

Invariants of 4-manifolds from Hopf Algebras

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Workshop on tensor categories and
topological quantum field theories

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Invariants of 4-manifolds

- Turaev-Viro type construction

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finite group
[Dijkgraaf-Witten, '90]

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2-group

[Yetter, '93]

premodular category

[Crane-Yetter, '93]

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G -crossed braided fusion
category [C-, '16]

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Conjecturally homotopy invariants

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Conjecturally homotopy invariants



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Semisimple TQFTs cannot distinguish smooth structures of 4-manifolds [Reutter, '20]

- Seiberg-Witten/Donaldson theory.

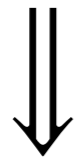
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dim=3

Turaev-Viro invariants from
fusion 1-categories



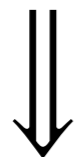
nonsemisimple generalization

Kuperberg invariants from
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dim=4

Invariants from fusion 2-categories

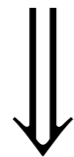


????

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dim=3

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dim=4

Invariants from fusion 2-categories



????

Goal: Construct Kuperberg-type 4-manifold invariants

Hopf Algebras $H(M, i, \Delta, \epsilon, S)$

$$M : H \otimes H \rightarrow H \quad \Delta : H \rightarrow H \otimes H$$

$$i : \mathbb{C} \rightarrow H$$

$$\epsilon : H \rightarrow \mathbb{C}$$

$$S : H \rightarrow H$$

Hopf Algebras $H(M, i, \Delta, \epsilon, S)$

$$M : H \otimes H \rightarrow H \quad \Delta : H \rightarrow H \otimes H$$

$$i : \mathbb{C} \rightarrow H \quad \epsilon : H \rightarrow \mathbb{C} \quad S : H \rightarrow H$$

- $H(M, i)$ is an associative algebra;

- $H(\Delta, \epsilon)$ is an associative coalgebra;

$$(I \otimes \Delta)\Delta = (\Delta \otimes I)\Delta \quad (I \otimes \epsilon)\Delta = (\epsilon \otimes I)\Delta = I$$

- Δ is an algebra morphism;

$$\Delta M = (M \otimes M)(I \otimes \text{Flip} \otimes I)(\Delta \otimes \Delta)$$

- The antipode S is compatible.

$$M(I \otimes S)\Delta = M(S \otimes I)\Delta = \eta\epsilon$$

$$\text{Flip} : H \otimes H \rightarrow H \otimes H, \quad x \otimes y \mapsto y \otimes x$$

G a finite group

$$\mathbb{C}[G] = \mathbb{C}\{g : g \in G\}$$

$$M(g \otimes g') = gg'$$

$$i(1) = 1_G$$

$$\Delta(g) = g \otimes g$$

$$\epsilon(g) = 1$$

$$S(g) = g^{-1}$$

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$$q = e^{\frac{2\pi i}{r}}$$

$$U_q(sl_2) = \mathbb{C}\langle E, F, K^{\pm 1} \rangle / \sim,$$

$$\begin{aligned} \sim: & KK^{-1} = K^{-1}K = 1, \quad KE = q^2EK, \\ & KF = q^{-2}FK, \quad EF - FE = \frac{K - K^{-1}}{q - q^{-1}}, \\ & E^r = F^r = K^r - 1 = 0; \end{aligned}$$

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$$\Delta(E) = 1 \otimes E + E \otimes K,$$

$$\Delta(F) = K^{-1} \otimes F + F \otimes 1,$$

$$\Delta(K) = K \otimes K;$$

$$\epsilon(E) = \epsilon(F) = 0, \epsilon(K) = 1;$$

$$S(E) = -EK^{-1}, S(F) = -KF,$$

$$S(K) = K^{-1}$$

Semisimple

G a finite group

$$\mathbb{C}[G] = \mathbb{C}\{g : g \in G\}$$

$$M(g \otimes g') = gg'$$

$$i(1) = 1_G$$

$$\Delta(g) = g \otimes g$$

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Non-semisimple

$$q = e^{\frac{2\pi i}{r}}$$

$$U_q(sl_2) = \mathbb{C}\langle E, F, K^{\pm 1} \rangle / \sim,$$

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$$\Delta(F) = K^{-1} \otimes F + F \otimes 1,$$

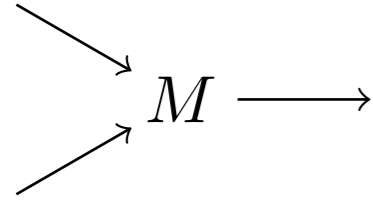
$$\Delta(K) = K \otimes K;$$

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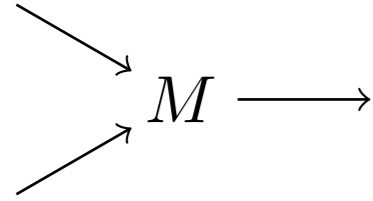
Tensor diagram representations



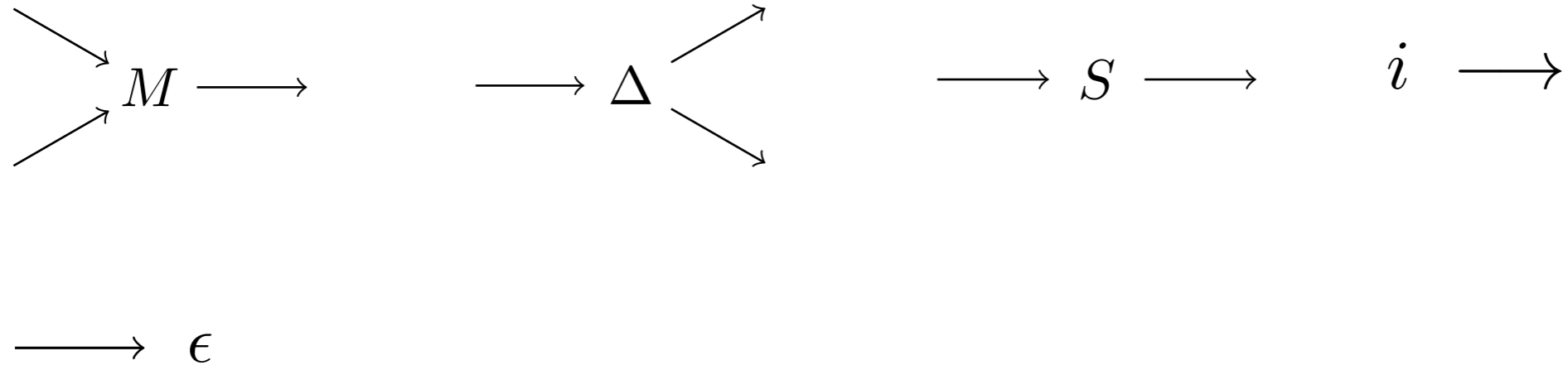
Tensor diagram representations

$$\begin{array}{c} H \\ \searrow \\ M \longrightarrow H \\ \nearrow \\ H \end{array}$$

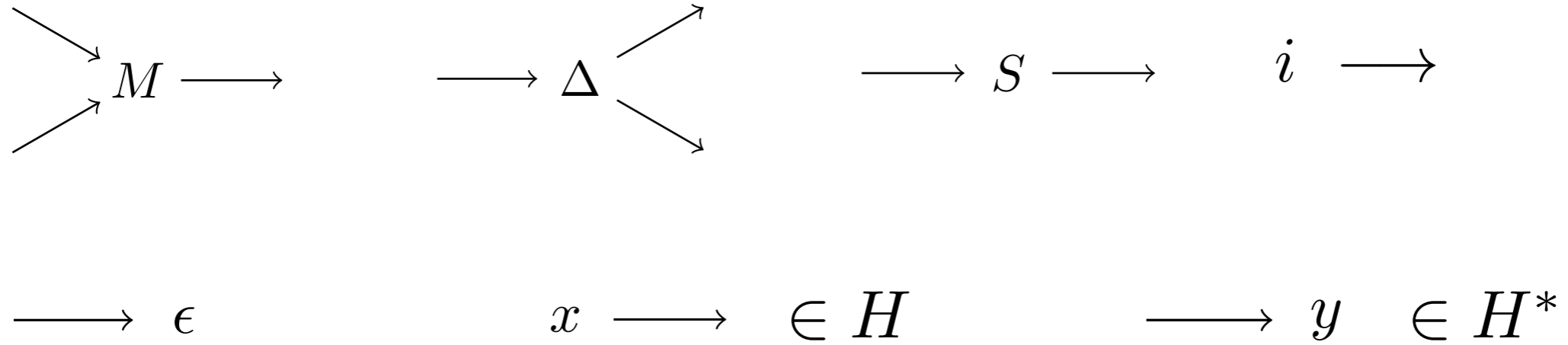
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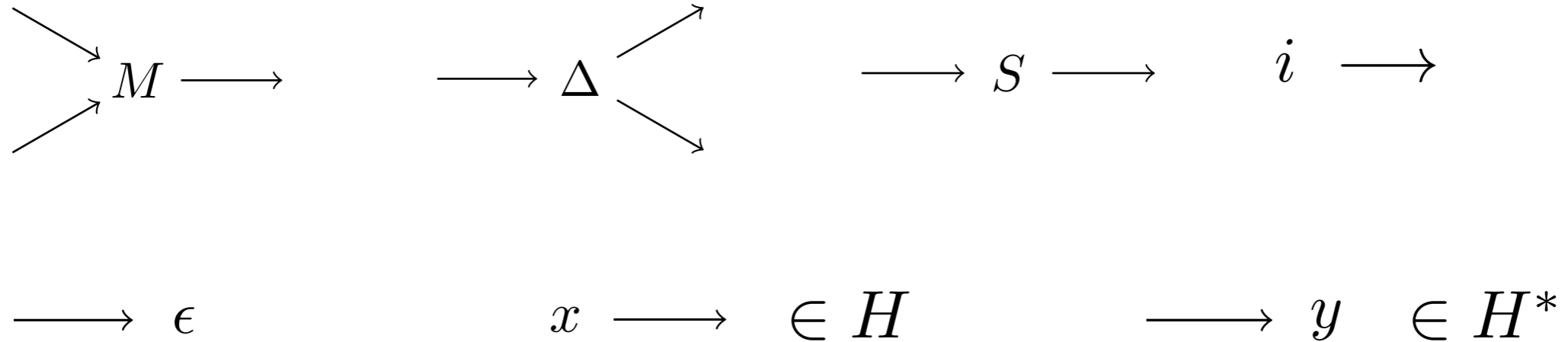
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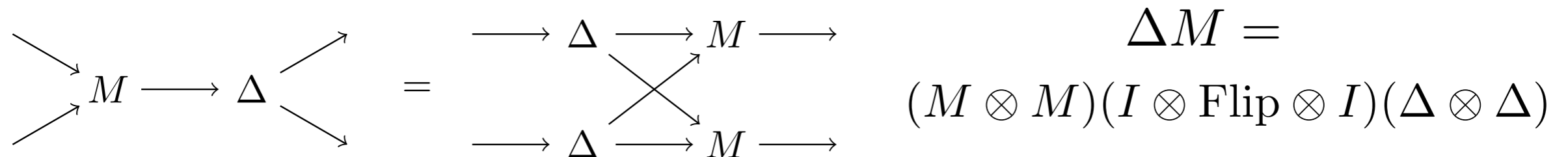
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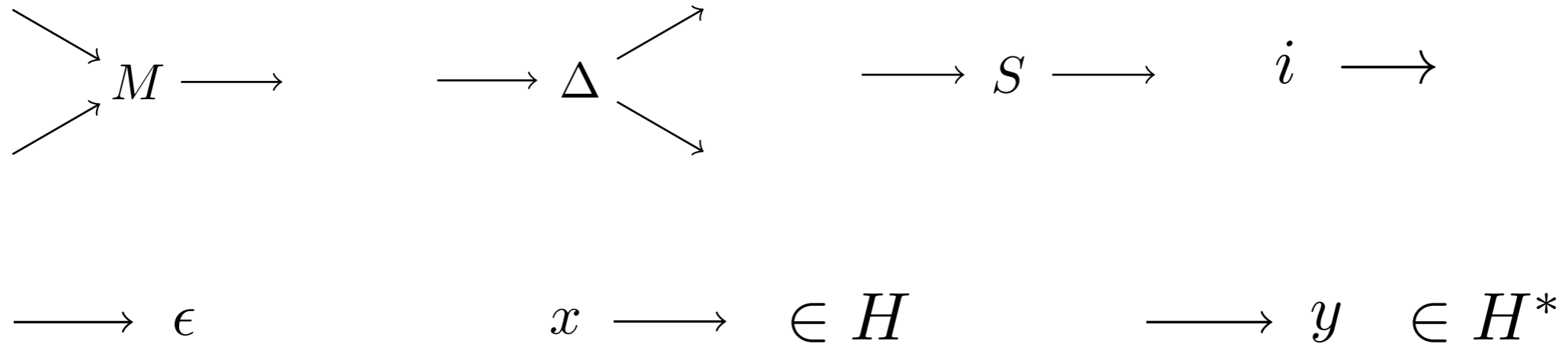
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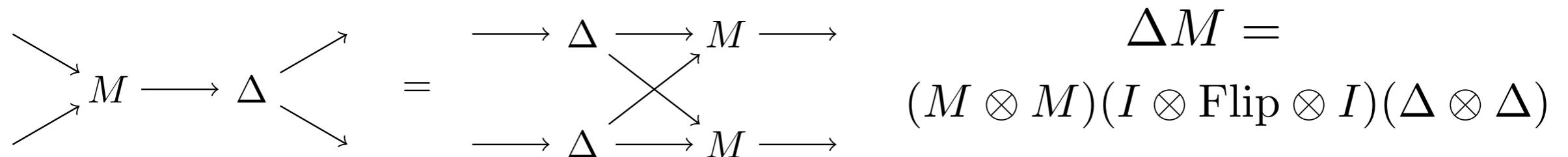
Tensors can be composed (contracted):



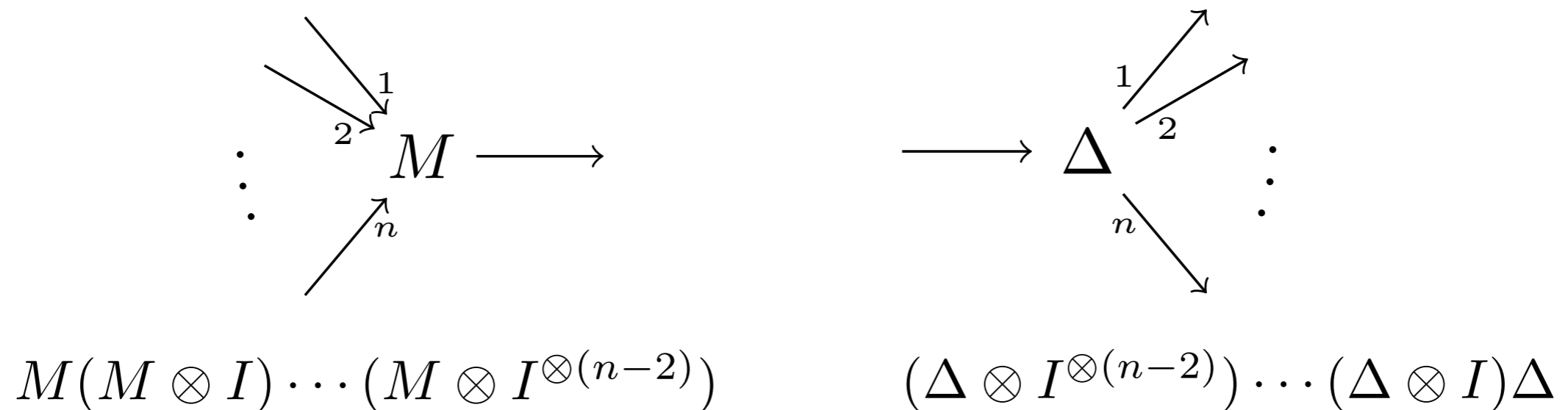
Tensor diagram representations



Tensors can be composed (contracted):



General M, Δ tensors:



$e_L \in H$ (resp. $e_R \in H$) is a left (resp. right) integral if

$$\begin{array}{c}
 \nearrow \\
 \searrow \\
 M \longrightarrow = \longrightarrow \epsilon e_L \longrightarrow \\
 \nearrow \\
 e_L
 \end{array}
 \qquad
 \begin{array}{c}
 e_R \searrow \\
 \nearrow \\
 M \longrightarrow = \longrightarrow \epsilon e_R \longrightarrow \\
 \nearrow
 \end{array}$$

$e_L \in H$ (resp. $e_R \in H$) is a left (resp. right) integral if

$$\begin{array}{c}
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Fact: {left integrals} and {right integral} 1-dimensional.

