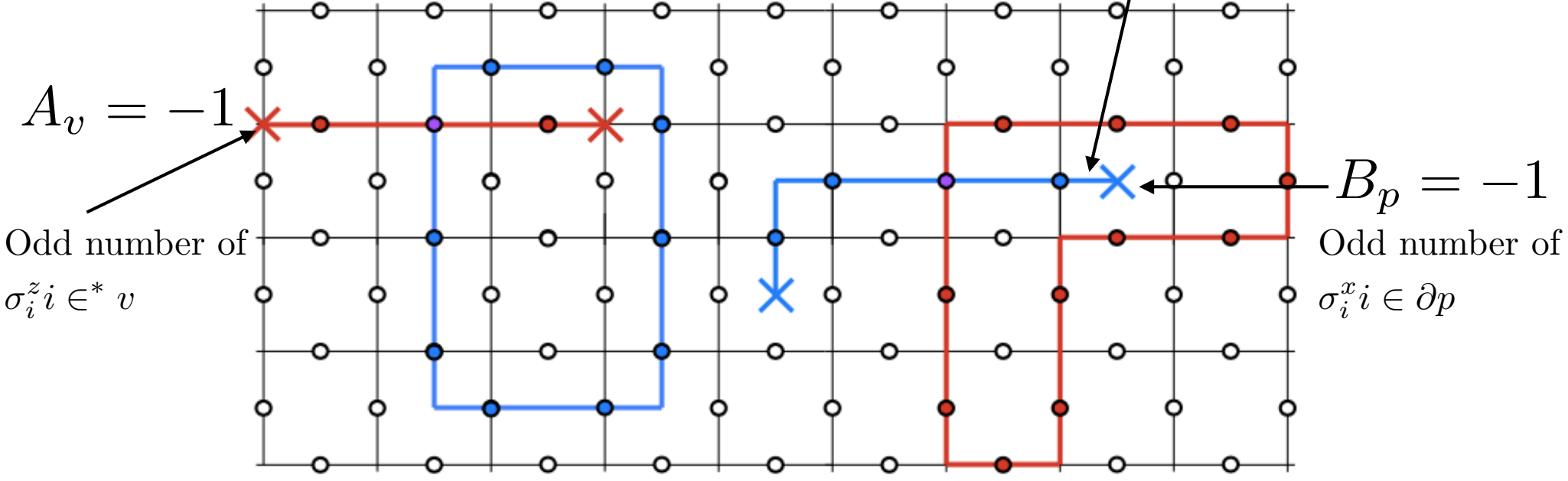
# Quasiparticles in the Toric code

$$B_P = \prod_{i \in \partial p} \sigma_i^x$$

$$A_v = \prod_{i \in {}^*v} \sigma_i^z$$

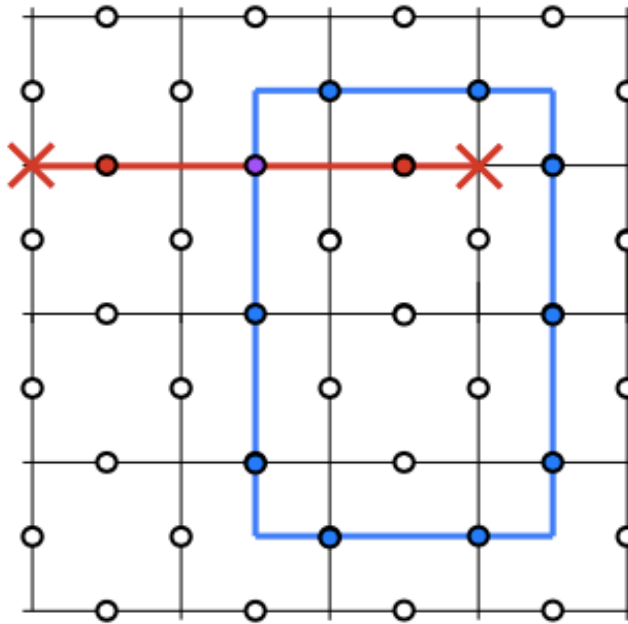
Operator:  $S_v = \prod_i \sigma_i^x$

Operator:  $S_p = \prod_i \sigma_i^z$



# Statistics

$$\sigma_i^z \sigma_i^x = -\sigma_i^x \sigma_i^z$$



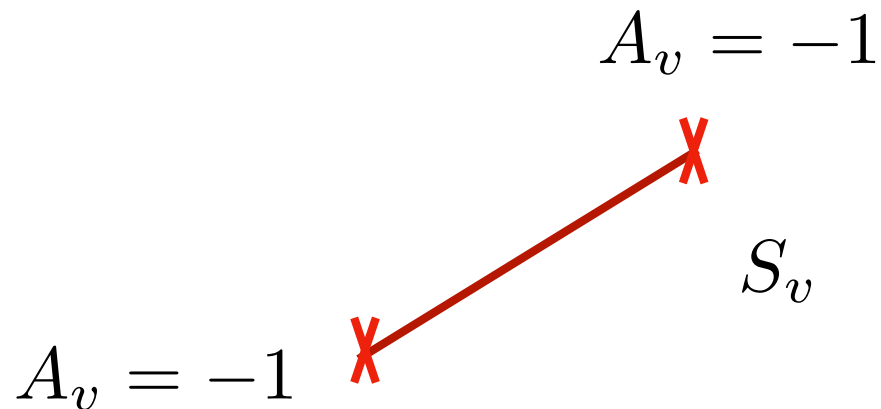
$$S_p^{-1} S_v^{-1} S_p S_v = -1$$



# Statistics

$$S_p^{-1} S_v^{-1} S_p S_v = -1$$

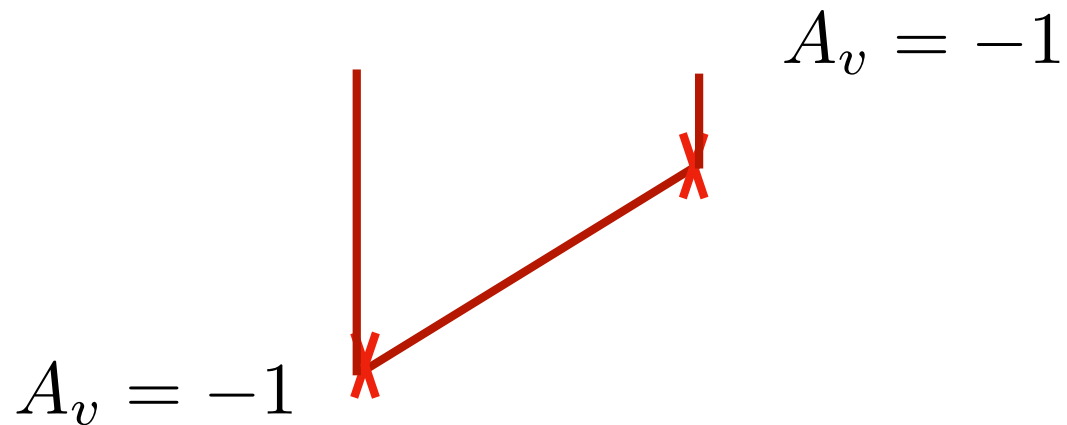
time  $t_0$



