

# INTRO to Higher Cats., Dualizability, & Applications to TFTs.

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Two motivating situations:

① Def. Let  $\mathcal{M} = \text{Vect}_{\mathbb{C}}$  be a monoidal category.

A vector space  $V$  has a dual if  $\exists V^{\vee}$  a vector space, and linear maps

$$\text{ev}_V : V^{\vee} \otimes V \rightarrow \mathbb{C} \quad (\text{evaluation})$$

$$\text{coev}_V : \mathbb{C} \rightarrow V \otimes V^{\vee} \quad (\text{coevaluation})$$

such that:

$$\textcircled{1} V \cong \mathbb{C} \otimes V \xrightarrow{\text{coev}_V \otimes \text{id}_V} V \otimes V^{\vee} \otimes V \xrightarrow{\text{id}_V \otimes \text{ev}_V} V \otimes \mathbb{C} \cong V$$

is the identity

$$\textcircled{2} V^{\vee} \xrightarrow{\text{id}_{V^{\vee}} \otimes \text{ev}_V} V^{\vee} \otimes V \otimes V^{\vee} \xrightarrow{\text{ev}_V \otimes \text{id}_{V^{\vee}}} V^{\vee}$$

is the identity

Picture this:

② Def. A functor  $R: \mathcal{C} \rightarrow \mathcal{D}$  has a left adjoint if  $\exists$  a functor  $L: \mathcal{D} \rightarrow \mathcal{C}$  and natural transformations

$$c: L \circ R \rightarrow \text{id}_{\mathcal{C}} \quad (\text{counit})$$

$$u: \text{id}_{\mathcal{D}} \rightarrow R \circ L \quad (\text{unit})$$

such that:

$$\textcircled{1} R = \text{id}_R \circ R \xrightarrow{u \circ \text{id}_R} R \circ L \circ R \xrightarrow{\text{id}_R \circ c} R \circ \text{id}_R = R$$

$$\textcircled{2} L \xrightarrow{\text{id}_L \circ u} L \circ R \circ L \xrightarrow{c \circ \text{id}_L} L$$

are both the identity

NOTE.  $(V^v, e_{V^v}, c_{e_{V^v}})$  (dualizability data) is unique up to isomorphism.

$(L, c, u)$  same.

Generalize these:

$\textcircled{1}$  just let  $\mathcal{M}$  be a monoidal cat.

An object  $V \in \mathcal{M} \dots \exists V^v$  obj. in  $\mathcal{M}$  & morphisms

$$e_{V^v}: V^v \otimes V \rightarrow \mathbb{1} \quad \text{co}e_{V^v}: \mathbb{1} \rightarrow V \otimes V^v$$

s.t. ....

$\textcircled{2}$  First ... concept 2-cat or bicategory (intuition)

objects:  $\bullet \bullet$  categories

1-morphisms:  $\bullet \rightarrow \bullet$  functors

2-morphisms  $\begin{matrix} \bullet & & \bullet \\ \downarrow & & \downarrow \\ \bullet & & \bullet \end{matrix}$  ntrl transformations

compose in 2 ways:  $\begin{matrix} \bullet & & \bullet \\ \downarrow & & \downarrow \\ \bullet & & \bullet \end{matrix}$  OR  $\begin{matrix} \bullet & & \bullet \\ \downarrow & & \downarrow \\ \bullet & & \bullet \end{matrix}$

To generalize  $\textcircled{2}$  Let  $\mathcal{B}$  be a bicat,  $\mathcal{C}, \mathcal{D} \in \text{ob } \mathcal{B}$ .  
A 1-morphism  $R: \mathcal{C} \rightarrow \mathcal{D}$  ... if  $\exists$  a 1-morph  $L: \mathcal{C} \rightarrow \mathcal{D}$ ,  
s.t. ....

Today. Use this motivation as a reason for defining

- bicategories

- "higher" categories

- some  $(\infty, 1)$ -categories

~> Dualizability more generally.

Tomorrow. Applications to TFTs:

- (fully) extended TFTs

- Cobordism Hypothesis.

Lets start with enriched categories.