$e_L \in H$ (resp. $e_R \in H$) is a left (resp. right) integral if

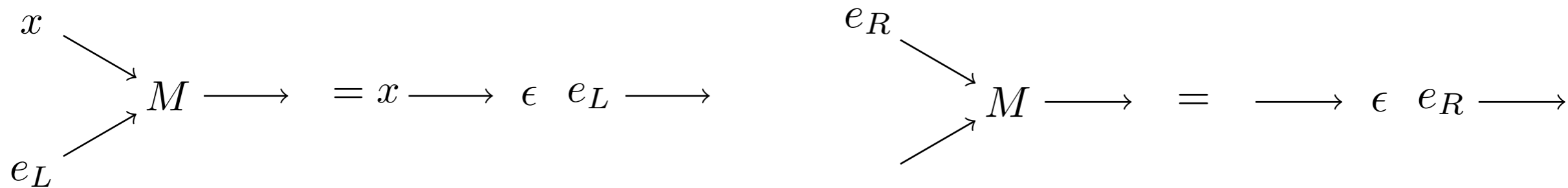
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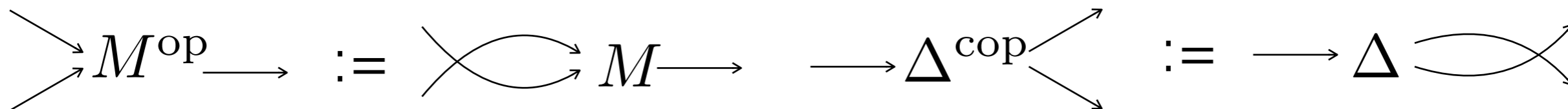
$$e_L = e_R = \sum_{g \in G} g \in \mathbb{C}[G]$$

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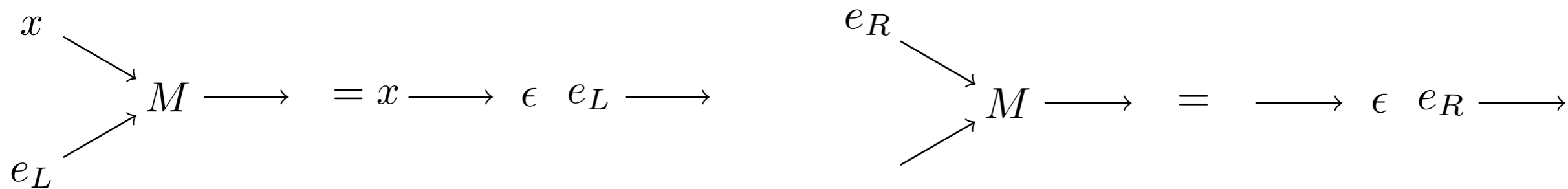


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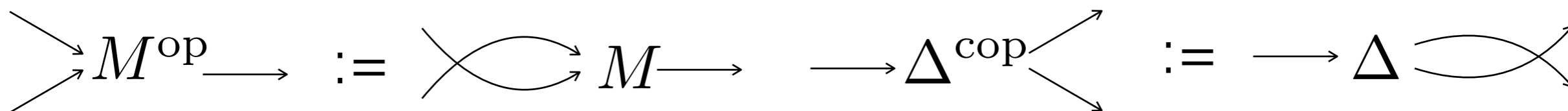


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If $H(M, i, \Delta, \epsilon, S)$ is Hopf, so are $H^*(\Delta^*, \epsilon^*, M^*, i^*, S^*)$

$$H^{\text{op}}(M^{\text{op}}, i, \Delta, \epsilon, S^{-1}) \quad H^{\text{cop}}(M, i, \Delta^{\text{cop}}, \epsilon, S^{-1})$$

$$H^{\text{op,cop}}, H^{*,\text{cop}} = H^{\text{op,*}}, \text{ etc.}$$

The Drinfeld double of H : $D(H) = H^{*,\text{cop}} \otimes H$

$(M^D, i^D, \Delta^D, \epsilon^D, S^D)$

The Drinfeld double of H : $D(H) = H^{*,\text{cop}} \otimes H$

$$(M^D, i^D, \Delta^D, \epsilon^D, S^D)$$

$v \longrightarrow$ $v \in H$	$\longrightarrow f$ $f \in H^*$	$f \longleftarrow$ $v \longrightarrow$ $f \otimes v \in DH$	$\longleftarrow v$ $\longrightarrow f$ $v \otimes f \in (DH)^*$
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$$\begin{array}{ccc}
 v \longrightarrow & & \longrightarrow f \\
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 v \longrightarrow & & \longrightarrow f \\
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 \end{array}$$

$$\begin{array}{ccc}
 i^D \longrightarrow & := & \begin{array}{ccc} \epsilon & \longleftarrow & \\ & & \\ i & \longrightarrow & \end{array} \\
 \longrightarrow \epsilon^D & := & \begin{array}{ccc} \longleftarrow & & i \\ & & \\ \longrightarrow & & \epsilon \end{array}
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$$\longrightarrow \Delta^D \begin{array}{l} \nearrow \\ \searrow \end{array} := \begin{array}{ccc} \longleftarrow M & \longleftarrow & \\ \longrightarrow \Delta & \longrightarrow & \end{array} \begin{array}{l} \nearrow \\ \searrow \end{array}$$

$$\longrightarrow S^D \longrightarrow := \begin{array}{ccc} \longleftarrow S^{-1} & \longleftarrow & M \longleftarrow \\ \longrightarrow S & \longrightarrow & \Delta \longrightarrow \end{array}$$

$\begin{array}{c} \downarrow \\ \uparrow \\ \downarrow \\ \uparrow \end{array}$
 $\begin{array}{c} \longleftarrow \\ \longrightarrow \end{array}$
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 \end{array}$$

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$v \in H \qquad f \in H^*$

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Generalized Drinfeld double : $D(H_1, H_2; \phi) = H_1 \otimes H_2$
 given $\phi : H_1 \rightarrow H_2^{*,\text{cop}}$

Kuperberg Invariant $Z_{\text{Kup}}^{\text{H}}(M^3; \mathbf{f})$

Kuperberg Invariant $Z_{\text{Kup}}^H(\mathbb{M}^3; \mathbf{f})$

First assume H semisimple.

Choose two-sided integrals $e \in H, \mu \in H^*$

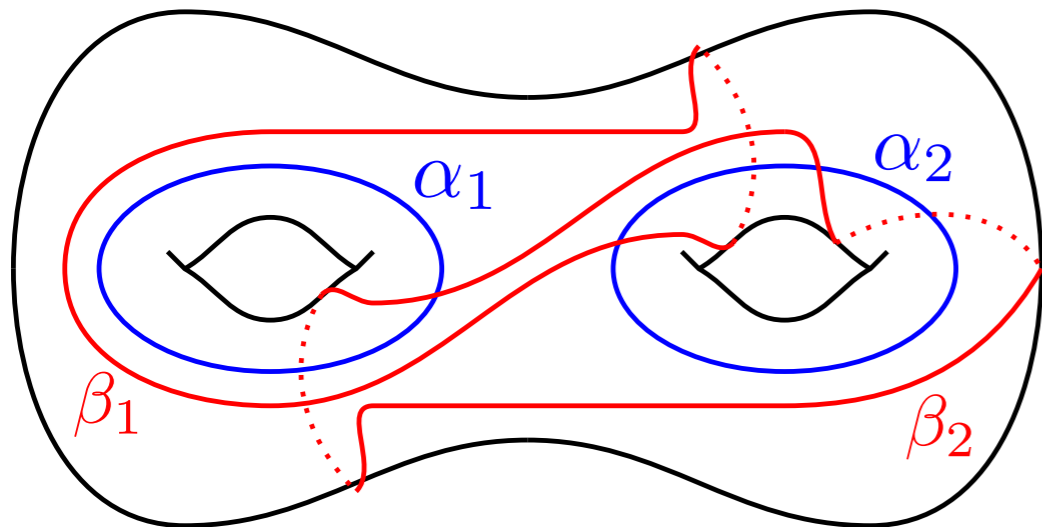
Kuperberg Invariant $Z_{\text{Kup}}^H(M^3; \mathbf{f})$

First assume H semisimple.

Choose two-sided integrals $e \in H, \mu \in H^*$

For a 3-manifold M^3 , choose a **Heegaard diagram**

$(\Sigma_g, \alpha = \{\alpha_1, \dots, \alpha_g\}, \beta = \{\beta_1, \dots, \beta_g\})$. The α_i 's are disjoint, $\Sigma_g \setminus (\cup \alpha_i)$ is a punctured sphere; so are the β_j 's.



