

# An Introduction to Categorification of Quantum Groups & Link Invariants I

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Crene & Frenkel 1994:

Studying TQFT (topological quantum field theory)

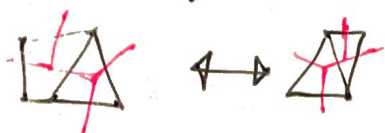
invariants of  $n$ -manifolds

- take  $n$ -manifold & triangulate in

Ex.  $n=2$

Basic object:  dual of triangle

(2-2 move)



$$Y = Y$$

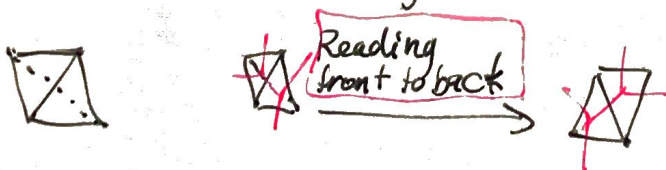
(associativity in schematic mult.)

- 2D State Sum TQFT

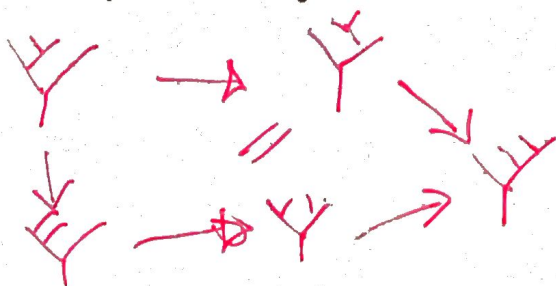
(linear set + mult. associative)

vect. sp.

- Ex:  $n=3$  Basic object is a tetrahedron



(2-3) move for triangulation invariance expressed in terms of dual graphs



• Observation: 3D TQFTs requires

(linear) cat. & mult.

4D TQFTs idea: 2-cat & mult

$n=2$	$n=3$	$n=4$
sets	cats	2-cat
mult	mult	mult

• Examples! Where do these come from?

The (linear) categories of interest <sup>in 3d TQFTs</sup> come from representations of quantum groups

has pos. basis

\* Case study in categorification \*

Jones Polynomial  $J(K)$

• Take a knot  $\mathcal{K}$

• Jones construction produces a Laurent polynomial,  $\mathbb{Z}[q, q^{-1}]$  ( $q$  is a formal parameter)

• Diagrammatic (Kauffman Bracket)

$$\langle \text{X} \rangle = \langle \text{ ) } \rangle - q \langle \text{ \textasciitilde{ ) } } \rangle$$

$$\langle 0 \cup K \rangle = (q + q^{-1}) \langle K \rangle$$

• Ex.

$$\begin{aligned}
 \langle \bigcirc \rangle &\rightarrow \langle \bigcirc \rangle \rightarrow \langle \bigcirc \bigcirc \rangle && (q+q^{-1})^2 \\
 &\searrow && \downarrow \\
 & && -q \langle \bigcirc \rangle && -q(q+q^{-1}) \\
 &\downarrow && \downarrow \\
 & -q \langle \bigcirc \rangle &\rightarrow -q \langle \bigcirc \rangle && -q(q+q^{-1}) \\
 & &\searrow && \downarrow \\
 & && q^2 \langle \bigcirc \rangle && +q^2 (q+q^{-1})^2
 \end{aligned}$$

## Representation Theoretic Perspective

• Start w/ quantum group  $U_q(\mathfrak{sl}_2)$

$U_q(\mathfrak{sl}_2)$  is an algebra over  $\mathbb{C}(q)$  (rational functions)

$V = 2$ -diml reps

• Given a local picture of a knot aka a tangle

$$\begin{array}{ccc}
 t = \begin{array}{c} \text{cup} \\ | \\ \text{arc} \end{array} \quad \begin{array}{c} \text{crossing} \\ | \\ \text{arc} \end{array} & \begin{array}{c} \rightarrow V^{\otimes 5} \\ \uparrow \text{map of} \\ \text{reps} \\ \rightarrow V^{\otimes 5} \end{array} & \begin{array}{l} \text{We can tensor} \\ \text{bc. } U_q(\mathfrak{sl}_2) \text{ is} \\ \text{a Hopf algebra} \end{array}
 \end{array}$$

• For knots: they have no endpoints so

$$\begin{array}{ccc}
 \bigcirc & \rightarrow V^{\otimes 0} = \mathbb{C}[q] \\
 \uparrow & \text{---} \\
 \bigcirc & \rightarrow V^{\otimes 0} = \mathbb{C}[q]
 \end{array}$$

mult by some scalar =  $J(K)$

# Physics

In  $SU(2)$  Gauge Theory

Jones polynomial comes from Wilson loops.  
(or homology of a connection around a knot)