# Statistics

$$S_p^{-1} S_v^{-1} S_p S_v = -1$$

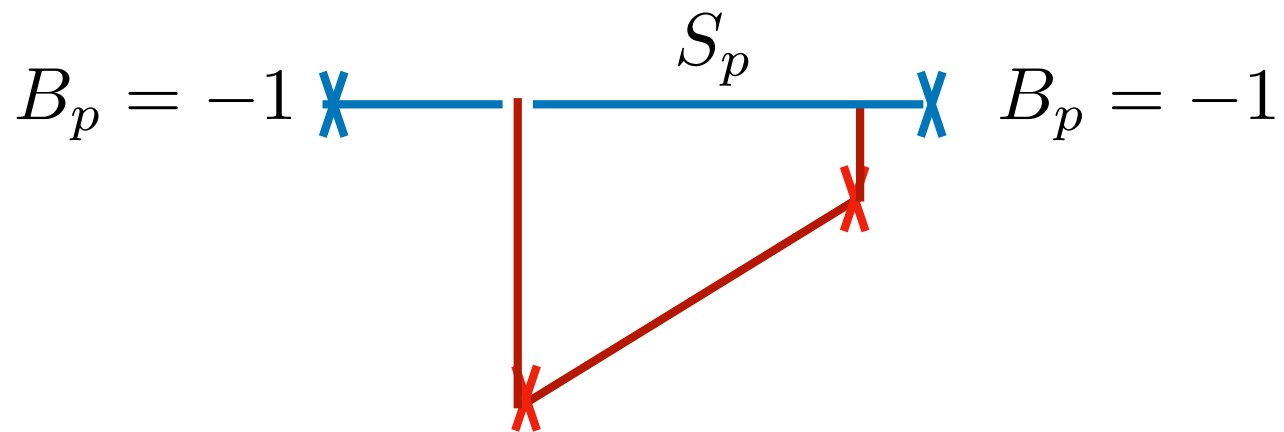
Evolve forward in time...



# Statistics

$$S_p^{-1} S_v^{-1} S_p S_v = -1$$

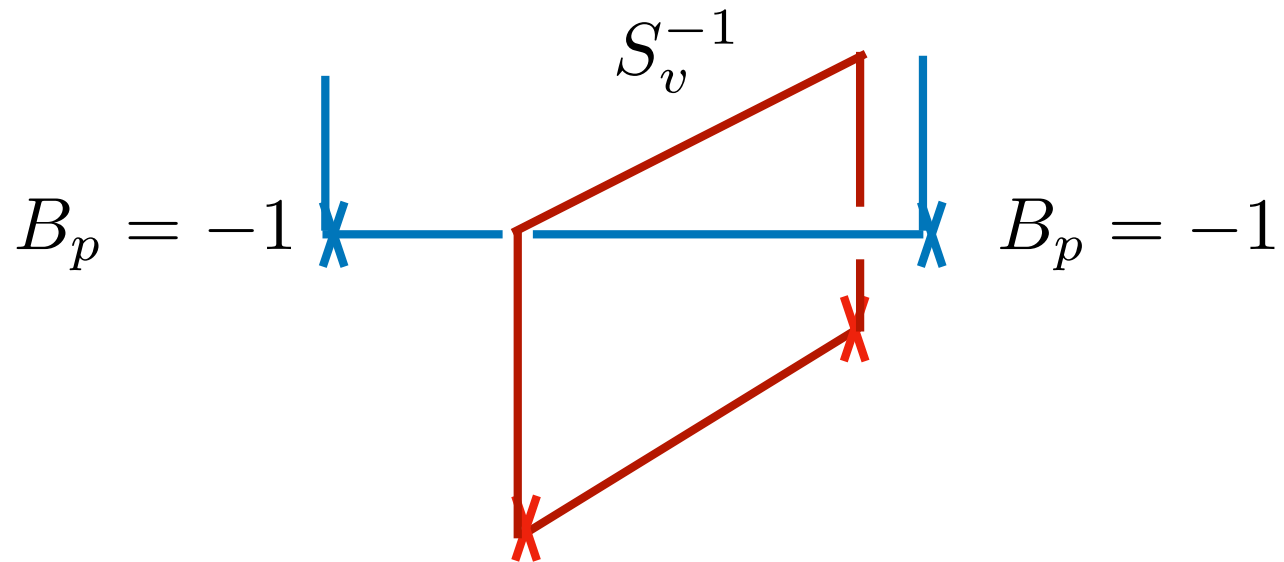
time  $t_1$



# Statistics

$$S_p^{-1} S_v^{-1} S_p S_v = -1$$

time  $t_2$

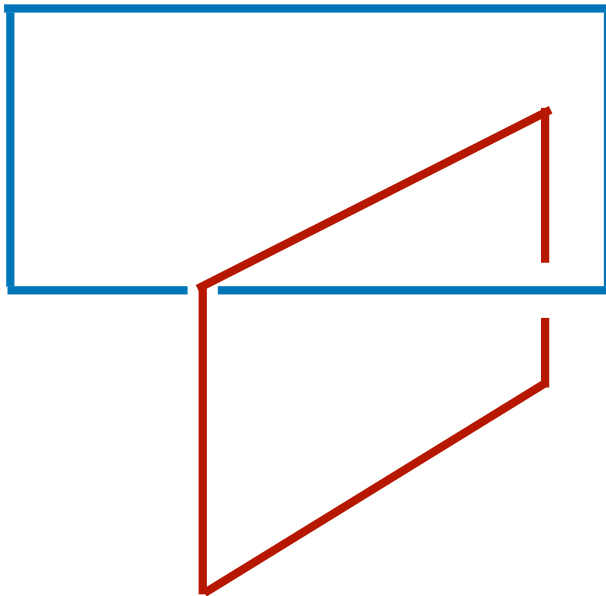


# Statistics

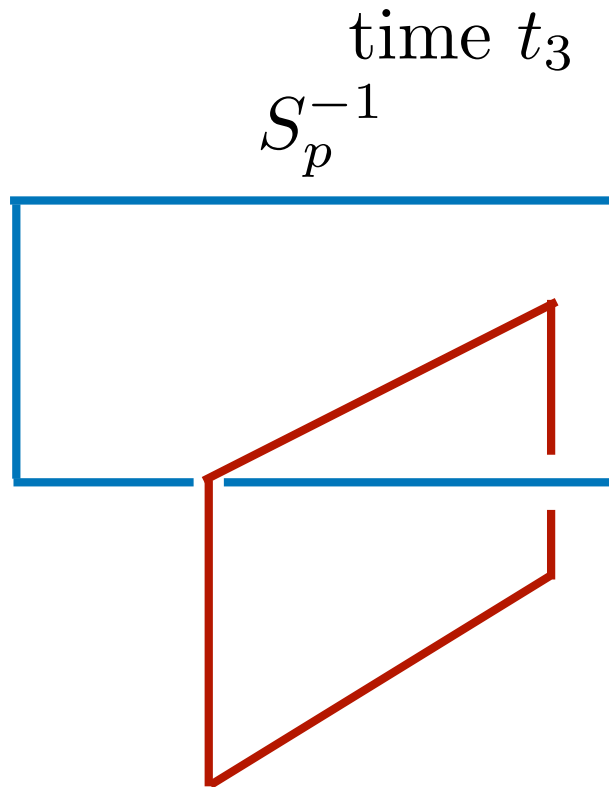
$$S_p^{-1} S_v^{-1} S_p S_v = -1$$

time  $t_3$

$S_p^{-1}$



# Statistics



- Space-time process of “braiding” (S-matrix in UMTC) described by the operator product