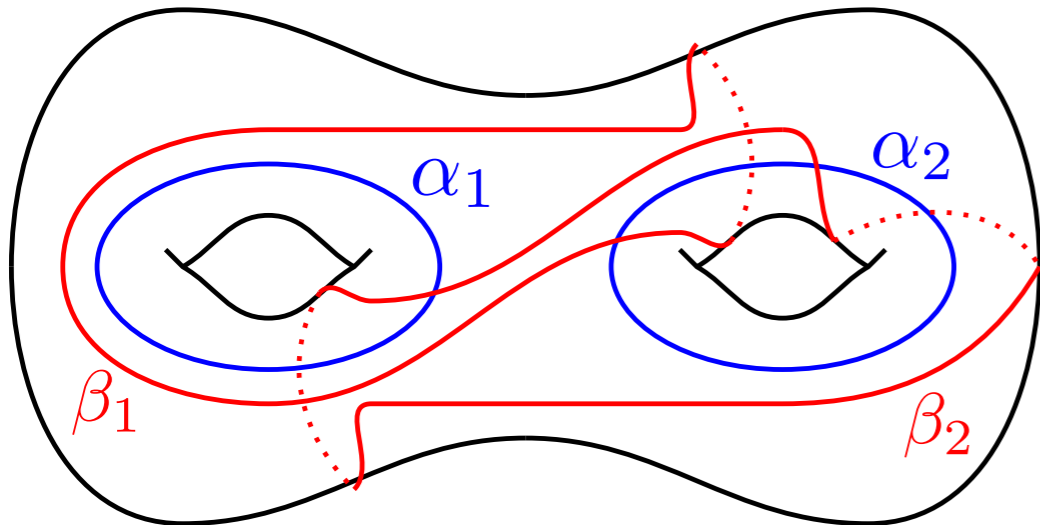
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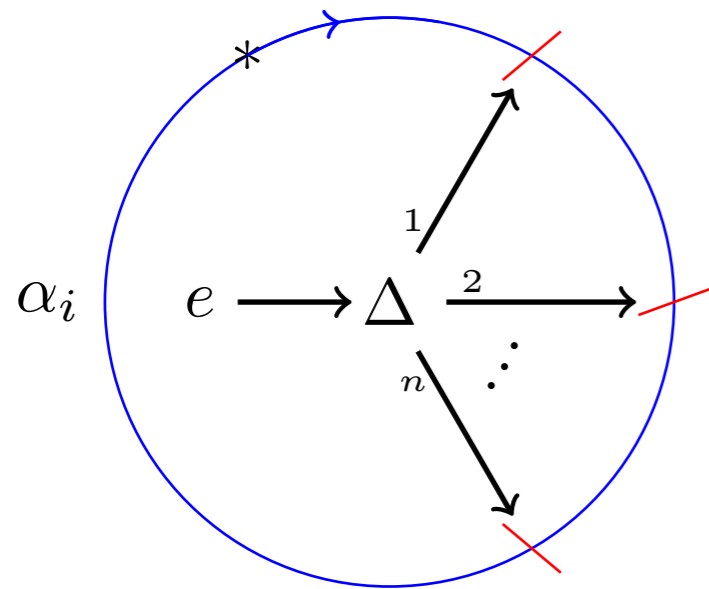
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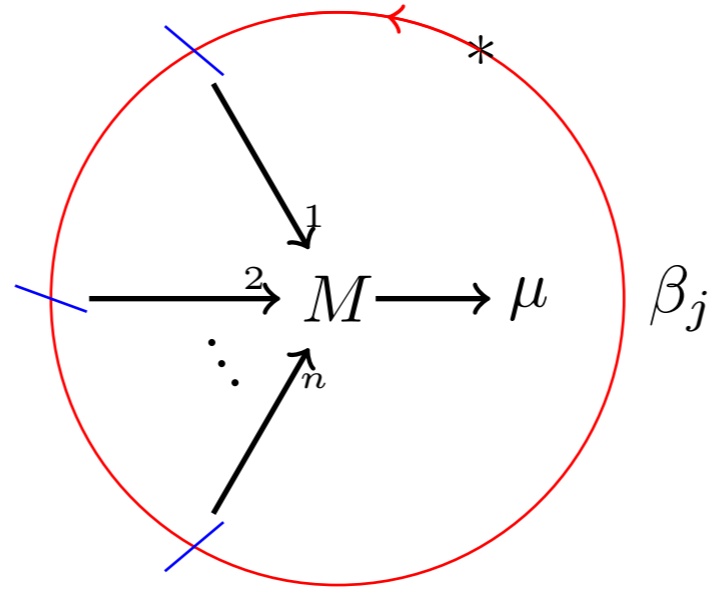
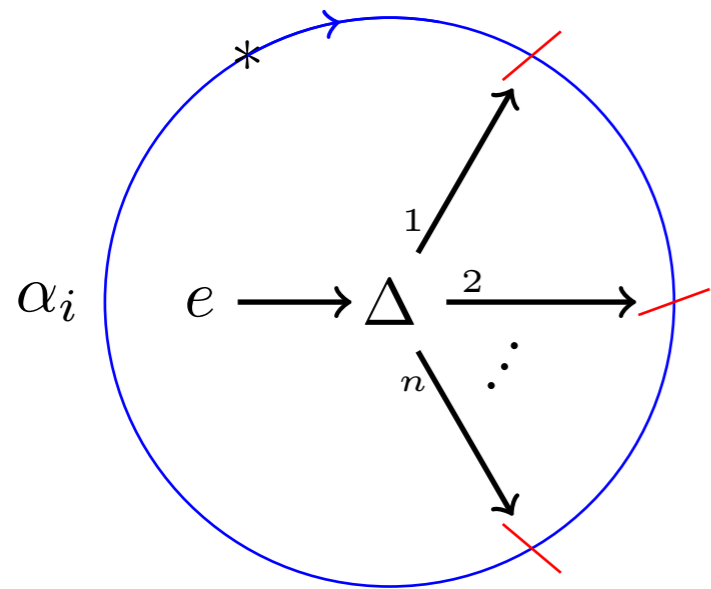
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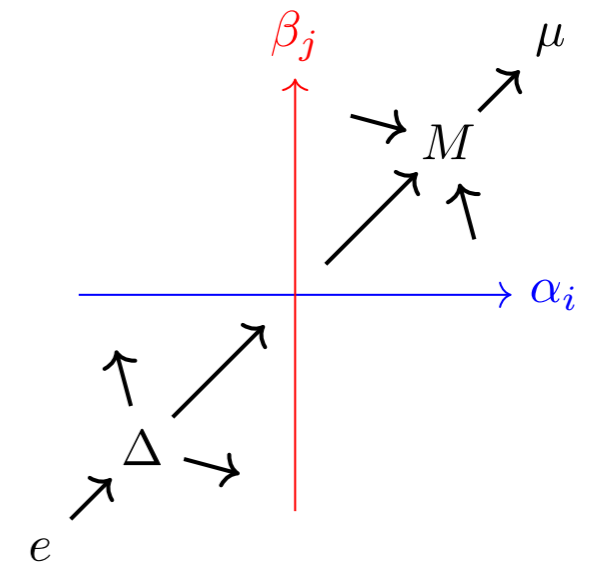
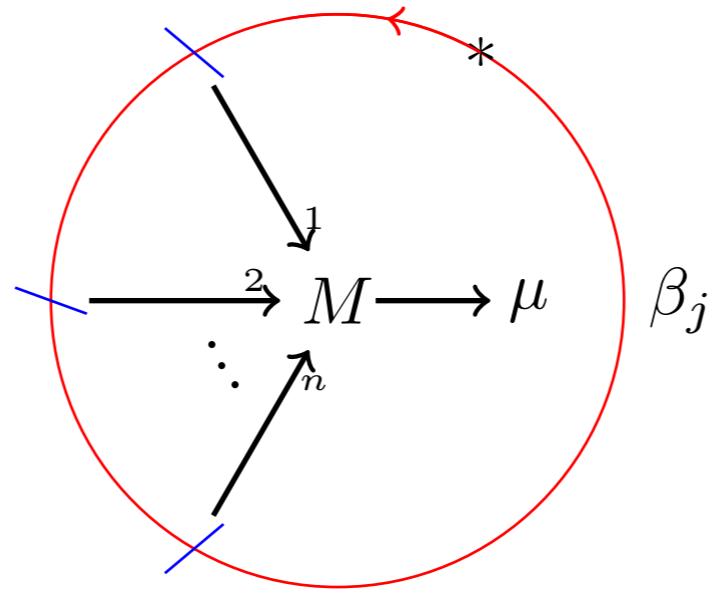
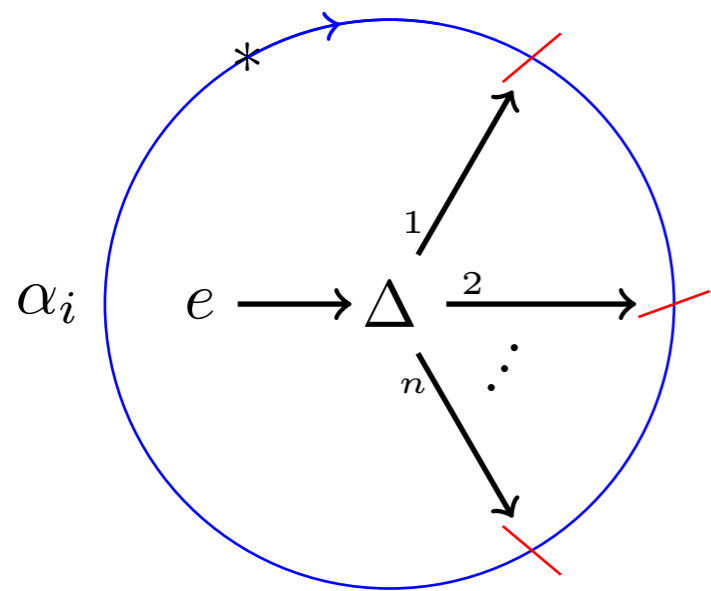
$(\Sigma_g, \alpha = \{\alpha_1, \dots, \alpha_g\}, \beta = \{\beta_1, \dots, \beta_g\})$. The α_i 's are disjoint, $\Sigma_g \setminus (\cup \alpha_i)$ is a punctured sphere; so are the β_j 's.

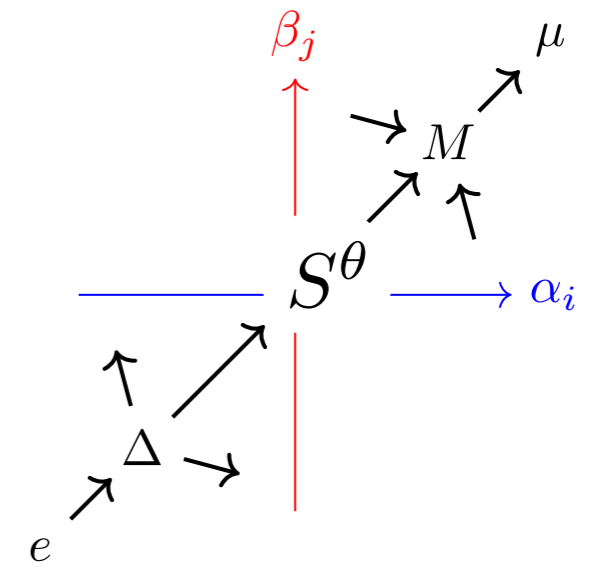
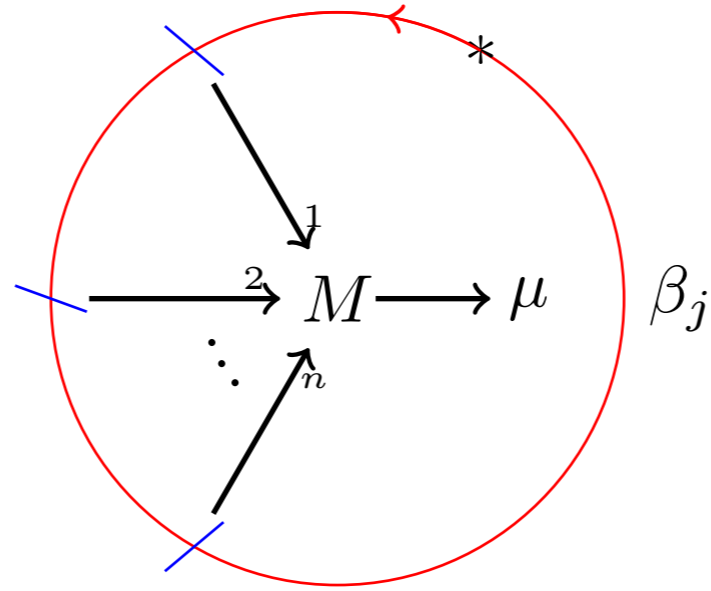
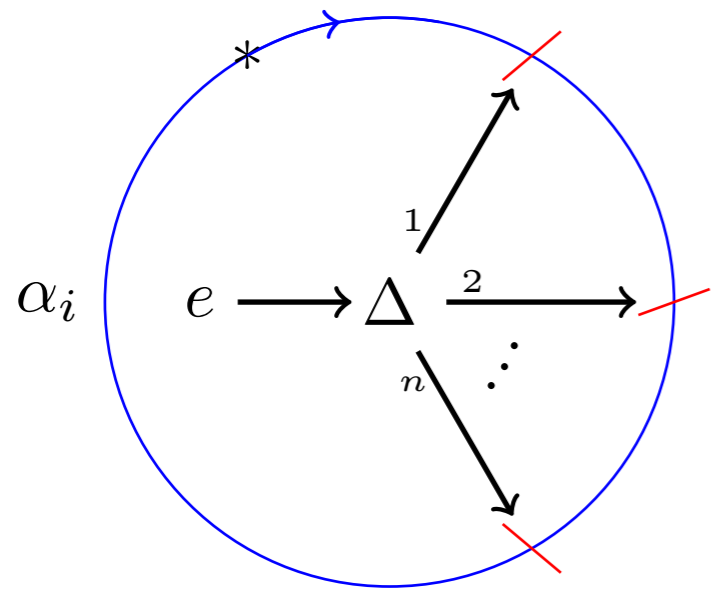


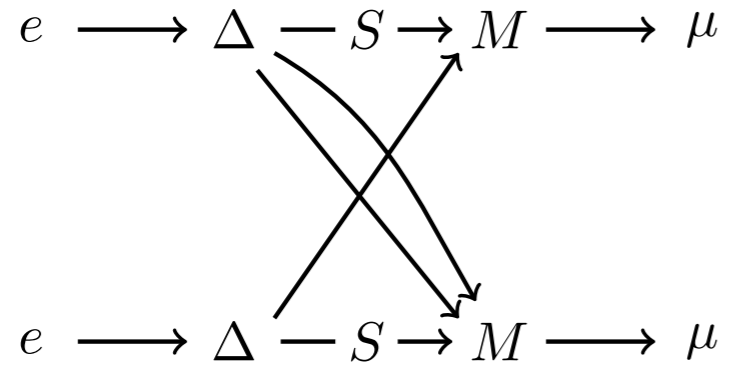
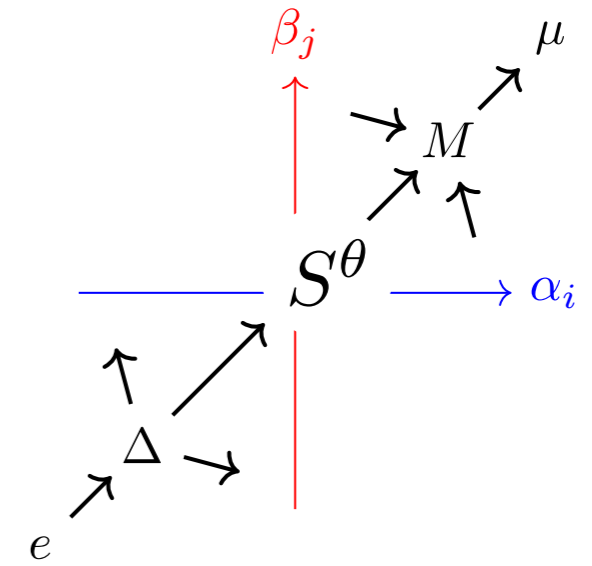
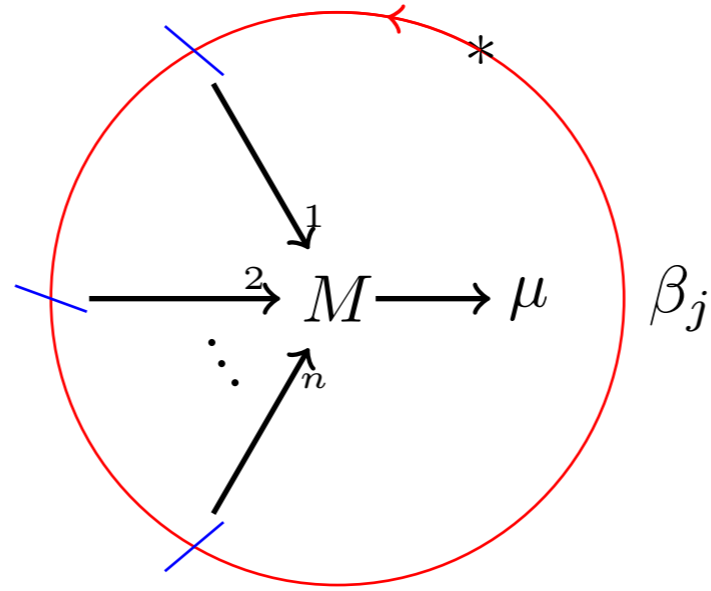
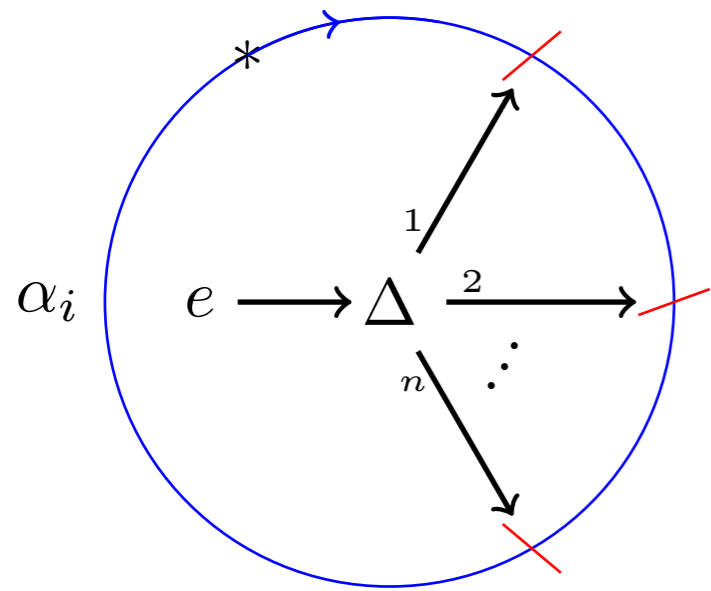
Glue a disk along each α_i on one side of Σ_g and glue a disk along each β_j on the other side, and fill the rest with balls.

