

## VICTOR OSTRIK: INTRODUCTION TO FUSION CATEGORIES, I

(Note: the attached handout is by Chelsea Walton.)

In the world of classical symmetries, i.e. those given by group actions, there is a particularly nice subclass: finite groups. If you know your symmetry group is finite, you can take advantage of many simplifying assumptions. Likewise, in the setting of quantum symmetries, given by, say,  $\mathbb{C}$ -linear tensor categories, fusion subcategories form a very nice subclass for which many simplifying assumptions hold. And indeed, if  $G$  is a finite group, its category of finite-dimensional representations is a fusion category.

Recall that a *monoidal category* is a category  $\mathcal{C}$  together with a functor  $\otimes: \mathcal{C} \times \mathcal{C} \rightarrow \mathcal{C}$  and a distinguished object  $\mathbf{1} \in \mathcal{C}$  called the *unit*, together with natural isomorphisms implementing associativity of  $\otimes$ , via  $(X \otimes Y) \otimes Z \xrightarrow{\cong} X \otimes (Y \otimes Z)$ ; and unitality of  $\mathbf{1}$ , via  $\mathbf{1} \otimes X \xrightarrow{\cong} X \xrightarrow{\cong} X \otimes \mathbf{1}$ . These must satisfy some axioms which we won't discuss in detail here; the most important one is the *pentagon axiom* on the associator.

Today, we work over an algebraically closed field  $k$ , not necessarily closed. Recall that a  *$k$ -linear category*  $\mathcal{C}$  is one for which for all objects  $x, y \in \mathcal{C}$ ,  $\text{Hom}_{\mathcal{C}}(x, y)$  is a  $k$ -vector space, such that composition is bilinear. A  *$k$ -linear monoidal category* is a monoidal category that is also a  $k$ -linear category — and we also impose the consistency condition that the tensor product is a  $k$ -linear functor. We will impose a few more niceness conditions before arriving at the definition of a fusion category — in fact, as many as we can such that we still have examples!

In particular, we will only consider  $k$ -linear monoidal categories  $\mathcal{C}$  such that

- all Hom-spaces are finite-dimensional over  $k$ ,
- $\mathcal{C}$  is semisimple,<sup>1</sup>
- $\mathcal{C}$  has only finitely many isomorphism classes of simple objects,
- $\mathbf{1}$  is indecomposable, and
- $\mathcal{C}$  is *rigid*, a condition on duals of objects.

A category satisfying all of these axioms is a *fusion category*.

There are three ways we can come to an understanding of these categories: through the definition, through realizations and examples, and through diagrammatics. We will also heavily use semisimplicity, through the principle that  *$k$ -linear functors out of  $\mathcal{C}$  are determined by their values on simple objects, and all choices are allowed*.

**Example 0.1.** Our running example is  $\mathcal{V}ec_{\mathbb{Z}/n}^{\omega}$ , where  $n$  is a natural number and  $\omega$  is a degree-3 cocycle for  $\mathbb{Z}/n$ , valued in  $k^{\times}$ .

The objects of  $\mathcal{V}ec_{\mathbb{Z}/n}^{\omega}$  are the elements of  $\mathbb{Z}/n$ , with the tensor product  $i \otimes j := i + j$ . If  $\omega = 1$ , then we use the obvious associator, i.e. the isomorphism

$$(0.2) \quad (i \otimes j) \otimes k \xrightarrow{\cong} i \otimes (j \otimes k)$$

which corresponds to the identity under the identifications with  $i + j + k$ .<sup>2</sup> But in general, we can do something different: choose the map (0.2) which is  $\omega(i, j, k)$  times the standard one.

*A priori* you can use any function  $\mathbb{Z}/n \times \mathbb{Z}/n \times \mathbb{Z}/n \rightarrow k^{\times}$ , but the pentagon axiom on associativity imposes the condition that  $\omega$  is a cocycle.

**Exercise 0.3.** If you have not seen this before, verify that the pentagon axiom forces  $\partial\omega = 1$ .

The simplest nontrivial example<sup>3</sup> is for  $n = 2$  and

$$(0.4) \quad \omega(i, j, k) := \begin{cases} 1, & \text{if } i = 0, j = 0, \text{ or } k = 0 \\ -1, & \text{otherwise.} \end{cases} \quad \blacktriangleleft$$

<sup>1</sup>A  $k$ -linear category is *semisimple* if it's equivalent to the category of modules over  $k \oplus \cdots \oplus k$ , where there is a finite number of summands.

