## SARAH WITHERSPOON: HOPF ALGEBRAS, I

Our perspective on Hopf algebras, their actions on rings and modules, and the structures on their categories of rings and modules, will be to think of them as generalizations of group actions and representations; groups actions are symmetries in the usual sense, and Hopf algebra actions are often related to "quantum symmetries."

We're not going to give the full definition of a Hopf algebra, because it would require drawing a lot of commutative diagrams, but we'll say enough to give the picture.

Throughout this talk we work over a field k; all tensor products are of k-vector spaces.

**Definition 0.1.** A Hopf algebra is an algebra A together with k-linear maps  $\Delta: A \to A \otimes A$ , called comultiplication;  $\varepsilon: A \to k$ , called the counit; and  $S: A \to A$ , called the coinverse. These maps must satisfy some properties, including that  $\varepsilon$  is an algebra homomorphism and that S is an anti-automorphism, i.e. that S(xy) = S(y)S(x).

The definition is best understood through examples.

## Example 0.2.

- (1) Let G be a group. Then the group algebra k[G] is a Hopf algebra, where for all  $g \in G$ ,  $\Delta(g) \coloneqq g \otimes g$ ,  $\varepsilon(g) \coloneqq 1$ , and  $S(g) \coloneqq g^{-1}$ . This is a key example that allows us to generalize ideas from group actions to Hopf algebra actions: whenever we define a notion for Hopf algebras, when we implement it for k[G] it should recover that notion for groups.
- (2) Let g be a Lie algebra over k. Then its universal enveloping algebra U(g) is a Hopf algebra, where for all x ∈ g, Δ(x) := x ⊗ 1 + 1 ⊗ x, ε(x) := 0, and S(x) := -x. Since ε is an algebra homomorphism, ε(1<sub>U(g)</sub>) = 1. For example,

(0.3)

$$\mathcal{U}(\mathfrak{sl}_2) = k \langle e, f, h \mid ef - fe = h, he - eh = 2e, hf - fh = -2f \rangle$$

given explicitly by the basis of  $\mathfrak{sl}_2$ 

(0.4) 
$$e \coloneqq \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \qquad f \coloneqq \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}, \qquad h \coloneqq \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}.$$

Both of these examples are classical, in that they've been known for a long time. But more recently, in the 1980s, people discovered new examples, coming from quantum groups.

**Example 0.5** (Quantum  $\mathfrak{sl}_2$ ). Let  $q \in k^{\times} \setminus \{\pm 1\}$ . Then, given a simple Lie algebra  $\mathfrak{g}$ , we can define a "quantum group,"  $\mathcal{U}_q(\mathfrak{g})$ , which is a Hopf algebra. For example, for  $\mathfrak{sl}_2$ ,

(0.6) 
$$\mathcal{U}_q(\mathfrak{sl}_2) = k \left\langle E, F, K^{\pm 1} \mid EF - FE = \frac{K - K^{-1}}{q - q^{-1}}, KE = q^2 EK, KF = q^{-2} EK \right\rangle,$$

with comultiplication

$$(0.7a) \qquad \qquad \Delta(E) \coloneqq E \otimes 1 + K \otimes E$$

(0.7b) 
$$\Delta(F) \coloneqq F \otimes K^{-1} + 1 \otimes F$$

$$(0.7c) \qquad \qquad \Delta(K^{\pm 1}) \coloneqq K^{\pm 1} \otimes K^{\pm 1}$$

and counit  $\varepsilon(E) = \varepsilon(F) = 0$  and  $\varepsilon(K) = 1$ . This generalizes to other simple  $\mathfrak{g}$ , albeit with more elaborate data.