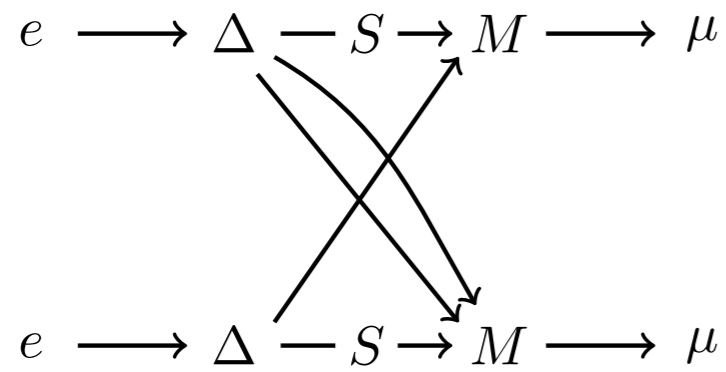
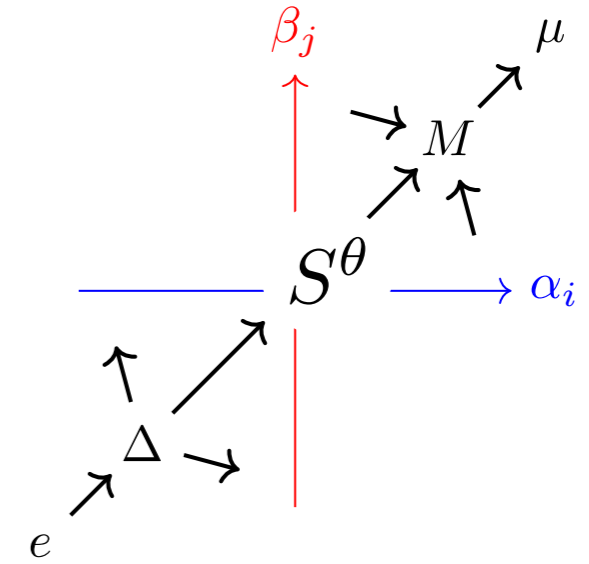
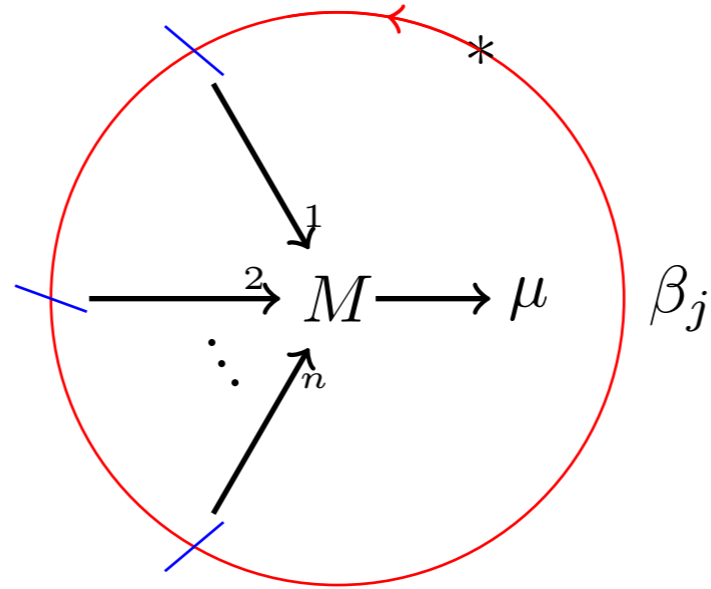
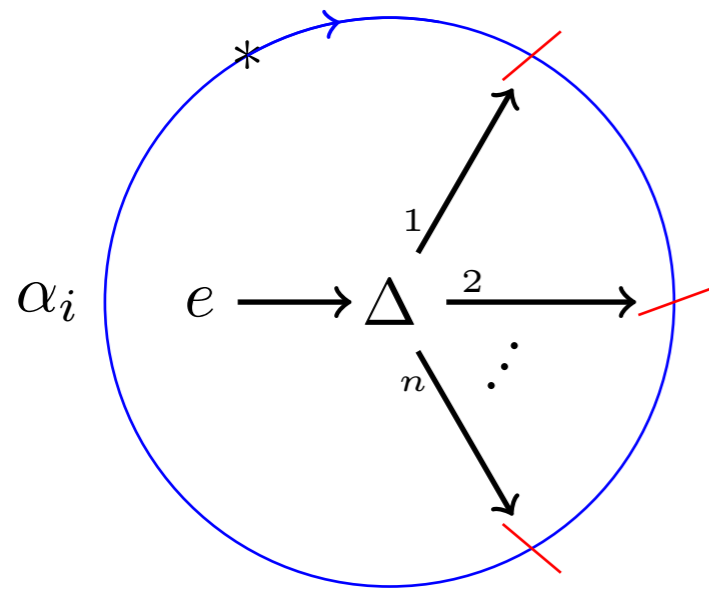




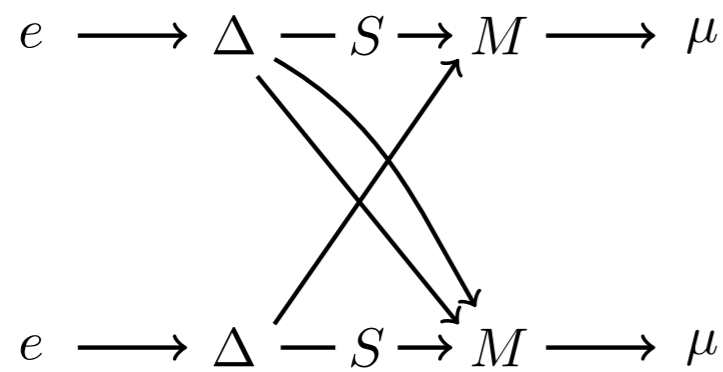
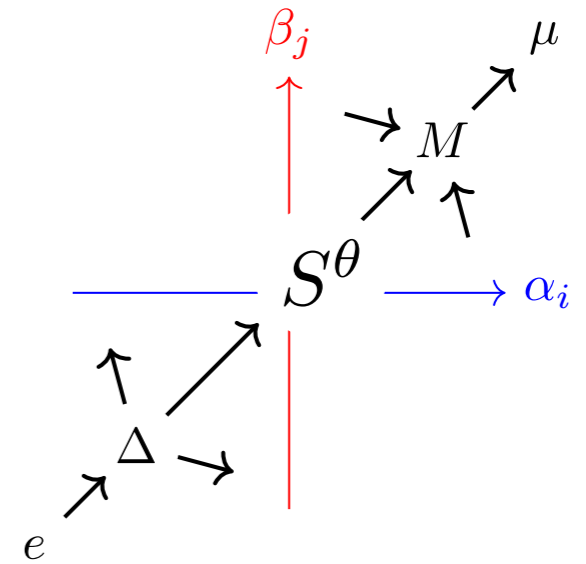
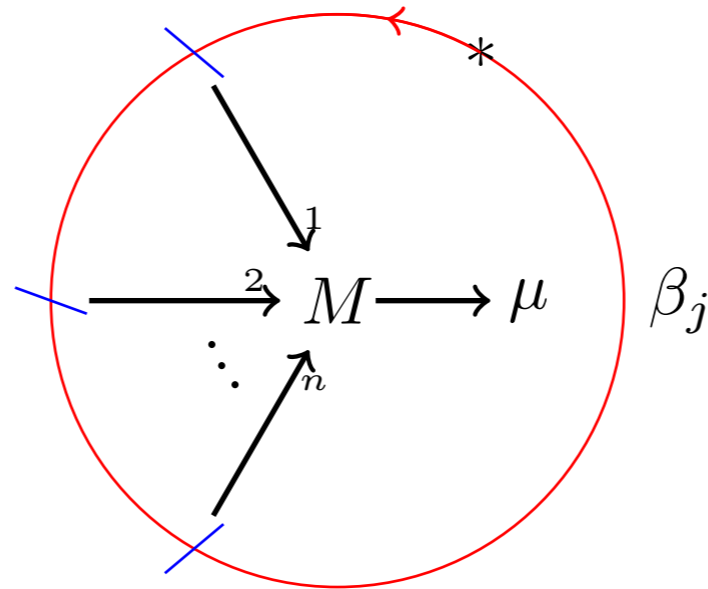
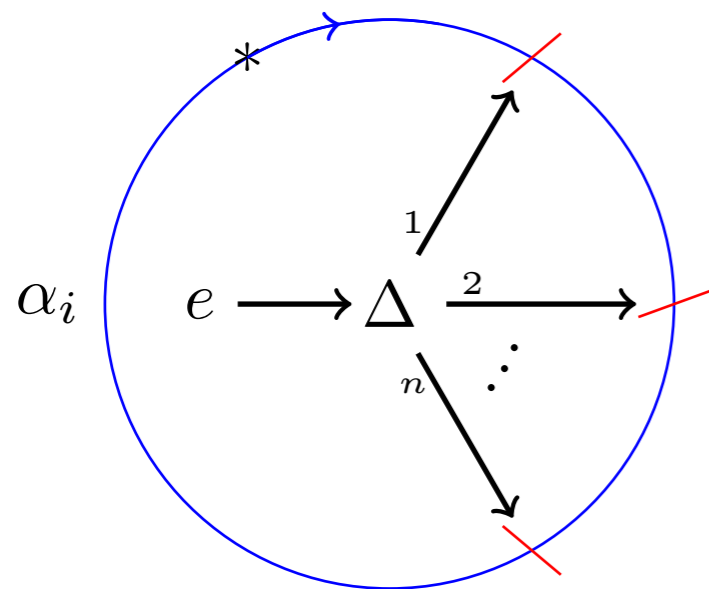






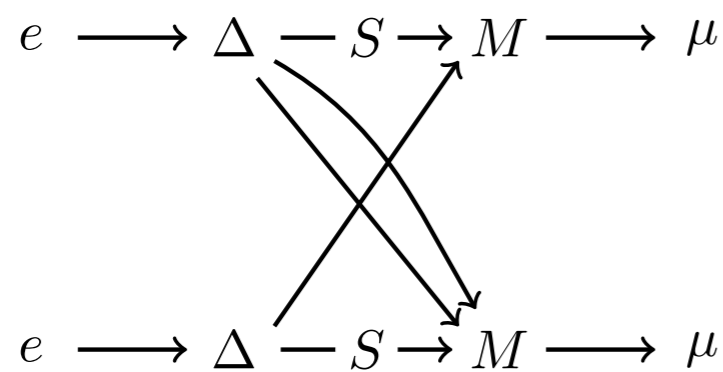
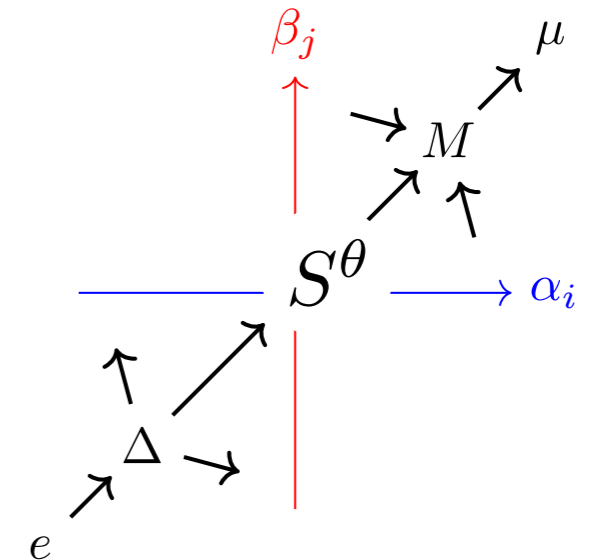
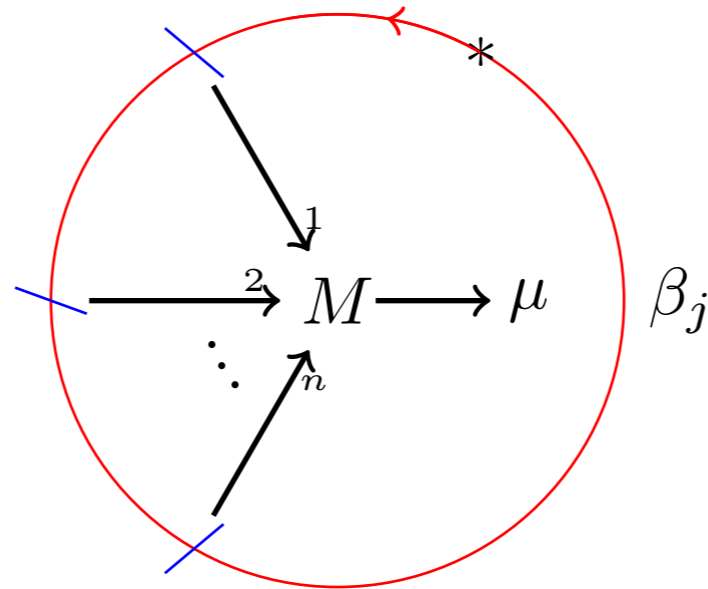
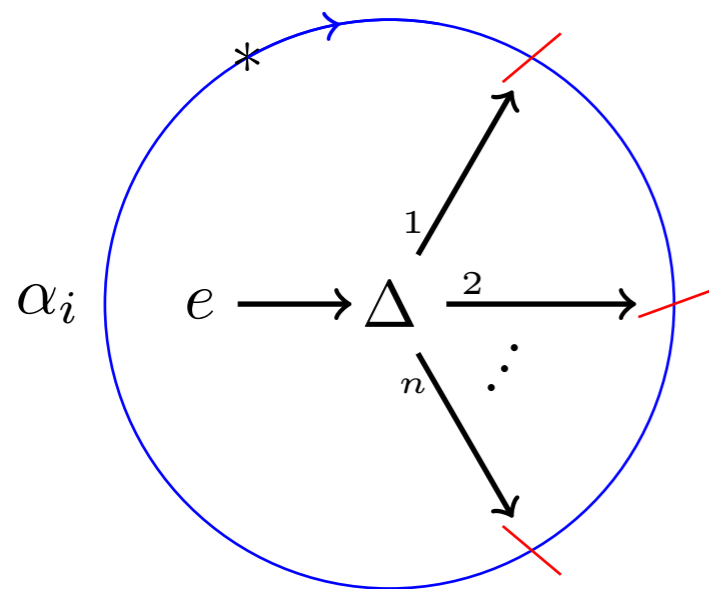