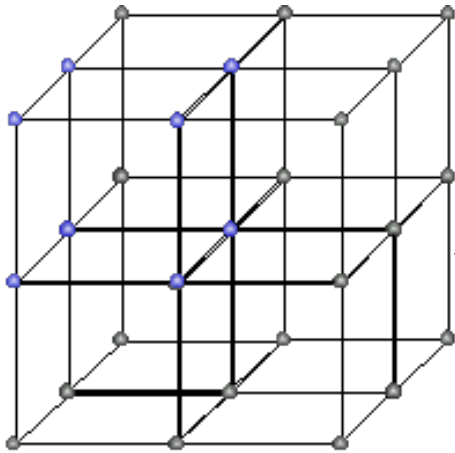
$$S_p^{-1} S_v^{-1} S_p S_v = -1$$

# Simple Hamiltonians for Fracton phases

- Fracton phase: has some features reminiscent of topological order (UMTC), but many important differences, including
  - Explicit dependence on a lattice geometry (no smooth space-time)
  - Particles with restricted mobility
  - statistical (braiding-like) interactions in 3D, due to restricted mobility
- Goal: explore these properties and mathematical structure through simple models. (General framework not yet known)

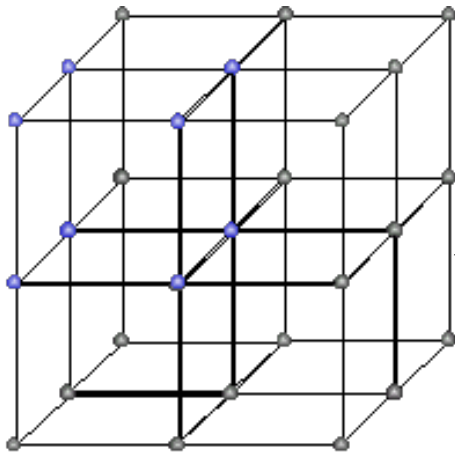


# Model 1: X-cube

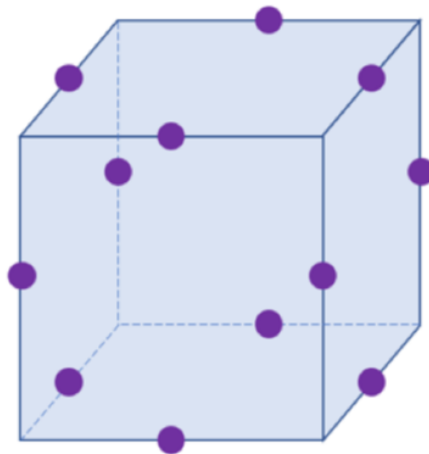


←  $\sigma_i^z \in \{-1, 1\}$

# Model 1: X-cube



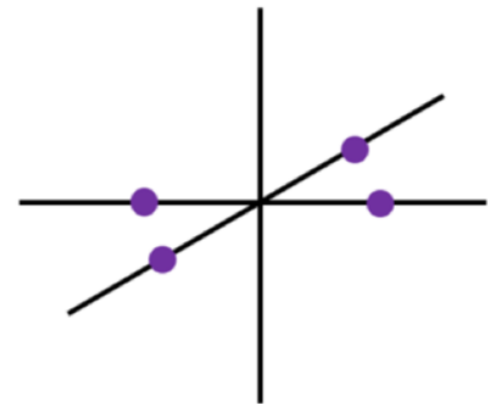
$$\sigma_i^z \in \{-1, 1\}$$



$$B_c = \prod_{i \in \partial c} \sigma_i^x$$

Commuting operators

$$A_v^i, B_c$$

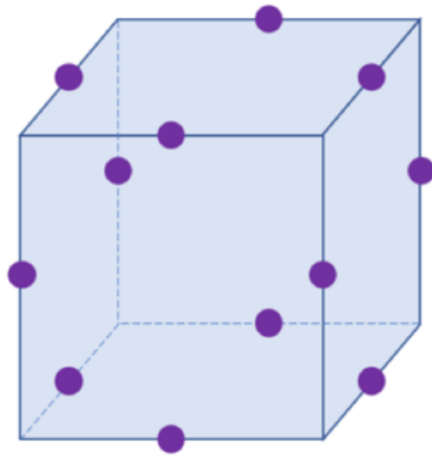


$$A_v^z = \prod_{i \in *xyv} \sigma_i^z$$

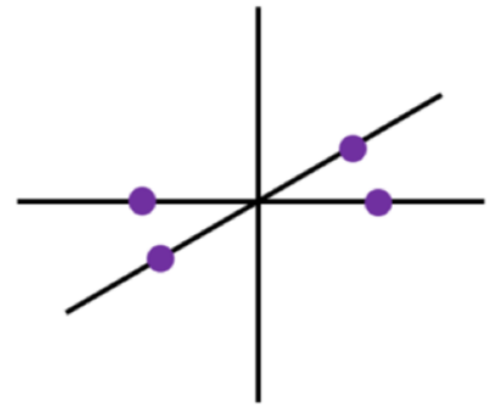
# Model 1: X-cube

$$H = - \sum_v (A_v^x + A_v^y + A_v^z) - \sum_c B_c$$

Sum of Commuting projectors



$$B_c = \prod_{i \in \partial c} \sigma_i^x$$

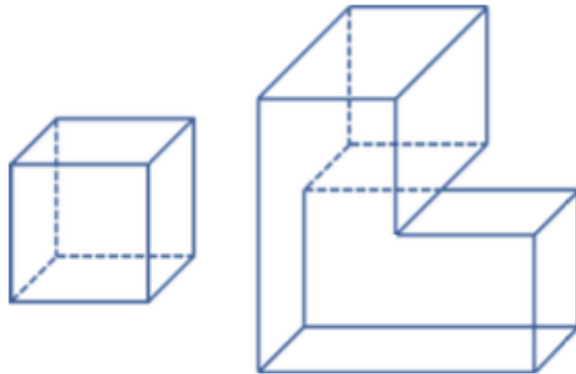


$$A_v^z = \prod_{i \in *xyv} \sigma_i^z$$

# Ground state: “cage net”

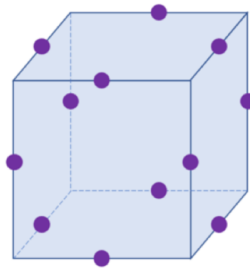
$$H = - \sum_v (A_v^x + A_v^y + A_v^z) - \sum_c B_c$$

$A_v^x = A_v^y = A_v^z = +1$  : Even number of blue edges in each plane at each vertex