<sup>2</sup>These multiplication rules are really special, in that we were able to just write down an associator. This is generally not true; for general multiplication rules you're interested in, you'll have to work a little harder.

<sup>3</sup>This is nontrivial provided  $\text{char}(k) \neq 2$ .

$\mathbb{Z}/n$  was not special here — given any finite group  $G$  and a cocycle  $\omega \in Z^3(G; k^\times)$ , we obtain a fusion category  $\mathcal{V}ec_G^\omega$  in the same way.

With  $\omega$  as in (0.4),  $\mathcal{V}ec_{\mathbb{Z}/2}^\omega$  looks like a new example, not equivalent to  $\mathcal{V}ec_G^0$  for any  $G$  — but in order to understand that precisely, we need to discuss when two tensor categories are equivalent.

**Definition 0.5.** A *tensor equivalence* of tensor categories  $\mathcal{C}$  and  $\mathcal{D}$  is a monoidal functor  $F: \mathcal{C} \rightarrow \mathcal{D}$ , i.e. a functor together with data of natural isomorphisms  $F(X \otimes Y) \xrightarrow{\cong} F(X) \otimes F(Y)$  satisfying some axioms.

Choose cocycles  $\omega$  and  $\omega'$  for  $\mathbb{Z}/n$ , and let's consider tensor functors  $F: \mathcal{V}ec_{\mathbb{Z}/n}^\omega \rightarrow \mathcal{V}ec_{\mathbb{Z}/n}^{\omega'}$ . Furthermore, let's assume  $F$  is the identity on objects, so the data of  $F$  is the natural isomorphism  $F(X \otimes Y) \cong F(X) \otimes F(Y)$ . This is a choice of an element of  $k^\times$  for every pair of objects, subject to some additional conditions:

**Proposition 0.6.**  $F$  is a tensor functor iff  $\omega = \omega' \cdot \partial\psi$ .

**Corollary 0.7.**  $\mathcal{V}ec_{\mathbb{Z}/n}^\omega \simeq \mathcal{V}ec_{\mathbb{Z}/n}^{\omega'}$  if  $\omega$  and  $\omega'$  are cohomologous.

Recall that  $H^3(\mathbb{Z}/n; k^\times) \cong \mathbb{Z}/n$ , so we have  $n$  possibilities, some of which might coincide. If  $F$  isn't the identity on objects, it's fairly easy to see that as a function on objects, identified with a function  $\mathbb{Z}/n \rightarrow \mathbb{Z}/n$ , we must get a group homomorphism; if  $F$  is to be an equivalence, this homomorphism must be an isomorphism. One can run a similar argument as above and obtain a nice classification result.

**Proposition 0.8.** The tensor equivalence classes of tensor categories  $\mathcal{V}ec_{\mathbb{Z}/n}^\omega$  are in bijection with the orbits  $H^3(\mathbb{Z}/n; k^\times) / \text{Aut}(\mathbb{Z}/n)$ , via the map sending  $\omega$  to its class in cohomology.

The action of  $\text{Aut}(\mathbb{Z}/n) = (\mathbb{Z}/n)^\times$  on  $H^3(\mathbb{Z}/n; k^\times) \cong \mathbb{Z}/n$  is not the first action you might write down! Given  $a \in (\mathbb{Z}/n)^\times$  and  $s \in H^3(\mathbb{Z}/n; k^\times)$ , the action is

$$(0.9) \quad a \cdot s = a^2 s.$$

This is a standard fact from group cohomology.

Now let's discuss some realizations of fusion categories. If  $H$  is a semisimple Hopf algebra, then  $\mathcal{C} := \mathcal{R}ep_H^{fd}$  is a fusion category. Let  $F: \mathcal{C} \rightarrow \mathcal{V}ec$  denote the forgetful functor to finite-dimensional vector spaces. It turns out that one can reconstruct  $\mathcal{C}$  as a fusion category from  $F$ , and in fact any fusion category  $\mathcal{C}$  with a tensor functor to  $\mathcal{V}ec$  is equivalent to  $\mathcal{R}ep_H^{fd}$  for some Hopf algebra  $H$ . The data of the tensor functor to  $\mathcal{V}ec$  is crucial!