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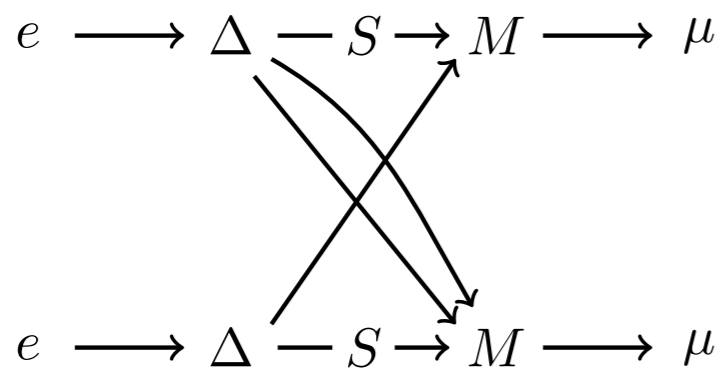
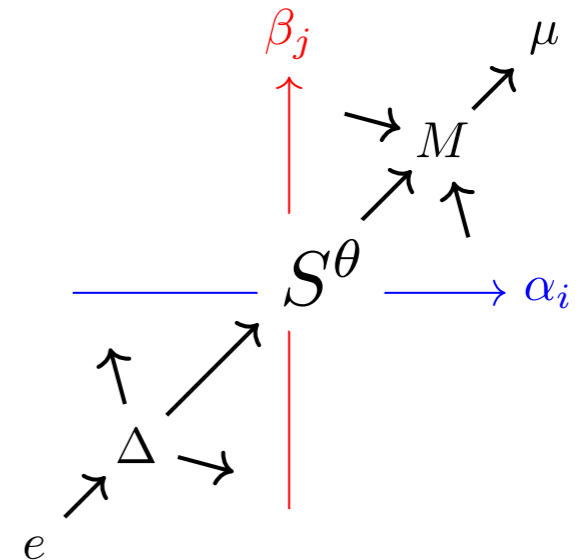
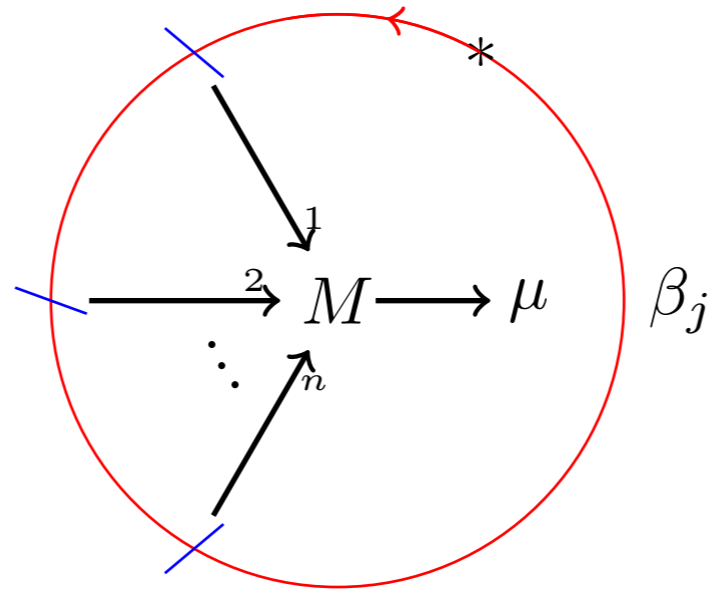
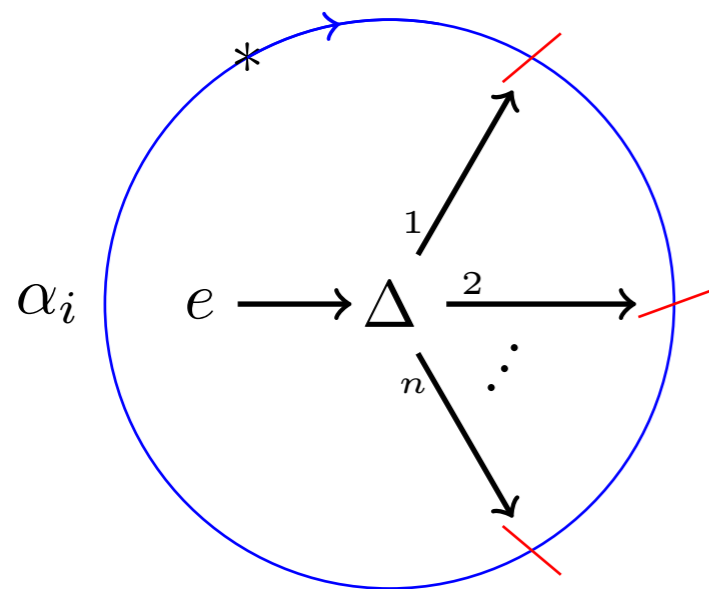
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- Z_{Kup}^H recovers Seiberg-Witten invariant for certain H in $s\mathcal{Vec}$ [Lopez-Neumann, '19]

Trisection Invariant of 4-Manifolds

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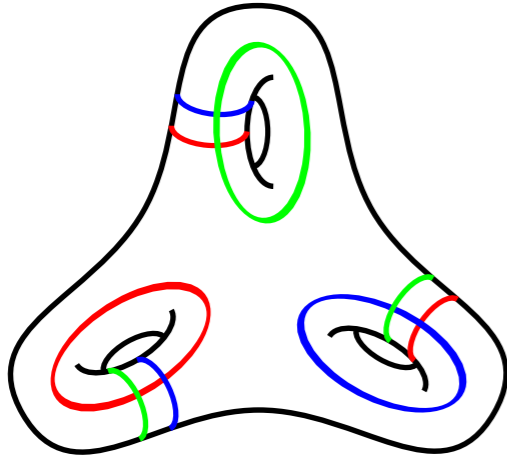
‘Heegaard diagram’ for 4-manifolds: [trisection diagrams](#)

[D. Gay, R. Kirby, ‘12]

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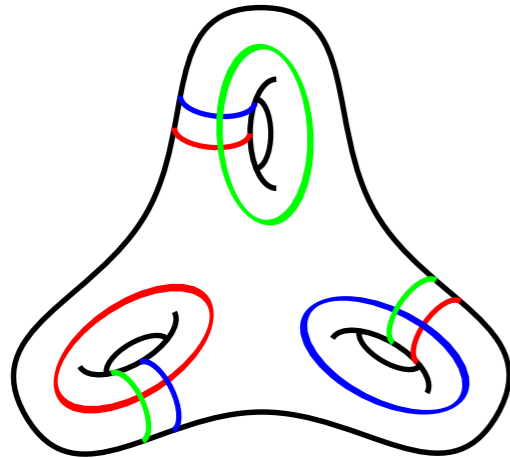


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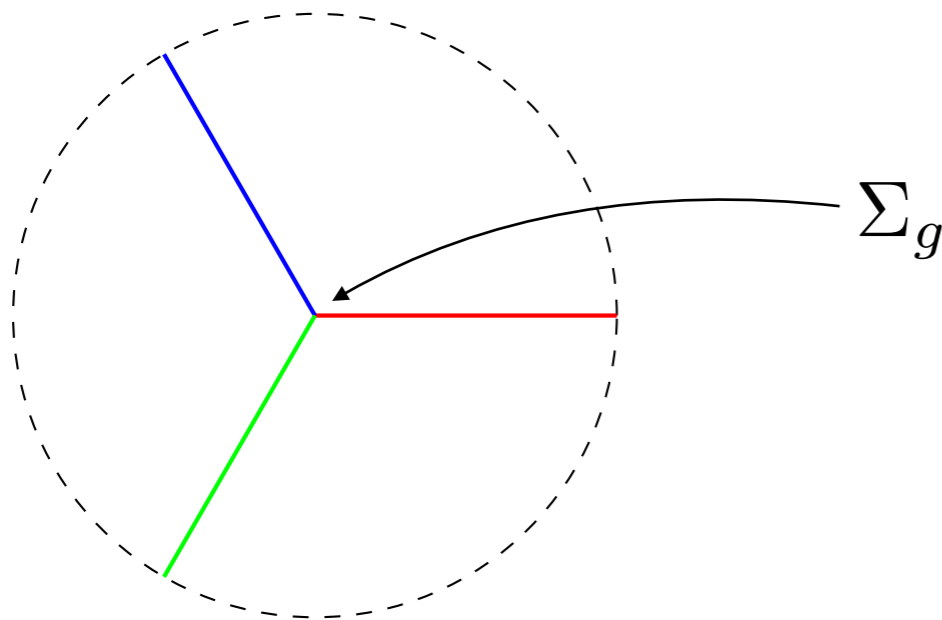
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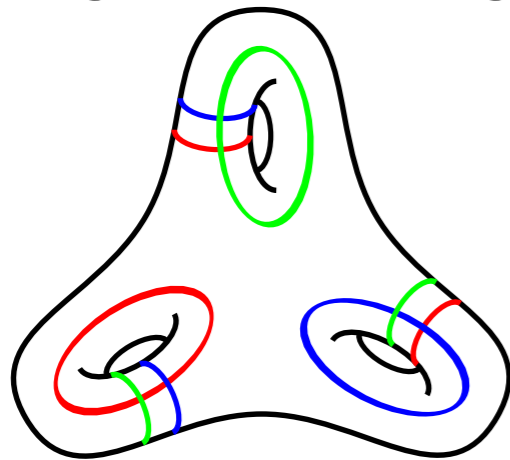
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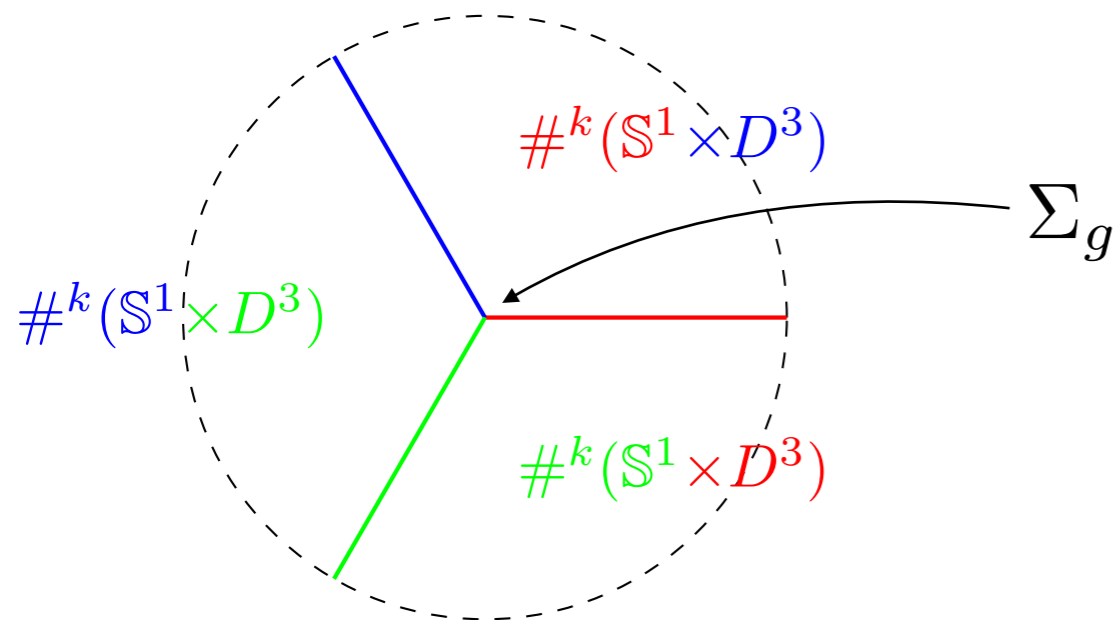
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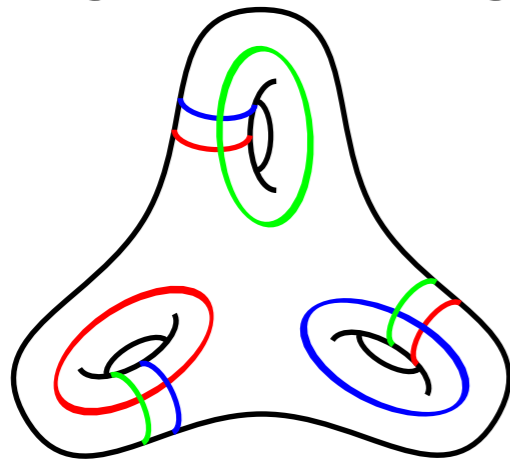
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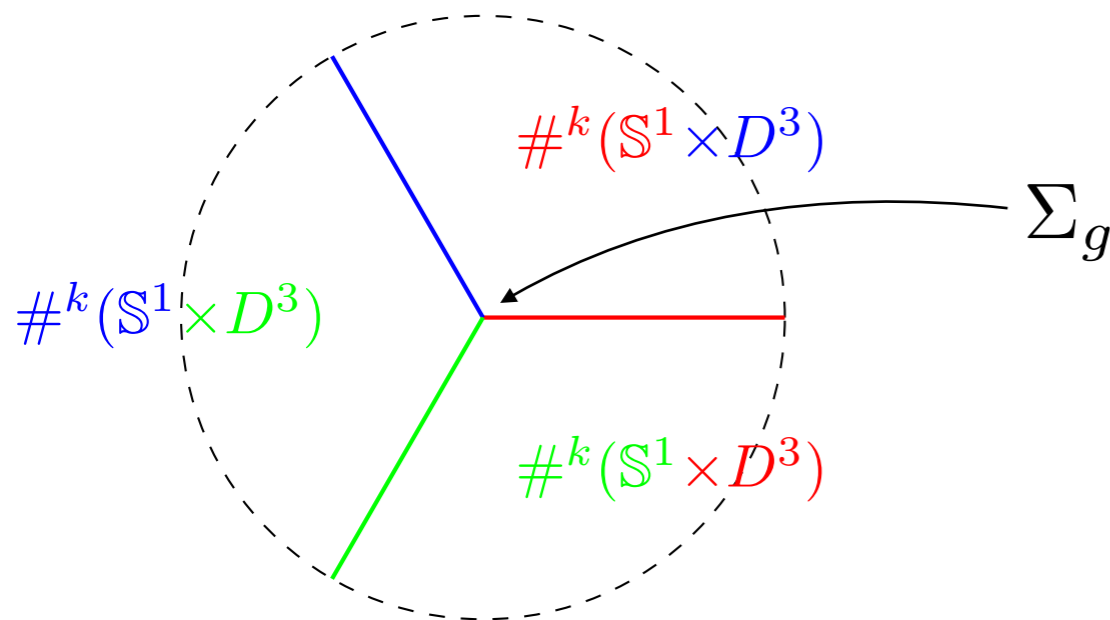
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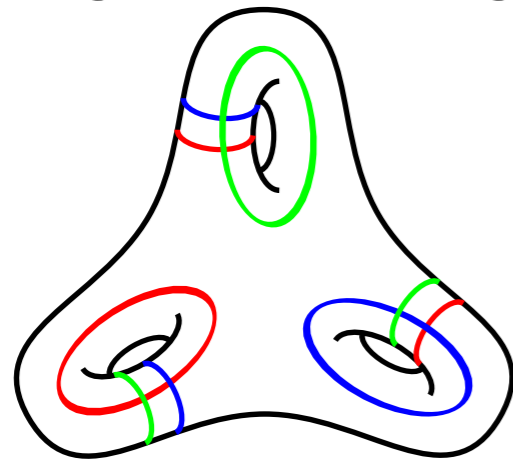