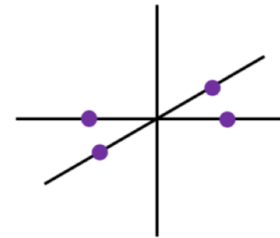


$B_c = +1$  : equal amplitude superposition of all cages

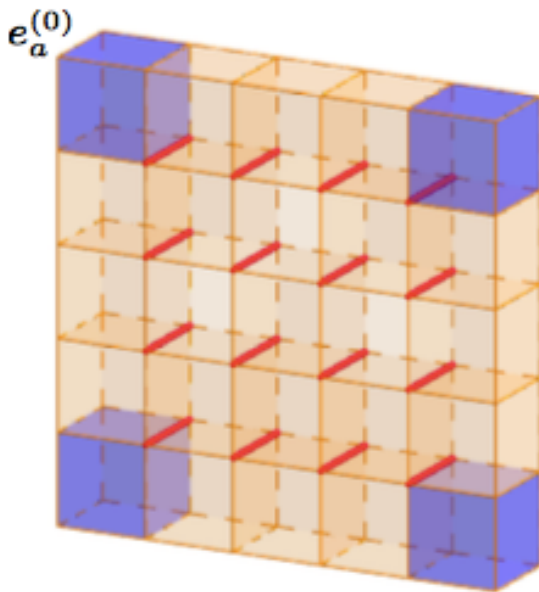
# Excitations of X-cube



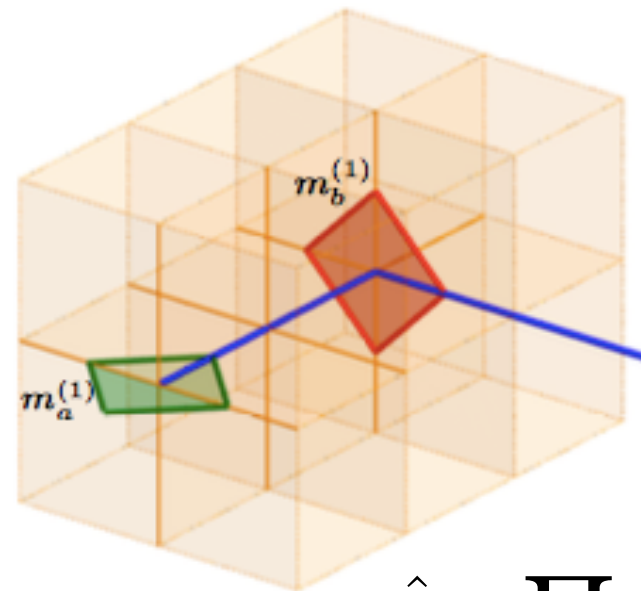
$$B_c = \prod_{i \in \partial c} \sigma_i^x$$



$$A_v^z = \prod_{i \in *xyv} \sigma_i^z$$



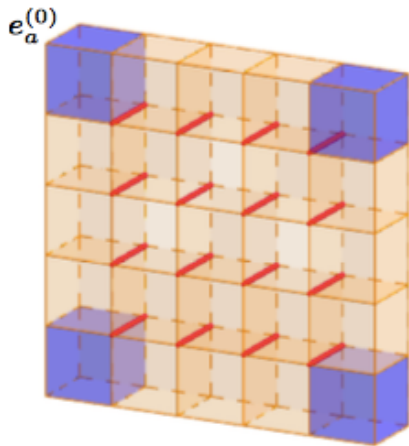
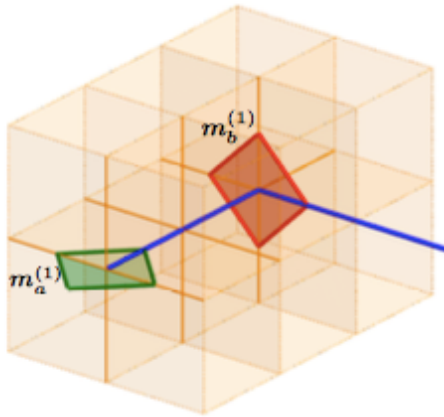
Membrane operator:  $\hat{M} = \prod_i \sigma_i^z$



line operator:  $\hat{l} = \prod_i \sigma_i^x$

# Notions of fusion

Pai, Hermele



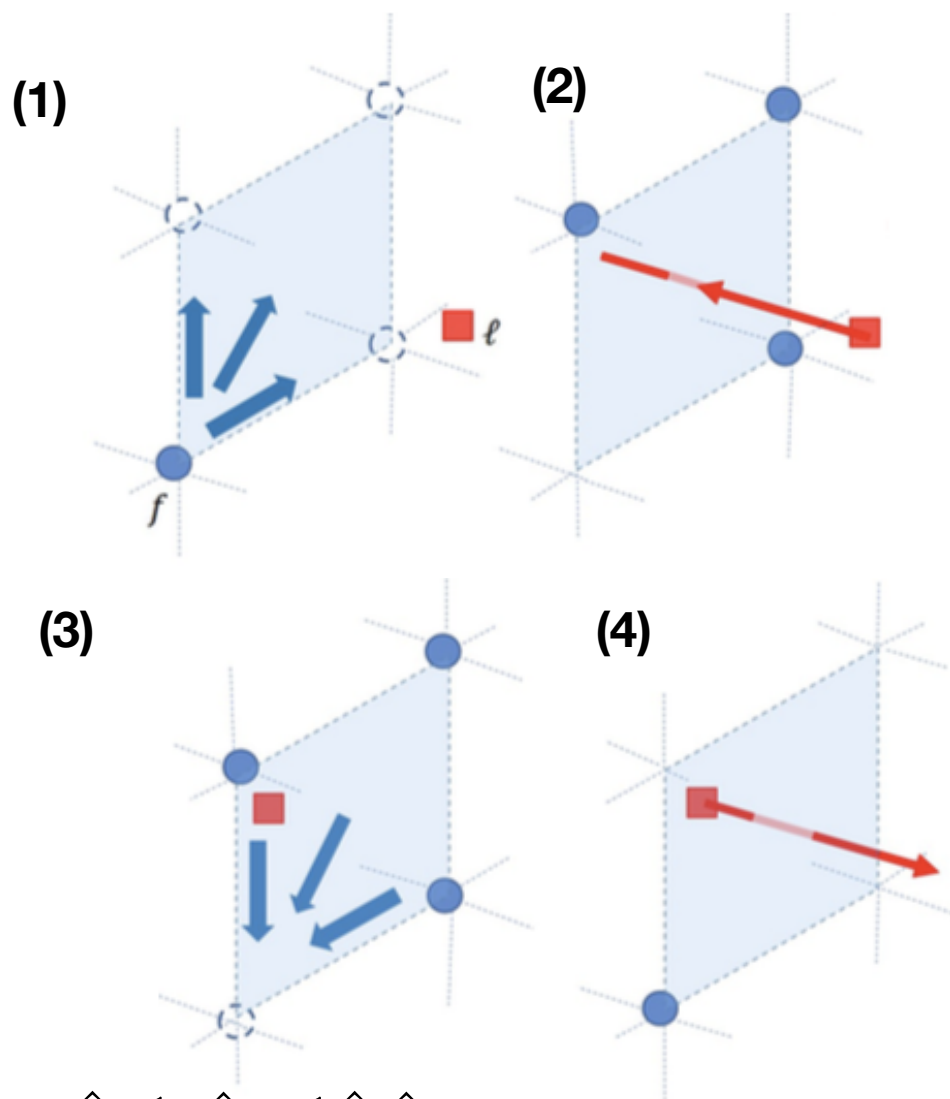
- Like in Toric code, we have

$$e_i \times e_i = 1$$

$$m_i^a \times m_i^a = 1$$

- But lines cannot turn corners, so there is a distinct  $m^x$  for each value of  $y$  and  $z$
- Membranes must be square, so there's a different  $e$  for every site.

# Statistical processes:

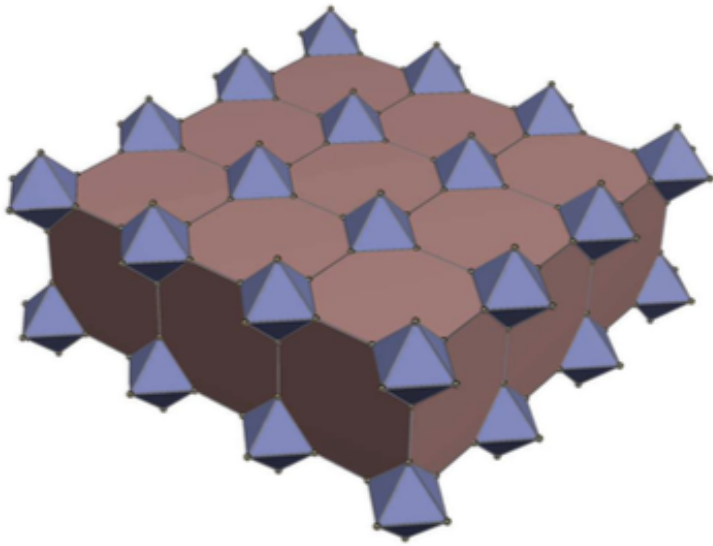


- This is different from statistics associated with 2D topological phases: extra particles are created at intermediate times
- But we call it statistics because it is invariant under a (restricted) family of geometric distortions
- The membrane cannot be pulled over the line if we require that the fractons are never close to the lineon