**Example 0.10.** For example,  $\mathcal{V}ec_{\mathbb{Z}/n} \simeq \mathcal{R}ep_{\mathbb{Z}/n}^{fd}$ ; we saw in the previous lecture that representations of  $\mathbb{Z}/n$  are equivalent to modules over the Hopf algebra  $k[\mathbb{Z}/n] := k[x]/(x^n - 1)$ , with comultiplication  $\Delta(x) := x \otimes x$ .

However, if  $\omega$  is nontrivial,  $\mathcal{V}ec_{\mathbb{Z}/n}^\omega$  admits no tensor functor to  $\mathcal{V}ec$ , and therefore cannot be seen using Hopf algebras. One can try to generalize the reconstruction program, using quasi-Hopf algebras, weak Hopf algebras, etc.  $\blacktriangleleft$

Bimodules provide another approach to realizations: we look for a ring  $R$  and a tensor functor  $F: \mathcal{C} \rightarrow \mathcal{B}imod_R$ . Applying this to  $\mathcal{V}ec_{\mathbb{Z}/n}^\omega$ , we get  $(R, R)$ -bimodules  $F(i)$  for each  $i \in \mathbb{Z}/n$  and isomorphisms  $F(i) \otimes_R F(j) \xrightarrow{\cong} F(i+j)$ . In particular, each  $F(i)$  is (tensor-)invertible.

**Example 0.11.** An *inner automorphism* of a ring  $R$  is conjugation by some  $r \in R^\times$ . Inner automorphisms form a normal subgroup of  $\text{Aut}(R)$ , and the quotient is called the *outer automorphism group* of  $R$  and denoted  $\text{Out}(R)$ . An *outer action* of a group  $G$  on a ring  $R$  is a group homomorphism  $\varphi: G \rightarrow \text{Out}(R)$ .

Given an outer automorphism  $\theta$  of  $R$ , one obtains an  $(R, R)$ -bimodule  $R_\theta$ , whose left action is the  $R$ -action on  $R$  by left multiplication, and whose right action is  $r \cdot x = r\theta(x)$ . We need to choose an element in  $\text{Aut}(R)$  mapping to  $\theta$  to make this definition, but different choices lead to isomorphic bimodules.

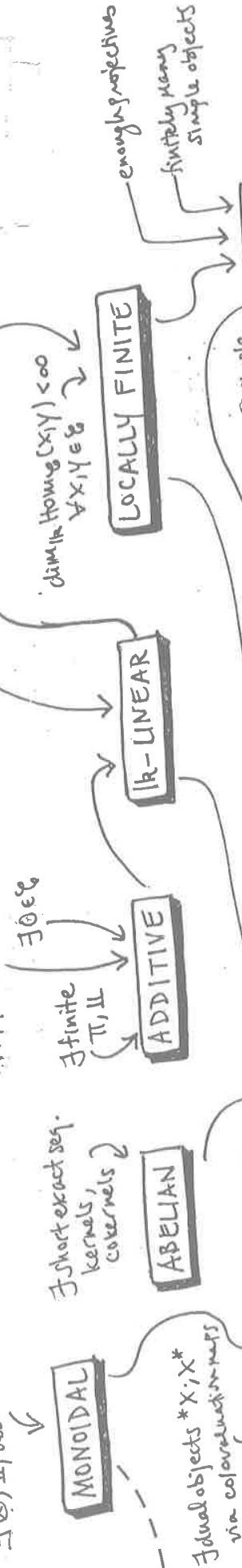
Anyways, given an outer action of  $\mathbb{Z}/n$  on  $R$ , we obtain  $(R, R)$ -bimodules  $R_{\varphi(i)}$  indexed by the objects  $i \in \mathcal{V}ec_{\mathbb{Z}/n}$  and isomorphisms between  $R_{\varphi(i)} \otimes R_{\varphi(j)} \xrightarrow{\cong} R_{\varphi(i+j)}$ . This data stitches together into a tensor functor  $\mathcal{V}ec_{\mathbb{Z}/n} \rightarrow \mathcal{B}imod_R$ .  $\blacktriangleleft$

Diagrammatics represents the objects of a fusion category  $\mathcal{C}$  as points, and morphisms as lines. One can then impose relations on certain morphisms, and therefore diagrammatics provide a generators-and-relations approach to the structure of a given fusion category. Next time, we'll see how to do this for  $\mathcal{V}ec_{\mathbb{Z}/n}^\omega$ , and see more examples.