- Every closed oriented 4-manifold has trisection diagrams.
- Equivalent diagrams are related by isotopy, handle slides, stabilization

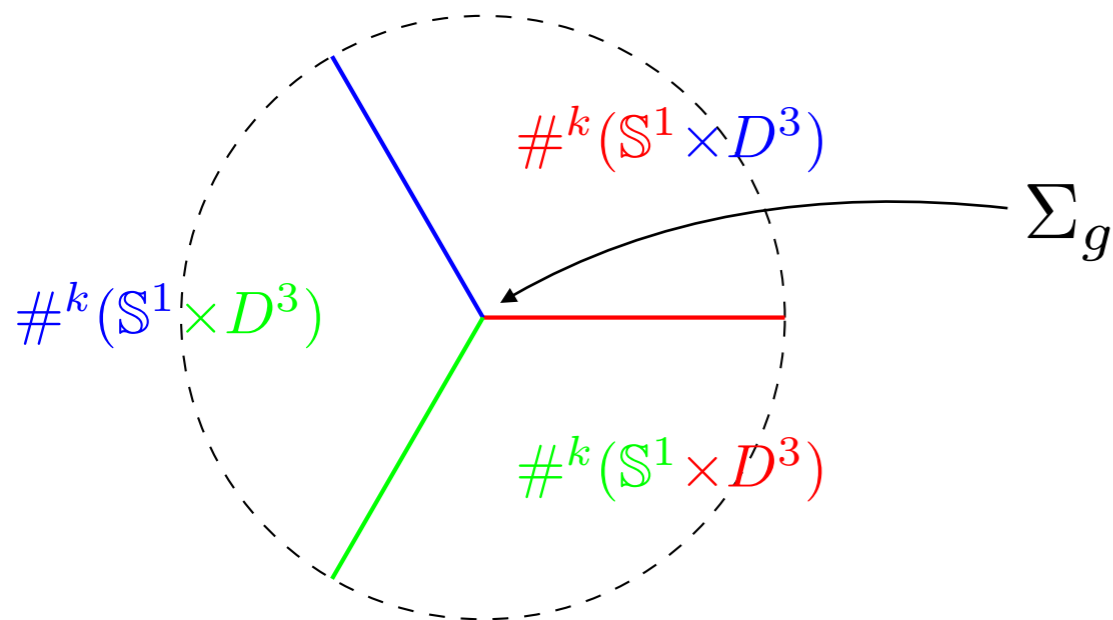
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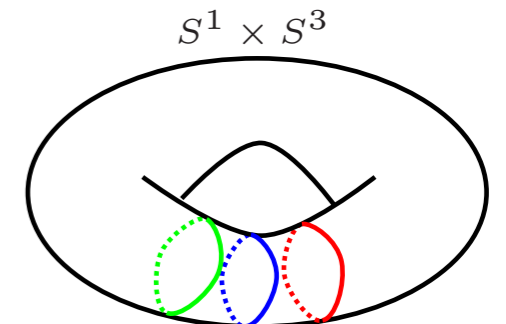
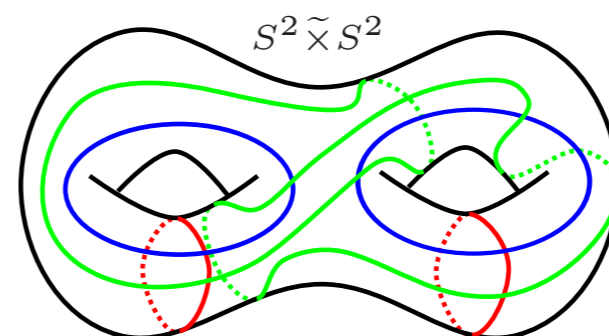
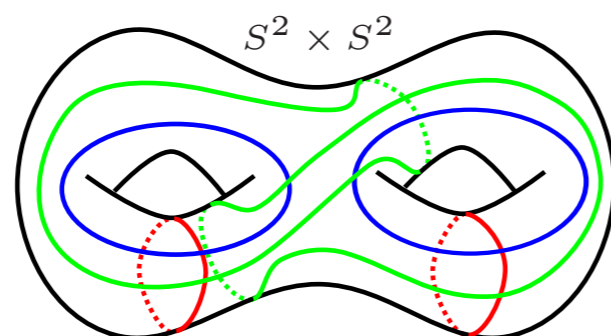
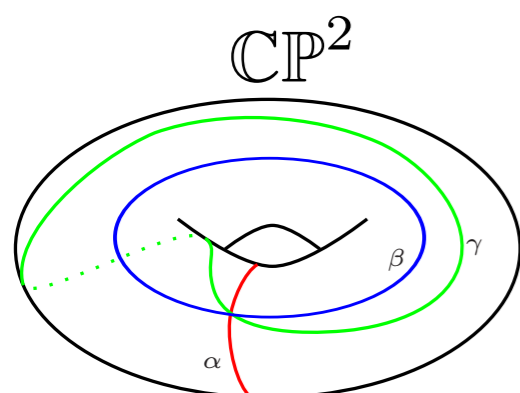
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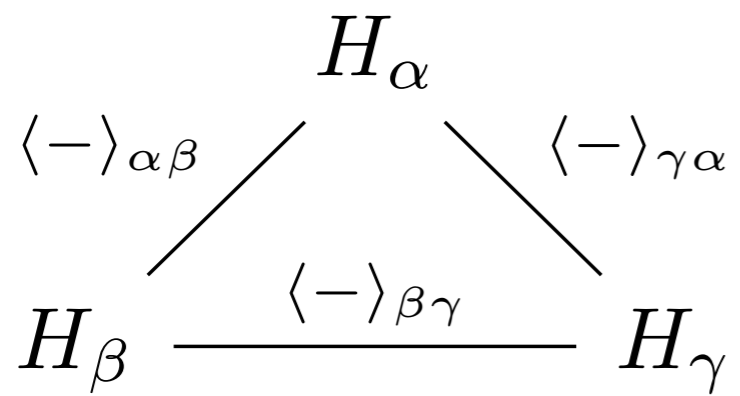
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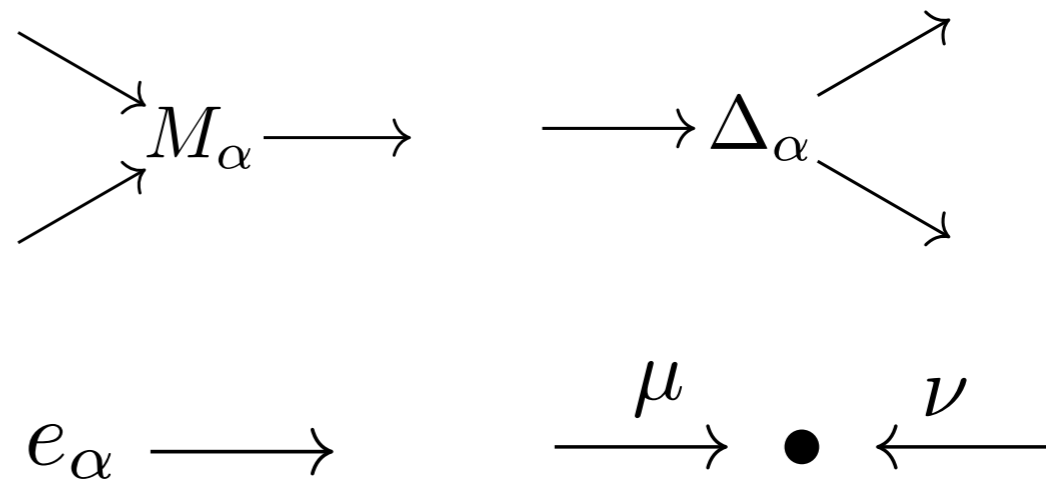
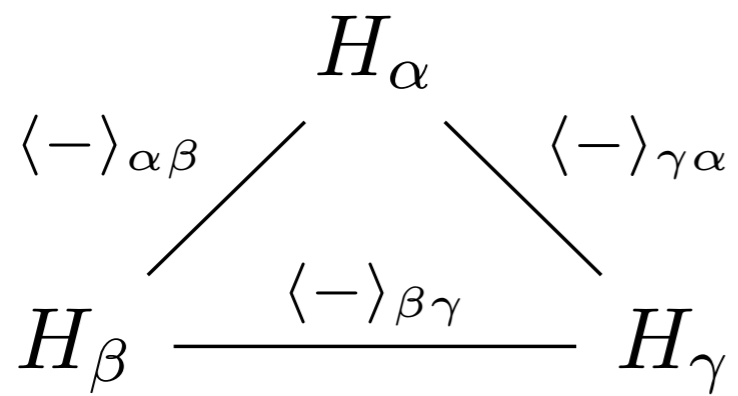
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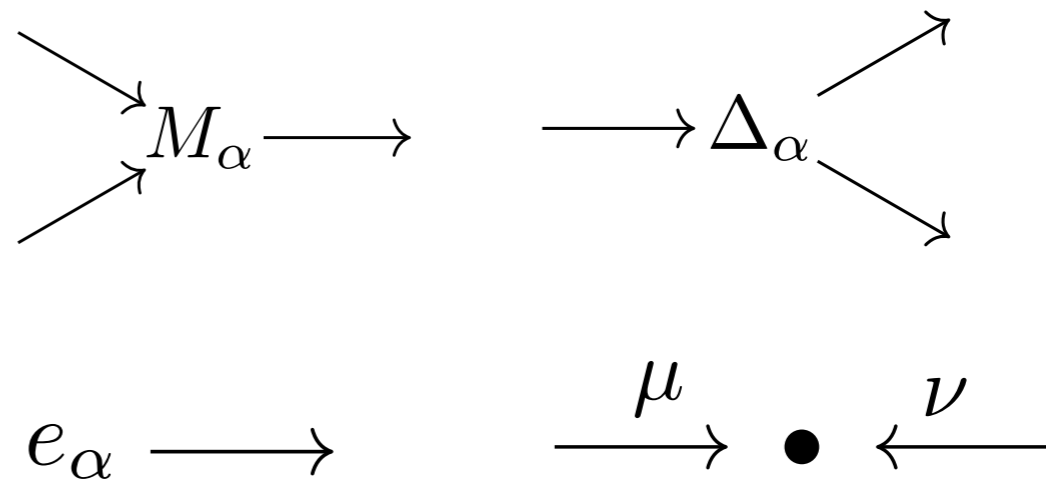
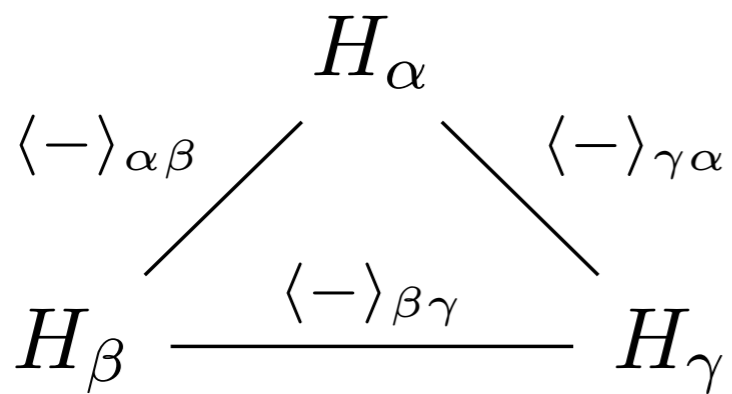
- $D(H_\alpha^{\text{op}}, H_\beta^{\text{cop}}) \rightarrow H_\gamma^*$ is a Hopf algebra morphism.

$$(\quad = H_\alpha^{\text{op}} \otimes H_\beta^{\text{cop}})$$

Generalized Drinfeld double

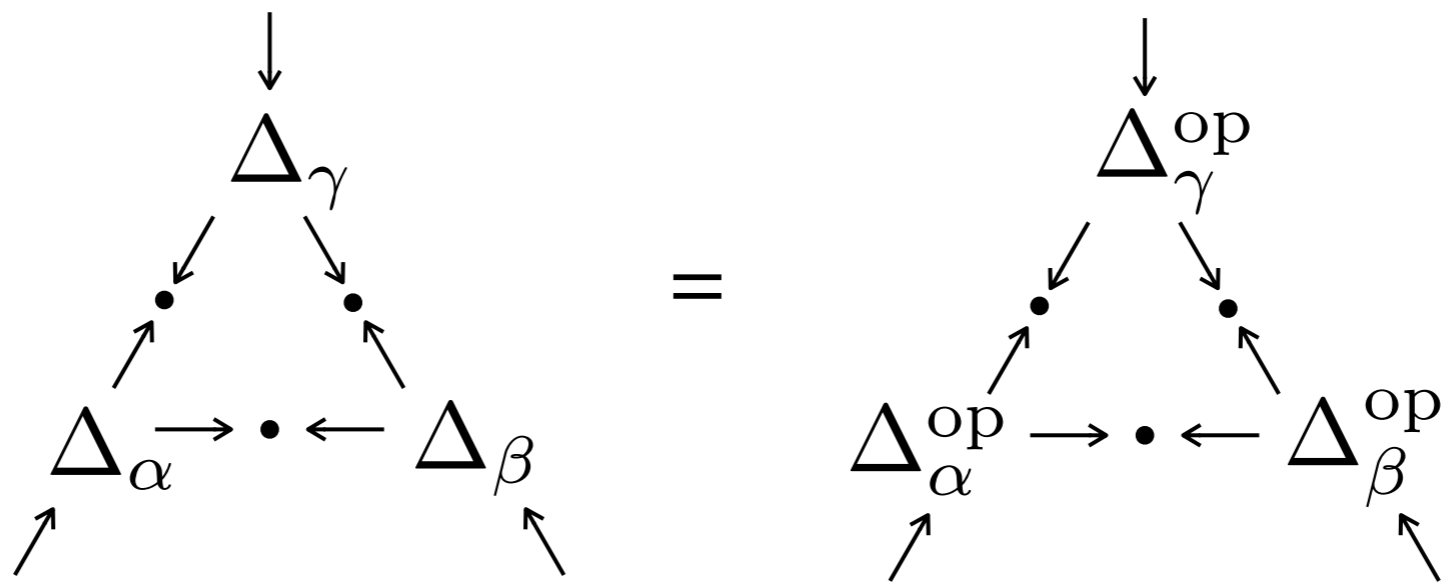






Lemma [Chaidez, Cotler, C-]