Let  $(\mathcal{S}, \otimes)$  be a monoidal category  
(ex:  $(\text{Set}, \times)$ ,  $(\text{Cat}, \times)$ ,  $(\text{Space}, \times)$ ,  $(\text{Vect}_k, \otimes)$ )

Def. An  $\mathcal{S}$ -enriched category  $\mathcal{C}$  consists of

(O) set of objects  $\text{Ob } \mathcal{C}$

(M)  $\forall X, Y \in \text{Ob } \mathcal{C}$ , an object in  $\mathcal{S}$ ,  $\text{Hom}_{\mathcal{C}}(X, Y)$   
"morphisms"

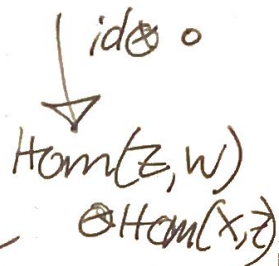
(C) composition:  $\forall X, Y, Z$  in  $\text{Ob } \mathcal{C}$ , a morphism  
in  $\mathcal{S}$   $\text{Hom}_{\mathcal{C}}(Y, Z) \otimes \text{Hom}_{\mathcal{C}}(X, Y) \rightarrow \text{Hom}_{\mathcal{C}}(X, Z)$ ,  
("go left to go right")



(I)  $\forall X \in \text{Ob } \mathcal{C}$ , a morph. in  $\mathcal{S}$

$\mathbb{1} \rightarrow \text{Hom}_{\mathcal{C}}(X, X)$  satisfying associativity

$$(\text{Hom}_{\mathcal{C}}(Z, W) \otimes \text{Hom}_{\mathcal{C}}(Y, Z)) \otimes \text{Hom}_{\mathcal{C}}(X, Y) \cong \text{Hom}_{\mathcal{C}}(X, Y) \otimes (\text{Hom}_{\mathcal{C}}(Z, W) \otimes \text{Hom}_{\mathcal{C}}(Y, Z))$$



$$\text{Hom}_{\mathcal{C}}(Y, W) \otimes \text{Hom}_{\mathcal{C}}(X, Y) \xrightarrow{\circ} \text{Hom}_{\mathcal{C}}(X, Y) \otimes \text{Hom}_{\mathcal{C}}(Y, W)$$

(2) similar for identities


Def. A 2-category is a cat. enriched in  $(\text{Cat}, \times)$


What does this mean?

$\forall X, Y$ , we have category  $\text{Hom}_{\mathcal{C}}(X, Y)$

Ob: 1-morphisms

morphs: 2-morphisms

comp: 

But we also have comp (C) which is a factor of  categories

Example CAT 2-category  
 obs: categories  
 1 morphs: functors  
 2 morphs: ntrl transformations

PROBLEM! Associativity

We require the diagram to commute  
 often not satisfied  $\hat{=}$   
 $\leadsto$  we weaken this condition.

Def. A bicategory is  $(O), (M), (C), (I)$  + 2-morphisms <sup>invertible</sup>  
 $d$  in  $(I)$   
 + similarly modify for  $(z)$   
 satisfying axioms.

Axioms. pentagon and two triangle identities

Remark. Every bicat is equiv. to a strict one.  
 (2-cat)

Examples:

①  $ALG^{bi}$ : obs:  $\mathbb{C}$ -algebras  $\ni A, B$   
 1 morph:  $A \rightarrow B$  is  $(A, B)$ -bimodule  ${}_A M_B$   
 2 morph: homom. of bimodules

$${}_A M_B \xrightarrow{f} {}_A N_B$$

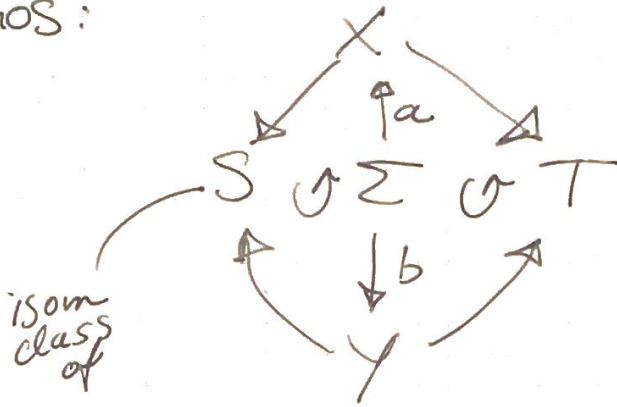
$$(C) \text{ comp: } {}_B N_C \circ {}_A M_B := {}_A (M \otimes_B N)_C$$