$$\hat{l}^{-1} \hat{M}^{-1} \hat{l} \hat{M} = -1$$



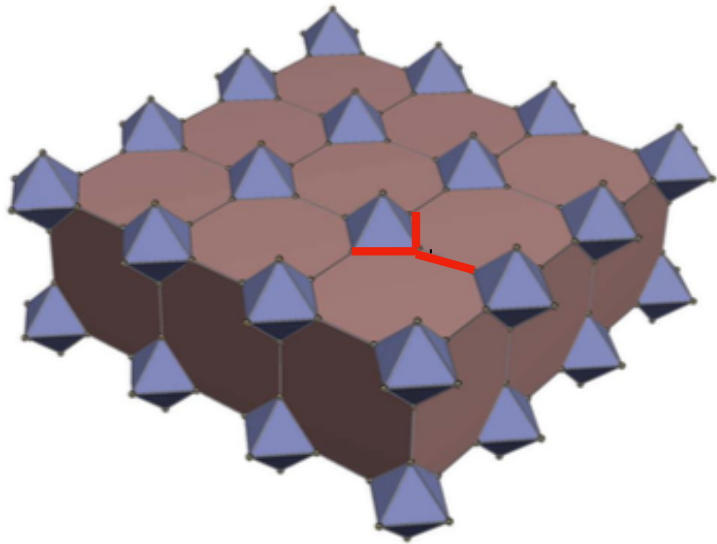
# Model 2: Twisted X-cube



$$\sigma_i^z \in \{-1, 1\}$$

on each edge

# Model 2: Twisted X-cube



Commuting operators

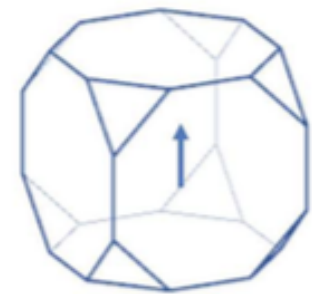
$$A_v^i, B_c, B_p^i$$



$$A_v^z = \prod_i \sigma_i^z$$



$$B_p^z = (i)^n \prod_i \sigma_i^x$$

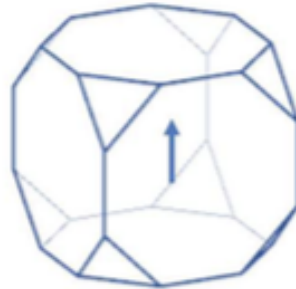


$$B_c = (i)^n \prod_i \sigma_i^x$$

# Why the phases?



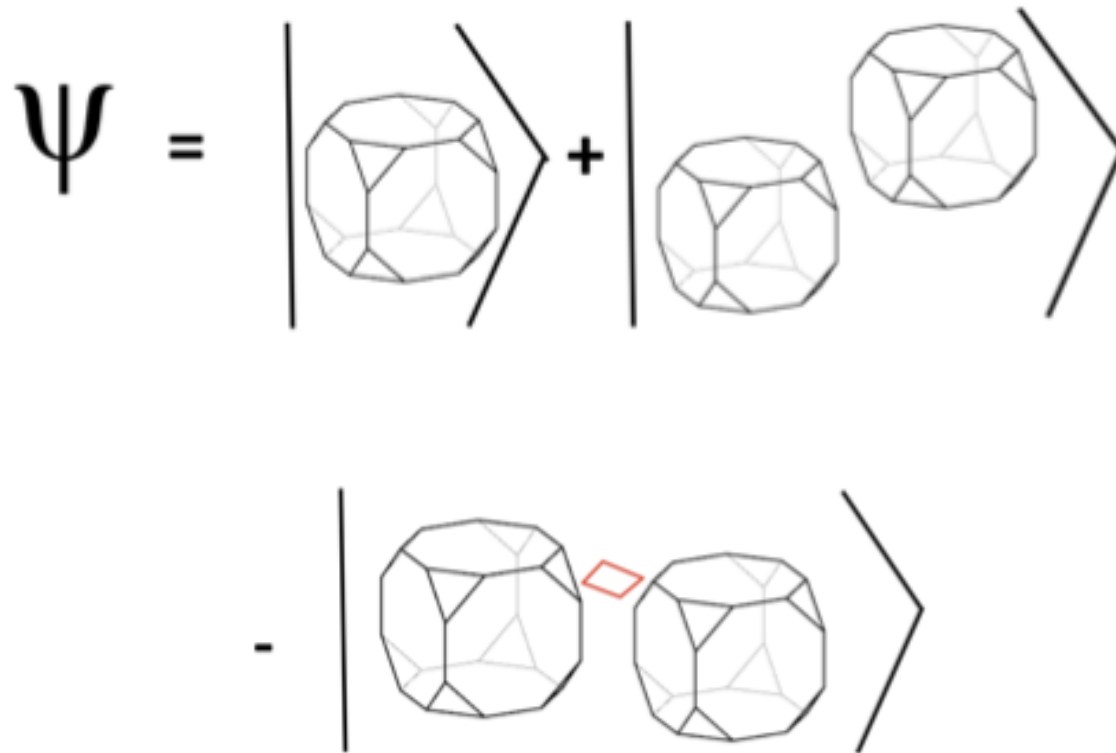
$$B_p^z = (i)^n \prod_i \sigma_i^x$$



$$B_c = (i)^n \prod_i \sigma_i^x$$

- A similar adaptation of the toric code gives a twisted  $Z_2$  gauge theory
- Connections to distinct phases of matter with no topological order and the same  $Z_2$  symmetry

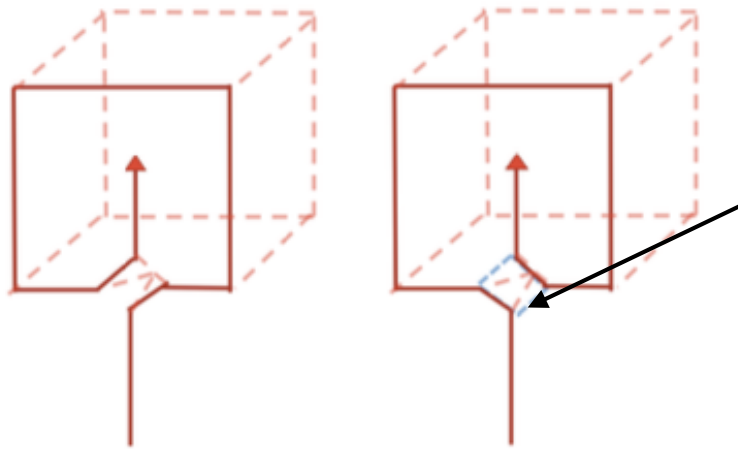
# Ground state: cage net



- (Here the relative - sign is important!)