The third condition in the definition is equivalent to



Examples of Hopf triplets

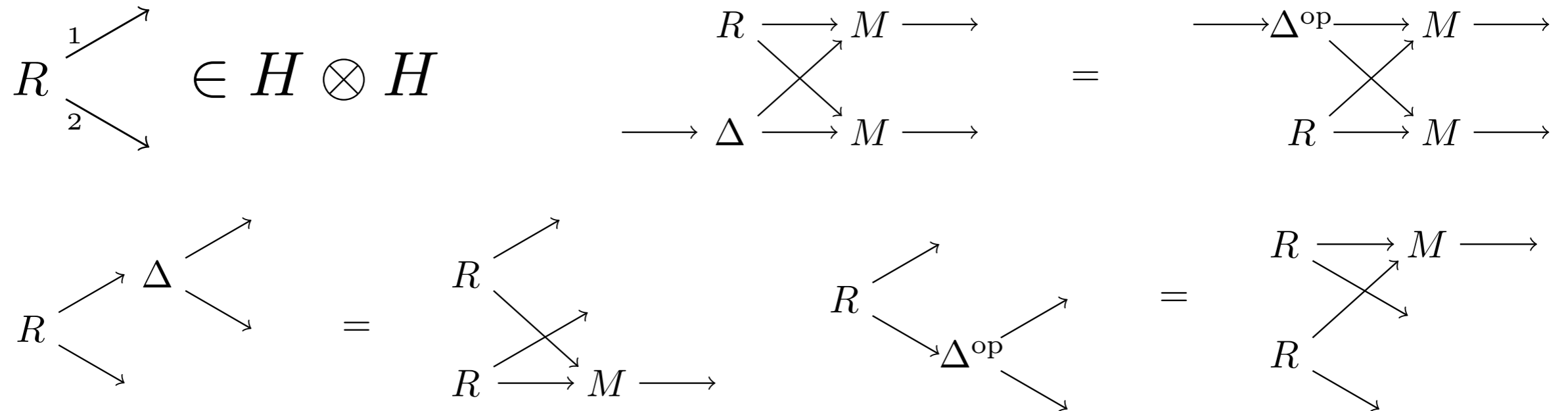
Examples of Hopf triplets

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$$\mathbb{C}[\mathbb{Z}_N] = \mathbb{C}\langle a \rangle / (a^N - 1)$$

is quasi-triangular with

$$R = \frac{1}{N} \sum_{i,j=0}^{N-1} e^{-\frac{2\pi\sqrt{-1}ij}{N}} a^i \otimes a^j$$

- Let H_8 be the unique Hopf algebra in dim 8 that is neither commutative nor cocommutative: $H_8 = \mathbb{C}[x, y, z]/I$
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$$\Delta(x) = x \otimes x, \quad \Delta(y) = y \otimes y, \quad \Delta(z) = \frac{1}{2}(z \otimes z + yz \otimes z + z \otimes xz - yz \otimes xz)$$

$$\epsilon(w) = 1 \quad S(w) = w \quad \text{for} \quad w \in \{x, y, z\}$$

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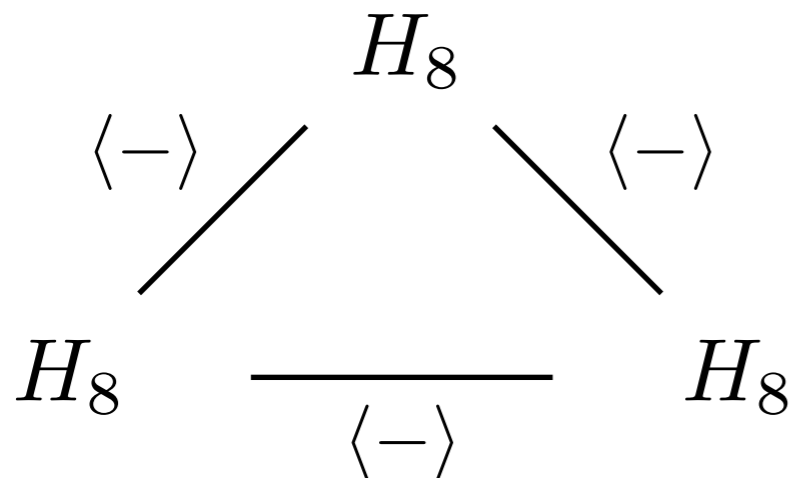
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$$\Delta(x) = x \otimes x, \quad \Delta(y) = y \otimes y, \quad \Delta(z) = \frac{1}{2}(z \otimes z + yz \otimes z + z \otimes xz - yz \otimes xz)$$

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Consider triplet of the form

$$(H_8, H_8, H_8; \langle - \rangle)$$



- Let H_8 be the unique Hopf algebra in dim 8 that is neither commutative nor cocommutative: $H_8 = \mathbb{C}[x, y, z]/I$

$$I = \langle xy - yx, xz - zy, yz - zx, x^2 - 1, y^2 - 1, z^2 - \frac{1}{2}(1 + x + y - xy) \rangle$$

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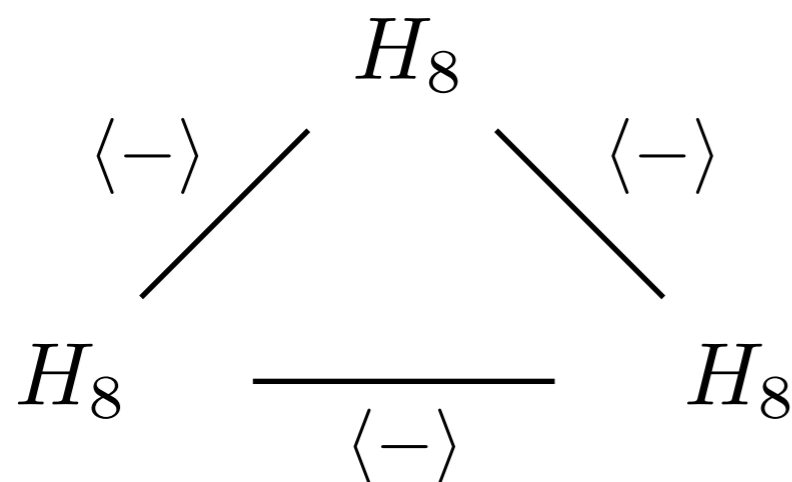
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There are MANY choices for

$\langle - \rangle$, e.g.



$$\begin{pmatrix} 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ 1 & -1 & -1 & 1 & -i & i & i & -i \\ 1 & -1 & -1 & 1 & i & -i & -i & i \\ 1 & 1 & 1 & 1 & -1 & -1 & -1 & -1 \\ 1 & i & -i & -1 & -\sqrt{2} & 0 & 0 & \sqrt{2} \\ 1 & -i & i & -1 & 0 & -i\sqrt{2} & i\sqrt{2} & 0 \\ 1 & -i & i & -1 & 0 & i\sqrt{2} & -i\sqrt{2} & 0 \\ 1 & i & -i & -1 & \sqrt{2} & 0 & 0 & -\sqrt{2} \end{pmatrix}$$

Invariant of 4-manifolds from Hopf triplets

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$$\begin{array}{ccc} & H_\alpha & \\ \langle - \rangle_{\alpha\beta} \swarrow & & \searrow \langle - \rangle_{\gamma\alpha} \\ H_\beta & \xrightarrow{\langle - \rangle_{\beta\gamma}} & H_\gamma \end{array}$$

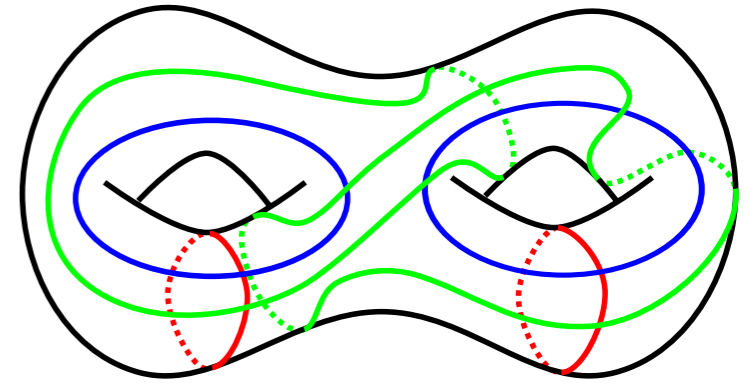
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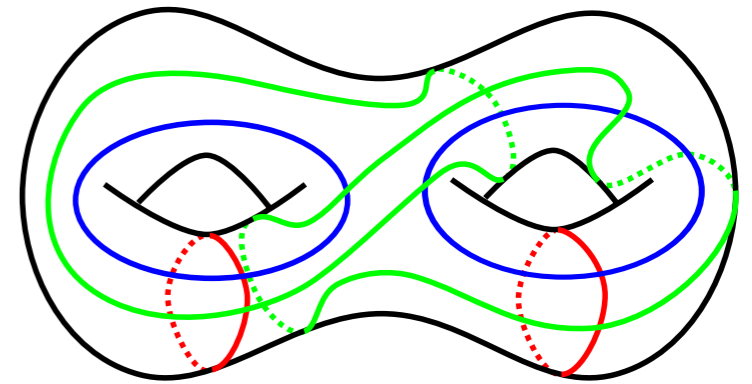