## REFERENCES

**MONOIDAL CATEGORIES**  
 - Cheat sheet -  
 by. Chelsea Walton

$\exists \otimes, \Delta, \text{assoc.}$  & unit constraints



every  $X \in \mathcal{C}$  has finite length  
 $\forall X, Y \in \mathcal{C}$   $\dim_k \text{Hom}_{\mathcal{C}}(X, Y) < \infty$

$X = \bigoplus_{i \in I} X_i$   
 $\forall X \in \mathcal{C}$

$\exists c, x, y: X \otimes Y \xrightarrow{\sim} Y \otimes X$   
 $\forall X, Y \in \mathcal{C}$

$c_{YX} \circ c_{XY} = \text{id}_{X \otimes Y}$

$\forall x \in \mathcal{C}, \exists \theta_x \in \text{Aut } X \rightarrow \theta_x \otimes x = c_{yx} \circ c_{xy} \circ \theta_x$   
 $\theta_x^{-1} = \theta_x^*$

S-matrix  $(c_{x_j, x_i} \circ c_{x_i, x_j})$  is invertible for  $X$  simple

enough projectives

finitely many simple objects

finite

REST: Every monoidal category is tensor categories (2015)

$\mathcal{C} = \text{category}$

UNITARY

$\text{Hom}_{\mathcal{C}}(X, Y) \in \text{kk-vec}$   
 $\forall X, Y \in \mathcal{C}$

ABELIAN  
 $\exists \text{ short exact seq. kernels, cokernels}$

ADDITIVE

$\text{Hom}_{\mathcal{C}}(X, Y) \in \text{ab}$   
 $\forall X, Y \in \mathcal{C}$

K-LINEAR

MULTI-TENSOR

MULTI-FUSION

SEMISIMPLE

BRAIDED (monoidal)

SYMMETRIC (braided monoidal)

MODULAR

PREMODULAR (also braided + fusion + spherical)

MODULAR (6)

RIBBON (braided rigid monoidal) = TORTILE

SPHERICAL (pivotal)

PIVOTAL (rigid monoidal) = SOVEREIGN

TENSOR

FUSION

LOCALLY FINITE

FINITE

UNITARY

MONOIDAL (monoidal) = AUTONOMOUS

RIGID (monoidal) = AUTONOMOUS

EXAMPLES (ch 16-20)

(1):  $\text{Rep } H$ ,  $\# \text{ Hopf}$

(2):  $\text{Rep } H$ ,  $H$  s-Hopf,  $\text{Rep } G$ ,  $\text{CoRep } \mathcal{O}(G)$ ,  $G$  (algebraic group)

(3):  $\text{Rep } H$ ,  $H$  quadrilateral Hopf

(4):  $\text{Rep } H$ ,  $H$  triangular Hopf

(5):  $\text{Rep } H$ ,  $H$  ribbon Hopf

(6):  $\text{Rep}(V, \alpha)$

(11)-(16):  $\text{Vec}(\text{fin-mod})$

left & right traces coincide

$X \cong X^{**} \quad \forall X \in \mathcal{C}$

$\text{End}_{\mathcal{C}}(1) \cong \text{kk}$  ( $\mathcal{A}$  is simple)

$\exists \text{ finite } (\pi, \parallel)$

$\exists c, x, y: X \otimes Y \xrightarrow{\sim} Y \otimes X$

$c_{YX} \circ c_{XY} = \text{id}_{X \otimes Y}$

$\forall x \in \mathcal{C}, \exists \theta_x \in \text{Aut } X \rightarrow \theta_x \otimes x = c_{yx} \circ c_{xy} \circ \theta_x$

$\theta_x^{-1} = \theta_x^*$

$X = \bigoplus_{i \in I} X_i$

$\forall X \in \mathcal{C}$  has finite length

$\forall X, Y \in \mathcal{C}$   $\dim_k \text{Hom}_{\mathcal{C}}(X, Y) < \infty$

enough projectives

finitely many simple objects

finite