# Twisted X-cube excitations

- As before, we get membrane operators creating fractons, and line operators creating lineons, mobile in only 1 dimension
- New kind of “statistical” process:

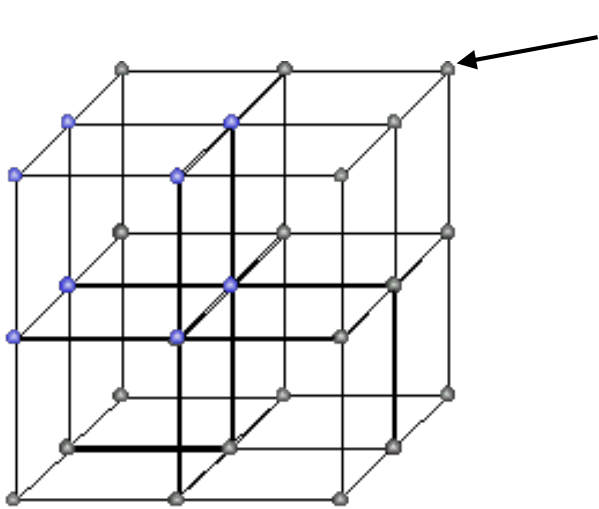


Twisting lineon left

Twisting lineon right

**Difference between the two processes: right and left twists differ by a sign!**

# Model 3: Haah code



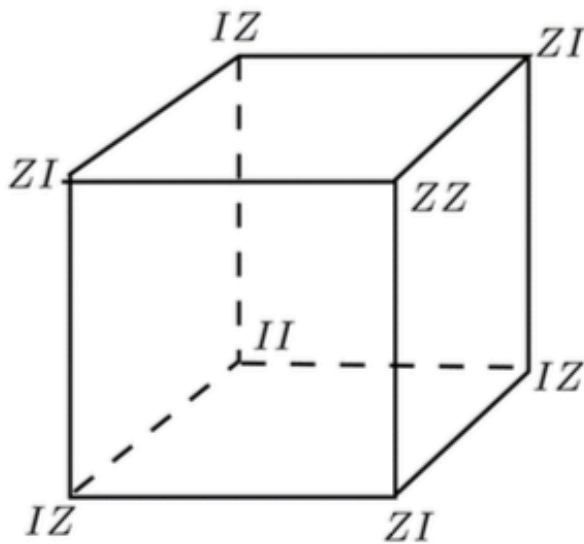
$$\sigma_i^z \in \{-1, 1\}$$

$$\tau_i^z \in \{-1, 1\}$$

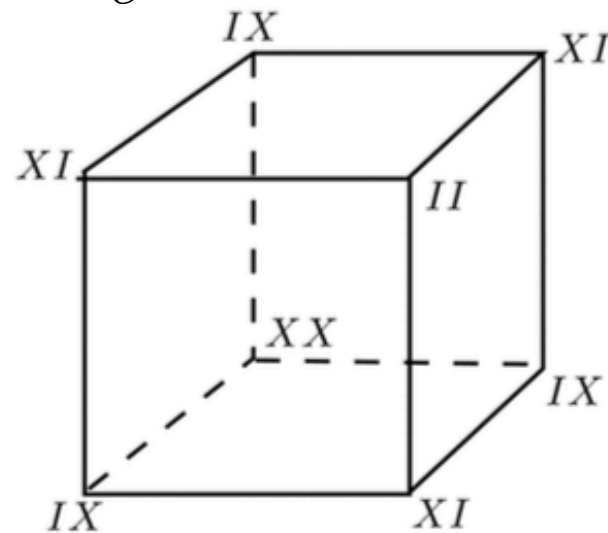
- 4 states at each site

# Model 3: Haah code

$$H = - \sum_c A_c - \sum_c B_c$$



$A_c$



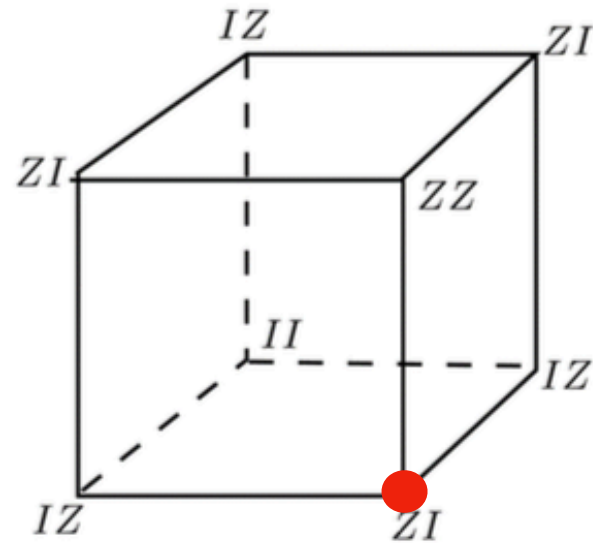
$B_c$

$$IZ \equiv \mathbf{1} \times \tau^z$$

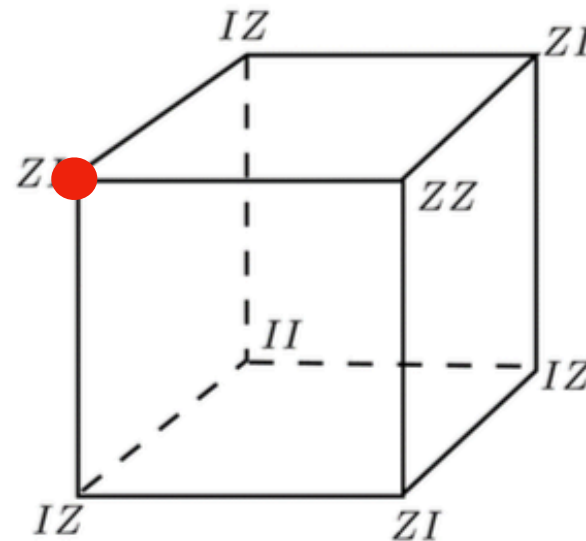
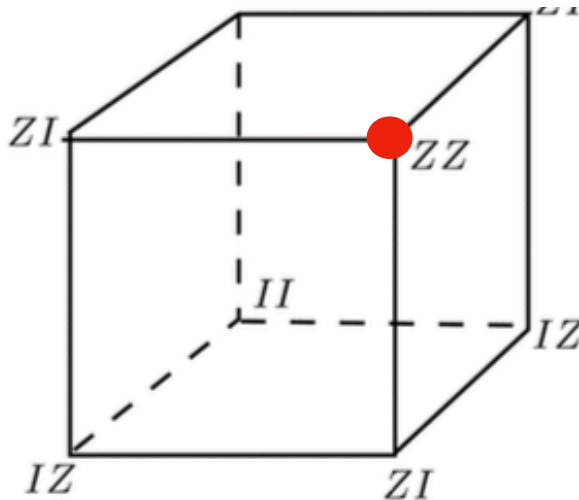
$$XI \equiv \sigma^x \times \mathbf{1}$$

etc.

# Excitations of Haah's code



● =  $\sigma^x$  doesn't commute

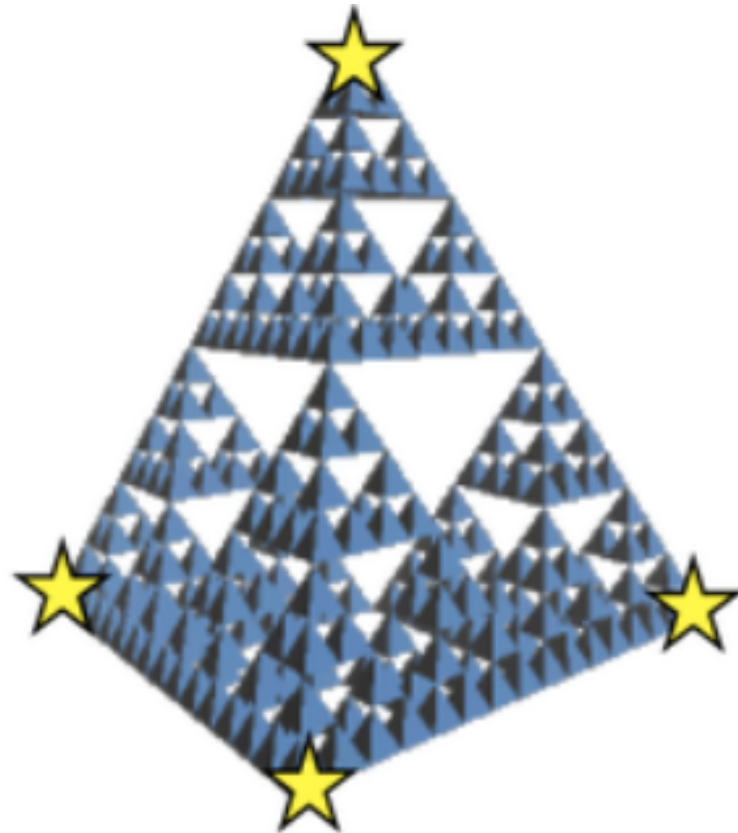


- Makes a tetrahedron of 4 cubes no longer in ground state



# Excitations of Haah's code

- Only immobile particles (fractons)
- These occur at the “boundary” of a fractal-like structure
- “statistics”: (2 kinds of excitations, made by fractal arrays of  $\sigma^x, \sigma^z$ )
- Fusion: distinct particle for each site