$$(\Sigma_g, \alpha, \beta, \gamma)$$

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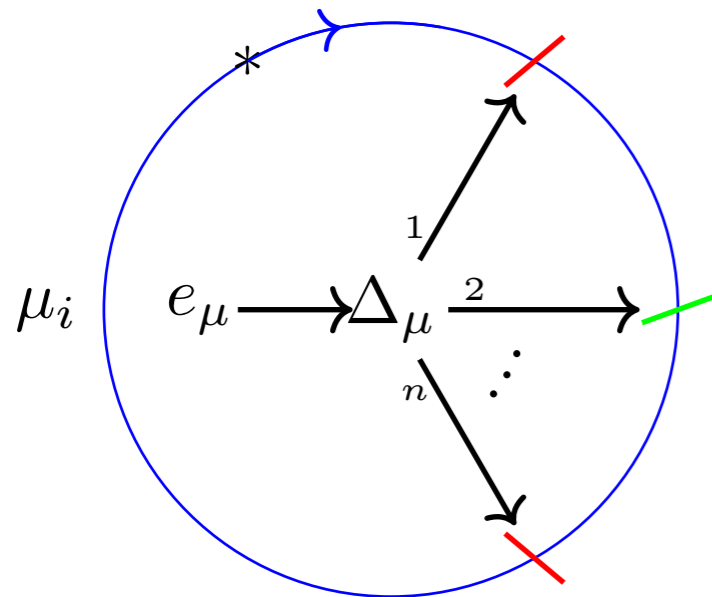
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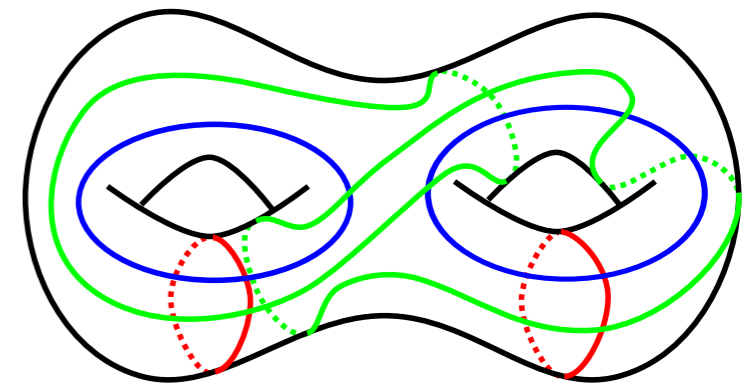
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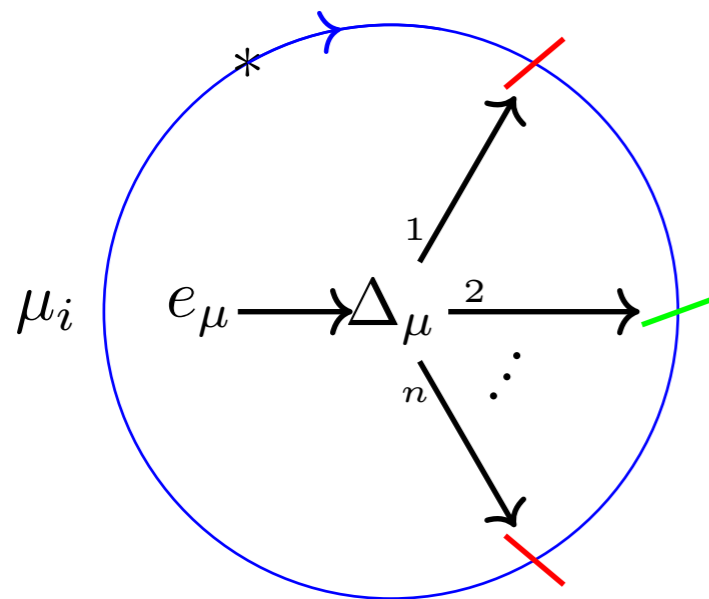
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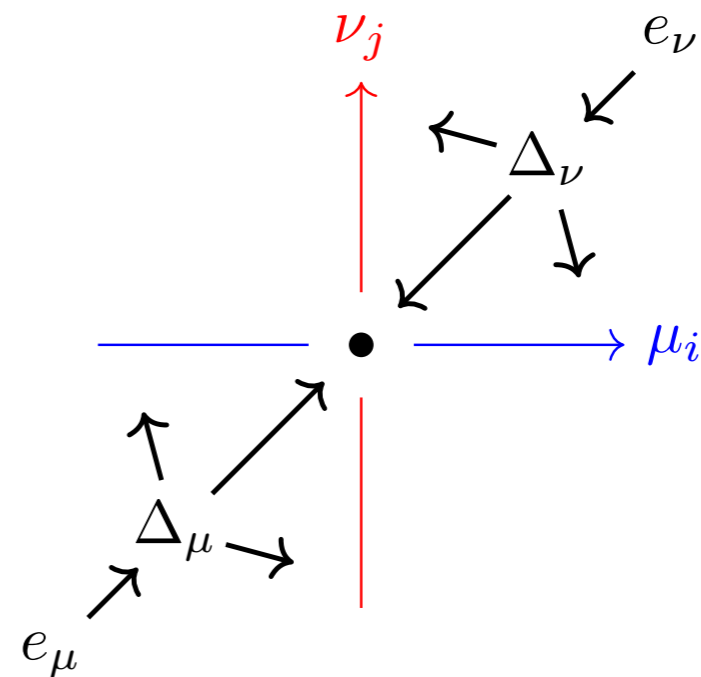
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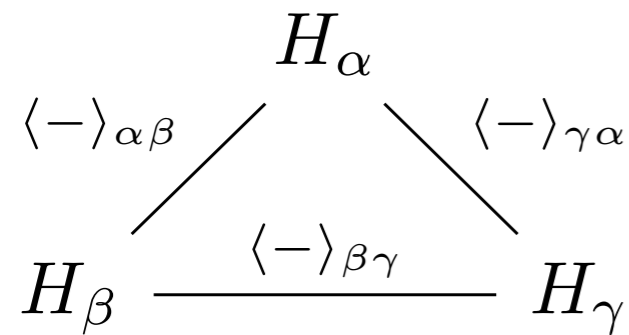
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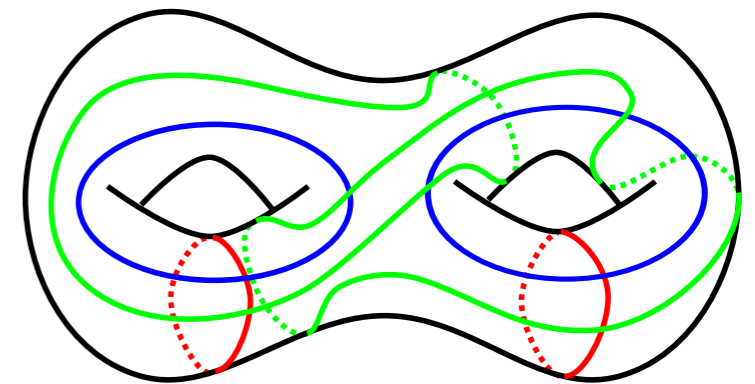
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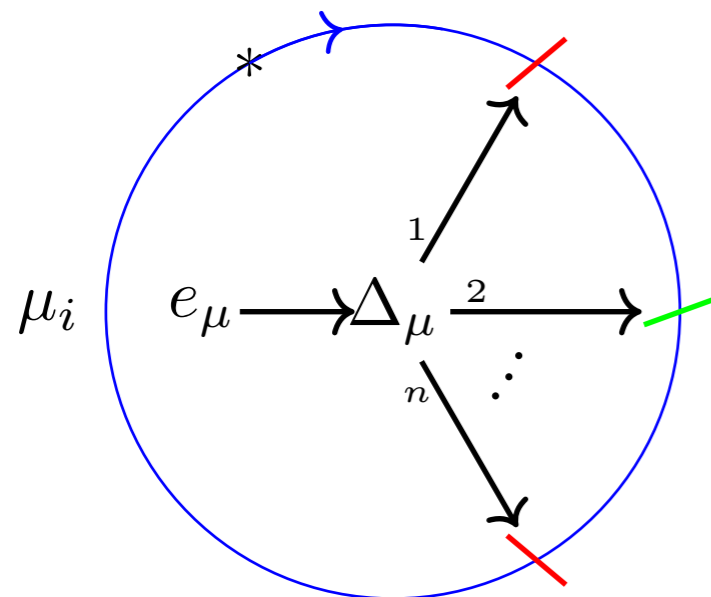
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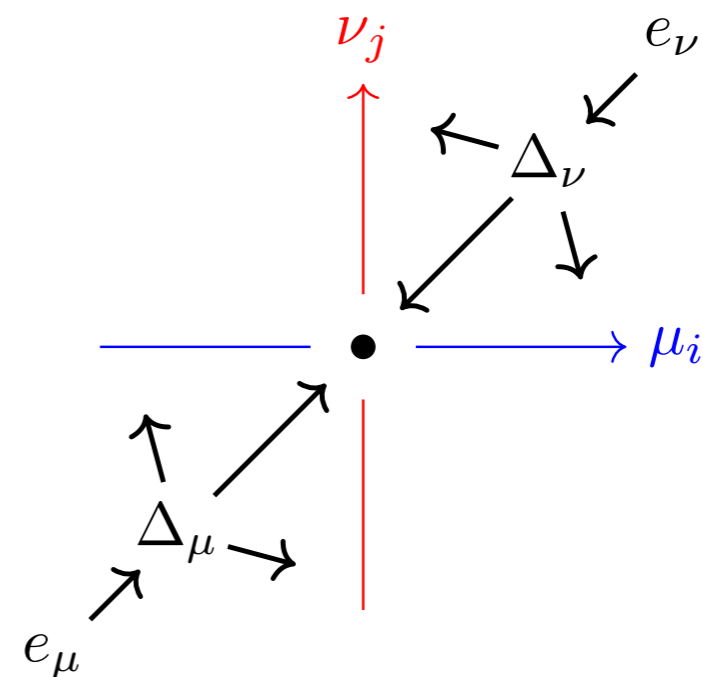
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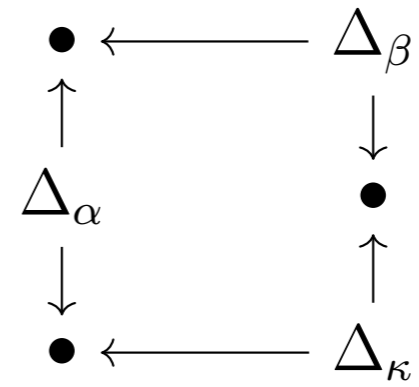
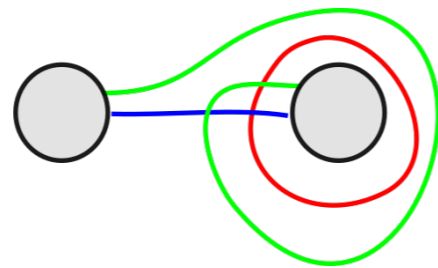


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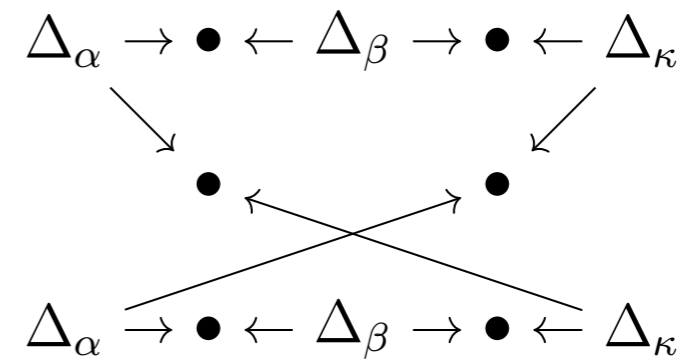
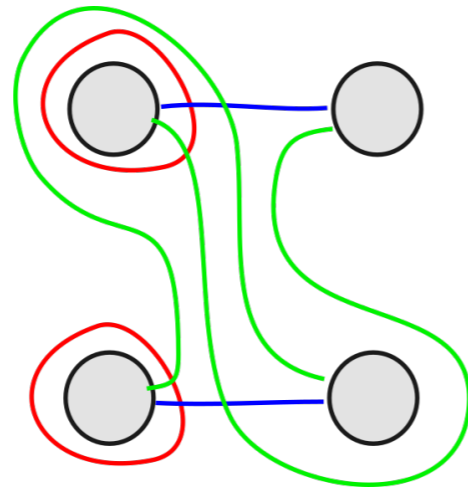


$Z(M; \mathcal{H})$ is defined to be the contraction of the tensors with some normalization factor.

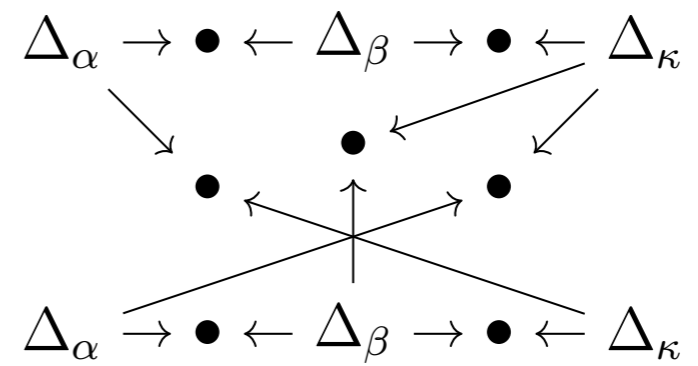
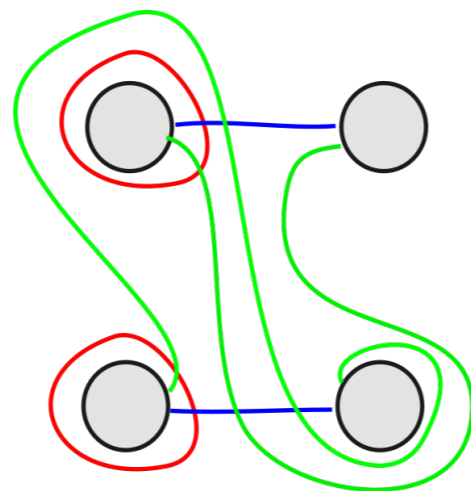
$\mathbb{C}P^2$



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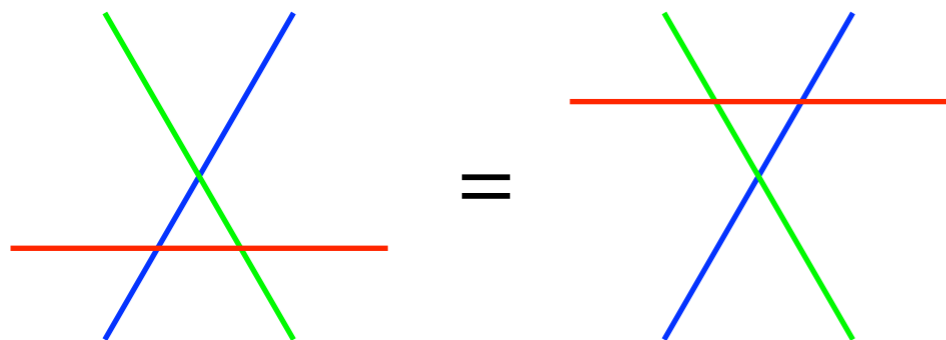


Theorem [Chaidez, Cotler, C-]

$Z(M; \mathcal{H})$ is an invariant of closed oriented smooth 4-manifolds.

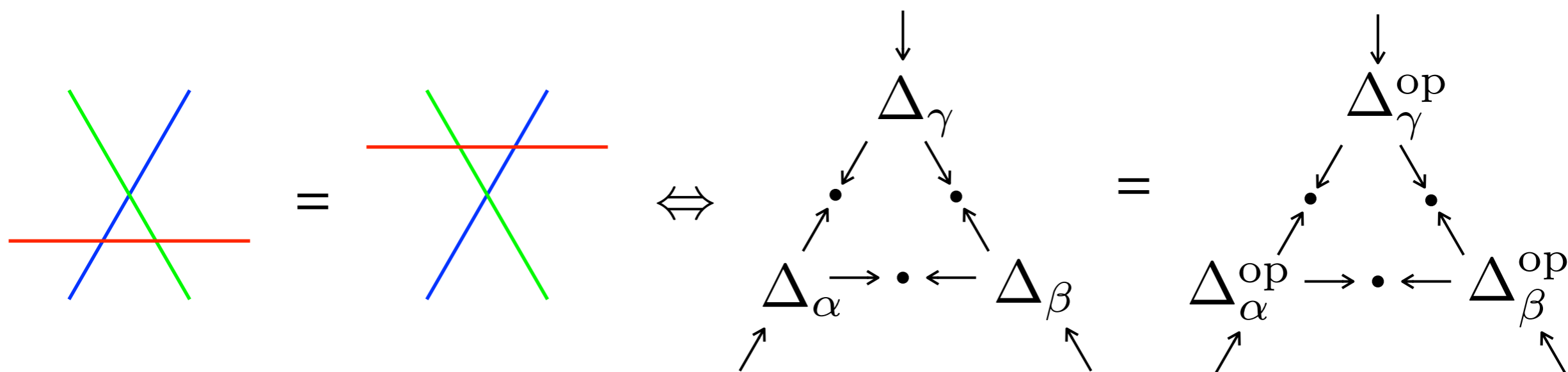
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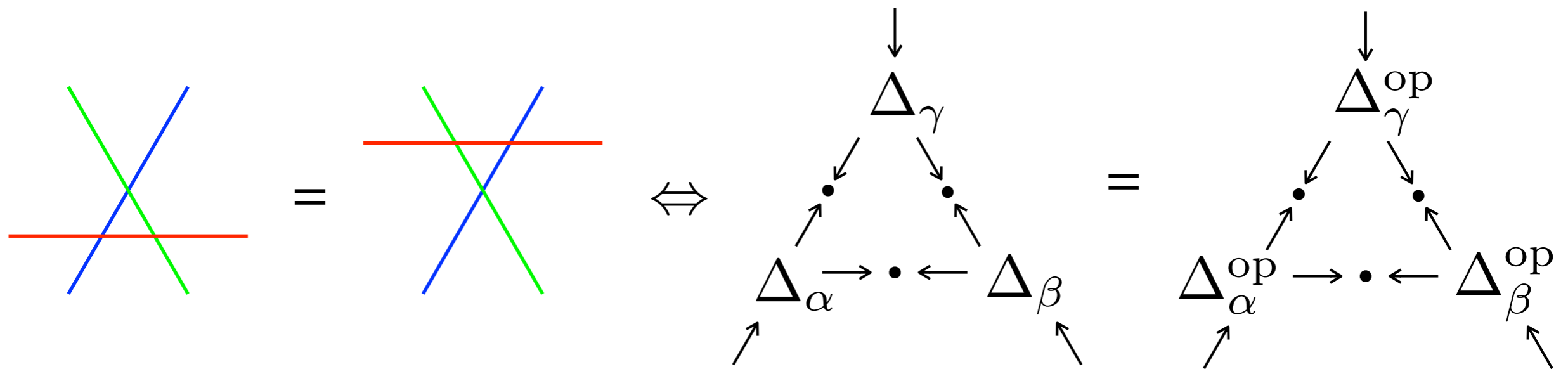
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Proposition [Chaidez, Cotler, C-]

$$Z(M_1 \# M_2; \mathcal{H}) = Z(M_1; \mathcal{H}) Z(M_2; \mathcal{H})$$

$$Z(M; \mathcal{H}_1 \otimes \mathcal{H}_2) = Z(M; \mathcal{H}_1) Z(M; \mathcal{H}_2)$$

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If H is quasi-triangular semisimple, then

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Conjecture [Chaidez, Cotler, C-]

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Kashaev invariant

If the above conjecture is right, then

Corollary: Crane-Yetter contains Kashaev invariant.

(conjectured by Williamson-Wang)

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Thank You
arXiv:1910.14662