**Example 0.8** (Small quantum  $\mathfrak{sl}_2$ ). Let q be an  $n^{\text{th}}$  root of unity. Then, as before, given a simple Lie algebra  $\mathfrak{g}$ , we can define a Hopf algebra  $u_q(\mathfrak{g})$ , called the *small quantum group* for  $\mathfrak{g}$  and q, which is a finite-dimensional vector space over k; for  $\mathfrak{sl}_2$ , this is

(0.9) 
$$u_q(\mathfrak{sl}_2) = \mathcal{U}_q(\mathfrak{sl}_2)/(E^n, F^n, K^n - 1).$$

Before we continue, we need some useful notation for comultiplication, called *Sweedler notation*. Let A be a Hopf algebra and  $a \in A$ ; then we can symbolically write

(0.10) 
$$\Delta(a) = \sum_{(a)} a_1 \otimes a_2.$$

Comultiplication in a Hopf algebra is *coassociative*, in that as maps  $A \to A \otimes A \otimes A$ ,

$$(0.11) \qquad \qquad (\Delta \otimes \mathrm{id}) \circ \Delta = (\mathrm{id} \otimes \Delta) \circ \Delta.$$

Therefore when we iterate comultiplication, we can symbolically write

(0.12) 
$$(\mathrm{id}\otimes\Delta)\circ\Delta(a) = \sum_{(a)} a_1\otimes a_2\otimes a_3$$

without worrying about parentheses.

Actions on rings. Hopf algebra actions on rings generalize group actions on rings by automorphisms and actions of Lie algebras on rings by derivations. If a group G acts on a ring R, then for all  $g \in G$  and  $r, r' \in R$ ,

$$g(rr') = (gr)(gr')$$

(0.13b) 
$$g(1_R) = 1_R$$

In k[G], our Hopf algebra avatar of G,  $\Delta(g) = g \otimes g$ , and  $\varepsilon(g) = 1$ .

If a Lie algebra  $\mathfrak{g}$  acts on a ring R by derivations, then for all  $x \in \mathfrak{g}$  and  $r, r' \in R$ ,

(0.14a) 
$$x \cdot (rr') = (x \cdot r)r' + r(x \cdot r')$$

$$(0.14b) x \cdot (1_R) = 0.$$

In  $\mathcal{U}(\mathfrak{g})$ , our Hopf algebra avatar of  $\mathfrak{g}$ ,  $\Delta(x) = x \otimes 1 + 1 \otimes x$ , and  $\varepsilon(x) = 0$ . These two examples suggest how we should implement a general Hopf algebra action on a ring: comultiplication tells us how to act on the product of two elements, and the counit tells us how to act on 1.

**Definition 0.15.** Let A be a Hopf algebra and R be a k-algebra. An A-module algebra structure on R is data of an A-module structure on R such that for all  $a \in A$  and  $r, r' \in R$ ,

(0.16a)  

$$a \cdot (rr') = \sum_{(a)} (a_1 \cdot r)(a_2 \cdot r)$$
(0.16b)  

$$a \cdot (1_R) = \varepsilon(a) 1_R.$$

Thus a group action as in (0.13) defines an action of the Hopf algebra 
$$k[G]$$
 and a

Thus a group action as in (0.13) defines an action of the Hopf algebra k[G], and a Lie algebra action as in (0.14) defines an action of the Hopf algebra  $\mathcal{U}(\mathfrak{g})$ .

**Example 0.17.** The quantum analogue of the  $\mathfrak{sl}_2$ -action on k[x, y], thought of as (functions on the) plane, there is an action of  $\mathcal{U}_q(\mathfrak{sl}_2)$  on the quantum plane

$$(0.18) R \coloneqq k\langle x, y \mid xy = qyx \rangle.$$

This is a deformation of k[x, y], which is the case q = 1. The explicit data of the action is

(0.19) 
$$E \cdot x = 0$$
  $F \cdot x = y$   $K^{\pm 1} \cdot x = q^{\pm 1} x$ 

(0.20) 
$$E \cdot y = x$$
  $F \cdot y = 0$   $K^{\pm 1}y = q^{\mp 1}y.$ 

One has to check that this extends to an action satisfying Definition 0.15, but it does, and R is an A-module algebra. Here E and F act as *skew-derivations*, e.g.

$$(0.21) E \cdot (rr') = (E \cdot r)r' + (K \cdot r)(E \cdot r')$$

for all  $r, r' \in R$ .

∢

Given a Hopf algebra action of A on R in this sense, we can construct two useful rings: the *invariant* subring

(0.22) 
$$R^A := \{ r \in R \mid a \cdot r = \varepsilon(a) \cdot r \text{ for all } a \in A \},$$

and the smash product ring R # A, which as a vector space is  $R \otimes A$ , with multiplication given by

(0.23) 
$$(r \otimes a)(r' \otimes a') \coloneqq \sum_{(a)} r(a_1 \cdot r') \otimes a_2 a'.$$

The smash product ring knows the A-module algebra structure on R. Often, rings we're interested in for other reasons are smash product rings of interesting Hopf algebra actions, and identifying this structure is useful.