## Generalizations

Rep theory:  $U_q(\mathfrak{g}) \xrightarrow{\text{simple Lie algebra}} \Psi_{\mathfrak{g}, V}(k)$   
 $V$  - arbitrary rep of  $\mathfrak{g}$  Reshetkin-Turaev

• Physics: Chern-Simons w/ other Lie groups

• Diagrammatic: less straight forward  $sl(n)$   
Requires a detailed knowledge of rep. theory, braidings, or R-matrices. Case-by-case for each.

Diagrammatic description of knot invariants



Diagrammatic or gen & rel description of  $\text{Rep}(U_q(\mathfrak{g}))$

$sl_2$	Temperley-Leib Algebras	(1971)
$sl_3$	Kuperberg	(1997)
$sl_4$	Kim	(2003)
$sl_5$	Morrison	(2007) (conjecture)

Full Solution: 2014

Cautis-Kamnitzer-Morrison

We need two ingredients to understand this:

- (1) Definition of  $U_q(\mathfrak{gl}_n)$
- (2) Definition of quantum Weyl group actions

Diagrammatic  $sl_n$ -knot invariants.

DEF.  $\mathcal{U} = \mathcal{U}_q(\mathfrak{gl}_m)$  is a  $\mathbb{C}(q)$ -linear category

(i.e.  $\text{Hom}(\lambda, \lambda') \leftrightarrow \mathbb{C}(q)$ -vector space)

Objects:  $\lambda = (\lambda_1, \dots, \lambda_m) \in \mathbb{Z}^m$

Morphisms: For  $1 \leq i \leq m-1$   $\alpha_i = (0, \dots, 0, \underset{i\text{th}}{1}, \underset{(i+1)\text{th}}{-1}, 0, \dots, 0)$

$$I_\lambda : \lambda \rightarrow \lambda$$

$$\begin{array}{c} | \dots | \\ \lambda_1 \lambda_2 \quad \lambda_m \end{array}$$

$$E_i \lambda : \lambda \rightarrow \lambda + \alpha_i$$

$$\begin{array}{c} | \dots | \dots | \\ \lambda_i \lambda_{i+1} \end{array}$$

$$F_i I_\lambda : \lambda \rightarrow \lambda - \alpha_i$$

$$\begin{array}{c} | \dots | \dots | \\ \lambda_i \lambda_{i+1} \end{array}$$

eg.  $\left[ 15q I_\lambda - \left( \frac{q^{10} - q^{-10}}{q - q^{-1}} \right) E_i F_i I_\lambda + 14 E_i^2 F_i^2 I_\lambda \right] : \lambda \rightarrow \lambda$

$$15q \begin{array}{c} | \dots | \\ \lambda_i \lambda_{i+1} \end{array} - [10] \begin{array}{c} \lambda_i \lambda_{i+1} \\ \text{triangle} \\ \lambda_i \lambda_{i+1} \end{array} + 14 \begin{array}{c} \text{diagonal lines} \\ \lambda_i \lambda_{i+1} \end{array}$$

(convention: just draw  $\lambda_i, \lambda_{i+1}$  since nothing happens elsewhere)

## Relations

key:  $sl_2$ -relations

↙ quantum  
integer  
 $[n] := \frac{q^n - q^{-n}}{q - q^{-1}}$

$$E_i F_i 1_\lambda = F_i E_i 1_\lambda + [\lambda_i - \lambda_{i+1}] 1_\lambda$$

$$\left| \begin{array}{c} i \\ | \\ i \end{array} \right\rangle = \left| \begin{array}{c} i \\ | \\ i \end{array} \right\rangle + [\lambda_i - \lambda_{i+1}] \left| \begin{array}{c} | \\ | \\ | \end{array} \right\rangle$$

Define divided powers:

$$E_i^{(a)} 1_\lambda = \frac{E_i^a 1_\lambda}{[a]!}$$

$$[a]! = [a][a-1]\dots[1]$$

$$F_i 1_\lambda = \frac{F_i^a 1_\lambda}{[a]!}$$

• Serre Relations:

$$\text{if } j = i \pm 1 : E_i^{(a)} E_j 1_\lambda - E_i E_j E_i 1_\lambda + E_j E_i^{(a)} 1_\lambda = 0$$

$$j \neq i \pm 1 \quad E_i E_j 1_\lambda = E_j E_i 1_\lambda$$

(similar for  $F_j$ )