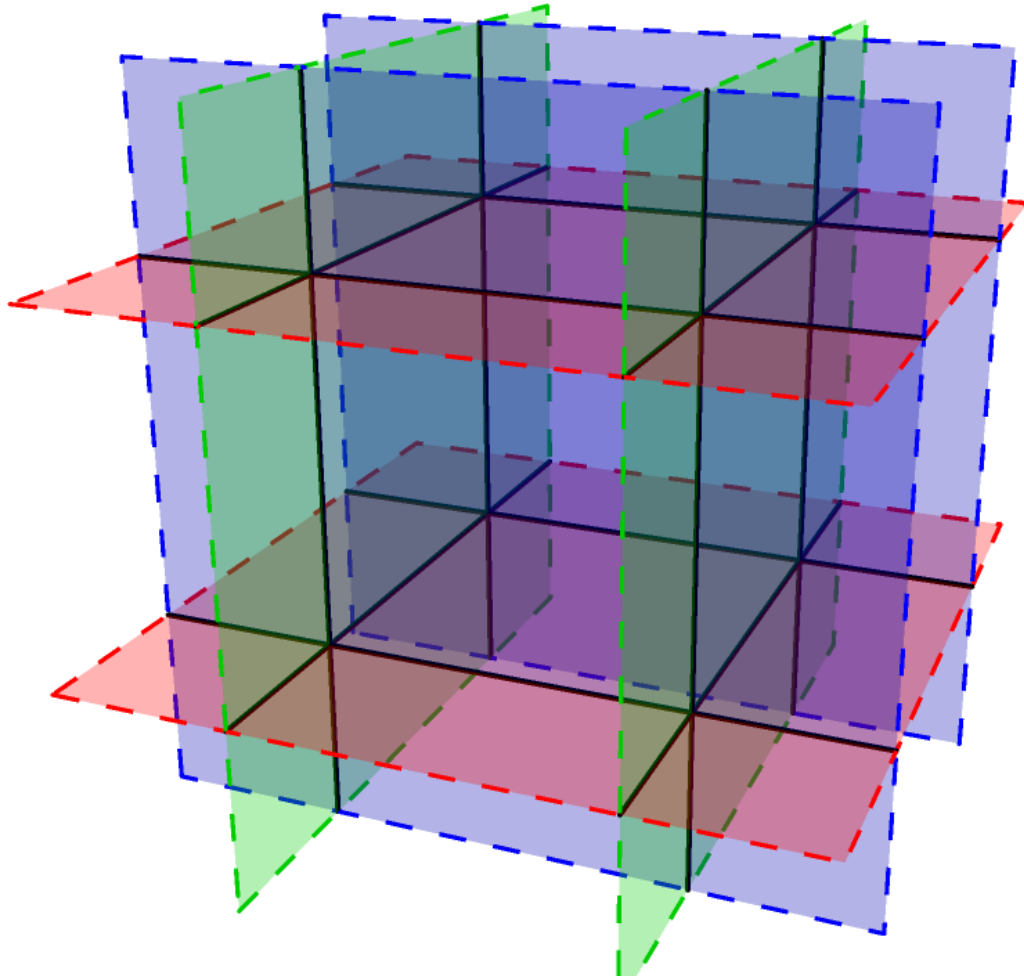


# Summary: models

- X-cube, twisted X-cube:
  - immobile “fracton” particles at corners of membranes, and 1-d “lineon” particles at ends or corners of lines
  - Some generalized notion of statistics (braiding-like process) between these; possible in 3+1 d due to restricted mobility
  - “Type I” fracton model
- Haah code:
  - Fractons at boundary of fractal structure
  - “Type II” fracton model

# Type I models: hints at the underlying structure

- How to make X-cube from the Toric code:

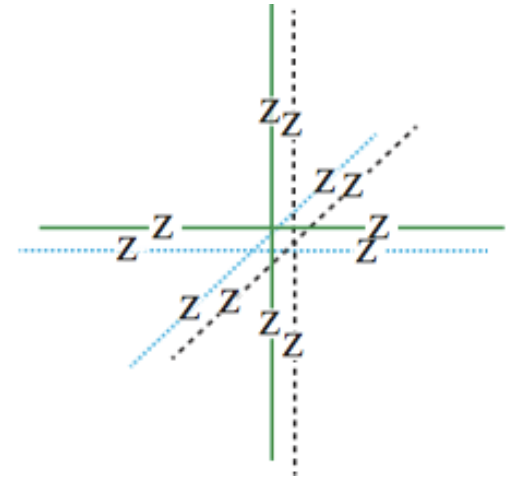
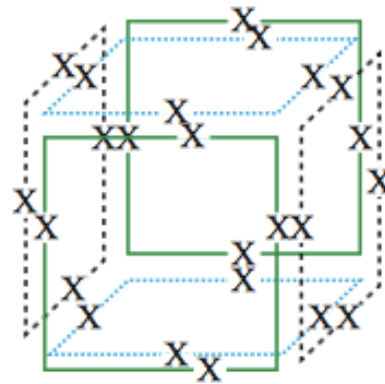
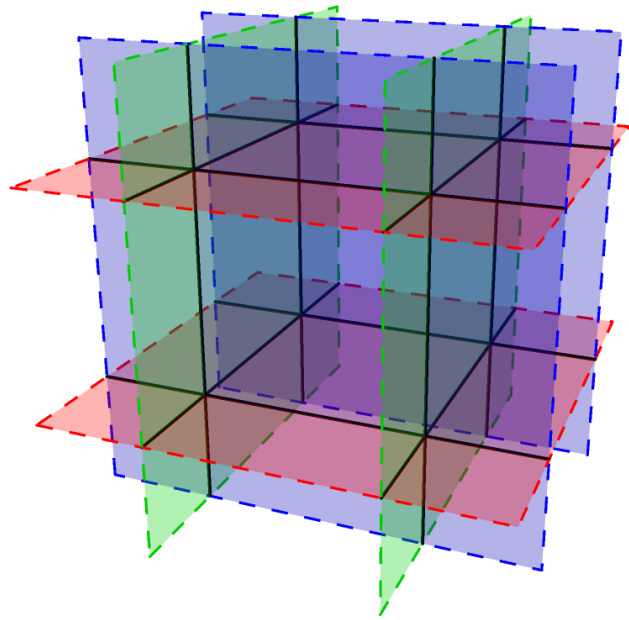