**Example 0.24.** The Borel subalgebra of  $\mathcal{U}_q(\mathfrak{sl}_2)$  is  $k\langle E, K^{\pm 1} | KE = q^{-2}K \rangle$ . This is isomorphic to the smash product  $k[E] \# k\langle K \rangle$ , where  $k\langle K \rangle$  is the group algebra of the free group on the single generator K.

In fact, there's a sense in which  $\mathcal{U}_q(\mathfrak{sl}_2)$  is a deformation of  $k[E, F] \# k\langle K \rangle$ : in this smash product ring, E and F commute, and we deform this to  $\mathcal{U}_q(\mathfrak{sl}_2)$ , in which they don't commute.

**Modules.** Given a Hopf algebra A, what is the structure of its category of modules? The first thing we can do is take the tensor product of A-modules U and V using comultiplication: for  $a \in A$ ,  $u \in U$ , and  $v \in V$ ,

(0.25) 
$$a \cdot (u \otimes v) = \sum_{(a)} a_1 \cdot u \otimes a_2 \cdot v.$$

Moreover, k has a canonical A-module structure via the counit:  $a \cdot x := \varepsilon(a)x$  for  $a \in A$  and  $x \in k$ . Finally, if U is an A-module, its vector space dual  $U^* := \operatorname{Hom}_k(U, k)$  has an A-module structure via S: for all  $a \in A$ ,  $u \in U$ , and  $f \in U^*$ ,  $(a \cdot f)(u) := f(S(a)u)$ .

The existence of tensor products, duals, and the ground field in the world of Hopf algebra modules is a nice feature: these aren't always present for a general associative algebra. Moreover, these constructions interact well with each other.

- (1) Coassociativity of  $\Delta$  implies the tensor product is associative: for A-modules U, V, and W, we have a natural isomorphism  $U \otimes (V \otimes W) \xrightarrow{\cong} (U \otimes V) \otimes W$ .
- (2) In any Hopf algebra A, we have the condition

(0.26) 
$$\sum_{(a)} \varepsilon(a_1) a_2 = \sum_{(a)} a_1 \varepsilon(a_2)$$

for any  $a_1, a_2 \in A$ . This implies k, as an A-module, is the unit for the tensor product: we have natural isomorphisms  $k \otimes U \cong U \cong U \otimes k$  for an A-module U.

(3) Suppose U is an A-module which is a finite-dimensional k-vector space. Then it comes with data of a coevaluation map  $c: k \to U \otimes U^*$  sending

$$(0.27) 1 \longmapsto \sum_{i} u_i \otimes u_i^*,$$

where  $\{u_i\}$  is a basis for U over k and  $\{u_i^*\}$  is its dual basis; this map turns out to be independent of basis. We also have an *evaluation map*  $e: U^* \otimes U \to k$  sending  $f \otimes u \mapsto f(u)$ . Now, not only are these A-module homomorphisms, but the composition

 $\xrightarrow{\circ \otimes e} U$ 

$$U \xrightarrow{c \otimes \mathrm{id}_U} U \otimes U^* \otimes U \xrightarrow{\mathrm{id}_U}$$

is the identity map.

(0.28)

**Definition 0.29.** A *tensor category*, or *monoidal category* is a category  $\mathcal{C}$  together with a functor  $\otimes : \mathcal{C} \times \mathcal{C} \to \mathcal{C}$ , an object  $\mathbf{1} \in \mathcal{C}$  called the *unit*, and natural isomorphisms  $U \otimes (V \otimes W) \cong (U \otimes V) \otimes W$  and  $\mathbf{1} \otimes U \cong U \cong U \otimes \mathbf{1}$  for all objects U, V, and W in  $\mathcal{C}$ , subject to some coherence conditions.

Our key examples of tensor categories are the category of modules over a Hopf algebra A, as well as the subcategory of finite-dimensional modules.