②  $\text{SPAN}_2^{bi}$  2-spans of sets

obs: sets  $\ni S, T$

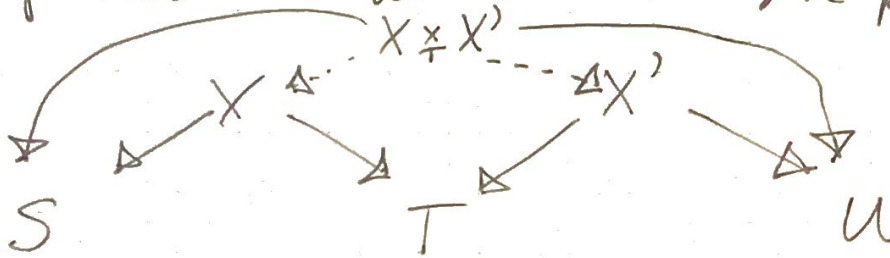
1 mos:  $S \rightarrow T$  is  $(f, g): S \xleftarrow{f} X \xrightarrow{g} T$

2 mos:



isom:  $\Sigma \rightarrow \Sigma'$   
making diagram commut

comp: Assume we have chosen pullbacks



The two comps of 2-morphs are also given by pulling back

③ (informally. For details see Schommer-Ries)

2Cob<sup>ext</sup>

obj: finite sets of points (0-diml manifolds)

1mos: 1-diml manifold w/ bdry  $\partial$   
 $M$

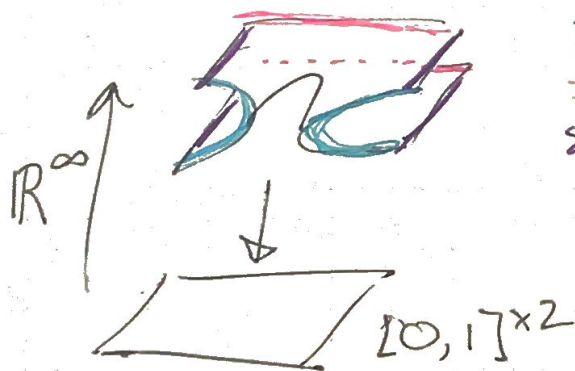
• together w/ diffeo  $2M \cong \underset{\text{source}}{X} \sqcup \underset{\text{target}}{Y}$



2mos: isomorphism classes of 2 diml  
"Bordisms"  $\mathcal{B}$

2-diml "bordism": 2 diml manifold  
w/ corners  $\square$

which can be embedded into  $[0,1]^2 \times \mathbb{R}^\infty$



source: front  $X$   
target: back  $Y$   
sides are id bordisms  
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Now our def. of having adjoints makes sense.

Q: Can we "combine" having duals and having adjoints?

"Def." Let  $\mathcal{B}$  be a symmetric monoidal bicategory. (\*) def of this is too long.  $\rightarrow$  so left & right are same.

A object  $X$  in  $\mathcal{B}$  is 2-dualizable if:

- ① it has a dual in the underlying monoidal cat.
- ② An  $ev_X, coev_X$  from ① <sup>both</sup> have left & right adjoints

What is the underlying monoidal category?

$\mathcal{B}$  bicat  $\rightsquigarrow h_1(\mathcal{B})$     obj = ob  $\mathcal{B}$

"  
 $\tau_1(\mathcal{B})$

mor = 2-isomorphisms  
(inv. 2-morphs)

classes of 1-morphs

So, if  $\mathcal{B}$  is symmetric monoidal, then  $h_1(\mathcal{B})$  is symmetric monoidal.



Q: Can we do the "game" to get "n-categories"?

Want: structure which captures  
objects, 1-morphs, 2-morphs, ...  
... n-morphs, ...?

Attempt 1: "strict" n-cats

cat enriched in  $(2\text{Cat}, X)$

Problem: strict associativity is

\* not true that any "weak" n-cat is  
equivalent to strict n-cat

We will return to this tomorrow.