- 3 stacks of decoupled, 2 (spatial) dimensional Toric code models

**Ma, Hermele;  
Slagle, Aasen, Williamson**

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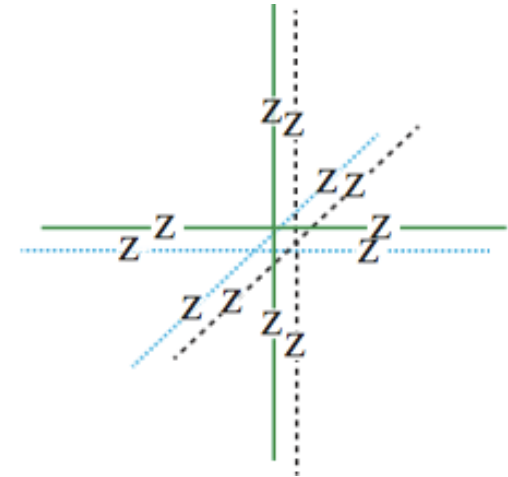
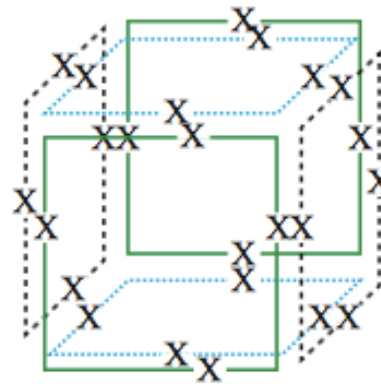
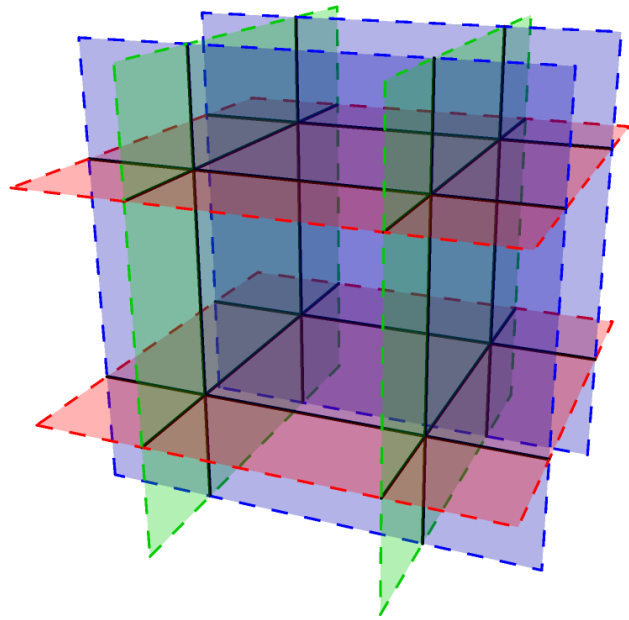


$$B_c = \prod_{p \in \partial_c} B_p$$

$A_v$

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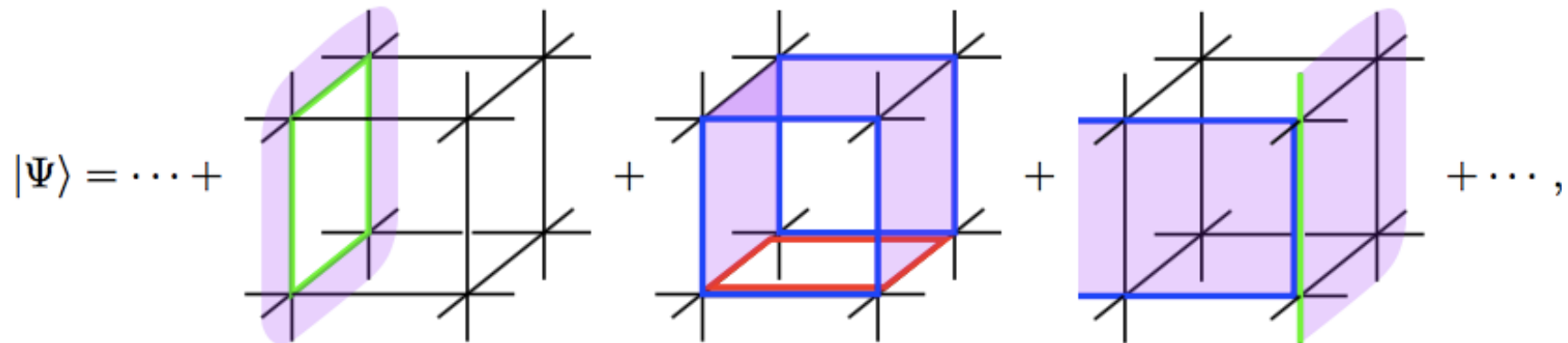


$$B_c = \prod_{p \in \partial_c} B_p \quad A_v$$

- If we can get rid of terms with a single X operator (make them cost a lot of energy), we can get from decoupled stacks to X-cube

# Alternative picture of the constraint “no single X operators”:

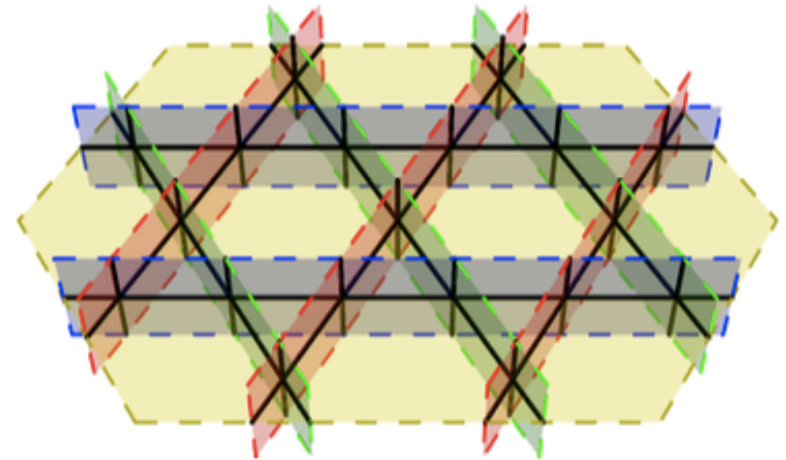
[Slagle, Aasen, Williamson]



- TQFT's in different planes are “sewn together” by membranes
- Membranes are closed, or can end on edges with odd numbers of  $Z = -1$

# Type I models: hints at the underlying structure

- General construction:
  - Stacks of topological phases along various directions
  - Impose some conditions linking them together (energetically)
  - Obtain a Type-I fracton model



**Ma, Hermele;  
Slagle, Aasen, Williamson**

# Type I models: many things missing in the general picture

- How to do the coupling correctly for general topological order (UMTC)? This is important for understanding non-abelian examples.
- We know of examples [Devakul, Shirley, Wang] that cannot be obtained in this way. This suggests an underlying more general structure we don't yet understand.
- Do we have a complete list of possible statistical processes?
- Lattice geometry (choice of stacks) is very important. Are these models necessarily defined on discrete geometries? Why?



# Other amusing directions ...

- Topological order can come from gauging a symmetry. Different symmetry actions (3-cocycles) yield different answers. Can also make new topological orders from old ones by introducing a symmetry action and gauging it (if there are no obstructions).
- Fracton orders can come from gauging a subsystem symmetry. (We do not know whether examples not of this form exist). Different symmetry actions (3-cocycles) lead to different fracton orders. Can we similarly “extend” fracton orders through symmetry?

# Fracton phases of matter: let's discuss!

- Fracton order: a new type of mathematical structure, with simple objects that have fusion properties and some statistical interactions (distinct from braiding)
- Some can be obtained from stacks of topologically ordered phases, by trivializing certain excitations
- Geometry plays an important role, key to restricted mobility and statistics