If the coinverse of A is invertible, which is always the case when A is finite-dimensional over k, then  $\mathcal{C} = \mathcal{M}od_A$  is a *rigid* tensor category, meaning that every object U has a *right dual*  $^*U := \operatorname{Hom}_k(U, k)$ , which means the composition (0.28) is the identity.

*Remark* 0.30. Notations for left and right duals differ. We're following [EGNO15], but Bakalov-Kirillov [BK01] use a different convention; be careful!

Some Hopf algebras' categories of modules have additional structure or properties: they might be semisimple, or braided, or even symmetric. This amounts to additional information on the Hopf algebra itself.

## References

- [BK01] Bojko Bakalov and Alexander Kirillov, Jr. Lectures on tensor categories and modular functors, volume 21 of University Lecture Series. American Mathematical Society, Providence, RI, 2001. 4
- [EGNO15] Pavel Etingof, Shlomo Gelaki, Dmitri Nikshych, and Victor Ostrik. Tensor categories, volume 205 of Mathematical Surveys and Monographs. American Mathematical Society, Providence, RI, 2015. 4

MSRI Introduction Workshap: Quantum Symmetries Monday, Jan. 27, 2020, 9:30-10:30 am Hopf Algebras I Theak organizers, MIRI - gut to Ru to many profe bace at the graning of the soring program Some motivating unmits about symmetry gruppe + g. ymm. Hopfelse (part of g. symm) or other conservent any Defn A Hopf algebra is an algebra. A (over a field h) together with k-linear maps Δ: A→A⊗A, E: A→k, S: A→A satisfying some properties (that divinic properties con love them up)  $\otimes = \bigotimes_{k}$ motivated by money exemples for willigh these properties have involved mylicohons for flair actions Examples (1) A = kG, group algebra, 42EG:  $\Delta(g) = j \otimes g, \qquad \Sigma(g) = 1, \qquad S(g) = g^{-\prime}$ (2) A = U(g), univ. env. alg. of Lie algebra g, VxEg:  $\Delta(x) = x \otimes | + | \otimes x, \qquad \Sigma(x) = -x$  $e.g. U(d2) = k \langle e, f, h \rangle ef fe = h, he - eh = 2e, hf - fh = -2f \rangle$   $ol_2: 2x2 \text{ matrices} e \in (\circ, \circ) \quad f \mapsto (\circ, \circ) \quad h \mapsto (\circ, \circ)$ (3)  $A = U_2 \log 1$ , quantum group  $q \in k^{\times}$ ,  $q \neq \pm 1$ eq.  $U_2 (sl_1) = k \langle E, F, K^{\pm 1} | EF - FE = \frac{k - k^{-1}}{2 - q^{-1}}$ ,  $kE = q^{-2} FK \rangle$  $\Delta(\varepsilon) = E \otimes I + k \otimes \varepsilon, \quad \Delta(F) = F \otimes k^{-1} + I \otimes F, \quad \Delta(k^{\pm 1}) = k^{\pm 1} \otimes k^{\pm 1}$ (4) A = ug(g), small quartum group, g"=1 (finitidium as vis, generaliting finge) Uglg)/(En, Fn, Kn-1) Core of properties of D is coastocided Sweedler notation: aEA △(a) = ∑ a, @az or simply a, @az (symbolically) 1x - inx r. (il - A) A/Al = 5 r RAA. 1814.

Actions on rings (see suin Montgomey's book) Groups act by auto morphisms: G acts on R g.(rt) = (zir)(gir), g. 1 = 1 NyEG, r. rEK cf 192 29)=1 (symmetry groups) group gradings contepad lie aly acts on nig growy algebra lie algebras act by derinhins:  $\frac{x(t_r)}{y(t_r)} = \frac{(x(r)r' + r(x(r'))}{y(t_r)}, \frac{x(t_r) = 0}{y(t_r) \in R}$  $ef. \quad \Delta(x) = x \otimes 1 + ( \otimes x,$ E(x) = 0 More generally : Dety let A be a Hopf algebra and let R be a k-algebra. Rican A-module algebra if (i) R is an A-module (ii)  $a \cdot (rr') = \sum (a_i \cdot r) (a_{\perp} \cdot r')$ VaFA, r,r'FR VUEA (ii) a. (12) = Ela). 12 Example A = Uglalz) R = k(x,y xy-zyx) (quantumplane) Ex=0 F·x=y K·x=9× Eg=x Fg=0 (concluence relation xy-pyx preserved) K. y= 3-'y Kacts as en automorphism and E, F act as skew derivations)  $c_{g} = E(rr') = (E \cdot r)r' + (K \cdot r)(E \cdot r') \forall r, r' \in \mathbb{R}$ Discuss analogy between symmetry groups and actions of Hopf algebras no quantum symmetry of genn and NC geon, actions on function algebras on (NC) staces