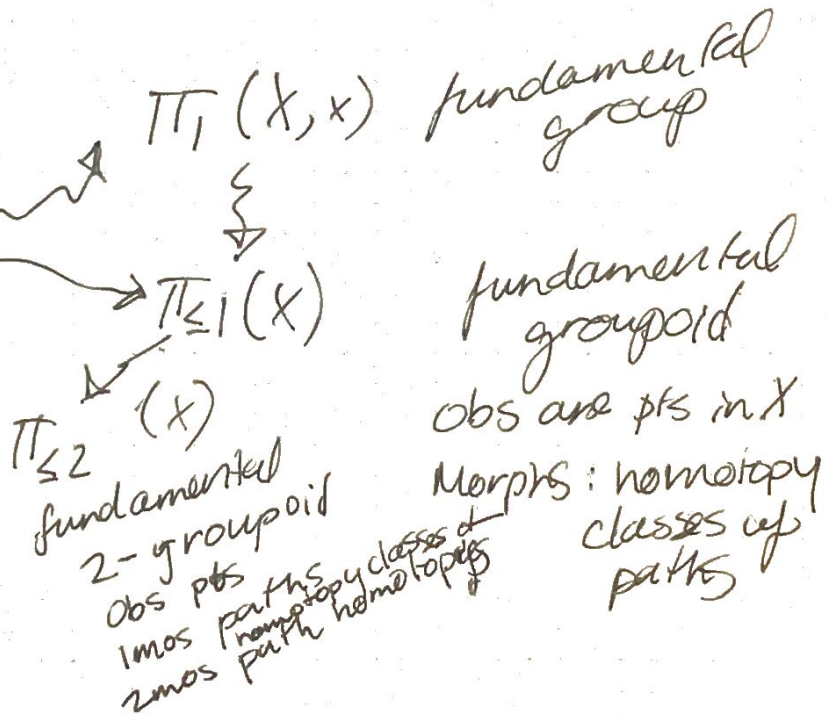
How can we include "k-morphisms"  $\forall k$

but assume they are all invertible

$\rightsquigarrow$  " $\infty$ -groupoid"

Idea: (Grothendieck)

Given a space  $X$



reminiscent of  
comp of bicats

Whatever a good def. of  $\infty$ -groupoid is, we should have a "fundamental  $\infty$ -groupoid" of a space.

Homotopy Hypothesis (turn above into defn)

Def. An  $\infty$ -groupoid is a space.

## Introduction to higher categories, dualizability, and applications to topological field theories

This is a long selection of exercises of very different levels and with motivations coming from different areas. I am aware that this list is too long for the problem sessions. Pick the one(s) you find interesting and look up or ask for the precise definitions if needed.

(1) Find the dualizable objects in the following monoidal categories:

- (a) vector spaces and direct sum
- (b) vector spaces and tensor product
- (c) pointed vector spaces (a vector space together with a chosen vector in it), point-preserving linear maps, and tensor product
- (d) sets and cartesian product
- (e) Span, where objects are sets, a morphism from  $X$  to  $Y$  is an isomorphism class of spans  $X \leftarrow S \rightarrow Y$ , composition is pullback, and the monoidal product is the cartesian product
- (f) Alg, where objects are  $\mathbb{C}$ -algebras, a morphism from an algebra  $A$  to an algebra  $B$  is an isomorphism class of bimodules, composition is relative tensor product,

$${}_B N_C \circ_A M_B = {}_A M_B \otimes_B {}_B N_C;$$

and tensor product over  $\mathbb{C}$  as the monoidal structure

(g) nCob and disjoint union

(2) Show that if  $Z$  is an  $n$ -dimensional topological field theory, then for any closed  $(n-1)$ -dimensional manifold,  $Z(M)$  is finite dimensional.

(3) (a) Show that any small category with a single object is the same data as a monoid.

(b) Let  $A$  be a set. Which structure does  $A$  need to have so that there is a 2-category with a single object, a single morphism, and  $A$  as the set of 2-morphisms?

(4) Look up the details of the definition of a quasi-category. Show the following properties:

(a) Translate the horn-filling conditions for Kan complexes and quasi-categories in dimensions 1, 2, and 3 into categorical content.

(b) Let  $\tau_1: sSet \rightarrow Cat$  be the left adjoint to the nerve functor, called *homotopy category*. Work out/look up an explicit description of  $\tau_1$ .

(5) (a) Which 1-morphisms have left and/or right adjoints in the following bicategories or  $(\infty, 2)$ -categories:

(i)  $\text{Alg}^{bi}$  (*Hint: Look up and use the dual basis lemma from commutative algebra.*)

(ii)  $\text{Span}_2^{bi}$

(b) Which objects are 2-dualizable in the following symmetric monoidal bicategories or  $(\infty, 2)$ -categories (we haven't seen these in detail, but try to figure out the pictures):

(i)  $2\text{Cob}^{ext}$  and  $2\text{Cob}^{ext, fr}$

(ii)  $\text{Bord}_2$  and  $\text{Bord}_2^{fr}$