	3
	Modules (left)
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-	$a \cdot (u \otimes v) := \sum_{(a)} (a_1 \cdot u) \otimes (a_2 \cdot v) \qquad \forall a \in A, u \in U, v \in V$
	k is en A-module via 2:
	a.c. = Elaja VarA, ce C
(EGNO)	Home (U, k) is an A-module via S: (k-lih. feas from A to k)
	$(a \cdot f)(w) = f(s(a) \cdot w)  \forall a \in A, w \in U, f \in Hom_k(A, k)$
(EGNO) *U	= Homy (U, k) is an A module via 5" when S is inversible: (which it always & for fid Hopfags) (a.f) (u) = f(S'(a)-u) & A modes U, V, W
	Properties (i) UO(VOW) ~ (NOV)OW (by coassociativity)
	(ii) kou = U= hou + A - mode U (by another projecty:
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	$coev_u(1) = \sum_{x \in u_i \otimes u_i} u_i \otimes u_i = f(u_i)$
	Defn A tensor category (a monoidal atgroy) is a category C together
	with a functor @: C×C→C, an object I and notwood to mapphiling
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(sometimes ufadditional properties such as a braiding)	satisfying some properties ( tringle and pertagon axibre). (rigid & left and night dual exist)
	Example The configury of modules of a Hopf algebra A is a fensor confegory. (rigid when s meanine)

MSRI Intro. Wortshop Hopf Algebras I - We've been heaving a lot short (a) tensor uts of various types in other tolks such as Victor Othikt the Rowell - We've been heaving a lot short (a) tensor uts of various types in other tolks such as Victor Othikt the Rowell - I'm most intrusted in non-sis ones partialarly these that are made of non-sis top of defense such as mull 95's (we saw in V.O.s becomes that are made of non-sis top of defense - How to write the long se wild type etc - Consult to classification nearlys of Varianstypes (of other tolks) - classify some types of the arcosts () - How to also here cohomology How to also here cohomology Wed, Jan 29, 2020 9:30-10;30 m Notivoting Robleons Cleanify some types of follow conferences Hopf algebra cohanology U,V Defn Ann-extension of A-modules is a short exact segnence of A-module how : Clessify some types of Hopf dyel ras 0-V-> Mn -> ··· -> Mn-> M, -> U->0 ((i.e. 4 nod home, image of each mp = kenel of dest )) Understand objects in -ferrior catyonies, modules of Hopf dybres (nows. s. case: on evant feg does not a lovage split so you want to undertand mode via have flegir put together in n-lyth) = ExtAnck, k) Defn Hoff algebra cohomology: HM(A, k) = all a -enforsion inodulo an equivalence relation (describe) - othER WAYS TO DEFINE IT! Younda splice meet, n-ext ~ (mon) ort  $0 \rightarrow k \rightarrow M_m \rightarrow \dots \rightarrow M_n \rightarrow k \rightarrow 0$ ,  $0 \rightarrow k \rightarrow N_n \rightarrow \dots \rightarrow N_r \rightarrow k \rightarrow 0$ (( con dreck creat)) Cohomology my H\*(A,k) := O H'(A, k) under youred a splice, is a graded why (in fort, it is graded commutation by the Eckinon-Hitton argument) other ways to DEFINE THIS PRODUCT! Mare querolly let & be a tensor catgory H\*(C, A) defined similarly, as a graded commutative rily. Conjecture (Friedbulle-Swelin 197, Ethingot-ostik by,...) let A be a f. d. Hopf algebra. Then H\* (A, k) is finitely generoted. and Ext (Wind) (Exter (X, Y)) is fig. as und over H\*(A, W(H\*(C, 1))) finite tensor cats of

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